

1 **Understanding the influence of slope on the threshold of coarse** 2 **grain motion: revisiting critical stream power**

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10

11 **Abstract**

12 This paper investigates the slope-dependent variation in critical mean bed shear stress for coarse grain motion,
13 and evaluates stream power per unit bed area as an alternative threshold parameter. Explanations for observed
14 slope-dependency and existing approaches for predicting the critical stream power per unit bed area are
15 reviewed. An analysis of secondary bed-load transport datasets is used to examine the strength of associations
16 between stream power per unit bed area, mean bed shear stress and mean velocity, with bed-load transport rate.
17 Data from an original flume study are combined with secondary data from similar flume experiments to
18 investigate the effect of slope on both critical stream power per unit bed area and critical mean bed shear stress.
19 Results suggest that stream power per unit bed area is most closely correlated with bed-load transport rate, and
20 also that critical stream power per unit bed area is less variable with slope than critical mean bed shear stress.
21 Alternative solutions to approximating critical stream power are explored. These include: (1) modifying existing
22 expressions for critical stream power to account for higher critical mean bed shear stresses at higher slopes, and
23 (2) applying a constant dimensionless critical stream power criterion.

24

25 *Keywords:* critical; threshold; initiation of motion; stream power; shear stress; Shields; sediment transport;
26 erosion; river

27

28 1. Introduction

29 Despite more than 150 years of research into the mechanics of sediment motion in open channels, both the
30 threshold for the initiation of sediment transport and the prediction of transport rates remain active, and
31 somewhat inconclusive, subjects of research (Simons and Senturk, 1992). Historically, two parameters have
32 dominated definitions of the flow responsible for the initiation of grain motion: near-bed velocity (notably
33 following the work of Hjulström, 1935), and bed shear stress (following the work of du Boys, 1879). While most
34 pioneering researchers interested in the threshold of bed-material entrainment recognised the physical
35 importance of a critical near-bed velocity, the difficulties in defining a constant reference height above the bed at
36 which “near-bed” velocity could be measured quickly resulted in alternative measures of bed shear stress
37 becoming the more popular approach. Owing again to the practicalities of measurement and application, bed
38 shear stress was most commonly represented by a mean value, averaged over the width of the channel, so that:

39

$$40 \tau = \rho_w \cdot g \cdot d \cdot S \quad (1)$$

41

42 where τ is the mean bed shear stress in kg/m s^2 ; ρ_w is the density of water in kg/m^3 ; g is the gravitational
43 acceleration in m/s^2 ; d is the mean flow depth in m; and S is the bed, water surface, or energy gradient (where
44 S , or $\tan \beta$, is assumed to be equivalent to $\sin \beta$, where β is the slope angle and is small enough to allow this
45 small angle approximation). Shields (1936) recognised a joint dependence of critical mean bed shear stress for
46 the initiation of motion on particle size and bed roughness; and also, that this could be expressed as a function of
47 the grain size:

48

$$49 \tau_{ci} = \theta_{ci} \cdot \rho_s \cdot (\rho_s - \rho_w) \cdot g \cdot d \quad (2)$$

50

51 in relation to the shear velocity and the thickness of the laminar sub-layer using the grain Reynolds number:

52

53
$$R_* = \frac{u_* \cdot d}{\nu} \tag{3}$$

54

55 where τ_c is the critical mean bed shear stress in kg/m s²; θ_{ci} is a dimensionless shear stress criterion for a
56 specified grain size and varies with R_* ; D_i is the diameter of the specified grain being entrained in m; ρ_s is the
57 density of the sediment material in kg/m³; R_* is the dimensionless grain Reynolds number; u_* is the shear
58 velocity in m/s; and ν is the kinematic viscosity of the water in m²/s. Shields (1936) demonstrated that the θ_{ci}
59 of near-uniform grains varies with R_* and hypothesized that θ_{ci} attains a constant value of about 0.06 above
60 $R_* = 489$.

61

62 Shields' application of mean bed shear stress to the problem of incipient motion has since formed the foundation
63 for the majority of subsequent studies into the subject. For example, notable work on the influences of hiding
64 (Andrews and Parker, 1987), and proportion of fines content (Wilcock and Crowe, 2003) favours mean bed
65 shear stress (and Shield's approach) as the parameter associated with the initiation of bed material motion.
66 Nevertheless, despite its popularity, there have been several studies which reveal considerable scatter around the
67 relationship between Shields' criterion and the grain Reynolds number (Buffington and Montgomery, 1997;
68 Shvidchenko and Pender, 2000; Lamb et al., 2008), and which suggest a possible dependence upon other factors,
69 notably slope.

70

71 As an alternative to mean bed shear stress, stream power per unit bed area has been described as a conceptually,
72 pragmatically, and empirically attractive means of predicting sediment transport rate (Bagnold, 1966; Gomez
73 and Church, 1989; Ferguson, 2005). Yet, despite this, both Petit et al. (2005) and Ferguson (2005) identified that
74 practitioners and academic researchers have paid "a lack of attention to the specification of the (stream power)
75 threshold" (Ferguson, 2005: 34). Following Bagnold's original work, little sustained research has aimed to
76 define the threshold stream power necessary for sediment transport other than some empirical studies performed

77 in coarse bed streams (Costa, 1983; Williams, 1983; Petit et al., 2005) and the theoretical treatment by Ferguson
78 (2005).

79

80 The purpose of this paper is to improve understanding of how and why critical mean bed shear stress varies with
81 channel slope, and evaluate stream power per unit bed area as a more consistent parameter for predicting the
82 initiation of bed material motion. This paper first reviews explanations for a slope dependency in critical stress,
83 and existing approaches for predicting the critical stream power per unit bed area. Available bed-load transport
84 datasets are used to examine the strength of association between stream power per unit bed area, mean bed shear
85 stress and mean velocity with bed-load transport rate. Results from a new flume study are then combined with
86 data from similar flume experiments to investigate the effect that slope has on critical stream power and mean
87 bed shear stress. The results suggest that stream power per unit bed area is most closely correlated with bed-load
88 transport rate, and also that critical stream power per unit bed area is less variable with slope than critical mean
89 bed shear stress. Alternative solutions to approximating critical stream power are then explored. These include:
90 (1) modifying existing expressions for critical stream power to account for higher critical shear stresses at higher
91 slopes, and (2) applying a constant dimensionless critical stream power criterion.

92

93 **2. Review**

94 **2.1 Variability in critical mean bed shear stress**

95 Existing datasets indicate that, for a given grain size and mean bed shear stress, there is at least a threefold
96 range in θ_{ci} (Buffington and Montgomery, 1997). This variation is detrimental to sediment transport studies
97 because uncertainties in the estimation of θ_{ci} may lead to large errors in computed transport rate as
98 entrainment is generally considered to be a nonlinear function of flow strength (Bagnold, 1966; Wilcock and
99 Crowe, 2003; Gomez, 2006). A number of different causes for the variation in θ_{ci} have been identified.
100 Some studies have identified that critical mean bed shear stress increases as a result of bed surface structures
101 and channel morphology (Church et al., 1998). Others have demonstrated that the choice of measurement
102 method can have a significant impact on the resultant θ_{ci} (Buffington and Montgomery, 1997), but in

103 addition, a number of studies have highlighted that variation in channel gradient has an influence over the
104 mean bed shear stress at which sediment is entrained (Ashida and Bayazit, 1973; Bathurst et al., 1987; Graf,
105 1991; Shvidchenko and Pender, 2000; Shvidchenko et al., 2001; Mueller et al., 2005; Lamb et al., 2008). It
106 is the findings of this final group of studies that form the focus of this paper.

107

108 Using a threshold for the initiation of motion based on the probability for sediment entrainment,
109 Shvidchenko and Pender (2000) employed flume data to study the effect of channel slope on the incipient
110 motion of uniform bed material. In a subsequent paper, Shvidchenko et al. (2001) performed similar
111 experiments with graded bed material. Both sets of experiments demonstrated that higher mean bed shear
112 stresses were necessary to reach a critical transport rate at higher slopes. Investigating the same problem
113 using field data, Mueller et al. (2005) examined variations in the mean bed shear stress at the threshold of
114 motion for 45 gravel-bed streams and rivers in the western United States and Canada. Applying a reference
115 sediment transport threshold in a manner similar to that applied by Shvidchenko et al. (2001), they focused
116 on differences in θ_{ci} associated with changes in channel gradient and relative submergence, and again found
117 that values of θ_{ci} increased systematically with channel gradient.

118

119 Numerous other studies have highlighted the elevated critical mean bed shear stress values in steep channels
120 that are generally found toward the headwaters of natural streams (Ashida and Bayazit, 1973; Bathurst et al.,
121 1983; Bathurst et al., 1987; Petit et al., 2005). A number of factors have been attributed to causing the
122 positive correlation between high channel slopes and higher θ_{ci} values (Lamb et al., 2008). Stabilising bed
123 structures that result from the interlocking of bed particles are undoubtedly responsible for increasing the
124 threshold of motion toward steeper stream headwaters (Church et al., 1998). Similarly, hiding effects are
125 also more active in steeper, headwater streams because of the increased size of the largest particles on the
126 bed acting to shield the remaining grains from the force of the water. Also, increased channel form
127 roughness in steeper streams is thought to reduce the shear stress available for sediment transport because of
128 greater fluid drag on the channel boundary (Petit et al., 2005). Finally, Wittler and Abt (1995) claimed that

129 the apparent relationship between slope and critical shear stress is due to inaccurate representation of the
130 weight of the water when the flow in rivers is turbulent and aerated at high slopes. Under such conditions,
131 fluid density is lower than generally represented in shear stress calculations. However, Lamb et al. (2008)
132 suggest that other factors, including slope's influence on relative roughness and flow resistance, are
133 responsible for the correlation between channel slope and critical shear stress.

134

135 **2.2 The role of stream power per unit bed area in sediment transport**

136 Stream power per unit bed area was defined by Bagnold (1966) using:

$$137$$
$$138 \quad \omega = \frac{\rho_w \cdot g \cdot Q \cdot S}{w} = \tau \cdot U \quad (4)$$

139

140 where ω is stream power per unit bed area in N/m s; Q is the total discharge in m³/s; w is the width of the
141 flow in m; and U is the depth-averaged velocity in m/s. In this form, ω quantifies the rate of loss of
142 potential energy as water in a river flows downslope. Bagnold therefore argued that it should represent the
143 rate of energy potentially available to perform geomorphic work, with the river acting as a sediment
144 transporting machine, of varying efficiency. Most importantly, Bagnold suggested that the rate of work done
145 in transporting sediment is equal to the available power beyond a threshold value multiplied by the
146 efficiency with which energy is used in transporting sediment:

$$147$$
$$148 \quad i_b = e_b (\omega - \omega_c) \quad (5)$$

149

150 where i_b is the rate of work done in transporting sediment in N/m s; e_b is the efficiency of the river as a
151 sediment transporting machine; and ω_c is the stream power per unit bed area associated with the initiation
152 of motion in N/m s. This line of reasoning has a long provenance (Clifford, 2008): Seddon (1896) first
153 formalised a relation between the rate of energy expenditure, the debris-carrying capacity of the stream and

154 the channel morphology, and his research was followed by a number of other researchers (Shaler, 1899;
155 Gilbert, 1914; Cook, 1935; Rubey, 1938).

156

157 Unlike near-bed velocity and mean bed shear stress, stream power can be approximated from gross channel
158 properties (width and slope), combined with the discharge provided by the catchment. Channel width and
159 average channel slope may be obtained from remotely sensed data, and discharge can be estimated through a
160 combination of known flow gauge data and drainage basin characteristics, even for entire catchments
161 (Barker et al., 2008). Thus, stream power has a considerable practical advantage over locally variable
162 parameters such as velocity and mean bed shear stress which require direct measurements of channel flow
163 properties.

164

165 Bagnold's (1966) stream power criterion generally performs strongly in comparative tests using empirical
166 data. Gomez and Church (1989), for example, found that, although no formula predicted sediment transport
167 rates consistently well, formulae based upon stream power were the most appropriate as stream power has a
168 more straightforward correlation with sediment transport than any other parameter. Notwithstanding this
169 predictive success, stream power has not been universally popular in sediment transport studies, and there is
170 some confusion over its derivation and application. In Bagnold's (1966) paper, gravitational acceleration
171 (g) is included in his expression for stream power (Eq. 4), whereas in his later papers Bagnold (1980)
172 omitted g in order to achieve dimensional similarity. Because sediment transport rate is commonly given as
173 a mass of sediment over time per unit channel width (kg/m s), removal of g enables stream power per unit
174 bed area to be expressed in similar units. In this paper, because the theoretically correct units for stream
175 power per unit bed area are N/m s (or W/m^2), stream power is compared against sediment transport rate
176 reported in terms of weight of sediment over time per unit channel width (N/m s or W/m^2) rather than mass
177 of sediment over time.

178

179 **2.3 Existing approximations of critical stream power**

180 Bagnold (1980) recognised that the necessary threshold value for stream power is not directly measurable in
 181 natural rivers. Instead, he suggested it must be predicted using a modal bed material grain size (D_{mod}) and
 182 channel flow variables. Based on Eq. 4, he derived critical power using $\omega_c = \tau_c \cdot U_c$, where U_c is the
 183 depth-averaged velocity at the threshold of motion. Bagnold defined τ_c using Shields' expression in Eq. 2,
 184 assuming θ_c to have a constant value of 0.04. He then defined U_c based on τ_c and a logarithmic flow
 185 resistance equation:

$$187 \quad U_c = 5.75 \cdot \log\left(\frac{12 \cdot d_c}{D_b}\right) \cdot \sqrt{\frac{\tau_c}{\rho_w}} \quad (6)$$

188
 189 As a result, in combination with Eq. 2, Bagnold (1980) expressed critical stream power per unit bed area as:

$$191 \quad \omega_c = \tau_c \cdot \left\{ 5.75 \cdot \log\left(\frac{12 \cdot d_c}{D}\right) \cdot \sqrt{\frac{\tau_c}{\rho_w}} \right\} = 2860.5^* \cdot D^{1.5} \cdot \log\left(\frac{12 \cdot d_c}{D}\right) \quad (7)$$

192 **Bagnold actually gave 290 instead of 2860.5 as the coefficient in his 1980 paper. Like Ferguson (2005),*
 193 *we assume that Bagnold divided stream power by gravitational acceleration to achieve dimensional*
 194 *similitude with sediment transport rate by mass.*

195
 196 where d_c is the depth of flow at the threshold of motion; θ_c is assumed to have a value of 0.04; ρ_s is
 197 assumed to have a value of 2600 kg/m³; ρ_w is assumed to have a value of 1000 kg/m³; and g is assumed to
 198 have a value of 9.81 m/s². Bagnold did not differentiate between the grain diameter used to represent bed
 199 material roughness (D_b - Eq. 6) and the grain diameter representative of the bed-load entrained (D_i - Eq.
 200 2). Instead, he applied the modal bed material diameter (D_{mod}) to both.

201

202 A number of limitations with Bagnold's (1980) expression for critical stream power (Eq. 7) have been
203 identified. The first, and perhaps most significant, is that it is too complex for practical application given that
204 it requires the flow depth at the threshold of motion (Petit et al., 2005). This requires not only knowledge of
205 local flow properties, but also application of an iterative procedure to determine the critical flow depth in
206 question. This limitation is especially relevant, as one of the key advantages of using stream power per unit
207 bed area in sediment transport applications is its independence from local flow properties.

208
209 Partly as a result of this limitation, Petit et al. (2005) set out to determine a relationship for the stream power
210 per unit bed area required to initiate bed-load movement in three types of rivers in the Belgian Ardenne
211 region. The river types were determined based on an arbitrary classification into large (catchment area > 500
212 km²), medium (40 km² < catchment area < 500 km²), and small/headwater streams (catchment area < 40
213 km²). Through the application of tracer pebbles in 14 streams and rivers with slopes ranging from 0.001 to
214 0.071, they investigated the relationship between grain size and critical stream power within a variety of
215 rivers.

216
217 The empirical relationships collected by Petit et al. (2005) were in the form: $\omega_c = a \cdot D_i^b$ and, as can be
218 observed in their Table 1, the constants a and b generally fall within 1,000-10,000 and 1.3-1.7,
219 respectively (when D_i is in m rather than mm). The general tendency for the exponent of grain size b to
220 fall around an average value of 1.5 in these empirical datasets is supported well by theoretical examinations
221 of critical threshold in the literature: critical mean bed shear stress (τ_c) is generally considered to be related
222 linearly to D_i^1 (Shields, 1936) and critical velocity near the bed (u_{0c}) is generally considered to be linearly
223 related to $D_i^{1/2}$ based on the "sixth power law" ($u_{0c}^6 \propto D^3$) where the velocity required to entrain a
224 particle to the power of 6 is linearly related to the volume of that sediment particle (Vanoni, 1975). Based on
225 $\omega_c = \tau_c \cdot U_c$, critical stream power per unit bed area should thus be linearly proportional to $D_i^{1.5}$.

226

227 Petit et al.'s (2005) data showed considerable variation in the empirical values for critical stream power per
228 unit bed area, both between rivers, but also between sites on the same river. They claimed that the
229 differences are due to the increased influence of bedform resistance in smaller, steeper rivers, based on the
230 argument that, where form roughness is low in comparison to grain roughness, a large part of the river's
231 energy is used up in overcoming the resistance of bedforms, with little remaining to perform work on the bed
232 material: higher critical stream powers thus occur in the steeper, smaller rivers with higher form roughness.
233 In the middle-order streams, where form roughness was less significant, they observed lower critical stream
234 powers. Petit et al. (2005) therefore argued that Bagnold's (1980) expression for critical power is limited
235 because it does not account for the effect of bed-form resistance in its derivation. This argument is
236 considered further in section 5.5, but what is clear at this point is that because of the between and within site
237 variation in grain size-critical stream power relationships this type of approach produces expressions that are
238 applicable only to the conditions under which they were derived. Therefore, whilst useful in investigating the
239 factors influencing critical stream power, this type of relationship should not be applied universally as a
240 means of predicting critical stream power per unit bed area.

241

242 The findings of Petit et al. (2005), inspired Ferguson (2005) to re-visit and revise Bagnold's (1980)
243 expression for critical stream power, noting that, given $\omega_c = \tau_c \cdot U_c$, critical stream power should be the
244 product of a critical mean bed shear stress and the mean velocity associated with that shear stress through
245 resistance laws. In summary, the changes suggested by Ferguson (2005) included:

246 (i) *A differentiation between the grain sizes that are entrained by the flow and the grain size*
247 *representative of the bed roughness.* The grain size entrained by the flow (D_i) is important in
248 controlling the critical mean bed shear stress (Eq. 2), whereas the bed material roughness grain size
249 (D_b) affects the calculation of the mean velocity associated with a given mean bed shear stress (Eq.
250 6). Bagnold (1980) did not discriminate between these two different grain sizes within his critical
251 stream power formula despite the fact that they are generally dissimilar in natural streams. Flow
252 resistance is normally dominated by the more coarse grains in the bed, whereas transport is generally

253 dominated by the finer grains. Ferguson therefore amended Eq. 7 to incorporate a distinction
254 between the grain size entrained and the grain size responsible for bed roughness.

255 (ii) *A suggestion for an alternative resistance formula.* As demonstrated above, Bagnold (1980) used a
256 logarithmic flow resistance law to derive the mean velocity associated with a given critical shear
257 stress. For generality, Ferguson (2005) derived two versions of his critical stream power formula —
258 one applying the logarithmic flow resistance law used by Bagnold, and a second using a Manning-
259 Strickler flow resistance law. Ferguson (2005) observed no significant difference between the results
260 of his two formulae.

261 (iii) *Recognition of the influence of relative size effects.* It is well recognised in the literature that critical
262 mean bed shear stress depends on the relative size of the grain in question against the size of the
263 grains in the surrounding bed. These “relative size effects” were made popular in geomorphology
264 following the work of Parker et al. (1982). Since then, a number of functions quantifying the hiding
265 effect given to smaller particles and the protruding effect given to larger particle have been specified.
266 In general they take the form:

267

$$268 \quad \frac{\theta_{ci}}{\theta_{cb}} = \left(\frac{D_i}{D_b} \right)^{-h} \quad (8)$$

269

270 where θ_{cb} is the dimensionless critical shear stress criterion for a grain size representative of the bed;
271 and h is a hiding factor which has values between 0 (no hiding or protrusion – critical shear stress is
272 linearly related to grain size) and 1 (maximum hiding and protrusion – critical shear stress is equal
273 for all grain sizes). Because Bagnold did not include any term to compensate for relative size effects,
274 Ferguson (2005) incorporated a function similar to that in Eq. 8 into his critical power expression.

275 (iv) *Elimination of the dependence on depth.* As identified earlier, perhaps the most critical flaw in
276 Bagnold’s expression for critical stream power is its dependence on the depth of flow at the
277 threshold of motion. Ferguson suggested a relatively simple means by which the depth term could be

278 removed from Bagnold's (1980) critical power expression. By manipulating Eq. 1 so that it is in
 279 terms of d , Ferguson used the following expression to replace the depth term:

280

$$281 \quad d_c = \frac{\tau_{ci}}{\rho_w \cdot g \cdot S} \quad (9)$$

282

283 As a result of these changes, Ferguson produced simplified versions of the following expressions for critical
 284 stream power per unit bed area:

285

$$286 \quad \omega_{ci} = \tau_{ci} \cdot \left[5.75 \cdot \log \left(\frac{12 \cdot \tau_{ci} / \rho_w \cdot g \cdot S}{D_b} \right) \cdot \sqrt{\frac{\tau_{ci}}{\rho_w}} \right] \quad (10)$$

287

288 when applying the logarithmic flow resistance law or

289

$$290 \quad \omega_{ci} = \tau_{ci} \cdot \left[8.2 \cdot \left(\frac{\tau_{ci} / \rho_w \cdot g \cdot S}{D_b} \right)^{1/6} \cdot \sqrt{\frac{\tau_{ci}}{\rho_w}} \right] \quad (11)$$

291

292 when applying the Manning-Strickler flow resistance law, where

293

$$294 \quad \tau_{ci} = \left[\theta_{cb} \cdot \left(\frac{D_i}{D_b} \right)^{-h} \right] \cdot (\rho_s - \rho_w) \cdot g \cdot D_i \quad (12)$$

295

296 Based on these equations, Ferguson produced a theoretical graph (Figure 1 in Ferguson, 2005) of predicted
 297 critical stream power against entrained grain size (D_i), grain size representative of the bed (D_b), and slope
 298 (S). This figure illustrated that Eqs. 10 -12 imply an increase in critical stream power with increases in both
 299 D_i and D_b , as expected. However, the figure also demonstrated that, assuming all other factors remain

300 equal, both equations predict lower critical stream powers at higher slopes — a result that is less obvious. In
301 fact, this contradicts the results of the tracer experiments performed by Petit et al. (2005), who found that
302 critical stream powers were higher in steeper, albeit smaller and “rougher”, streams. Based on these findings
303 Ferguson (2005) attempted to argue theoretically that, contrary to Petit et al.’s (2005) findings, critical
304 stream power is unaffected by form resistance. These arguments are explored further in section 5.5.

305

306 **3. Datasets and methods**

307 **3.1 Correlations between hydraulic parameters and bed-load transport rate from published datasets**

308 Hydraulic, sedimentological and sediment transport measurements were obtained for all known and
309 available bed-load transport studies. These included data from 133 different river or flume datasets described
310 in a selection of agency reports, academic journal papers, theses, and files provided by researchers through
311 personal communication (Yang, 1979; Gomez and Church, 1988; Bravo-Espinosa, 1999; Wilcock et al.,
312 2001; King et al., 2004; Ryan et al., 2005). These datasets are summarised in Table 1. The resultant dataset
313 is designed to be as expansive and inclusive as possible, spanning a wide range of flow dimensions,
314 experimental designs, channel gradients and bed material sizes. The integrity was accepted as given in the
315 source publication unless obvious errors were observed, in which case the data were rejected.

316

317 This early stage of data analysis did not attempt to formally test the accuracy of any particular critical
318 threshold relation, but merely sought to verify Gomez and Church’s (1989) claim that stream power per unit
319 bed area offers the most suitable correlation with sediment transport. As a result, a one-tailed Spearman’s
320 Rank correlation was selected as a suitable means with which to carry out this analysis - it does not assume
321 the nature of the relationship between the two variables, other than an increase in one variable should lead to
322 an increase in the other. The hydraulic parameters investigated were: mean velocity, mean bed shear stress
323 and stream power per unit bed area.

324

325 ***Table 1***

326

327 **3.2 Investigation of the impact of slope on critical entrainment threshold**

328 Given the previously observed dependence of critical mean bed shear stress on slope and the apparent
329 contradiction between the empirical findings of Petit et al. (2005) and the theoretical expressions derived by
330 Ferguson (2005), a flume-based experimental procedure was designed to evaluate the impact of slope on
331 both critical mean bed shear stress and critical stream power per unit bed area. Additional data were obtained
332 from existing flume datasets where slope had been treated as a controlled variable. These included datasets
333 from the studies of Johnson (1943), Shvidchenko and Pender (2000), and Shvidchenko et al. (2001).

334
335 The original experiments described herein were conducted in a 10 m -long, 0.3 m -wide by 0.45 m -deep
336 tilting flume with glass walls. The pump of the flume is capable of producing a flow up to 0.025 m³/s, and
337 the slope of the flume can be set up to 0.025. The flow regime can be manipulated using a tailgate at the
338 outlet end of the flume. Discharge was measured using averaged velocity and depth measurements. Flow
339 depth was measured using a moving point gauge, and depth-averaged velocity was calculated based on point
340 measurements taken at various heights above the bed. Observations of particle entrainment were made from
341 a mobile bed section, situated halfway along the flume, which measured 0.5 m long and 0.3 m wide, taking
342 up the entire width of the flume. Three different sediment mixes were used during the experiments, the
343 compositions of which are given in Fig. 1 below. Each of the sediment mixtures consisted of 20% sand, with
344 the remaining 80% composed of gravel spanning three Φ classes. The distributions of each of the mixtures
345 from “1” to “3” were incrementally finer than the previous mixture by half a Φ class. All of the grains, other
346 than the sand, were coloured to aid sediment transport observations. The remainder of the flume bed was
347 composed of a fixed layer of sediment that approximated a roughness similar to that of the active section.

348
349 ***Figure 1***

350
351 Prior to each experimental run, the appropriate bed material was mixed, laid within the active flume section
352 to a depth of ~0.03 m, and levelled. Then the experimental slope was set, the tailgate was raised, and the
353 flow was started at a very low discharge to fill the flume. Experimental runs were carried out at five slopes

354 for each of the sediment mixtures (0.0071, 0.0100, 0.0125, 0.0143, 0.0167). For each slope/bed-material
 355 combination, a low initial discharge was chosen at which no sediment transport was observed; and then a
 356 series of incrementally larger flows were applied until the bed was broken up or the maximum discharge was
 357 reached. Discharges varied from 0.004 to 0.025 m³/ s. Care was taken to ensure that uniform flow was
 358 maintained throughout the experiments. Because of transient increases in sediment transport rate following
 359 changes in flow intensity (Shvidchenko and Pender, 2000: Figure 4), a 10-minute period was allowed to pass
 360 before any sediment transport observations were made after discharge and slope were varied.

361

362 Sediment transport intensity was measured using a methodology similar to that of Shvidchenko and Pender
 363 (2000), defining sediment transport intensity as the relative number of particles moving in unit time:
 364 $I = m / (NT)$, where I is the intensity of sediment transport; m is the number of particle displacements
 365 during the time interval T out of the total number of surface particles observed N . In this study, the
 366 number of particle displacements was recorded using high-definition video equipment so that the sediment
 367 transport intensity could later be measured. Because Shvidchenko and Pender (2000) demonstrated that
 368 sediment transport intensity (I) has a 1:1 relationship with Einstein's (1942) dimensionless bed-load
 369 transport parameter (q_{b*}), I can be expressed in terms of q_{b*} . Einstein's dimensionless bed-load transport
 370 parameter is given by the expression

371

372
$$q_{b*} = \frac{q_b}{g \cdot (\rho_s - \rho_w) \cdot \sqrt{\frac{\rho_s - \rho_w}{\rho_w} \cdot g \cdot D_i^3}} \quad (13)$$

373

374 where q_b is the unit width sediment transport rate (submerged weight) in N/m s. A number of other recent
 375 studies have used a different form of dimensionless transport rate ($W_* = q_{b*} / \tau_*^{3/2}$), as defined by Parker et
 376 al. (1982), but the Einstein bed-load parameter can be most readily interpreted in terms of the probability of

377 bed particle entrainment (the proportion of mobilised particles relative to immobile particles in the bed
378 surface).

379

380 In this study, a reference transport method relating incipient motion of bed material to a small, practically
381 measurable, sediment transport rate was applied. This method provides a clear, quantitative and reproducible
382 definition of a “critical” threshold that is otherwise difficult to define. A reference value of $q_{b*} = 0.0001$ was
383 defined as “critical” in this study. This value is close to the practical lower limit of sediment transport rate
384 that can be reliably measured in open channel experiments. It has visually been defined as occasional
385 particle movement at some locations (Van Rijn, 1989).

386

387 ***Table 2***

388

389 In order to both improve understanding of how and why critical mean bed shear stress varies with channel
390 slope, and evaluate stream power per unit bed area as a more consistent parameter for predicting the
391 initiation of bed material motion, the data from the flume study are presented in three different forms:

- 392 1. the effect of slope on critical stream power per unit bed area is presented to investigate the
393 contradiction between Ferguson’s (2005) hypothesis that critical stream power should decrease with
394 slope and Petit et al.’s (2005) claims that critical stream power increases with slope (section 4.2.1);
- 395 2. the effect of slope on the relationship between mean bed shear stress and mean velocity is presented
396 to test Ferguson’s (2005) justification for critical stream power being inversely proportional to slope
397 (section 4.2.2);
- 398 3. the effect of slope on critical mean bed shear stress is presented to test the assumption of both
399 Bagnold’s (1980) and Ferguson’s (2005) critical stream power expressions that critical mean bed
400 shear stress is independent of slope in fully turbulent flow (section 4.2.3).

401

402 **4. Results and analysis**

403 **4.1 Correlations between hydraulic parameters and bed-load transport rate from published datasets**

404 The mean Spearman's Rank correlation coefficients between sediment transport rate and mean velocity,
405 mean bed shear stress, and stream power per unit bed area across all 133 datasets were 0.83, 0.77, and 0.85
406 respectively. Whilst the difference between these coefficients is small, it does support Gomez and Church's
407 (1989) claim that Bagnold's (1966) stream power is the most appropriate parameter for representing bed-
408 load transport capacity. Furthermore, correlations for both mean velocity and mean bed shear stress with
409 sediment transport are very poor in certain datasets, despite stream power per unit bed area having a strong
410 relationship with sediment transport rate in the same datasets (Fig. 2). This occurs when mean bed shear
411 stress and velocity are poorly correlated, and the explanation for this is explored in section 5.2.

412

413 ***Figure 2***

414

415 **4.2 Investigation of the impact of slope on critical entrainment threshold**

416 **4.2.1 The effect of slope on critical stream power**

417 As described in section 2.3, Ferguson's (2005) expression for critical stream power implies that an increase
418 in slope should result in a decrease in critical stream power, assuming all other factors are equal. Figure 3
419 demonstrates that this is not the case for either the new flume experiments performed in this study or for the
420 ancillary results obtained from other studies: there is no clear relationship between the "critical" stream
421 power at which $q_{b*} = 0.0001$ and slope. Although there is a decrease in the "critical" stream power at
422 extremely high slopes within Shvidchenko and Pender's (2000) results, this occurs with very steep slopes
423 approaching the angle of repose for the bed material, which increases bed mobility independently of flow
424 conditions because of the redistributed effect of gravitation. However, slopes this steep are exceptionally
425 rare in natural systems; and other than these extreme cases in Shvidchenko and Pender's (2000) data, no
426 relationship was found between slope and critical stream power. These results thus appear to contradict the
427 interpretations suggested by Ferguson's Fig. 1 and also raise concerns over the validity of Eqs. 10-12. In
428 view of this, further analysis was undertaken, the results of which are detailed below.

429

430 ***Figures 3A and 3B***

431

432 **4.2.2 The effect of slope on the mean bed shear stress–mean velocity relationship**

433 Ferguson's (2005) justification for critical stream power being inversely proportional to slope is based upon
434 the idea that, for a given critical mean bed shear stress, the associated velocity will have an inverse
435 relationship to slope because of the effects of relative roughness. This relationship between mean bed shear
436 stress, slope, and velocity is as predicted by widely accepted flow resistance equations. Figure 4
437 demonstrates that, within the assimilated flume data, this is the case. Using an analysis similar to that applied
438 by Bathurst (1985), Fig. 4A shows that at elevated slopes the mean velocity at a given mean bed shear stress
439 is lower than it is at more gentle slopes. Further, the two flow resistance formulations applied by Ferguson
440 both generally predict velocities within the analysed data to a reasonable degree of accuracy (Fig. 4B). The
441 poor accuracy observed for certain data points is considered to be a result of the backwater effects present
442 within some of the flume studies.

443

444 ***Figures 4A and 4B***

445

446 **4.2.3 The effect of slope on critical mean bed shear stress**

447 Because sections 4.2.1 and 4.2.2 have identified that the velocity for a given mean bed shear stress is
448 inversely proportional to slope but that critical stream power is not dependent on slope, it is prudent to test
449 Ferguson's (2005) assumption that critical mean bed shear stress is independent of slope in fully turbulent
450 flow.

451

452 Fig. 5 demonstrates that, in the flume study data considered here, there is a strong relationship between
453 critical mean bed shear stress and slope. For each of the datasets studied, at higher slopes the mean bed shear
454 stress necessary to meet the critical threshold of sediment transport is increased (Fig. 5A). Fig. 5B
455 demonstrates the impact that slope has on θ_{ci} within the flume data analysed in this study. A clearly
456 distinguishable relationship exists between slope and the critical Shields' parameter, with a power relation of
457 the form

458

459
$$\theta_{ci} = 0.19 \cdot S^{0.28} \tag{14}$$

460

461 providing the best fit ($R^2 = 0.75$).

462

463 Although a power law provides the best fit to the empirical data observed within this study, it is likely that,
464 at extremely low slopes, the critical Shields' parameter will become asymptotic to a constant value (R. I.
465 Ferguson, University of Durham, personal communication, 2009). This is due to the improbability of near-
466 zero critical mean bed shear stresses.

467

468 ***Figure 5A and 5B***

469

470 A potential explanation for the observed impact of slope on the critical Shields' parameter is the dependence
471 of θ_{ci} on grain Reynolds number (R_*) already recognised by Shields (1936). As R_* is partially dependent
472 on slope (higher slopes increase R_*), it could be assumed that the observed increases in θ_{ci} with slope are
473 merely a consequence of the relationship recognised by the Shields diagram. However, Fig. 6 clearly
474 demonstrates that this is not the case. Not only is the dependence of θ_{ci} on slope present when R_* is greater
475 than the value at which Shields considered θ_{ci} to be constant, but even below this value, there is a clear
476 dependence of θ_{ci} on slope that is independent from its relationship with R_* .

477

478 ***Figure 6***

479

480 5. Discussion

481 5.1 Influence of slope on critical mean bed shear stress

482 Section 2.1 identified several arguments that could be used to explain the positive relationship between slope
483 and critical mean bed shear stress observed in Fig. 5B, including: the prominence of stabilising bed

484 structures and hiding effects in steep headwater streams; increased channel form roughness in steep
485 headwater streams; and flow aeration at high slopes. None of these, however, completely account for the
486 effect of slope. The experimental data analysed within this study used well-sorted, unimodal sediment in
487 flumes without any notable form roughness elements; yet critical shear stress was still found to be positively
488 related to slope. Furthermore, Mueller et al. (2005) found that critical shear stress values increase
489 systematically with slope even in flows where form roughness is consistently low.

490

491 This finding is supported by the work of Lamb et al. (2008) who found that the effect of slope on bed shear
492 stress is not caused by increased form drag (the magnitude of the effect is the same in both field and flume
493 experiments). Despite recognising the validity of Wittler and Abt's (1995) suggestion that flow aeration at
494 high slopes results in reduced mobility due to a reduction in the density of the water-air mixture, Lamb et al.
495 (2008) concluded that this also could not fully explain the observed slope dependence of critical shear stress
496 because aeration only occurs at very high slopes whilst slope impacts critical shear stress across a broad
497 range. Instead, Lamb et al. (2008) suggest that slope's influence on relative roughness and flow resistance is
498 responsible for the correlation between channel slope and critical shear stress.

499

500 Slope and relative roughness are strongly positively associated, as is evident theoretically by combining Eqs.
501 2 and 9 (to give $S \propto D/d$), and empirically in Bathurst's (2002) Fig. 3. Flow resistance is typically found
502 to increase as slope, and consequently relative roughness (D_b/d), increase (Bathurst, 2002). As identified
503 by Reid and Laronne (1995), the primary effect of the increased flow resistance at high slopes is to shift the
504 position of a bed-load rating curve toward higher mean bed shear stresses, a pattern which is evident in the
505 flume data analysed here (Fig. 5). A number of authors have suggested that this trend is due to the increase
506 in relative roughness at higher slopes causing a decrease in local flow velocity around bed particles (Ashida
507 and Bayazit, 1973; Graf, 1991; Shvidchenko and Pender, 2000). This is supported by the results of Chiew
508 and Parker (1994) who, in a sealed duct, showed that when relative roughness is held constant critical shear
509 stress actually decreases with increasing channel slope due to the increased gravitational component in the

510 downstream direction. This increase of friction resistance in steeper, shallower flows is due to the increased
511 effect of the wake eddies from bed particles on the overall flow resistance (Shvidchenko and Pender, 2000).
512 As a result of this increased flow resistance at higher slopes, there is a lower flow velocity. Shvidchenko and
513 Pender (2000), like Rubey (1938) and Brooks (1958), assumed this was responsible for a lower transport
514 rate. Similarly, using their 1-D force-balance model, Lamb et al. (2008) demonstrated that local flow
515 velocities decrease at higher slopes because of variations in the vertical structure of mixing and large-scale
516 turbulent motions as a result of changes in relative roughness.

517

518 The dependence of critical mean bed shear stress on slope (and relative roughness) can be understood by
519 appreciating the limitations of mean bed shear stress as a parameter representing the forces acting on bed-
520 load. Section 4.1 provided evidence that, compared with stream power per unit bed area, mean bed shear
521 stress is relatively poorly correlated with bed-load transport rate. Indeed, the extensive work of Rubey
522 (1938) identified that, whilst mean bed shear stress is indeed an important driver behind the entrainment of
523 particles, mean velocity also plays an important role. Rubey favoured near-bed velocity as having the
524 greatest discriminating power as it reflected the relationship between mean velocity, the velocity gradient,
525 depth, and slope. Similarly, Brooks (1958) observed that in flumes with flows of the same mean bed shear
526 stress, velocities, transport rates, and bed-forms varied. Therefore, as mean velocity can vary independently
527 of mean bed shear stress, and mean velocity is also an important driver behind the entrainment of particles,
528 mean bed shear stress alone cannot predict the variation observed experimentally.

529

530 It is not only slope that influences relative roughness and consequently, velocity. Increases in relative
531 roughness independently from slope have also been demonstrated to increase Shields' dimensionless critical
532 shear stress criterion (Mueller et al., 2005); and critical mean shear stresses have been demonstrated as being
533 lower in narrow streams as a result of the reduced velocity (Carling, 1983). Therefore, the reduced velocity
534 is responsible for elevating the critical mean bed shear stress values in channels with higher slopes. Yet the
535 most common means of identifying the critical threshold of motion (those based on Shields' criterion) do not
536 account for variations in velocity, concentrating instead on mean bed shear stress.

537

538 **5.2 Importance of both mean velocity and mean bed shear stress in mobilising sediment**

539 Section 4.1 identified that in datasets where mean bed shear stress and mean velocity are poorly correlated,
540 both are very poorly associated with bed-load transport despite stream power per unit bed area having a
541 strong relationship with sediment transport rate in the same datasets (Fig. 2). This finding is closely linked to
542 the idea explored in section 5.1 above, i.e. that it is the reduced velocity resulting from elevated relative
543 roughness that is responsible for increasing the critical shear stress values in channels with higher slopes.
544 Both of these findings suggest that both mean bed shear stress and mean velocity are important in
545 influencing sediment motion.

546

547 Despite many researchers recognising the importance of both near bed velocity and shear stress in the
548 transport of bed-load, almost all give attention to either one or the other, with the vast majority of
549 contemporary studies focusing on mean bed shear stress. The justification for doing so seems to result from
550 the general covariance that exists between τ and u_0 . However, whilst it is true that in any particular
551 channel conditions:

552

$$553 \quad \tau \propto u_0^2 \quad (15)$$

554

555 the relationship between mean bed shear stress and near bed velocity may vary *between* channel conditions
556 as a result of differences in roughness. Results from this study show that critical mean bed shear stress varies
557 with mean velocity (as a result of variation in slope); moreover, others have shown that the critical velocity
558 required to entrain sediment varies with shear stress (Sundborg, 1956; Sundborg, 1967; both cited in
559 Richards, 2004). Neither of these findings would be possible if the relationship between mean bed shear
560 stress and velocity were independent of channel conditions. Therefore, the assumption that, by accounting
561 for shear stress, velocity is also accounted for, is invalid.

562

563 **5.3 Revision of existing expressions for critical stream power per unit bed area**

564 The above empirical analysis and exploration of the literature demonstrates that Shields' dimensionless shear
565 stress criterion (θ_{ci}) alone cannot predict the threshold of sediment motion to a consistent degree of
566 accuracy, even within flows considered to be fully turbulent ($R_* > 500$). The dependence of the threshold
567 of motion on flow velocity means that critical mean shear stress is strongly dependent on channel slope and
568 relative roughness. Therefore, application of Bagnold's (1980) expression (Eq. 7) or Ferguson's expressions
569 (Eqs. 10-12) for critical power with the assumption that θ_{ci} is constant will result in potential error.
570 Ferguson (2005) himself recognised the presence of evidence to suggest that θ_{ci} was higher in steep streams
571 and, therefore, was aware of a potential limitation of his expressions. This also accounts for Bagnold
572 predicting critical stream power to be positively related to relative roughness and for Ferguson predicting
573 that critical stream power per unit bed area is inversely related to channel slope. Instead, whilst the velocity
574 associated with a critical mean shear stress is inversely related to channel slope, critical mean shear stress
575 itself is positively related to slope. Therefore critical stream power appears to remain relatively constant with
576 slope. In recognition of this, it is proposed that Bagnold's and Ferguson's expressions for critical stream
577 power should be modified to take into account the variability of θ_{ci} .

578
579 This is possible by substituting the following expression in place of Eq. 2 into Eqs. 7, 10 and 11:

580
581
$$\tau_{ci} = 0.19 \cdot S^{0.28} (\rho_s - \rho_w) g \cdot D_i \quad (16)$$

582
583 where Eq. 16 is based upon the empirical relationship between θ_{ci} and S observed in Eq. 14.

584
585 **5.4 Alternative expression for critical stream power per unit bed area**

586 The findings of this study support Shvidchenko and Pender's (2000) argument that the Shields' curve is an
587 inappropriate means of universally evaluating the threshold of motion. However, it is proposed that their

588 chosen solution, to calibrate Shields' dimensionless critical shear stress criterion against slope as has been
589 applied in section 5.3 above, is not ideal, as a dimensionless criterion that does not vary with slope or
590 relative submergence is more appropriate. This solution would yield a revised dimensionless critical stream
591 power.

592
593 As described in section 3.2, Einstein (1942) proposed that sediment transport rate could be given in
594 dimensionless terms by applying Eq. 13. Because the units for unit width sediment transport rate in
595 submerged weight (N/m s) are the same as those applied for stream power, it is relatively simple to follow
596 the same procedure as Einstein to generate a dimensionless form of critical stream power using the
597 expression

598

$$599 \quad \omega_{c*} = \frac{\omega_c}{g \cdot (\rho_s - \rho_w) \cdot \sqrt{\frac{\rho_s - \rho_w}{\rho_w} \cdot g \cdot D_i^3}} \quad (17)$$

600
601 where the flume data analysed in this study had a mean ω_{c*} of 0.1. Eq. 17 predicts critical stream power to
602 be proportional to $D_i^{1.5}$. This order of relationship is supported by the findings of section 2.3 where it was
603 identified that the critical stream power relationships described by Petit et al.'s (2005) empirical datasets all
604 predict ω_c to also be proportional to approximately $D_i^{1.5}$.

605
606 Using a dimensionless critical stream power criterion to identify the threshold of motion is both conceptually
607 and practically attractive. Applying expressions of the type originally proposed by Bagnold (1980) and later
608 modified by Ferguson (2005) requires a critical mean bed shear stress to be identified (which is dependent
609 on slope), a mean velocity appropriate for the chosen critical shear stress to be calculated, and the critical
610 stream power per unit bed area to be determined from their product. Instead, a critical stream power should
611 be attainable independently from local variations in velocity and shear stress, dependent instead only on

612 grain size. Therefore, like the stream power parameter in general, critical stream power seems to offer a
613 more practical alternative to other flow parameters.

614

615 However, further work is necessary to test the general applicability of a constant dimensionless critical
616 stream power. It is currently unknown whether increases in critical mean shear stress as a result of higher
617 slope or relative roughness are proportionately balanced by decreases in the associated mean velocity. One
618 potential area of inconsistency comes as a result of wide variations in form roughness. As cited earlier, based
619 on a series of marker pebble experiments in streams within the Belgian Ardenne, Petit et al. (2005)
620 suggested that critical stream powers are higher in smaller, steeper streams because of greater bedform
621 resistance. This argument is explored in the following section.

622

623 **5.5 The effect of form resistance on critical stream power per unit bed area**

624 Petit et al. (2005) argued that the higher critical stream powers observed in the steeper, smaller rivers with
625 higher form roughness is a result of additional energy losses in overcoming form resistance. Ferguson's
626 (2005) paper was written partly in response to Petit et al.'s findings. Using the Manning roughness equation,
627 Ferguson (2005) attempted to demonstrate analytically that, contrary to Petit et al.'s arguments, the reduction
628 in critical velocity resulting from form roughness always balances the associated increase in critical shear
629 stress, so that critical stream power remains invariant.

630

631 However, an in-depth examination of his argument reveals that his conclusions may not necessarily be true.
632 Ferguson (2005) described two theoretical channels, identical to each other apart from one having only grain
633 roughness (n'), and one with both grain and a significant amount of form resistance ($n'+n''=n$). He
634 correctly described how *for a given discharge*, the mean velocity in the channel with n' roughness (U')
635 will be a factor (f) greater than the mean velocity in the channel with n roughness (U), and that the
636 average depth in the channel with n' roughness (d') will be the same factor (f) smaller than the average
637 depth in the channel with n roughness (d). Using this fact combined with Manning's roughness equation:

638

639
$$n = \frac{d^{2/3} \cdot S^{1/2}}{U} \quad (18)$$

640

641 Ferguson properly identified that under these conditions, *for a given discharge*, the Manning's n in the
642 channel with just grain roughness (n') is a factor ($f^{5/3}$) greater than the Manning's n in the channel with
643 grain and form roughness (n). However, when Ferguson later considered the problem of relating the higher
644 *critical* shear stresses and lower *critical* velocities associated with channels with significant form roughness,
645 an inconsistency arose. Because the critical shear stress (and therefore, using Eq. 9, the associated depth) in
646 the channel with n' roughness (τ_c' and d_c') may be a factor (f) lower than the critical shear stress and
647 associated depth in the channel with n roughness (τ_c and d_c), Ferguson claimed that the lower velocity in
648 the channel with n roughness can be calculated based on a Manning's n value that is higher than that in
649 the channel with n' roughness by the factor $f^{5/3}$. The relationship between changes in depth and changes
650 in Manning's n was realised on the assumption that any increase in depth must be balanced by an equal
651 decrease in velocity where discharge remains constant. Therefore, Ferguson found that the critical velocity
652 in the channel with n roughness is the same factor lower than the critical velocity in the channel with n'
653 roughness as the critical shear stress (and associated depth) is higher. However, in reality, the critical shear
654 stress in a channel with n roughness may not occur at the same discharge as the channel with n' roughness.
655 Therefore, a change in form roughness may result in the critical shear stress increasing by a different factor
656 to the velocity decrease so that the critical stream power varies.

657

658 Therefore, in regard to Petit et al.'s (2005) findings, it is possible that an increase in form roughness may
659 have indeed resulted in higher critical stream powers. However, as noted by Ferguson (2005), a number of
660 other factors also increase critical stream powers in the headwater streams, exaggerating the influence that
661 form roughness itself may have had. Whilst Petit et al. claimed that hiding effects are similar in all river

662 types as the D_i/D_{50} ratios are relatively close to 1, the range in bed material size in headwater streams is
663 generally considerably greater so that the larger grain sizes offer a more considerable hiding effect than in
664 larger rivers. Furthermore, the proportion of fines within headwater streams is usually low in comparison
665 with stream beds lower down in the catchment. Because Wilcock (2001) identified that gravel transport rates
666 increase significantly with the proportion of fines within the bed, this trend may also result in higher critical
667 stream powers in smaller, steeper streams. Imbrication between bed particles is also more common in
668 smaller, steeper streams; and this may also act to stabilise the bed, resulting in higher critical stream powers
669 in the headwaters. Ferguson also highlighted that the trendlines for several of the rivers in Petit et al.'s
670 dataset are fitted to composite sets of data, combining results of tracer experiments in several different
671 reaches with different bed materials. Merging data from reaches with the same slope but different beds
672 would result in a composite curve that is steeper than the individual composite curves, predicting higher than
673 expected values of critical shear stress.

674

675 **6. Empirical evaluation of dimensionless critical stream power per unit bed area**

676 The flume data from the large collection of sediment transport datasets referred to in section 3.1 was used to test
677 the proposed dimensionless critical power relation (Eq. 17). All flume data used to derive the dimensionless
678 critical stream power value of 0.1 was removed from the validation. As with the analysis of the critical threshold
679 of motion earlier, a reference value of Einstein's dimensionless transport parameter of 0.0001 was used to
680 identify the critical stream power for each dataset. This was only possible for a selection of the datasets as many
681 did not include values low enough for the power at the reference transport rate to be identified. It was not
682 possible to test the expressions based on Eq. 16 against this data as they require a slope value and slope was not
683 held constant within these flume datasets.

684

685 Figure 7 illustrates that application of a dimensionless critical stream power value of 0.1 in Eq. 17 predicts the
686 critical stream power observed in the flume studies extremely well. Not only are the predicted and observed
687 values strongly associated (r^2 coefficient = 0.99), but the values also fall along a 1:1 proportionality line.

688

689 ***Figure 7***

690

691 **7. Conclusion**

692 Although stream power per unit bed area is generally more strongly associated with sediment transport, mean
693 bed shear stress has been the parameter most commonly applied in the prediction of a critical transport threshold.
694 A combination of newly gathered critical stream power data and existing data from previous flume studies
695 demonstrates that critical stream power is relatively invariant with slope, but that critical mean bed shear stress is
696 strongly positively related to slope. The positive relationship between critical shear stress and slope is explained
697 as a result of higher relative roughness at high slopes causing increased resistance so that the velocity for a given
698 shear stress is reduced. Because velocity is important in influencing sediment transport in combination with
699 mean bed shear stress, when resistance is increased, a higher shear stress is necessary to reach the critical
700 threshold. Based on these findings, solutions to approximating critical stream power. include: (i) modifying
701 Ferguson's existing expressions for critical stream power to account for higher critical shear stresses at higher
702 slopes; and (ii) applying a dimensionless critical stream power criterion based on the conclusion that critical
703 stream power is less variable than critical shear stress. An empirical evaluation of the dimensionless critical
704 stream power criterion demonstrates its efficacy in predicting critical stream powers with unimodal flume data,
705 but further research is now needed to examine its constancy or otherwise under a wider range of grain size,
706 relative roughness and flow and transport stages.

707

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718

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897 **Figure Captions**

898 Fig. 1. Grain size distributions for experimental sediment mixtures.

899

900 Fig. 2. Examples of a sediment transport dataset where (A) mean velocity and (B) mean bed shear stress are
901 poorly correlated with sediment transport rate compared with (C) stream power per unit bed area - Johnson's
902 (1943) laboratory investigations on bed-load transportation, series II, taken from the Gomez and Church (1988)
903 collection of data;

904

905 Fig. 3. The influence of slope on critical stream power per unit bed area. (A) Dimensionless bed-load parameter
906 q_{b*} increasing as a function of stream power at various slopes for each dataset. The line at a dimensionless
907 transport rate of 0.0001 identifies the point at which transport rates meet the level assigned as being "critical."
908 The key gives the dataset, sediment mixture, and slope for each of the experimental runs; (B) Critical
909 dimensionless stream power identified from (A) plotted against slope. The solid line describes the mean value
910 that best approximates the flume data observed in this study.

911

912 Fig. 4. The effect of slope on the relationship between mean bed shear stress and mean velocity. (A) Slope
913 versus resistance function for all analysed flume data; (B) Mean velocity predicted using the flow resistance
914 equations applied by Ferguson (2005) against the measured velocity.

915

916 Fig. 5. The influence of slope on critical mean bed shear stress. (A) Dimensionless bed-load parameter q_{b*}
917 increasing as a function of mean bed shear stress at various slopes for each dataset. The line at a dimensionless
918 transport rate of 0.0001 identifies the point at which transport rates meet the level assigned as being "critical."
919 The key gives the dataset, sediment mixture, and slope for each of the experimental runs; (B) Critical Shield's
920 dimensionless shear stress identified from (A) plotted against slope. The solid line describes the power
921 relationship that best approximates the flume data observed in this study.

922

923 Fig. 6. The influence of slope over the Shields' diagram. Each series of points represents the critical Shields'
924 values from a range of slopes used for each sediment mixture within each flume dataset.

925

926 Fig. 7. Predicted critical stream power per unit bed area values based upon a dimensionless critical stream power
927 criterion of 0.1 compared against observed critical stream power values for a selection of flume datasets.

928

Table 1
 Summary of collated sediment transport data used in exploratory analysis

<i>Author</i>	<i>Year</i>	<i>Title/description</i>	<i>Data type</i>	<i>No. of datasets</i>
Yang	1979	Unit stream power equations for total load	Flume and field	40
Gomez and Church	1988	Catalogue of equilibrium bed-load transport data for coarse sand and gravel-bed channels	Flume and field	22
Bravo-Espinosa	1999	Prediction of bed-load discharge for alluvial channels – PhD Thesis	Field	14
Wilcock et al.	2001	Experimental study of the transport of mixed sand and gravel	Flume	5
King et al.	2004	Sediment transport data and related information for selected coarse-bed streams and rivers in Idaho	Field	33
Ryan et al.	2005	Coarse sediment transport in mountain streams in Colorado and Wyoming, USA	Field	19

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930

Table 2
 Summary of datasets used to test theoretical expressions for critical stream power

<i>Data source</i>	<i>Range of bed sediment types (D_{50} in m)</i>	<i>Range of slopes</i>	<i>Range of discharges (m^3/s)</i>
This study	Graded; D_{50} : 0.006 (Mix 3) – 0.0115 (Mix 1)	0.0071 - 0.0167	0.004 - 0.025
Johnson, 1943 – cited in Gomez and Church, 1988	Graded; D_{50} : 0.0014 – 0.0044	0.0015 - 0.0100	0.002 - 0.077
Shvidchenko and Pender, 2000	Uniform; D_{50} : 0.0015 (U1) – 0.012 (U8)	0.0019 - 0.0287	0.000 - 0.029
Shvidchenko et al., 2001	Graded; D_{50} : 0.0026 – 0.0064	0.0041 - 0.0141	0.003 - 0.140