AUTOMATION IN CONSTRUCTION

2	Deep learning-based networks for automated recognition and classification of awkward
3	working postures in construction using wearable insole sensor data
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35 Abstract

Among the numerous work-related risk factors, construction workers are often exposed to 36 37 awkward working postures that may lead them to develop work-related musculoskeletal disorders (WMSDs). To mitigate WMSDs among construction workers, awkward working posture 38 recognition is the first step in proactive WMSD prevention. Several researchers have proposed 39 wearable sensor-based systems and machine learning classifiers for awkward posture recognition. 40 However, these wearable sensor-based systems (e.g., surface electromyography) are either 41 intrusive or require attaching multiple sensors on workers' bodies, which may lead to workers' 42 discomfort and systemic instability, thus, limiting their application on construction sites. In 43 addition, machine learning classifiers are limited to human-specific shallow features which 44 influence model performance. To address these limitations, this study proposes a novel approach 45 by using wearable insole pressure system and recurrent neural network (RNN) models, which 46 automate feature extraction and are widely used for sequential data classification. Therefore, the 47 research objective is to automatically recognize and classify different types of awkward working 48 postures in construction by using deep learning-based networks and wearable insole sensor data. 49 The classification performance of three RNN-based deep learning models, namely: (1) long-short 50 51 term memory (LSTM), (2) bidirectional LSTM (Bi-LSTM), and (3) gated recurrent units (GRU), was evaluated using plantar pressure data captured by a wearable insole system from workers on 52 construction sites. The experimental results show that GRU model outperforms the other RNN-53 54 based deep learning models with a high accuracy of 99.01% and F1-score between 93.19% and 55 99.39%. These results demonstrate that GRU models can be employed to learn sequential plantar pressure patterns captured by a wearable insole system to recognize and classify different types of 56 57 awkward working postures. The findings of this study contribute to wearable sensor-based posture-58 related recognition and classification, thus, enhancing construction workers' health and safety.

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Keywords: Awkward working postures; Deep learning networks; Wearable insole pressure
system, Work-related musculoskeletal disorders, Work-related risk recognition.

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1. Introduction

The construction industry suffers from numerous health and safety problems because construction 63 activities involve diverse resources and physically demanding tasks. In Australia, there were 26 64 out of 183 fatalities in the construction industry in 2019, which accounted for a 2.2 fatality rate 65 (fatalities per 100,000 workers) across all industries (Safety Work Australia, 2020). Among 66 67 construction-related health and safety problems, work-related musculoskeletal disorders (WMSDs) are the leading cause of non-fatal occupational injuries (Umer et al., 2017a; Anwer et al., 2021; 68 Anwer et al., 2021). WMSDs refer to a wide range of injuries or disorders that result in pain and/or 69 70 other sensations in the muscles, nerves, tendons, ligaments, and joints (Wang et al., 2015a). Examples of WMSDs include low back disorders, carpel tunnel syndrome, tendonitis, and bursitis 71 (Umer et al., 2017a; Antwi-Afari et al., 2018a). According to the Health and Safety Executive 72 (HSE) in the UK, WMSDs accounted for 57% of 81,000 work-related ill health cases injuries 73 (HSE, 2020). Gibb et al. (2018) estimated that in the UK, WMSDs costs construction employers 74 about GBP 650 million/year out of a total estimated burden of occupational ill-health cost of about 75 GBP 850 million/year. Given that WMSDs still remain a health and safety problem in construction, 76 there is an urgent need to recognize work-related risk factors that may lead workers to develop 77 78 WMSDs.

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The high prevalence rate of WMSDs among construction workers could be attributed to several work-related physical risk factors, psychosocial stressors, and individual factors (Wang et al., 2015a; Umer et al., 2017b). Taken together, they can lead to work absenteeism, schedule delays, increased cost of medical expenses, loss of income and productivity, and early retirement (Umer et al., 2017a; Yu et al., 2021). Examples of work-related risk factors include repetitive motions,

gender, age, safety concerns, overexertion, awkward working posture, and poor working 85 conditions such as high vibration, and extreme temperature (Wang et al., 2015a; Umer et al., 2020; 86 Anwer et al., 2021; Yu et al., 2021). Among the various work-related risk factors, awkward 87 working postures (e.g., stoop, squat) are the major risk factor that causes WMSDs in construction. 88 According to the Center for Construction Research and Training (CPWR), roofers and painters are 89 90 on their knees, crouching or stooping more than 60% of the time, and brick masons spend 93% of their time bending and twisting their bodies (CPWR, 2018). Consequently, research on automated 91 recognition of awkward working postures has become relevant to both researchers and 92 practitioners in developing proactive interventions which could aid WMSDs risk factors 93 prevention in construction. 94

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Generally, one of the critical steps to mitigate WMSDs risk factors is to identify an ergonomic risk 96 approach for recognizing a potential work-related risk factor. In the past decades, work-related 97 risk factors were mainly recognized by using ergonomic risk approaches such as observation-based 98 methods (McAtamney and Corlett, 1993; Hignett and McAtamney, 2000). Although these 99 traditional ergonomic risk approaches are simple and less expensive, they mostly involve 100 subjective judgments and a large amount of manual data which make them time-consuming, and 101 error-prone (David, 2005). Alternatively, wearable sensing technologies have been developed to 102 103 monitor and recognize work-related risk factors effectively, thus preventing WMSDs (Antwi-Afari 104 et al., 2019a). Among them, wearable inertial measurement units (WIMUs) have been widely used for automated recognition and classification of awkward working postures among construction 105 106 workers (Chen et al., 2017; Valero et al., 2017; Lee et al., 2020). WIMUs-based systems collect 107 acceleration, angular velocity, and geomagnetic field measurements of a worker's bodily

movements, which are used to automatically monitor awkward working postures (Chen et al., 2017;
Valero et al., 2017). However, attaching multiple WIMUs-based systems on different body parts
not only significantly intrude a worker's task, but also often causes synchronization issues, body
discomfort, and sensor stream deviations due to varying sensor locations (Guo et al., 2017).

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In recent years, research works on automated recognition and classification of work-related risk 113 114 factors have demonstrated the application of computational techniques such as machine learning classifiers to train and evaluate classifier performance (Akhavian and Behzadan, 2016; Nath et al., 115 2018; Ryu et al., 2019; Antwi-Afari et al., 2020a; Umer et al., 2020). Even though these studies 116 have shown promising results, traditional machine learning classifiers implement pattern 117 recognition approaches. These approaches require multiple pre-processing steps such as manual 118 segmentation of continuous time-series sensor data with different window sizes, and further 119 extraction of statistically significant feature vectors, which are inefficient and time-consuming 120 (Portugal et al., 2018). In addition, the use of human-specific shallow features leads to poor 121 122 performance in incremental learning. Moreover, traditional machine learning classifiers treat each time step of the time-series sensor data as statistically independent, thus, ignoring the temporal 123 relationship between consecutive time steps (Rashid and Louis, 2019). These limitations of 124 125 traditional machine learning classifiers motivate this current research to use deep learning networks to automatically extract relevant features with spatio-temporal dependency captured by 126 127 a wearable insole pressure system.

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To date, the literature mostly focuses on WIMUs-based systems and machine learning applications
 for automated recognition and classification of work-related risk factors. Although they provided
 useful evidence for mitigating WMSD risk factors among construction workers, they were limited

132 due to attaching intrusive wearable sensor-based systems and adopting machine learning classifiers that use hand-crafted feature extraction methods for model evaluation. To address these limitations, 133 the present study proposed a non-intrusive wearable insole sensor system, which was used to 134 collect plantar pressure data and deep learning-based networks for classification performance. 135 Therefore, the objective of this research was to evaluate a novel approach of using deep learning-136 137 based networks and wearable insole sensor data to automatically recognize and classify different types of awkward working postures in construction. Consequently, the current study adopted 138 recurrent neural networks (RNNs), deep learning models to train time-series plantar pressure data 139 140 captured by a wearable insole pressure sensor. In this study, plantar pressure data were collected from a construction site when construction workers performed several awkward working postures 141 (i.e., overhead working, squatting, stooping, semi-squatting, and one-legged kneeling) during their 142 daily activities. In the context of a real construction site experiment, it was hypothesized that the 143 proposed approach could produce reliable and better performance accuracy for classifying 144 different types of awkward working postures. The findings of this study could not only 145 complement existing wearable sensor-based systems used for work-related risk factors recognition 146 but also provide a novel method that could be beneficial to both researchers and safety managers 147 to mitigate WMSDs risk factors in construction. 148

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2. Research Background

This section mainly presents existing research studies related to ergonomic risk approaches for recognizing work-related risk factors. In addition, extant literature on wearable sensor-based systems for automated recognition and WMSDs prevention are thoroughly discussed. Lastly, the

154 feasibility of using wearable insole sensor data and deep learning network-based classification in155 construction is discussed.

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157 2.1. Ergonomic risk approaches for recognizing work-related risk factors

To mitigate the risk of developing WMSDs, several ergonomic risk recognition approaches have 158 159 been developed. For instance, observational-based approaches involve manual field observations and visual inspections of work-related risk factors and workers' activities by experienced expert 160 observers. Examples of observational-based approaches used for recording and evaluating work-161 162 related risk factors include the Ovako Working Analysis System (OWAS) (Kivi and Mattila, 1991), the Rapid Upper Limb Assessment (RULA) (McAtamney and Corlett, 1993), and Rapid Entire 163 Body Assessment (REBA) (Hignett and McAtamney, 2000). While OWAS is designed to 164 165 recognize awkward postures in workers on manufacturing lines, the RULA tool evaluates ergonomic posture risks by calculating the angles between body parts. Zhang et al. (2018) 166 performed ergonomic posture recognition from site cameras based on OWAS. Although 167 observational-based approaches are applied to numerous work-related risk factors, they are mostly 168 impractical due to the substantial cost, time, subjective judgments by the experts, and technical 169 knowledge required for post-analysis of large amounts of non-heterogeneous data (David, 2005). 170

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Vision-based approaches consist of the use of computer-aided visual sensing technologies, such as single or multi-video cameras, stereo cameras, depth cameras, and MS Kinect, to capture human motions and recognize WMSD risk factors in construction. Ray and Teizer (2012) utilized a depth camera to detect a worker's non-ergonomic postures by modeling the worker's skeleton and measuring its joint angles. Seo et al. (2015) proposed an approach that could perform 3D biomechanical analysis using visionary data from a stereo camera. While vision-based approaches are intuitive and provide reliable results, they are limited to privacy and ethical issues since cameras are generally perceived as recording devices (Yilmaz et al., 2006). In addition, with the cluttered nature of the construction industry, characterized by diverse categories of specialized resources and risk factors, and continuously changing working conditions, they may result in several technical issues such as illumination and occlusion (Chen and Shen, 2017).

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In recent years, several researchers have utilized direct measurement approaches such as wearable 184 185 sensor-based systems to recognize work-related risk factors for developing WMSDs among construction workers. Examples of these approaches include surface electromyography (sEMG), 186 electrocardiography (ECG), photoplethysmography (PPG), electrodermal activity (EDA), 187 electroencephalogram (EEG), WIMUs-based system, and wearable insole pressure system. Umer 188 et al. (2017b) compared the differences in lumbar biomechanics (i.e., trunk muscle activity and 189 trunk kinematics) during three typical rebar tying postures measured by sEMG and WIMUs. 190 Similarly, Antwi-Afari et al. (2018a) investigated the risk of developing low back disorders in 191 rebar workers by examining muscle activity and spinal kinematics during repetitive rebar lifting 192 tasks by using sEMG and WIMUs. Yan et al. (2017) developed a real-time motion 193 warning personal protective equipment that enables workers' self-awareness and self-management 194 of ergonomically hazardous operational patterns for the prevention of WMSDs based on WIMUs. 195 196 By using a wearable insole pressure system, Antwi-Afari and colleagues have proposed methods to recognize awkward working postures (Antwi-Afari et al., 2018f), and recognize overexertion-197 related workers' activities (Antwi-Afari et al., 2020a). While previous studies have made 198 199 significant contributions for automated recognition of work-related risk factors for mitigating WMSDs among construction workers, they mostly utilized direct measurement approaches in a laboratory experimental setting. In this regard, whether a wearable insole pressure system would perform well on a real construction dataset remains to be evaluated in this paper.

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204 2.2. Wearable sensor-based systems for automated recognition and WMSDs prevention

Monitoring and recognizing workers' activities and work-related risk factors in real-time play a 205 significant role in evaluating workers' productivity and mitigating WMSDs risks. Consequently, 206 automated recognition of awkward working postures is an initial step for mitigating WMSDs. With 207 208 recent advancements in information technologies, wearable sensor-based systems are mostly used as ergonomic intervention tools for proactive monitoring and recognizing workers' activities. 209 Combined with computational analyses such as machine learning classifiers, these approaches 210 have demonstrated their feasibility in the construction domain and provided good performance 211 evaluation for recognizing workers' activities and work-related risk factors. 212

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Numerous wearable sensor-based systems such as global positioning system (GPS), wearable 214 biosensors (e.g., sEMG, ECG, PPG, EEG), ultra-wideband (UWB), and radio-frequency 215 identification (RFID) are widely used for monitoring location-based activities, physiological 216 responses, and detecting worker-object interactions (Antwi-Afari et al., 2019a). Caldas et al. (2006) 217 assessed the potential of using GPS sensors to improve the tracking and location of materials on 218 219 construction sites. Goodrum et al. (2006) developed a tool tracking and inventory system for storing operation and maintenance data by using commercially available active RFID tags. Xing 220 221 et al. (2020) explored the effects of physical fatigue on the induction of mental fatigue in 222 construction workers in a pilot experimental method by using wearable EEG sensors. Combining

the efforts of previous studies in the application of location tracking and proximity detection wearable sensor-based systems within the construction environment, they all provided reliable and more robust information for enhancing and monitoring construction operations such as workers, materials, and equipment. The main limitation for applying these location tracking and proximity detection wearable sensor-based systems is the need to install tags, sensors, or markers on each individual resource, which is costly and time-consuming and thereby makes deployment on construction sites unsuitable (Teizer et al., 2007).

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231 To overcome these challenges, researchers and practitioners have recently adopted WIMUs-based systems for human activity recognition and work-related risk factors recognition. WIMUs-based 232 systems consist of an accelerometer, gyroscope, and magnetometer that measure 3-axes 233 acceleration, angular velocity, and geomagnetic field, respectively. They are smaller in size, lighter 234 in weight, have high capacity, and provide reliable accuracy for human activity recognition and 235 WMSDs risk prevention. In the past decades, they have been widely used in research disciplines 236 such as rehabilitation, sports science, and healthcare, to provide multimodal interactions, support 237 independent living in elderly people, and context-aware personalized activity assistance 238 (Mantvjarvi et al., 2001; Bao and Intille, 2004; Delrobaei et al., 2018). Mantvjarvi et al. (2001) 239 recognize human ambulation and posture based on acceleration data collected from the hip. 240 241 Delrobaei et al. (2018) proposed a WIMUs-based system to quantify full-body tremor and to 242 separate tremor-dominant from non-tremor-dominant Parkinson's Disease patients and healthy individuals. In these previous studies, they suggested that WIMU-based systems could serve as a 243 244 portable ergonomic intervention tool that can be used in the home environment to monitor patients 245 and facilitate therapeutic interventions. In the realm of construction, numerous studies have also

focused on human activity recognition and WMSD prevention by using WMIUs-based systems
(Joshua and Varghese, 2010; Valero et al., 2017; Alwasel et al., 2017; Chen et al., 2017). Despite
significant efforts, attaching multiple WIMUs-based systems on workers' bodies lead to workers'
discomfort and systemic instability, thus, limiting their application on construction sites.

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To remedy this situation and considering the rapid development of microelectromechanical 251 systems (MEMS), WIMUs-based systems have become smaller to be incorporated into smart-252 wearable systems such as smartphones, smartwatches, smart belts, and smart wristbands for 253 254 recognizing workers' activity and work-related risk factors. Smartphones and smart wearable systems are characterized as unobtrusive because they are embedded with multiple sensor-based 255 systems (e.g., accelerometer, gyroscope, magnetometers, barometer, light and temperature 256 257 sensors), which provide a self-sufficient data collection, computing, and storage scheme. In addition, they are more intelligent, intuitive, and ubiquitous wearable systems for wireless 258 communication networks with modern software development environments and require relatively 259 lower maintenance and operating cost as compared to WIMUs-based systems. These approaches 260 have been widely applied in human activity recognition and work-related risk factors classification 261 in construction (De Dominicis et al., 2013; Akhavian and Behzadan, 2016; Nath et al., 2018; Ryu 262 et al., 2019). De Dominicis et al. (2013) investigated the capability of smartphones for real-time 263 264 data collection of geo-localization information for construction site managers. Akhavian and 265 Behzadan (2016) presented an activity analysis framework for recognizing and classifying various construction workers' activities by using a smartphone's built-in accelerometer and gyroscope 266 267 sensors. Their method used five different types of machine learning algorithms to recognize 268 various types of construction activities. The results indicate that neural networks outperform other 269 classifiers by offering an accuracy ranging from 87% to 97% for user-dependent and 62% to 96% for user-independent categories. Nath et al. (2018) proposed a method for monitoring ergonomic 270 risk levels caused by overexertion through body-mounted smartphones (i.e., accelerometer, linear 271 accelerometer, and gyroscope signals). By adopting a support vector machine (SVM) classifier, 272 the results achieved an accuracy of 90.2%. Ryu et al. (2019) examined the feasibility of the wrist-273 274 worn accelerometer-embedded activity tracker for automated action recognition during simulated masonry work in a laboratory setting. It was found that the multiclass SVM with a 4-s window 275 size showed the best accuracy (88.1%) for classifying four different subtasks of masonry work. 276 277 These machine learning classifiers have been effectively demonstrated to recognize WMSD risk factors and workers' activities, but a remaining challenge is the lack of applicable features that 278 accurately represent the change in a worker's bodily movements caused by awkward working 279 280 postures. Nevertheless, smartphones with embedded sensor-based systems by their nature are not fixed wearable sensors because of varying device locations and orientations, which can lead to 281 data misrepresentation. 282

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Given the above limitations, it is still crucial to deploy other automated wearable sensing systems 284 for activity recognition and WMSDs prevention by collecting sensing data from workers on a 285 construction site. In addition, it would be appropriate to select computational activity models that 286 could allow software systems to conduct reasoning algorithms to infer workers' motion or 287 288 movement. To do this, the current study seeks to evaluate a novel approach by using wearable insole sensor data and deep learning-based networks to automatically recognize and classify 289 290 awkward working postures in construction. The next section provides more details on its feasibility 291 and application on construction sites.

292 2.3. Wearable insole sensor data and deep learning-based networks for recognizing

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awkward working postures in construction

Automated recognition and classification of WMSD risk factors play a crucial role in mitigating 294 295 WMSDs among construction workers. It could also help researchers and safety managers to retrieve important WMSD risk factor information to facilitate their analyses and decision-making 296 support in WMSD prevention. Previous studies have extensively focused on the application of 297 wearable insole sensor data and machine learning classifiers for recognizing and classifying loss 298 of balance events (Antwi-Afari et al., 2018e), awkward working postures (Antwi-Afari et al., 299 2018f), and overexertion related construction activities (Antwi-Afari et al., 2020a). Antwi-Afari 300 et al. (2018f) developed a non-invasive method to recognize and classify awkward working 301 postures based on wearable insole pressure data and machine learning classifiers. The results 302 achieved a classification accuracy of 99.7% by using the SVM, indicating the feasibility of using 303 a wearable insole pressure system to recognize risk factors for developing WMSDs among 304 construction workers. However, the main limitation of traditional machine learning classifiers is 305 the fact that they treat individual dimensions of the sensor data statistically independently. Thus, 306 each dimension of the data is converted into feature vectors without due consideration of their 307 spatio-temporal context. To address this limitation, the current study adopted RNN-based deep 308 learning models, which incorporate temporal dependencies of sensor data streams and are more 309 appropriate for monitoring work-related risk factors than considering the data stream 310 311 independently. Moreover, RNN-based deep learning models provide a high level of performance for time series sequential data classification, which severs as the memory units through the gradient 312 313 descent steps.

Recently, deep learning networks have received great interest from the construction-related 315 research fields because they have achieved exceptional performance in various research topics, 316 including image classification (Yang et al., 2018; Zhong et al., 2020), object detection and 317 recognition (Fang et al., 2018; Fang et al., 2018), natural language processing (Zhong et al., 2020), 318 and work-related risk factors recognition (Zhang et al., 2018; Son et al., 2019; Yu et al., 2019; Kim 319 320 and Cho, 2020; Lee et al., 2020; Yang et al., 2020; Zhao and Obonyo, 2020; Seo and Lee, 2021; Wang et al., 2021; Zhao and Obonyo, 2021). Son et al. (2019) presented a method to detect 321 construction workers under varying poses against changing backgrounds in image sequences. Yu 322 323 et al. (2019) analyzed a joint-level vision-based ergonomic assessment tool for construction workers (JVEC) to provide automatic and detailed ergonomic assessments of construction workers 324 based on construction videos. The main limitation of vision-based ergonomic assessments (i.e., 325 images and videos) is that they require a direct line of sight to register the movements in a 326 construction environment (Han and Lee, 2013). 327

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Kim and Cho (2020) achieved a classification performance of 82.39% to 94.73% accuracy for 329 long-short term memory (LSTM) model than conventional machine learning classifiers. Lee et al. 330 (2020) proposed an automatic detecting technique for excessive carrying-load (DeTECLoad) to 331 predict load-carrying weights and postures, achieving 92.46% and 96.33% performance, 332 respectively. Yang et al. (2020) adopted a bidirectional LSTM (Bi-LSTM) algorithm for physical 333 334 load detection, and they achieved 74.6 to 98.6% accuracy. Zhao and Obonyo (2021) investigated the feasibility of deploying a convolutional long short-term memory (CLN) model under 335 incremental learning for recognizing workers' posture and achieved 87% (personalized) and 84% 336 337 (generalized) recognition performance. Wang et al. (2021) developed a novel vision-based realtime monitoring, evaluation, and prediction method for workers' working postures. Their method
achieved 87.0% accuracy of joint point recognition and 96.0% accuracy of posture risk prediction.

The abovementioned previous studies applied various deep learning networks for recognizing and 341 classifying work-related risk factors such as physical loads and awkward working postures. 342 343 Compared to traditional machine learning classifiers, deep learning-based networks considerably reduce the effort of choosing the right features by automatically extracting abstract features 344 through several hidden layers, and they have been proven to work well with unsupervised learning 345 346 (Seyfioğlu et al., 2018; Nguyen et al., 2019) and reinforcement learning (Ijjina and Chalavadi, 2017). The major limitation of these studies which hinders their application in construction is that 347 wearable sensing data were collected by using WIMUs. It is known that attaching multiple 348 WIMUs-based systems on workers' bodies lead to workers' discomfort and systemic instability, 349 thus, limiting their application on construction sites (Antwi-Afari and Li, 2018g). Knowledge from 350 these previous studies made significant contributions to automated work-related risk factors 351 recognition for WMSD prevention, but still, there is a need to further improve the methods to 352 prevent WMSDs in construction workers. Even though many previous studies on deep learning-353 based classification have been conducted, and the fact that human activity recognition, object 354 detection and recognition, and WMSD risk recognition have widely been studied in construction, 355 no recent study has utilized wearable insole sensor data collected from workers on construction 356 357 sites as input data for recognizing and classifying awkward working postures among construction workers. To this end, the current study employs different types of deep learning networks to 358 359 recognize and classify awkward working postures based on plantar pressure data collected from a 360 wearable insole pressure system.

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3. Research gaps, research objective, and contributions

Although awkward working postures remain one of the most prevalent work-related risk factors 362 that may lead construction workers to develop WMSDs, little research has been conducted in 363 recognizing and classifying different types of awkward working postures among construction 364 workers. Thus, the main research question to be answered in this study is how to combine wearable 365 366 insole sensor data and deep learning-based networks for recognizing and classifying different types of awkward working postures in construction. Given the above, the present study proposed a non-367 intrusive wearable insole sensor system for capturing plantar pressure data, and deep learning-368 369 based networks for awkward working posture recognition and classification. Therefore, the objective of this study was to recognize and classify different types of awkward working postures 370 by using time-series wearable insole data and deep learning-based networks. 371

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The main contributions of the present study can be summarized in two folds: (1) the feasibility of 373 onsite experimental data collection for work-related risk factor recognition using a wearable insole 374 pressure system. Numerous previous studies on work-related risk factor recognition are conducted 375 by student participants in a controlled laboratory setting (Chen et al., 2017; Antwi-Afari et al., 376 2018f; Umer et al., 2020). These experimental conditions affect the generalization and validity of 377 a given study. To improve the experimental design and data collection procedures, the present 378 study analyzed wearable insole data collected from workers on construction sites for work-related 379 380 risk factor recognition. Real time-series data collected from workers on construction sites are practically challenging due to the dynamic nature of the construction environment. Based on the 381 382 field experiments, this study would provide a deeper insight towards validating the use of 383 recognized awkward working postures performed by workers at the workplace; (2) occupational

384 awkward working posture recognition and classification. In the construction domain, traditional ergonomics risk monitoring and recognition approaches (e.g., observational methods) for 385 mitigating WMSDs are time-consuming, unreliable, and prone to errors. The proposed work-386 related risk factor recognition uses time-series wearable insole data (i.e., plantar pressure patterns) 387 and RNN-based deep learning models (e.g., LSTM, Bi-LSTM, and gated recurrent units (GRU)) 388 for recognizing and classifying awkward working postures in construction. With this approach, 389 workers' awkward working postures could be automatically monitored throughout the course of 390 their work without any expert's interference or observation. In addition, this present study will add 391 392 to the extant literature in this domain by utilizing both time series wearable insole sensor data and deep learning networks for practical application on construction sites. By adopting deep learning 393 models, wearable insole data will be automatically extracted with highly representative features, 394 containing spatio-temporal of plantar pressure patterns. Notably, this helps to enrich wearable 395 sensor pattern data derived purely from time-series data for computational analysis and reasoning. 396 Consequently, this proposed approach could enhance the generality and automation in construction 397 safety management, especially for WMSD prevention. 398

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400 **4. Research methods**

This section discusses the experimental design and data collection procedures such as recruiting participants, experimental apparatus (i.e., wearable insole pressure system), and field experiment, and plantar pressure data collection from rebar workers on construction site. It also explains the data processing and data segmentation approach by adopting the sliding window technique. Next, three RNN-based deep learning models were adopted and discussed. The final stage is model training and performance evaluation, where each RNN-based deep learning model was trained by using plantar pressure patterns as input data and the performance of the trained models was
evaluated using metrics. Fig. 1 illustrates the framework of the proposed approach. Further details

409 are presented below.



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- 411 **Fig. 1.** A framework of the proposed approach
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413 4.1. *Experimental design and data collection*

414 *4.1.1. Participants*

Ten male participants (i.e., construction rebar workers) were voluntarily recruited to participate in 415 the experiments. Construction rebar workers were recruited and participated in this study because 416 repetitive rebar tasks (e.g., preparing and assembling rebars) are physically demanding and often 417 involve long working hours, awkward working postures, and manual lifting activities (Buchholz 418 419 et al., 2003; Anwer et al., 2021). The participants mean age, weight, height, and shoe size were 38 \pm 1.82 years, 76 \pm 2.79 kg, 1.75 \pm 0.32 m, and 10.32 \pm 1.03 EU size, respectively. All participants 420 421 had no history of (1) significant foot injuries or lower extremity abnormalities during the last 12 422 months preceding the start of the study, and (2) neurological conditions or disabilities or other conditions that affected fall and/or balance. The experimental protocol for data collection was 423 reviewed and approved by the Institutional Review Board. In addition, a written consent was 424 obtained from each participant after a verbal explanation of the experimental procedures. 425

426 *4.1.2. Experimental apparatus*

An OpenGo system (Moticon GmbH, Munich, Germany), which is a wearable insole pressure
system for measuring plantar pressure distribution was used in the current study. Each left or right
wearable sensor insole contains 16 capacitive pressure sensors, a 3-axis gyroscope (MEMS
LSM6DSL, ST Microelectronics), and a 3-axis accelerometer. A sampling frequency of 50Hz was
used for data collection. Further details of this wearable insole pressure system are presented in
related studies (Antwi-Afari and Li, 2018g; Antwi-Afari et al., 2018e; Antwi-Afari et al., 2018f).
Fig. 2 shows the overview of the mobile application user interface of the wearable insole system.



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Fig. 2. Overview of the mobile application user interface of the wearable insole system

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4.1.3. Field experiment and data collection

Data collection was conducted on a construction site. Participants wore a safety boot with an inserted wearable insole. Each participant was studied during daily repetitive rebar tasks such as lifting, carrying, cutting, or tying rebars. While the participants performed their daily workplace activities, only five different types of awkward working postures were observed and collected. 442 They mainly included overhead working, squatting, stooping, semi-squatting, and one-legged kneeling. These awkward working postures were studied because they are often used in repetitive 443 rebar tasks and expose rebar workers to high risk of developing WMSDs (Umer et al., 2017b; 444 Antwi-Afari et al., 2018a). Fig. 3 depicts the field experimental trials of different types of awkward 445 working postures. In the overhead working posture, participants were captured in an upright stance 446 447 while working with their hands touching a bar above their head (Fig. 3a). Squat posture was identified when the participants maintained a full squat (Fig. 3b). Stoop posture involved full trunk 448 flexion with bilateral knee extension in standing (Fig. 3c). Semi-squat posture involved bilateral 449 450 knee bending (Fig. 3d). Lastly, one-legged kneeling was seen when the participants bent either of their knees to work in a kneeling position (Fig. 3e). Each participant performed a total of 75 451 experimental tasks, consisting of 5 types of awkward working postures and 15 repeated 452 experimental trials. Each experimental trial lasted for 30 seconds. Before field data collection, all 453 participants were given sufficient time to familiarize themselves with the experimental apparatus 454 (i.e., wearable insole pressure system) to eliminate systematic bias. The participants were also 455 given enough rest (approx. 5 mins) between successive experimental trials to prevent injuries and 456 physical fatigue. Notably, all experimental trials were conducted in an outdoor construction 457 environment under natural conditions. The participants' plantar pressure data were synchronized 458 and recorded by using a video camera for all experimental tasks. In this study, awkward working 459 postures were defined as postures that deviated significantly from the neutral position and might 460 461 cause WMSDs after being sustained for a long time (Karwowski, 2001). Moreover, it is worth mentioning that these awkward working postures exceeded the internationally recommended trunk 462 463 inclination for the angles of various body parts for static working postures as defined by the 464 International Organization for Standardization (ISO 11226:2000) (ISO, 2006).



465
466
466
467 (b) Squatting; (c) Stooping; (d) Semi-squatting; and (e) One-legged kneeling

468

469 *4.2. Data processing and data segmentation*

After data collection, the next stage is data processing and data segmentation. The collected data 470 were stored in the mobile phone, and they were wirelessly transferred onto a desktop computer for 471 data processing. For each observed awkward working posture, the participants performed 15 472 473 repeated trials. It is worth noting that the wearable insole pressure system can capture plantar pressure patterns, acceleration, angular velocity, ground reaction force, and center of pressure data. 474 However, all the collected data except plantar pressure patterns data were removed from the dataset 475 476 during data processing. As such, only plantar pressure patterns were labelled and used for data 477 segmentation. Class labelling was conducted by using the recorded videos and the collected plantar 478 pressure data. The signals were visually inspected for noise or signal artefacts. Since plantar pressure patterns were evenly distributed and didn't cause any unrelated changes to different types 479 of awkward working postures, no further signal artefacts were conducted during data processing. 480 481 In the data segmentation stage, a sliding window technique was adopted to divide plantar pressure 482 data into smaller segments, each segment containing a specified number of data samples (Preece et al., 2009). The purpose of this stage is to obtain labeled segments from the continuous stream 483

484 of wearable insole data to evaluate the performance of the deep learning networks. Since the sampling frequency for data collection was 50 Hz, 50 data samples are obtained every second for 485 data processing. Given the experimental conditions, the dataset contains 10 participants with 486 1,125,000 data samples of five classes. By considering the conducted experiments which involved 487 repetitive rebar tasks, a window size of 5.12 s, which represents 256 (2^8) was suitable for dividing 488 plantar pressure data into smaller segments. This window size data segment was chosen by initially 489 analyzing the collected plantar pressure data to include representative awkward working postures 490 in order to optimize the recognition performance. To prevent missing relevant data, an overlapping 491 492 of consecutive windows was conducted. A 50% overlap of adjacent data segment lengths was used as demonstrated in previous studies (Antwi-Afari et al., 2018e; Antwi-Afari et al., 2018f). 493

- 494
- 495 *4.3. Deep learning-based networks*

496 *4.3.1.* Recurrent neural network (RNN) model architectures

RNN is a subset of deep learning-based networks on the principle of extracting the output layer 497 and feeding it back as the input of another layer to predict the output of the current layer (Inoue et 498 al., 2018). Fig. 4 represents an overview of the RNN model architecture. As shown in Fig. 4a, the 499 basic architecture of an RNN consists of an input, output, activation function, and a recurrent loop. 500 Fig. 4b illustrates the structure of an unfolded RNN into a full network that allows it to perform a 501 sequence of input data. Generally, RNN model receives the input x_0 from the sequence of input 502 503 data, performs some calculations resulting in h_0 , which, together with x_1 , compose the input to the next step. Similarly, the output h_1 with the input x_2 will be the input to the next step, and so on. It 504 505 is worth noting that y_t is the same as h_t .

507 The value of h_t is calculated using Equation 1. As illustrated in Equation 1, the input x_t is modified 508 by *W* and h_{t-1} is modified by *U*.

$$509 \quad h_t = \sigma(Wx_t + Uh_{t-1}) \tag{1}$$

510 Where, x_t represents the input of the structure at time step t, h_t , is the output of the structure at time 511 step t, W is the weight matrix of the input to the hidden layer at time t, U is the weight matrix of 512 the hidden layer at time t-I, and σ represents the activation function.

513

Like other neural network structures, RNN models learn weights (W, U) through training using the backpropagation technique. The network then determines the accuracy of the model by using an error function (loss function) and calculating the derivates of the loss function with respect to the weight. In addition, the network uses an activation function to simplify the mathematical calculations related to the application of backpropagation. In the following section, this study presents three types of RNN-based deep learning models that were used for classifying different types of awkward working postures.



Fig. 4. An overview of the RNN model architecture: (a) The basic architecture of an RNN; and (b)The structure of an unfolded RNN

524 4.3.1.1. Long-short term memory (LSTM)

LSTM is a type of RNN model with an enhanced function to calculate hidden states. Hochreiter and Schmidhuber (1997) proposed LSTM network to solve temporal sequences and long-term dependency problems by adding the gating mechanism. Compared to traditional RNN models, LSTM network can solve the vanishing and exploding gradient problems because it extends RNN with memory cells which can ease the learning of temporal relationships on long time scales.

530

Fig. 5 shows LSTM cell architecture. This cell determines which data to keep in memory and 531 532 which data to ignore using the concept of gating. LSTM cell has three gates, namely, input, forget, and output gates. These gates can be seen as write (deciding what new information should be kept 533 in memory by the input gate), reset (deciding what information should be forgotten by the forget 534 gate), and read (deciding what information should be output by the output gate) operations for the 535 cells. LSTM cell state is the key component that carries the information between each LSTM cell. 536 Modifications to the cell state are controlled by the three gates mentioned above. The first stage of 537 the LSTM cell architecture is the forget gate, which is responsible for specifying which data to 538 remember and which data to erase. This decision is made through the sigmoid layer as shown in 539 Equation 2. 540

541
$$f_t = \sigma(x_t W^f + h_{t-1} U^f + b_f)$$
 (2)

The output is 0 or 1, where 0 means forget, and 1 means keep. The second stage is the input gate, which decides which information to be stored or added to the cell state. The input gate also consists of another sigmoid layer that is used to determine new candidate values that could be updated to the cell state, as shown in Equation 3.

546
$$i_t = \sigma(x_t W^i + h_{t-1} U^i + b_i)$$
 (3)

547 The next stage in LSTM is the memory update, where the old cell is updated to the new cell. The
548 *tanh* function creates a vector of candidate values that could be added to the state as shown in
549 Equation 4.

550
$$\hat{C}_t = \tanh(x_t W^g + h_{t-1} U^g + b_c)$$
 (4)

The cell state is then ready for the update by concatenating both f_t and \hat{C}_t . LSTM updates the old cell state C_{t-1} to be C_t as shown in Equation 5.

553
$$C_t = \sigma(f_t \times C_{t-1} + i_t \times \hat{C}_t)$$
(5)

The final stage of LSTM is the *output* gate, which uses a sigmoid function to determine which part of the cell state will come out as shown in Equation 6.

556
$$o_t = \sigma(x_t W^o + h_{t-1} U^o + b_o)$$
 (6)

In Equation 7, by multiplying o_t with $tanh(C_t)$, we implicitly determine which part to take out.

558
$$h_t = \tanh(C_t) \times o_t$$
 (7)

559 Where, i_t , f_t , and o_t are the input, forget, and output gates, respectively. W^i , W^f , and W^o are the 560 weights for the input, forget, and output gates at time step t, respectively. W^g is the weight for the 561 candidate layer. U^i , U^f , and U^o are the weights for the input, forget, and output gates at time step 562 t-1. U^g is the weight for the candidate layer. x_t is the input at current time step t. h_t and h_{t-1} are the 563 output of the cell at current time step t and previous time step t-1, respectively. C_t and C_{t-1} are the 564 cell states at time steps t and t-1, respectively. b_i , b_f , and b_o are the biases for the input, forget, and 565 output gates, respectively. b_c is the bias for the candidate layer, and σ is the sigmoid function.





- **Fig. 5.** LSTM cell architecture

4.3.1.2. Bidirectional LSTM (Bi-LSTM)

Fig. 6 depicts the Bi-LSTM layer structure, where the two independent layers share the same input sequence while the outputs from the two layers are concatenated and represented in the sequence. Bi-LSTM model consists of two separate layers that divide the state neurons of a regular LSTM into a forward layer, which is responsible for positive time direction, and a backward layer, which is responsible for negative time direction. The outputs of the forward and backward layers are concatenated, which make it possible to obtain the forward and backward information at each time step in the sequence. This approach enhances the learning process due to the dependency found between the neighboring data pairs.



581

582 *4.3.1.3. Gated recurrent units (GRU)*

GRU is an improved version of the standard RNN and a simplified version of LSTM (Gers et al.
2002). Like LSTM, GRU is designed to reset or update its memory adaptively. Hence, GRU has a
reset gate and an update gate, which are identical to the forget and the input gates in LSTM. Fig.
7 represents the GRU cell architecture, which is like the LSTM structure but with fewer parameters
that enable it to capture long-term dependencies more easily. The update gate monitors the amount
of memory content that must be forgotten from the previous time step.

589 The operation of a GRU cell can be described as follows:

590
$$z_t = \sigma(W_z \cdot [h_{t-1}, x_t] + b_z)$$
 (8)

591 The model uses the reset gate to decide the amount of past information to forget as given in592 Equation 9.

593
$$r_t = \sigma(W_r \cdot [h_{t-1}, x_t] + b_r)$$
 (9)

New memory content is introduced by using the reset gate as calculated in Equation 9 and relevantpast information is stored as shown in Equation 10.

596
$$\hat{\mathbf{h}}_t = \tanh(W \cdot [r_t \times h_{t-1}, x_t] + b_h)$$
 (10)

Finally, the network calculates the hidden state h_t , which is a vector that carries information for the current unit and passes it down to the network. Thus, the update gate is essential since it decides what is needed from the current memory content \hat{h}_t and the previous step h_{t-1} . Equation 11 calculates the value of h_t .

601
$$h_t = (1 - z_t) \times h_{t-1} + z_t \times \hat{h}_t$$
 (11)

Where, z_t and r_t are the output of the update and reset gates. W_z and W_r are the weights for the update and reset gates. b_z and b_r are the biases for the update and reset gates. h_t and h_{t-1} are the output of the cell at the current time step t and previous time step t-1, respectively. x_t is the input at the current time step t, and σ is the sigmoid function.



Fig. 7. GRU cell architecture

608 *4.4. Deep learning model training and performance evaluation*

During the deep learning model training, all RNN-based deep learning models (i.e., LSTM, Bi-609 LSTM, and GRU) have been designed to receive the same input data. Each class label belongs to 610 the same participant from plantar pressure data. For each experimental task, the plantar pressure 611 data vector has a dimensionality of 32 vectors (2×16 pressure sensors for each foot) $\times 256$ data 612 613 samples. The total number of data samples is 4,394 values. Since each window size contains 256 data samples, the current study used input data of 1,124,864 data samples. The network models 614 are three layers deep, and the number of hidden units ranges from 100 to 500 for each deep learning 615 616 model. A previous study used a similar architecture, with 200 hidden units per layer (Alawneh et al., 2021). In this study, we used the cross-entropy loss (log loss function) as a cost function for 617 model accuracy. The loss function determines the model's accuracy in the classification problem. 618 619 The smaller the loss value, the more accurate the actual value. Updating the weights and biases in the model is the responsibility of the optimization function. In addition to the Adam optimization 620 function, an adaptive version of the stochastic gradient descent was used for model training 621 (Kingma and Ba, 2014). The Adam optimizer is a reliable optimizer that ensures fast and accurate 622 results when updating the network parameters. To prevent overfitting in the model, this study 623 applied the widely used stochastic regularization method known as the dropout technique 624 (Srivastava et al., 2014). Overfitting arises when the loss function is very small for training data 625 while it is very large for testing data. The main objective of the dropout technique is to prevent the 626 627 neurons in the network from excessive co-adapting, which results in a lack of model generalization. The model evaluation process is performed by dividing the dataset into training and testing datasets, 628 629 thus, 90% for training and the remaining 10% for testing. The training dataset was further split 630 into two datasets (80% for training and 20% for validation). The validation dataset was used for

631	hyper-parameter tuning and to determine the optimal unit numbers of the RNN-based deep
632	learning models. The 10-folds cross-validation technique was adopted to test the classification
633	performance of RNN-based deep learning models, similar to previous studies utilizing deep
634	learning networks (Kim and Cho, 2020; Yang et al., 2020). By conducting 10-folds cross-
635	validation, the best hyper-parameters can be selected, and the RNN-based deep learning models
636	can be evaluated as generalized models that show the desired classification performance with an
637	unseen dataset. The parameters values based on the model that provided the best accuracy with the
638	lowest training time were selected. The results show that our tuning process achieved the best
639	accuracy for the datasets when setting the values of the epoch, dropout, batch size, learning rate,
640	and hidden units at 100, 0.5, 64, 0.001, and 200, respectively. The experiments were conducted
641	and trained on a computer 2.60 GHz Intel (R) Core (TM) i7-9750H CPU, 16GB RAM, 64-bit
642	operating system, Windows 10 Pro, and Intel Iris Plus Graphics 650 1536MB GPU using
643	MATLAB R2020b. The detailed dataset and tuned hyper-parameters of the proposed RNN-based
644	deep learning models are shown in Table 1.

Table 1. Dataset and hyper-parameters of the proposed RNN-based deep learning models

Dataset and hyper-parameters	Value
Number of classes	5
Number of plantar pressure sensors	32 capacitive pressure sensors
Window size	5.12 s
Overlap of adjacent windows	50%
Sampling rate	50 Hz
Epoch	100
Dropout	0.5
Batch size	64
Learning rate	0.001
Hidden units	200
Number of sample data	1,125,000 data samples

⁶⁴⁶

647 In performance evaluation and classification, the performance of the three types of RNN-based

648 deep learning models was assessed by using evaluation metrics such as accuracy, precision, recall,

649 specificity, and F1-score (Attal et al. 2015). Equations 12 to 16 show how each evaluation metric is calculated. Accuracy is the most standard metric to summarize the overall classification 650 performance for all classes. It is defined as the ratio of correctly classified instances to the total 651 number of instances. Precision is the measure of determining how many instances classified as 652 positive are actually positive, thus, it is a measure of exactness. It is defined as the ratio of correctly 653 classified positive instances to the total number of instances classified as positive. Recall or 654 sensitivity is the number of positive instances correctly classified as positive, thus, it is a measure 655 of correctness. It is defined as the ratio of correctly classified positive instances to the total number 656 657 of positive instances. Specificity is the number of negative instances correctly classified as negative. It is defined as the ratio of correctly classified negative instances to the total number of 658 instances classified as negative. The F1-score combines precision and recall into a single value, 659 and it is used to measure the performance of the classification model by avoiding systematic bias 660 (Ordóñez and Roggen, 2016). Besides these evaluation metrics, the performance of each model on 661 individual classes was assessed using a confusion matrix, while the accuracy and loss curves were 662 drawn for the best model. 663

664
$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$
 (12)

665
$$Prcision = \frac{TP}{TP + FP}$$
 (13)

$$666 \quad Recall = \frac{TP}{TP + FN} \tag{14}$$

$$667 \quad Specificity = \frac{TN}{TN + FP} \tag{15}$$

$$F1 - score = 2 \times \frac{Precision \times Recall}{Precision + Recall}$$
(16)

Where, True Positive (TP) is the number of positive instances that were classified as positive, True
Negative (TN) is the number of negative instances that were classified as negative, False Positive
(FP) is the number of negative instances that were classified as positive, and False Negatives (FN)
is the number of positive instances that were classified as negative.

673

674 5. **Results**

This section presents the results derived from the conducted experimental design and data 675 collection procedures. Table 2 shows the classification accuracy and training time for different 676 677 types of RNN-based deep learning models which were evaluated by 10-folds cross-validation. The classification accuracy for all three RNN-based deep learning models was greater than 97%. As 678 indicated in Table 2, the classification accuracies were 97.99%, 98.33%, and 99.01% for LSTM, 679 Bi-LSTM, and GRU, respectively. The results revealed that GRU model achieved the highest 680 performance among all tested RNN-based deep learning models in terms of training plantar 681 pressure pattern data for classifying different types of awkward working postures. On the other 682 hand, when the performance of the three types of RNN-based deep learning models was evaluated 683 in terms of training time, the average duration of LSTM, Bi-LSTM, and GRU networks lasted 31 684 mins, 56 mins, and 54 mins, respectively. The results show that Bi-LSTM network requires more 685 training time than either LSTM or GRU models. 686

687	Table 2 . Classification accuracy and training time for RNN-based deep learning models						
	RNN-based deep learning models	Accuracy (%)	Training time (minutes)				
	Long-short term memory (LSTM)	97.99	31				
	Bidirectional LSTM (Bi-LSTM)	98.33	56				
	Gated recurrent units (GRU)	99.01	54				

688

The confusion matrix and evaluation metrics for LSTM model are presented in Table 3. Generally,the evaluation metrics achieved high performance of LSTM model on the plantar pressure data for

691 classifying different types of awkward working postures. In terms of precision metric, LSTM model achieved classification performance values between 88.30% and 99.82%. The highest 692 instance of correct classified awkward working posture was overhead working posture, 693 representing 98.74%. Conversely, stooping posture had little impact on the LSTM model (i.e., 694 67.48%) among the different types of awkward working postures. The values of specificity and 695 F1-score metrics are in the range of 95.33% to 99.94%, and 76.50% to 98.40%, respectively. To 696 identify the classes that are misclassified or confused with other classes, the confusion matrix was 697 presented. As shown in Table 3, each row represents the actual classes, while the columns represent 698 699 the predicted classes. The diagonal cells represent the correct instances as highlighted in bold font for a more detailed evaluation of the classification performance at the end of the 100th epoch. The 700 other cells show the misclassified instances. From Table 3, it was revealed that overhead working 701 702 posture class had the best recognition performance because plantar pressure data are different from the values in other classes. It can also be seen that the top two most misclassified classes are 703 stooping and overhead working postures. Stooping posture is confused 30 times with overhead 704 working posture. Data collection for both stooping and overhead working postures involved 705 bilateral knee extension in static positions. As such, the confusion between stooping and overhead 706 working postures can be explained by the similar plantar pressure data collected from the wearable 707 insole system. 708

	Predicted class					
	Overhead working	625	0	5	3	0
	Squatting	10	350	4	3	1
	Stooping	30	4	83	6	0
True class	Semi- squatting	23	0	2	433	0
	One-legged kneeling	8	0	0	9	533
		Overhead working	Squatting	Stooping	Semi- squatting	One-legged kneeling
Accuracy						97.99%
Precision		89.80%	98.87%	88.30%	95.37%	99.82%
Recall		98.74%	95.11%	67.48%	94.54%	97.02%
Specificity		95.33%	99.78%	99.46%	98.76%	99.94%
F1-score		94.06%	96.95%	76.50%	94.96%	98.40%

709 **Table 3.** Confusion matrix and evaluation metrics for long-short term memory (LSTM)

710

711 Table 4 represents the confusion matrix and evaluation metrics of Bi-LSTM model. The correct 712 classes are shown in bold for a more detailed evaluation of the classification performance at the end of the 100th epoch. Generally, the evaluation metrics of Bi-LSTM model achieved higher 713 714 performance than LSTM model. With regards to precision metric, Bi-LSTM model achieved 715 performance rates between 92.09% and 99.61%. Like LSTM model, the highest instance of Bi-716 LSTM for correct classified awkward working posture was overhead working, representing 717 97.83%. It was reported that overhead working posture had the most positive impact on the 718 performance of Bi-LSTM, followed by one-legged kneeling (97.80%), squatting (96.37%), semisquatting (93.02%), and stooping (87.50%) (Table 4). The specificity and F1-score metrics of 719 different types of awkward working postures range from 96.03% to 99.88% and 91.70% to 98.75%, 720 721 respectively. According to the confusion matrix in Table 4, it can be observed that overhead 722 working posture is the most recognized class with 675 positive instances. In addition, it was found that the top two most misclassified classes are stooping and overhead working postures (Table 4). 723

	Predicted class						
	Overhead working	675	0	8	5	2	
	Squatting	8	425	0	8	0	
	Stooping	25	2	210	3	0	
True class	Semi- squatting	18	0	0	240	0	
	One-legged kneeling	7	0	0	4	512	
		Overhead working	Squatting	Stooping	Semi- squatting	One-legged kneeling	
Accuracy						98.33%	
Precision		92.09%	99.53%	96.33%	92.31%	99.61%	
Recall		97.83%	96.37%	87.50%	93.02%	97.80%	
Specificity		96.03%	99.88%	99.58%	98.94%	99.88%	
F1-score		94.87%	97.93%	91.70%	92.66%	98.75%	

725 **Table 4.** Confusion matrix and evaluation metrics for bidirectional LSTM (Bi-LSTM)

726

727 The confusion matrix and evaluation metrics of GRU model are presented in Table 5 with correct 728 classes shown in bold for a more detailed evaluation of the classification performance at the end of the 100th epoch. The evaluation metrics of GRU model achieved the highest performance 729 730 compared to either LSTM or Bi-LSTM model. Regarding precision metric, GRU model achieved 731 classification performance values between 94.41% and 99.80%. The highest instance of correct 732 classified awkward working posture was overhead working, representing 99.30%. This recall 733 result concurs with classification accuracy, thus, indicating that GRU model outperforms other 734 RNN-based deep learning models. It was found that stooping posture had the lowest correct classified posture (i.e., 89.00%) among the different types of awkward working postures. The 735 specificity and F1-score metrics of different types of awkward working postures range from 97.08% 736 737 to 99.94% and 93.19% to 99.39%, respectively. Taken together, these results show that GRU model outperformed either LSTM or Bi-LSTM model based on plantar pressure data for 738 classifying different types of awkward working postures. Like LSTM and Bi-LSTM models, it can 739 be observed from the confusion matrix in Table 5 that overhead working posture is the most 740

recognized class with 710 positive instances. Moreover, it was reported that stooping and overhead

743

	Predicted class							
	Overhead working	710	0	4	1	0		
	Squatting	5	412	0	3	0		
	Stooping	21	1	178	0	0		
True class	Semi- squatting	12	0	0	310	1		
	One-legged kneeling	4	0	0	1	489		
		Overhead working	Squatting	Stooping	Semi- squatting	One-legged kneeling		
Accuracy						99.01%		
Precision		94.41%	99.76%	97.80%	98.41%	99.80%		
Recall		99.30%	98.10%	89.00%	95.98%	98.99%		
Specificity		97.08%	99.94%	99.80%	99.73%	99.94%		
F1-score		96.80%	98.92%	93.19%	97.18%	99.39%		

Table 5. Confusion matrix and evaluation metrics for gated recurrent units (GRU)

745

Fig. 8 and 9 show the accuracies and losses over iterations curves with the tuned hyperparameters 746 747 of the GRU model. As shown in both figures, GRU model performance shows an increase in 748 accuracy and decrease in loss in both training and validation, respectively. In other words, the 749 training and validation curves for GRU model converge at higher accuracy whilst their 750 corresponding loss curves converge at a lower loss value. It was found that both the accuracies and losses were converged at the 90th epoch. Thus, the difference between either training accuracy and 751 752 validation accuracy or training loss and validation loss was insignificant, indicating that the GRU 753 model was effectively trained without overfitting plantar pressure data.

vorking postures are the top two most misclassified classes (Table 5).



0.4 0.2

Fig. 9. Losses over iterations curves with the tuned hyperparameters of the GRU model

Epoch

760 6. Discussion

761 6.1. Wearable sensing data and deep learning-based networks

Construction activities are associated with several work-related risk factors. Among them, awkward working postures are the major risk factor that causes WMSDs in construction. The objective of this research was to evaluate a novel approach of using deep learning-based networks and wearable insole sensor data to automatically recognize and classify different types of awkward working postures in construction. To do this, this study adopted three types of RNN-based deep learning models to train time-series plantar pressure data captured by a wearable insole system.

768

By comparing the employed RNN-based deep learning models in this study, it was found that 769 GRU model achieved the highest accuracy (i.e., 99.01%) with an average training duration of 54 770 771 minutes. In addition, the results show that GRU model obtained precision, recall, specificity, and F1-score metrics of 94.41% to 99.80%, 89.00% to 99.30%, 97.08% to 99.94%, and 93.19% to 772 99.39%, respectively in classifying different types of awkward working postures. Regarding the 773 confusion matrix, it was revealed that the top two most misclassified classes are stooping and 774 overhead working postures. Moreover, GRU model performance shows an increase in accuracy 775 and a decrease in loss in both training and validation, respectively. These results support the 776 hypothesis of this study that GRU model, which is an RNN-based deep learning network could 777 provide a reliable and better performance accuracy for classifying different types of awkward 778 779 working postures. This finding might be explained from the model perspective. GRU model is relatively simpler and can forget and choose memory with fewer parameters, while LSTM model 780 781 needs more gating and parameters to complete similar tasks. In addition, GRU model can control 782 the information flow from the previous activation when computing new candidate activation. In summary, GRU model outperformed other RNN-based deep learning models in this study in terms of computational power (i.e., convergence of training time) and performance (i.e., parameter updates). Our results are comparable to other previous studies which found GRU model to outperform LSTM model (Yang et al., 2020; Zarzycki and Ławryńczuk, 2021). The findings of this study indicate that GRU architecture can leverage the advantages of both LSTM and Bi-LSTM layer architectures to enhance awkward posture recognition. Hence, the use of the GRU model is recommended for classifying awkward working postures based on wearable insole data.

790

791 A previous study by Antwi-Afari et al. (2018f) utilized plantar pressure data to recognize different types of awkward working postures based on machine learning classifiers, finding an accuracy of 792 99.70% with SVM classifier at 0.32s window size. However, this previous work was conducted in 793 a controlled laboratory setting, by student participants, and static awkward working postures. 794 These experimental conditions are not the case in a real-world construction environment. By 795 utilizing WIMU-based systems, Lee et al. (2020) compared a deep learning network (i.e., CNN-796 LSTM) to conventional machine learning classifiers for automated classification of squat postures. 797 They obtained 75.4% and 91.7% classification performance for conventional machine learning 798 and deep learning model, respectively. Although these results are comparable to the current study, 799 Lee et al. (2020) used acceleration and angular velocity data while the present study used plantar 800 801 pressure data captured by a wearable insole system.

802

Notably, previous studies have also demonstrated similar deep learning networks (e.g., vanilla,
unidirectional LSTM, Bi-LSTM, GRU) in wearable sensor-based human activity recognition
studies in construction (Rashid and Louis, 2019; Kim and Cho, 2020; Lee et al., 2020; Yang et al.,

806 2020; Zhao and Obonyo, 2021) and other disciplines (Li et al., 2019; Alawneh et al., 2021; Mekruksavanich and Jitpattanakul, 2021). Rashid and Louis (2019) evaluated a data-augmentation 807 framework for identifying construction equipment activity by combining LSTM model and 808 multiple WIMU-based systems. They found that LSTM model outperforms conventional machine 809 learning classifier (i.e., artificial neural network). Kim and Cho (2020) proposed a construction 810 811 worker's motion recognition model using the LSTM network based on an evaluation of the number and location of WIMUs to maximize motion recognition performance. They found that the 812 proposed approach could improve a worker monitoring mechanism for safety and productive 813 814 management. Yang et al. (2020) investigated the feasibility of identifying various physical loading conditions by analyzing a worker's bodily movements collected by using WIMUs. Their findings 815 contribute to automated work-related risk recognition and WMSDs prevention, thus, enhancing 816 817 workers' health and safety at construction workplace. Zhao and Obonyo (2020) investigated the feasibility of integrating convolutional neural networks (CNN) with LSTM layers for recognizing 818 construction workers' postures from motion captured by WIMUs-based systems. The results 819 820 revealed that the proposed deep neural network approach has a high potential in addressing challenges for improving posture recognition performance than conventional machine learning 821 models. Alawneh et al. (2021) compared the performance of data augmentation and RNN-based 822 deep learning models on three open-source datasets, finding that GRU models and data 823 augmentation significantly enhance activity recognition. Collectively, these studies found that 824 825 deep learning models and wearable sensing data can be utilized for monitoring workers' activities regarding their safety, fall risks, and productivity. However, direct comparison between existing 826 827 studies' findings and the current study may not be meaningful due to numerous differences in experimental design (e.g., participants' physical characteristics) and data collection procedures. 828

829 6.2. Study implications, practical applications, and contributions

The current study provides relevant findings and practical implications to both researchers and 830 practitioners within the construction industry. First, a key practical implication is the feasibility of 831 onsite experimental data collection for work-related risk factor recognition using a wearable insole 832 pressure system. Collecting wearable sensing data in a real-world construction setting is very 833 834 challenging due to multiple reasons such as the dynamic nature of the construction environment, huge resources, and several work-related risk factors. Different from previous studies on work-835 related risk factor recognition that were conducted by student participants in a controlled 836 837 laboratory setting (Chen et al., 2017; Antwi-Afari et al., 2018f; Umer et al., 2020), the current study investigated the use of wearable insole data while construction rebar workers performed 838 awkward working postures during repetitive rebar tasks at construction site. Awkward working 839 postures are also commonly performed by other workers such as masons, carpenters in the 840 construction industry. Collectively, the proposed approach could not only be applied during 841 repetitive rebar tasks (e.g., preparing and assembling rebars), but also other manual repetitive 842 handling tasks (e.g., bricklaying) in construction. Second, the proposed approach provides an 843 automated recognition and classification of awkward working postures in construction. The results 844 from the current study revealed that awkward working postures, the most prevalent work-related 845 risk factor among construction workers, could be recognized and classified by using wearable 846 insole data and deep learning networks. Awkward posture recognition is the first step in proactive 847 848 WMSD prevention. As such, this wearable sensor-based approach can serve as a proactive intervention tool for recognizing work-related risk factors, thus, mitigating WMSDs risks in 849 850 construction. Besides automated WMSDs risk monitoring and recognition in construction, the 851 achieved awkward posture recognition model can also facilitate "Prevention through Design" (PtD) 852 practices by identifying workers' ergonomic risks under different workplace designs. These preventive strategies can also be adopted in other physically demanding and labor-intensive 853 occupations such as manufacturing, automobile, and agriculture. Third, the proposed approach— 854 utilizing wearable insole data and deep learning-based networks—will contribute to real-time 855 wearable sensor computing by deploying the performance of plantar pressure patterns and GRU 856 857 model for awkward posture recognition. Construction practitioners (e.g., safety managers) can use this piece of information to enhance their safety program, thus, improving workers' safety and 858 health. With the performance accuracies of three RNN-based deep learning models in this study, 859 860 the best RNN-based deep learning model (i.e., GRU) can learn workers' movement patterns and provide reliable results for predicting posture-based WMSDs risk. However, it was found that 861 stooping and overhead working postures were misclassified and could lead to recognition errors. 862 Nevertheless, the findings of this study can be applied to other work-related risk factors (e.g., 863 overexertion, loss of balance events) with specific physical load conditions and reasonable hyper-864 parameter tuning through model training and testing, thus, mitigating the risk of developing 865 WMSDs. 866

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868 6.3. Limitations and future research directions

The proposed approach is successful for automated recognition and classification of awkward working postures in construction. However, there are few limitations and challenges. First, this study only investigated a small sample of experienced rebar workers and five types of awkward working postures in construction. With diverse construction workers and physically demanding construction activities, the small experimental dataset could limit the application of the proposed approach in the construction industry. Future studies should collect large samples of data from

several construction workers (e.g., bricklayers, carpenters) while conducting other types of 875 awkward working postures (e.g., bending or twisting to lift an object) during a real-world 876 construction environment. Such dataset with enough samples is crucial in training, testing, and 877 developing a generalized model for different construction activities. Second, this study considered 878 limited types of wearable sensor data—plantar pressure data—for automated recognition of 879 awkward working posture. Notably, there are other types of body sensor networks or wearable 880 biosensors for collecting heart rate, respiration, and body temperature data could be integrated to 881 enhance automated monitoring and recognition applications. As such, future research should 882 883 include other types of biosensor data. Third, the current study employed only three types of RNNbased deep learning models for awkward posture recognition and classification. Although useful, 884 RNN-based deep learning models are specifically designed to handle sequential data, but they 885 suffer from the vanishing/exploding gradient problem. As a result, RNNs fail to deal with long 886 sequences if *tanh* is applied as the activation function, whereas the model is unstable if a rectified 887 linear unit (*relu*) is used (Dang et al., 2020). In addition, RNN layers cannot be stacked into a very 888 deep model because the saturated activation functions cause the gradient to decay over layers. 889 Consequently, future research could evaluate other types of deep learning networks (e.g., CNN) 890 or integrate two or more deep learning networks (e.g., CNN-LSTM) for awkward posture 891 recognition. 892

893

894 **7.** Conclusions

This research evaluates a novel approach of using deep learning-based networks and wearable insole sensor data to automatically recognize and classify different types of awkward working postures in construction, which may lead workers to develop WMSDs. Five different types of

898 awkward working postures (i.e., overhead working, squatting, stooping, semi-squatting, and onelegged kneeling) were conducted, and plantar pressure data were captured by using a wearable 899 insole pressure system. The classification performance of three RNN-based deep learning 900 models—LSTM, Bi-LSTM, and GRU— was evaluated using metrics such as accuracy, precision, 901 recall, specificity, and F1-score. The experimental results show that GRU model outperforms the 902 other RNN-based deep learning models with a high accuracy of 99.01% and F1-score between 903 93.19% and 99.39%. These results suggest that GRU model, widely applied for the classification 904 of time-series and sequential data, can be employed to learn sequential plantar pressure patterns 905 906 captured by a wearable insole system to recognize and classify different types of awkward working postures. The proposed approach will contribute to real-time wearable insole sensor computing by 907 deploying the performance of GRU model for awkward working posture recognition on 908 909 construction sites. In addition, it contributes to automated WMSDs risk recognition among construction workers by enabling safety managers to continuously monitor awkward working 910 postures, thus improving workers' safety and health conditions. To develop a detailed practical 911 guideline for this application, future research could integrate other types of wearable biosensors 912 (e.g., heart rate monitors) and deep learning networks (e.g., CNN) for vigorous recognition of 913 awkward working postures. 914

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916 Data availability statement

917 The datasets used in this study are available from the corresponding author upon request.

918

919 **Declaration of competing interest**

920 None

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