1	Numerical Simulation and Experimental Investigation of the
2	Effect of Three-Layer Annular Coaxial Shroud on Gas-
3	<b>Powder Flow in Laser Cladding</b>
4	Peijie Lv <sup>a</sup> , Ling Jin <sup>a</sup> , Binggong Yan <sup>a</sup> , Liang Zhu <sup>a</sup> , Jun Yao <sup>b</sup> , Kaiyong Jiang <sup>a,*</sup>
5	<sup>a</sup> Fujian Key Laboratory of Special Energy Manufacturing, Xiamen Key Laboratory of
6	Digital Vision Measurement, Huaqiao University, Xiamen 361021, China
7	<sup>b</sup> Department of Engineering, Design and Mathematics, University of the West of
8	England Bristol, UK
9	Abstract
10	Laser cladding is a notable metal additive manufacturing (AM). The outstanding
11	benefit of laser cladding is that the cladding process is more flexible and it can be
12	completed in an open environment. However, oxidation phenomenon of active metals
13	such as titanium alloys will unavoidably clad in the open environment. To solve this
14	problem, a three-layer annular coaxial shroud (TACS) has been designed using
15	computational approach and evaluated by experimental data. A four-stream nozzle of
16	gas-powder computational fluid dynamics (CFD) model was established by employing
17	Euler-Lagrange framework to analyze the powder feeding process. Simulation results
18	show that when the velocities of inner-layer gas of TACS and carrier gas are equal, the
19	powder stream exhibits the best concentration within molten pool area due to the
20	formation of the stable laminar powder stream. When the flared angle of outer-layer
21	gas equals 45°, the vortex toroidal flow moves away from the molten pool and the argon

<sup>\*</sup> Corresponding author. E-mail addresses: jiangky@hqu.edu.cn (K. Jiang).

fills in the entire cladding region to form a high-quality barrier. The optimized
parameters of TACS have been applied to the practical coaxial laser cladding of Ti-6Al4V (TC4). A single-track cladding sample with lower height to width ratio and lower
wetting angle can be observed due to the flattening of the shielding gas. The oxidation
is greatly reduced.

Key words: laser cladding, titanium alloys, numerical simulation, three-layer annular
coaxial shroud (TACS)

8 **1** Introduction

9 The laser-based metal additive manufacturing (AM) has been widely applied to 10 major industry such as automotive, aerospace, biomedical and shipbuilding [1-5]. Laser 11 cladding has the outstanding benefit of more flexible and can be completed in open 12 environment. Notably, the laser cladding is a sub-application of metal additive 13 manufacturing.

Laser cladding, a coaxial blown powder direct energy deposition (DED) process 14 15 which has the advantages of high thermal efficiency, low dilution and excellent metallurgical bonding [6], has been widely adopted for parts repairing, surface 16 modification and net or near net shaped components forming [7-9]. Since the high-17 temperature molten pool exposed to the open environment, the metals react with 18 atmosphere can hardly be avoided in cladding process. According to Paul et al. [10] and 19 Yamaguchi et al. [11], the atmosphere oxygen reacts with carbon in tungsten carbide-20 cobalt to create pores in cladding process. Park et al. [12] demonstrated that the 21 vanadium oxide generated at interface region was the main reason of crack and 22

delamination during cladding pure vanadium on titanium substrate. Of note, titanium
and titanium alloys, especially Ti-6Al-4V (TC4) as one of the most desirable materials
in aerospace, chemistry and medical field is extremely easy to pick-up interstitial
elements included oxygen, nitrogen and hydrogen during high temperature cladding
process [13]. Moreover, oxygen content, as the main element to distinguish different
grades in titanium alloys [14], should be highly concerned.

Ti-6Al-4V (TC4) as a typical  $\alpha$ + $\beta$  phase alloy has excellent corrosion resistance, 7 high specific strength and remarkable biocompatibility. It's nominal chemistry 8 composition (wt.%) is 5.5-6.8 Al, 3.5-4.5 V and balanced Ti. However, the Ti and Al in 9 Ti-6Al-4V are both affinity with oxygen, and oxygen as interstitial solution can 10 strengthen the lattice strains by resisting the dislocation motion, leading to 11 embrittlement [15]. Hryha et al. [16] demonstrated that the solubility of oxygen in  $\alpha$ -Ti 12 could reach to 33 at.% (atomic percent). Therefore, the oxygen from atmosphere should 13 be shielded as much as possible during cladding process. 14

15 A chamber filled with inert gas can be used to inhibit the interaction between the high temperature area and the atmosphere [17-20], but the size of the workpiece is 16 constrained by the size of the chamber. Therefore, more flexible shielding technology 17 such as coaxial shroud is a potential preferred solution using laser cladding [21]. 18 Bermingham et al. [22] used the semi-open coaxial trailing shroud in the additive 19 manufacturing of Ti-6Al-4V thin-wall parts, their method to use the shroud with 20 chamber-like structures could still not completely solve size limitation. Another type of 21 approach is to use coaxial shroud to attach it directly to the laser nozzle and then the 22

inert gas is employed to produce a gas curtain forming an inert atmosphere [23-25].
Harooni et al. [26] used a coaxial auxiliary shielding rig in laser cladding and their
results showed that the auxiliary argon gas had a dramatic effect on sample from
oxidation and embrittlement. Graf et al. [27] employed a trailing inert gas nozzle to
maintain the inert gas atmosphere to rebuild groove by Ti-6Al-4V powder. However,
the gas-powder coupling made it difficult to control the appropriate gas flow to ensure
the effectiveness of inert gas protection and the efficiency of powder feeding.

For laser cladding, coaxial powder feeding system is the most important 8 9 component [28, 29], essentially ensuring to form a uniform surface geometry [30, 31]. Because there are difficulties on accurately date collection via experimental 10 measurement process, the numerical simulations have been generally acknowledged as 11 12 an effective way [32-35]. Cortina et al. [25] and Li et al. [36] designed a single-layer annular coaxial shroud module for coaxial laser cladding, their method exhibits a 13 significant effect on both gas and powder concentrations but research still remains to 14 15 explore the process mechanism using this type of approach. Currently, the coaxial shrouds are mostly single-layer, and few applications [37] are employed using multi-16 layer structure. The multi-layer structure can effectively reduce the input gas velocity 17 and extend the protective area than the single-layer structure at the same input flowrate. 18 Therefore, it is worth to be developed. 19

In this paper, a three-layer annular coaxial shroud (TACS) of coaxial laser cladding was presented. To investigate the influence of gas flow on the powder stream and the relationship between the TACS structure and the behavior of shielding gas, a full-scale of 3D numerical gas-powder CFD model was developed under Euler-Lagrange
framework, using Fluent as a model developing platform. On account of the optimized
parameters obtained from numerical simulation, TACS was 3D printed and tested via
experimental process practically with cladding Ti-6Al-4V powder.

- 5 2 Theoretical Model of Gas-Powder Flow
- To investigate the distribution of the gas-powder flow in TACS, followingassumptions was considered in the simulation

8 1) The collision of particles is neglected since the volume fraction of powder in this

- 9 flow is close to dilute flow [38].
- 10 2) The process is considered as steady and incompressible.
- 11 3) The force due to drag, inertia and gravity are taken into account.
- 12 4) The velocity of the particle and gas is considered equal when the particles eject out
- 13 from the nozzle exit.
- 5) The two-way coupled discrete phase model is used to consider the effect of particleson powder stream.

16 6) The Rosin-Rammler [39] model is employed to describe the particle size distribution.

To determine the flow field is laminar or turbulent, the Reynolds number should be calculated. The velocity of central shielding gas is 3.82m/s with correspondent to the designed outlet diameter of 10mm by passing the empirical shielding flowrate of 18L/min. The density and viscosity of argon is shown in Table 1, and the Reynolds number is 2916.99 which is larger than critical value of 2300. Therefore, the standard  $k - \varepsilon$  turbulence model was applied for this research which was commonly used in 1 powder nozzle simulation as presented in literatures [30, 34, 35].

### 2 2.1 Continuous phase modeling

4

3 The governing equation of mass conservation is

$$\frac{\partial \left(\rho_f u_i\right)}{\partial x_i} = 0 \tag{1}$$

5 where ρ<sub>f</sub> is the density of local fluid, u<sub>i</sub> are the velocity components and x<sub>i</sub> is the
6 Cartesian coordinates.

7 The governing equation of momentum conservation is

$$8 \qquad \qquad \frac{\partial}{\partial x_i} \left( \rho_f u_i u_j \right) + \frac{\partial}{\partial x_i} \left( \rho_f \overline{u}_i^{'} \overline{u}_j^{'} \right) = -\frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[ \mu \left( \frac{\partial \overline{u}_i}{\partial x_j} + \frac{\partial \overline{u}_j}{\partial x_i} \right) \right] + \rho_f g_i + S_i \qquad (2)$$

9 where indices *i*, *j*=1,2,3 representing x, y and z in a Cartesian coordinate, *p* is the 10 pressure,  $\rho_f \overline{u}_i' \overline{u}_j'$  are the Reynolds stresses,  $g_i$  is the acceleration of gravity, and  $S_i$ 11 is the source term that represents the coupled momentum transport from particle phase 12 [40], it can be expressed as

13 
$$S_i = \sum_{j=1}^{n_c} \frac{3\mu C_D \operatorname{Re}_p}{4\rho_p D_p^2} \left(\overline{u}_{p,i} - \overline{u}_i\right) \dot{m}_{p,j} \Delta t_j$$
(3)

14 where  $\dot{m}_{p,j}$  is the particle mass flowrate for j-th trajectory passing through a cell,  $\Delta t_j$ 15 and  $n_c$  are the time step and the total number of particles that pass through the cell 16 during time step of  $\Delta t_j$ ,  $C_D$  is the drag coefficient,  $\operatorname{Re}_p$ ,  $D_p$  and  $\rho_p$  are the 17 flow Reynolds number, diameter and density of the particle,  $u_{p,i}$  is the velocity of the 18 particle in the i-th direction.

19  $\mu$  is the effective dynamic viscosity of the continuous phase which can be 20 represented by the sum of molecular (laminar) viscosity  $\mu_l$  and turbulence viscosity 21  $\mu_l$ 

$$\mu = \mu_l + \mu_t \tag{4}$$

2 
$$\mu_l = \frac{\rho_f V_{\infty} l}{\operatorname{Re}_f}$$
(5)

3 
$$\mu_t = \frac{C_\mu \rho_f k^2}{\varepsilon}$$
(6)

Where *l* is the characteristic length, C<sub>μ</sub> is a constant which has a default value of
0.09, *k* is the turbulence kinetic energy, ε is the turbulence dissipation rate, both
are defined in the two-equation k-ε model below.

7 The governing equation of turbulence kinetic energy is

8 
$$\frac{\partial}{\partial x_i} \left( \rho_f \overline{u}_j k \right) = \frac{\partial}{\partial x_j} \left( \frac{\mu_t}{\sigma_k} \frac{\partial k}{\partial x_j} \right) + G_k + G_b - \rho_f \varepsilon$$
(7)

9 The governing equation of turbulence dissipation rate is

10 
$$\frac{\partial}{\partial x_i} \left( \rho_f \overline{u}_j \varepsilon \right) = \frac{\partial}{\partial x_j} \left( \frac{\mu_t}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial x_j} \right) + C_1 \frac{\varepsilon}{k} \left( G_k + G_b \right) - C_2 \rho_f \frac{\varepsilon^2}{k}$$
(8)

11 
$$G_{k} = \mu_{t} \frac{\partial \overline{u}_{i}}{\partial x_{j}} \left( \frac{\partial \overline{u}_{i}}{\partial x_{j}} + \frac{\partial \overline{u}_{j}}{\partial x_{i}} \right)$$
(9)

12 
$$G_b = -g_i \frac{\mu_t}{\rho_f \operatorname{Pr}_t} \frac{\partial \rho_f}{\partial x_i}$$
(10)

13 where  $G_k$  is the rate of production of turbulence kinetic energy due to the mean 14 velocity gradients,  $G_b$  is the generation of turbulence kinetic energy due to buoyancy 15 effect,  $\Pr_t$  is the turbulence Prandtl number, and the coefficients of  $C_1$ ,  $C_2$ ,  $\sigma_k$ 16 and  $\sigma_{\varepsilon}$  are prescribed as empirical constants, with correspondent default values at 17  $C_1 = 1.44$ ,  $C_2 = 1.92$ ,  $\sigma_k = 1.00$  and  $\sigma_{\varepsilon} = 1.30$ , respectively.

### 18 *2.2 Discrete phase modeling*

19 The equation that governs the movement of a particle with density  $\rho_P$  and

1 diameter  $d_p$  in the gas stream is

2

9

$$\frac{du_{p,i}}{dt} = F_D\left(u_i - u_{p,i}\right) + \frac{\left(\rho_P - \rho_f\right)}{\rho_P}g_i + F_i$$
(11)

where u<sub>i</sub> is the gas velocity, u<sub>p,i</sub> is the velocity of the particle, the first term F<sub>D</sub> on
the equation right hand represents the drag force from gas phase acting on each particle,
which can be expressed as

$$F_D = \frac{18\mu}{\rho_p d_p^2} \frac{C_D \operatorname{Re}_p}{24}$$
(12)

7 where Re<sub>p</sub> is the so-called particle Reynolds number also known as relative Reynolds
8 number which can be defined by Eq. (13):

$$\operatorname{Re}_{p} = \frac{\rho_{f} d_{p} \left| u_{i} - u_{p,i} \right|}{\mu}$$
(13)

10  $C_D$  is the drag coefficient expressed by following equation:

11 
$$C_D = a_1 + \frac{a_2}{\text{Re}_p} + \frac{a_3}{\text{Re}_p^2}$$
 (14)

12 where  $a_1$ ,  $a_2$  and  $a_3$  are empirical constants which change with the Re<sub>p</sub> [41].

13 The velocity of the particle can be solved by coupling with kinematic equation as below:

14 
$$\frac{dx_i}{dt} = u_{p,i} \tag{15}$$

15 Rosin-Rammler model has been used to describe the distribution of particle size written

16 as

17 
$$Y_d = e^{-(d_p/\bar{d}_p)^n}$$
 (16)

18 where  $Y_d$  is the mass fraction of the particles with a diameter greater than  $d_p$ ,  $\overline{d}_p$  is

19 the mean diameter and n is the size distribution parameter.

20 2.3 Species transportation

The species transportation equation can be expressed as

1

$$\frac{\partial}{\partial t} \left( \rho_f Y_x \right) + \nabla \cdot \left( \rho_f u_i Y_x \right) = -\nabla \cdot J_{x,i} \tag{17}$$

where  $Y_x$  is the local mass fraction of species x, and it stands for the mass fractions of argon and oxygen in this study. Since the mass fraction of species must be summed to unity, the mass fraction of nitrogen will be determined by unity to subtract the sum of the argon and oxygen mass fraction. In turbulent flows, the diffusion flux  $J_i$  of species x can be written as

8 
$$J_{x,i} = -\left(\rho_f D_{x,m} + \frac{\mu_i}{S_{Ct}}\right) \nabla Y_x - D_{x,T} \frac{\nabla T}{T}$$
(18)

9 where  $S_{Ct}$  is the turbulence Schmidt number with a value of 0.7.  $\mu_t$  is the turbulence 10 viscosity.  $D_{x,m}$  is the mass diffusion coefficient for species x in the mixture, which is 11 taken 1.89e-5m<sup>2</sup>/s for argon in air [42].  $D_{x,T}$  is the thermal diffusion coefficient, the 12 pure argon is taken 0.0177W/m-k [43] and the air included oxygen and nitrogen is taken 13 0.0242W/m-k, respectively, and the mixture zone is calculated by  $D_{M,T} = \sum_{x} Y_x \cdot D_{x,T}$ .

## 14 **3** Experimental and Simulation Condition

#### 15 *3.1 Laser cladding equipment and material*

The laser cladding setup includes the semiconductor laser (LDM-2500, LaserLine, German), the 6-axis robot (IRB4600, ABB, Switzerland), the consecutive-synchronous powder feeder (RC-PGF-D-2, Nanjing Zhongke Raycham Laser Technology Co., Ltd.) and the coaxial nozzle with four-stream and central shielding port, as seen in Figure 1(a). Argon was used as the carrier and central shielding gas. The properties of TC4 powder, argon and air are shown in Table 1. Scanning electron microscope (SEM, JSM- IT500LA) was used to observe the morphology of TC4 powder. The high-resolution 3D
 optical profiler (KEYENCE, VR5000) was used for cladded sample geometry
 measurement.



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Figure 1. (a) Schematic of equipment and (b) the morphology of TC4 powder.

Material	Density/(kg/m <sup>3</sup> )	Size/(µm)	Viscosity/(kg/m-s)	Oxygen/(%)
TC4	4510	53-150	/	/
Argon	1.6228	/	2.125e-5	0
Air	1.225	/	1.7894e-5	21

**Table 1.** Physical properties of powder and fluid.

The distribution size of TC4 powder is in range of 53-150µm. The flowrate of the
powder carrier gas is 10L/min and the central shielding gas is 18L/min. The total
flowrate of shielding gas supplied to the TACS is set at 10L/min, 20L/min, 30L/min,
40L/min and 50L/min. A CCD camera (DMK 33UX174, IMAGING, German) was
used to determine the velocity of particles according to the following equations,

$$v_p = \frac{d_v}{0.001 \cdot \cos\phi} \tag{19}$$

where v<sub>p</sub> is the velocity of the particle (m/s), 0.001 is the exposure time of the camera
(s), d<sub>v</sub> is the particle moving distance within 0.001s in the direction of axis of TACS,
\$\phi\$ is the angle between TACS axis and particle moving direction.

### 1 *3.2 Schlieren setup*

For observing the spatial shape of argon flow, a self-developed double-pass schlieren device was used in the experiment. The optical diagram of the schlieren system is presented in Figure 2. Light is emitted from the light source at the focal plane of concave mirror. The light propagation is deflected by different gas densities with different refraction index gradients [44], and the knift located at focal plane is used to shielding parts of light. Finally, the camera is employed to capture different densities of gas in investigation zone using different contrast brightness.



9

Figure 2. (a) The scheme of schlieren system. 1 – camera; 2 – light source; 3 – Foucault knife; 4 –
 concave mirror; 5 – investigation zone. (b) Photo of experiments setup.

12 *3.3 Structure of TACS* 

The feature of three-layer annular coaxial shroud (TACS) can be seen in Figure 3. Three annular gas channels, namely inner-layer, middle-layer and outer-layer gas channels are positioned to surround the coaxial powder feeding channel. The shielding gas enters the TACS through gas inlet that is distributed over horizontal circumferential interval and it admixes in the mixing channel, leading to a uniform outlet gas. The injection direction of annular inner layer shielding gas is parallel to the powder tube at angles, i.e.,  $\alpha=23^{\circ}$ , which is intended to assist the powder feeding. The injection direction of the annular middle-layer shielding gas is parallel to the coaxial nozzle to form a gas curtain to prevent air intrusion, and the injection direction of the annular outer-layer shielding gas is flared at an angle  $\beta$  to drive away the air. The candidate values of  $\beta$  are 5°, 15°, 25°, 35°, 45°, 55°.



6 7

Figure 3. The diagram of assembly cross-section of the TACS.



## 8 4 Results and Discussion

### 9 *4.1 Model validation*

In order to give consideration of calculation accuracy and efficiency, the grid 10 11 independent study was carried out first. A representative computational grid feature is The showed in Figure 4. cylindrical computational domain drawn by 12  $\phi$  50mm × L 40mm is used for mesh convergence study. The green and blue parts are 13 representing central shielding gas and wall respectively, as seen Figure 4(a). The 14 tetrahedral element was adopted to all computational region because the complex 15 geometry feature of the inlet. The grid independent study was done by using coarse, 16 17 medium and fine mesh volumes, as seen in Table 2. Figure 5 shows that both medium and fine meshes produce consistent data trend of velocity along nozzle axis, indicating 18

the grid convergence can be achieved under fine grid. Figure 4(b) shows computational
domain of mesh including the inlet section of TACS configuration. The computational
domain is drawn by φ 100mm × L 20mm, and the total number of fine mesh volume is
886264. The red, yellow and dark blue parts represent the place inner-, middle- and
outer-layer gas outlet of TACS, respectively.



Coarse	272612	293	22
Medium	454687	478	40
Fine	864596	1110	85



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14 Item 1. In the diffusion zone, the powder particles tend to diffusion under the effect of

gas diffusion and gravity. The four powder streams meet in the convergence zone with 1 the powder concentration increasing by increasing the powder feeding rate, and the 2 3 same trends are also evidenced by the simulation results as shown in Figure 6(b). Moreover, when the powder feeding rate is taken at 1.26e-2kg/min, an approximately 4 cylindrical distribution of the powder concentration is favorable for the powder to enter 5 6 the molten pool evenly as referred by Figure 6(c). The Figure 6(d) compares the powder stream profile for experimental and simulation results at different powder feeding rate. 7 The experimental date and simulation results have consistently shown that the focal 8 distance is about 16.5mm under the exit of nozzle, which incident that the focal distance 9 is slightly influenced by the powder feed rate [45]. The simulation results are in good 10 agreement with experiment measurement. 11



Figure 6. Powder streams convergence effect under different powder feeding rate. From item 1 to
item 3, the powder feeding rates are 8e-3kg/min, 1.26e-2kg/min and 1.62e-2kg/min respectively.
(a) Captured photos of powder streams; (b) Simulation results of powder concentration; (c)
Simulation results of concentration distribution on focal plane. (d) Comparison of experimental
and simulation results of powder stream profile by post-processing at different powder feeding
rate. *4.2 Comparative analysis on gas flow field with or without TACS*

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9 For higher powder utilization, the substrate surface is set at the focal plane. When

the argon is injected form the nozzle and shroud, the surrounding argon will 1 immediately come into contact with the air, diffusing under concentration difference 2 3 while driving the air to move together under the effect by gas viscosity characteristics. According to the law of conservation of momentum, the velocity of surrounding argon 4 will reduce. When the argon arrived the substrate, it spread out and has a tendency to 5 move in the opposition direction. Moreover, the velocity difference between outside 6 and inside of argon (the current relative position is above and below, respectively) make 7 the flow revolves around a curved line under the gas viscosity characteristics to form 8 9 the vortex toroidal flow. The Figure 7 shows the schlieren visualization of without and with TACS, from which it can be seen that the vortex torodial flow is moved away in 10 the presence of TACS. 11



13 Figure 7. The schlieren visualization of vortex toroidal flow: (a) without TACS, (b) with TACS. Figure 8 displays the simulation results of velocity field, argon distribution and 14 15 powder stream of without or with TACS. The numerical simulation as shown in Figure 8(a) and (b) well reflect the phenomenon explored in Figure 7. Figure 8(c) demonstrates 16 the phenomenon that the vortex toroidal flow draws air near the molten pool, diluting 17 the concentration of argon. Then, when the proposed self-developed TACS is attached 18 19 to the coaxial powder feeding system, a recirculation region has appeared between powder stream and inner-layer shielding gas appears (see Figure 8(b)). However, the 20

1 TACS can still contribute to form a more uniform inert gas phase near the molten pool 2 despite the recirculation region appears (see Figure 8(d)). Therefore, the question about 3 whether the stable and dense inert gas shield can be maintained strongly depends on the 4 position of vortex toroidal flow. This influence due to vortex toroidal flow is discussed 5 in literature [46]. Comparing the powder streams results without and with TACS, the 6 higher aggregation of powder streams on the focal plane with TACS. This means that 7 more powders have higher chance of falling into the molten pool using TACS.



Figure 8. The simulation results of side section of velocity field, argon distribution and powder
 streams: (a), (c), (e) without TACS; (b), (d), (f) with TACS.

## 3 *4.3 The effect of the injection gas flowrate*

To study the effect of shielding gas with TACS, the injection velocity at the entry of each channel corresponding to different gas flowrate supplied to TACS (see Table 3) is employed in simulation. Powder feeding rate is 1.26e-2kg/min, and the gas injection direction of the outer-layer is fixed at  $\beta$ =5°. The average velocity of the particles used in simulation is 5.4m/s which is determined by Eq. (19) with data taken via CCD measurement.

10 Table 3. The shielding gas velocity of inner-, middle- and outer-layer gas outlet under different

4	4	
1	1	
_	_	

shielding gas flowrate.

Shielding gas flowrate supplied to TACS(L/min)	10	20	30	40	50
Velocity of Inner-layer(m/s)	1.81	3.63	5.44	7.26	9.07
Velocity of Middle-layer(m/s)	1.51	3.03	4.54	6.06	7.57
Velocity of Outer-layer(m/s)	1.36	2.72	4.09	5.45	6.81

12	The stable gas curtain is not appeared when the gas flowrate is less than 20L/min
13	(see Figure 9(a) and (b)). When the gas flowrate increases to 30L/min, and moreover,
14	the velocities of inner-layer gas and powder carrier gas are nearly equal, the
15	recirculation region and the stable gas curtain began to appear (see Figure 9(c)).
16	Meanwhile, the vortex toroidal flow moves away from the molten pool. Increasing the
17	gas flowrate to 40L/min (see Figure 9(d)) and 50L/min (see Figure 9(e)), respectively,
18	the characteristics of flow field are similar to that explored in Figure 9c.



Figure 9. The simulation results of side section of velocity field under different TACS gas
flowrate: (a) 10L/min, (b) 20L/min, (c) 30L/min, (d) 40L/min and (e) 50L/min.

1

Figure 10(a)-(e) show the simulation results of the concentration distributions of 4 the powder particles under different shielding gas flowrate of TACS. The red box area 5 6 is the position of molten pool. With the shielding gas flowrate increases from 10L/min to 30L/min, the powder particles concentration in the molten pool area increases. 7 However, when the shielding gas flowrate reaches 40L/min and 50L/min respectively, 8 the powder concentration of molten pool area decreases significantly compared to that 9 at 30L/min. The principle of shielding gas affecting on the powder streams depicts a 10 suitable shielding gas flowrate can enhance the laminar transport of carrier gas that 11

would promote powder aggregation at molten pool area to increase the powder
utilization. Nevertheless, the stronger shielding gas flow destroys the powder laminar
transportation of carrier gas as high-speed gas flow can lead to lower pressure to cause
some particles flying away from molten pool. Therefore, the excessive shielding gas
will reduce the powder utilization.



Figure 10. Simulation results of concentration of TC4 powder distributions in the center section of
Y-Z plane under different gas flowrate of TACS: (a) 10L/min, (b) 20L/min, (c) 30L/min, (d)

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40L/min and (e) 50L/min.

10 *4.4 The effect of the outer-layer injection direction* 

From data analysis and discussion above, it was known that better protection and powder convergence could be obtained when the velocity of inner-layer gas was equal to the velocity of carrier gas corresponding to shielding gas flowrate of TACS at 30L/min. To further explore the protectiveness, the different flared angle of  $\beta=5^{\circ}$ , 15°, 25°, 35°, 45° and 55° were used for simulation by keeping flowrate unchanged.

16 The simulation results of velocity field and argon distribution with different  $\beta$  are

showed in Figure 11 and Figure 12 respectively. The vortex toroidal flow is seen to 1 have always kept away from the molten pool. When  $\beta=45^{\circ}$ , the vortex toroidal flow 2 3 becomes relative smaller because it is "squeezed" directly by the outer layer shielding gas. A better uniform argon gas phase is then obtained (see Figure 11(e)). By comparion 4 5 of CFD results given by Figure 11(a)-(e) and Figure 12(a)-(e), the argon distribution in 6 the recirculation region between inner layer shielding gas and carrier gas is kept almost unchanged. When  $\beta=55^{\circ}$ , the flow dominated by two-vortex appears in the recirculation 7 region between outer layer and middle layer shielding gas (see Figure 11(f)), and the 8 9 two-vortex flow destroys the uniform distribution of argon due to turbulence effect upon energy dissipation, resulting in poorer protection effect (see Figure 12(f)). 10



 $\beta$ : (a) 5°, (b) 15°, (c) 25°, (d) 35°, (e) 45° and (f) 55°.



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**Figure 12.** The simulation results of side section of argon distribution under different TACS flared angle  $\beta$ : (a) 5°, (b) 15°, (c) 25°, (d) 35°, (e) 45° and (f) 55°.

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6 4.5 Practical laser cladding test with TACS
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Based on the simulation results, TACS was 3D printed at flare angle (β) of 45°.
Practical test using laser cladding TC4 with TACS under 30L/min was carried out.
According to the discussion of reference [47, 48], the color of titanium alloy oxide layer
is associated with the light interference phenomena at the metal-oxide-air interfaces
caused by different film thickness. The cladding results (see Figure 13(a)) show that a

1	uniform ivory white oxide film is covered on sample 1 with the usage of TACS, while
2	a fragile brown oxide film is captured on the surface of sample 2 without TACS. This
3	has confirmed that TACS can effectively protect the cladding area from oxidation.
4	Figure 13(b) illustrates the Vickers hardness ( $HV_{0.2}$ ) of track and substrate sections of
5	sample 1 and 2 at 0.2kg loading. As we can see the track hardness of sample 1 is lower
6	than sample 2 from top to bottom because the oxygen is effectively shielded during
7	cladding process. However, the track hardness of sample 1 is still slightly higher than
8	substrate due to thermal stress generated during processing. The further test by
9	employing 3D optical profiler shows that the lower wetting angle decreasing from $64.05^{\circ}$
10	to 60.7° can be obtained with the decrease of height to width ration from
11	1.946/3.871=0.502 to 1.838/4.837=0.380. The decrease of wetting angle along with
12	height to width ratio can be attributed to the shielding gas simultaneously flattening the
13	molten pool surface, as seen in Figure 14. Table 4 provides the volumes of cladding
14	sample under different shielding gas flowrate at the same powder feeding rate. When
15	the shielding gas flowrate is taken at 30L/min, the sample volume reaches the maximum
16	of 568.584mm <sup>3</sup> with 10.37% increase of proportion, indicating the powder utilization
17	is the highest. Conclusively, the test measurement is consistent with the simulation
18	results.



**5** Conclusions

In this paper, an Euler-Lagrange based numerical simulation model for four-stream 1 powder feeding process was simulated and validated. The effect of the three-layer 2 3 annular coaxial shroud (TACS) during laser cladding is investigated. The gas flowrate and the structure of TACS were identified as the key influencing factors to predict the 4 velocity field, powder concentration and argon distribution via simulation. Moreover, 5 the optimized parameters were applied to laser cladding experiments. Following 6 conclusions can be drawn from the study: 7 1) The focus distance of powder streams is about 16.5mm under different powder 8 9 feeding rates and the experimental and simulation results agree well. Furthermore, an approximately cylindrical powder concentration distribution at focal plane is obtained 10 when the powder feeding rate is at 1.26e-2kg/min. 11 2) The simulation and schlieren test results show that the vortex toroidal flow has 12 appeared. Moreover, the vortex toroidal flow will draw atmosphere air into argon region, 13

14 and the use of TACS can effectively move away the vortex toroidal flow.

15 3) When the velocity of inner-layer of TACS is equal to the velocity of carrier gas, the 16 powder streams show good convergence at the molten pool area. The flared angle  $\beta$  of 17 outer-layer shielding gas has significantly effect on argon distribution, the optimized 18 flared angle of outer-layer is  $\beta$ =45°.

4) The single cladding sample surface color and section hardness test has confirmed
that TACS can effectively protect the cladding area from oxidation. The lower height
to width ratio (0.502 to 0.380), lower wetting angle (64.05° to 60.7°) and higher powder
utilization (maximum 10.37%) depend on the usage of TACS or not.

# 1 CRediT Authorship Contribution Statement

2	Peijie Lv: Conceptualization, Methodology, Validation, Visualization, Formal
3	analysis, Software, Investigation, Data curation, Writing – original draft. Ling Jin:
4	Investigation, Data curation. Binggong Yan: Conceptualization, Resources. Liang Zhu:
5	Investigation, Validation. Jun Yao: Conceptualization, Supervision. Kaiyong Jiang:
6	Conceptualization, Supervision, Resources, Funding acquisition.
7	Declaration of Competing Interest
8	The authors declare that they have no known competing financial interests or
9	personal relationships that could have appeared to influence the work reported in this
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15	Reference
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