# Bridging the gap between business process models and Service Oriented Architectures with reference to the Grid Environment

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Abstract: In recent years organisations have been seeking technological solutions for enacting their business process models using ad hoc and heuristic approaches. However, limited results have been obtained due to the expansion of business processes across geographical boundaries and the absence of structured methods, frameworks and/or Information Technology (IT) infrastructures to enact these processes. In an attempt to enact business process models using distributed technologies, we introduce a novel architectural framework to bridge the gap between Business Process Models and Grid-aware Service Oriented Architectures (GSOA). BPMSOA framework is aligned with the Model Driven Engineering (MDE) approach and is instantiated for role-based business process models, (in particular Role Activity Diagramming (RAD)) using mobile process languages such as pi-ADL. The evaluation of the BPMSOA framework using the Submission process from the digital libraries domain has revealed that role-based business process models can be successfully enacted in GSOA environments with certain limitations.

Key words: Service Oriented Architecture, RAD business process models, Metamodels, pi-ADL, Process Enactment, Model Driven Engineering, Model Transformation

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# **1. INTRODUCTION**

Business process modelling is the process of visualising business processes in order to understand, improve and/or enact these processes in a particular computing environment. The availability of different business process modelling approaches (such as role-based modelling) and their associated process modelling languages (Giaglis, 2001; Curtis, 1992; Aguilar-Saven, 2003; Havey, 2005) enable organisations to capture and model different perspectives of their business processes. In the presence of such diverse process modelling approaches, the homogeneous enactment and execution of business processes is not straightforward. Furthermore, such enactment becomes more complicated when businesses expand across geographical boundaries. Thus, it becomes challenging to find solutions from Technological Spaces (TS) (Kurtev, et. al, 2002) in the absence of homogeneous and structured methods or frameworks that can provide mechanisms to enact these business processes using distributed technologies.

It is envisaged that environments that adopt the Service Oriented Architecture (SOA) (Krafzig, et. al, 2004) model of computing are increasingly becoming the *de facto* environment for executing business processes represented by their visual models (BEA Systems, 2007). Service oriented computing can utilise the characteristics of both Object-oriented and Component-based (Breivold, et. al, 2007) computing models in an open environment. However, the enactment and execution of business process models in service-oriented environments is not straightforward since it requires appropriate mappings and translations of business process models into system models for their execution in such environments, with the objective of utilising application domain specific services and also for facilitating business-to-business (B2B) process integration.

In this context, the research here presented is an attempt to bridge the gap between business process models and service oriented environments (such as the grid-aware SOA (GSOA) environment). This gap can be mainly attributed to the following challenges:

- a. As there exist several business process modelling approaches (e.g. role-based, functional, activity-based, etc) and their associated business process modelling languages (e.g. Role Activity Diagrams (Ould, 1995), Business Process Modelling Notations (BPMN 2007), UML Activity Diagrams, etc) with different syntactic and semantic notations, a structured approach is needed to uniformly handle the instantiation and execution (i.e. enactment) of business process models;
- b. As business process models are mainly intended for domain experts and system models are for IT experts, an autonomy between business process models and system models should be maintained;
- c. Not all graph-based visual process models can be directly translated into block-structured models due to conceptual differences between graph-based and block-structured process models which restrict one-to-one mappings and translations from former to later; and
- d. Reusable transformation of visual business process models into executable workflow specifications and/or code that can be instantiated in distributed environments and in particular GSOA.

As a first step in bridging the gap between business process models and GSOA, application domain specific services (e.g. utility services) can be deployed on top of grid core services in order to access grid resources by encapsulating the complexity of low level grid-specific tasks and facilitating business applications for executing their business processes as envisioned in (NGG, 2006; SOKU, 2006). Such application domain specific services can be referred to as grid-aware services or utility services. For example, Web Service Resource Framework (WSRF) based application services are capable of accessing grid infrastructure services (Grid Resource Allocation Manager - GRAM, Monitoring and Discovery Service – MDS, etc.) and resources when deployed using the Globus Toolkit 4 (Sotomayor, 2005). As a second step business process models would then require rigorous mappings and translations into the source code of executable programming models and/or languages capable of accessing grid resources. Such an approach necessitates homogeneous structured methods and tools to avoid complexity in performing the aforementioned translations.

In the above context, this paper presents a novel approach in bridging the gap between business process models and gridaware service oriented environments. It introduces an architectural framework, namely the BPMSOA (*Business Process Models for Service Oriented Architectures*) framework (Khan 2009), which adapts Model Driven Engineering (MDE) (Stuart, 2002; Fondement, et. al, 2004) approach to transform visual process models (irrespective of business process modelling approach and/or modelling language) into concrete code that is executable in a GSOA environment. This approach not only maintains autonomy between business process models and system models but also promotes reusability of translated artefacts. As part of the BPMSOA framework, mobile process languages such as pi-ADL (Architectural Description Language) (Oquendo, 2004; Oquendo, 2005) – a derivative of high-order typed pi-calculus (Milner, 1999) is utilised to mitigate conceptual mapping and translation challenges between graph-based and block-structured models. The BPMSOA framework is instantiated for the role-based process modelling paradigm and evaluated (in particular for Role Activity Diagramming -RAD modelling (Ould, 1995)) with the objective of validating the hypothesis that mobile process languages, such as pi-ADL, can be utilised to enact role-based business process models in a GSOA environment. As a result, RADpiADL concrete program library is developed which enables the BPMSOA framework to semi-automatically generate code for a business process model in pi-ADL, which with minimal human intervention, can be executed in GSOA environment. Both the BPMSOA framework and its role-based instantiation using the RADpiADL specifications provide novel contributions in bridging the above gap.

In the remainder of this paper we briefly present background and related work in the following section. In Section 3, an overview of the BPMSOA framework is presented followed by its role-based instantiation. In Section 4, we present the application of the role-based BPMSOA framework to the *Submission* process from the domain of digital libraries (DL) (Khan, et. al., 2006a). This application is evaluated using the static verification and dynamic validation described in Section 5, followed by critical analysis and reflection on the usefulness and limitations of the BPMSOA framework, role-based modelling approaches and pi-ADL in Section 6. Then in section 7 we draw conclusions and provide directions for future work.

#### 2. BACKGROUND AND RELATED WORK

There are two aspects covered in this paper: i) mappings and translations between business process models and executable models, and ii) applying a homogeneous and structured approach to accomplish the (i) aspect. In relation to the first aspect, there have been efforts to map business process models, and in particular RADs (Ould, 1995), into system models using heuristics (Odeh, et. al, 2002; Odeh, et. al, 2003), simulations (Martinez-Garcia, et. al, 2002) and formal representations (Badica, et. al, 2001). However, such approaches require tools and technological support for enacting and evaluating the given business processes. For example, no tools have been identified to actually instantiate and execute business process models based on these approaches. Also, these approaches are not based on the SOA paradigm (i.e. web or grid services) which is considered to be a key shortcoming in dealing with business process management aspects. In this regard, many eXtensible Markup Language (XML) based business process modelling languages such as Business Process Modelling Language (BPML) (Arkin, 2002) and Business Process Execution Languages (BPEL) (Juric, et. al, 2004; Blanvalet, et. al, 2006) have been widely utilised to execute business processes using web services. However, the non-visual or non-diagrammatic nature of such languages (i.e. BPML, BPEL) makes them less suitable to understand, analyse and improve business processes by business analysts. In addition, these languages are designed for machine processing rather than for human understanding. This limitation has been overcome by mapping visual and graphical business process modelling language constructs to descriptive executable constructs, for example mappings between Fundamental Business Process Modelling Language (FBPML) and the Ontology Web Language for Services (OWL-S) (The OWLService Coalition, 2005; Guo, et. al, 2004), and translating Business Process Modelling Notations (BPMN) constructs into BPEL (White, 2005; Owen, et. al, 2007; White, 2007). These mappings and translations are not, however, straightforward and lack one-to-one construct mappings, which may become difficult to verify and validate. For example, researchers (Ouvang, et. al, 2006; Recker, et. al, 2006; BPMN, 2007) have identified limitations in translating graph-based BPMN models into block-structured BPEL and suggested various solutions. They argued that due to the conceptual difference between block-structured and graph-based models, it may not be possible to map all visual process topologies of BPMN and FBPML based process models to BPEL and OWL-S process descriptions, respectively (Guo, et. al, 2004; BPMN, 2007; Shapiro, 2002). RAD is another graph-based business process modelling language that we have attempted to map and translate into block-oriented pi-ADL using the BPMSOA framework, with the objective of assessing to what extent the channel unification and mobility characteristic of pi-ADL can facilitate mappings of graph-based models. It is important to mention here that we are not after comparing the strengths and weaknesses of different business process modelling languages and suggesting the suitability and appropriateness of a particular business process modelling language. Rather, we aim to experiment on selected case studies using the simplest approach such as RAD and provide an evidence of suitability of mobile process languages such as pi-ADL for enacting business process models in GSOA environments.

With respect to distributed computing and in particular grid SOA environment, mostly related work is in the domain of scientific workflow and there exist several tools and environments which facilitate execution of scientific workflows in grid SOA. For example, (Yu and Buyya 2006; Curcin and Ghanem 2008; Deelman et. al. 2009) have presented a comprehensive overview and taxonomy of workflow systems in distributed environments and in particular grid SOA such as Discovery Net, DAGMan, Pegasus, Traverna, Triana, Kepler, etc and languages such as UML and XML-based BPEL and SCUFL, etc. All these tools and respective languages support different programming models and can be used depending on the requirements of scientific applications.

In recent years, use of Model Driven approach for grid SOA has gained attention such as Grid Tool Kit (GDT) (Friese et. al. 2006) uses UML profiles and BPEL to model and execute scientific workflows. BPEL has gained good attention for workflow modeling not only by IT experts but also by domain experts, for example, BPEL extensions are introduced to adapt WSRF-compliant services for grid workflow modeling (Dornemann et. al. 2007). Despite its textual nature, mostly research specify workflows in graphical notations and many tools exist to translate graphical workflow representations into BPEL script such as XBaya (Shirasuna 2011), OMII-BPEL (OMII-BPEL 2011), Sedna (Wassermann et al., 2007), etc. However, according to some workflow domain experts e.g. (Deelman et. al. 2009), complex scientific workflows may require additional graphical rendering approaches such as nesting based on workflow hierarchies, concurrency, etc. This may be attributed to

graph-oriented and block-structured conceptual difference between various modeling and workflow languages as discussed above. Among other approaches, (Blythe et. al. 2004) focused on artificial intelligence methods to automatically compose scientific workflows by applying semantic annotations. Similarly, (Brandic et. al. 2008) provide a holistic approach for QoS-aware workflows which enables scientific community to specify workflows using UML based graphical notations (and constraint annotations) in Tueta application (Pllana et. al. 2004), transform the workflow to XML-based language namely QoWL for workflow planning and execution in QoS-aware Grid Workflow Execution Engine to fulfill the user requirements. All stages of QoS-aware workflow are implemented and evaluated for Amadeus framework that provides a service-oriented environment but only supports acyclic workflow graphs. Manset et al (Manset et al. 2006) introduced gMDE architectural style that adopts Model Driven approach to generate programming models for IT experts to write and reuse modelled applications for grid environment. In contrast to the above approaches, A-WARE project (A-WARE 2008) enables domain/scientific experts to draw workflow using BPMN and transforms it into BPEL which is then handled by IT experts for service grounding and enactment of a scientific workflow in SOA grid environment (e.g. OGSA) and in particular UNICORE 6.

However, the above approaches are mostly intended for the IT experts but not for business domain experts or do not capture the full spectrum of various business process modelling approaches and languages. Further, despite some similarities there is a fine distinction between business process modelling and workflows. For example, (Dehnert and Van Der Aalst 2008) argued that workflows specifications are mostly intended for IT experts and may not be as intuitive as is a business process model for a business domain expert. Similarly, (Brandic et. al. 2007) distinguish scientific workflows for grid and business workflows based on the long execution time and large amount of data transfers in grid environment. This suggests that in order to maintain autonomy between business models and system models, a business process model requires mappings and transformation into workflow specifications for enactment in a GSOA environment. The BPMSOA framework handles the above limitations and requirement mostly from the business domain perspective and enables the transformation of a platform independent business process model utility services. However, at the moment the lower-level grid based programming models, resource scheduling and monitoring are beyond the scope of the BPMSOA framework and handled as future research direction.

#### 3. THE BPMSOA FRAMEWORK

This section briefly presents the BPMSOA framework (Khan, 2009). It consists of five logical layers as depicted in Figure 1. These are: (i) *Process Modelling*, (ii) *Generalisation*, (iii) *Transformation*, (iv) *Enactment* and (v) *Service Management and Integration*. Each layer (in particular the first four layers) takes a specific input and processes it using its internal computational components to generate a specific output which is then consumed by the subsequent lower layers. Further, each layer is provided with catalytic artefacts (i.e. Metamodels) to support the layered components in the intermediary translations of business processes. The role of the fifth layer (orthogonal to the other layers) is to manage and monitor the process of translating and enacting business processes.

The layered architecture of the BPMSOA framework is aligned with the separation of concerns design principle (Sommerville, 2006). These layers enable loose coupling between the activities of process modelling, generalisation, transformation and enactment for the objective of executing a business process model using application domain specific grid services. Below we briefly discuss each layer.

- The *Process Modelling* layer deals with process modelling view only. In addition, the *PM-Metamodel* is used to mask the syntactic heterogeneity of different business process modelling languages of a specific modelling approach by generalising the common modelling constructs, as briefly presented in (Khan et. al, 2008a). In this layer, a business process description can be modelled using a particular process modelling language. This process model can be used for comprehension, analysis and improvement purposes in addition to enactment.
- Similarly, the *Generalisation* layer translates a business process model into a machine-readable XML form whereas rules for mapping a process model into generic XML elements are defined in the associated instance of *α-Metamodel* (Khan et. al, 2008a) which is defined using a particular instance of *PM-Metamodel*. The output from the *Generalisation* layer can be used to exchange process information among different tools.
- The *Transformation layer* takes the above XML representation of the business process as an input to interpret and translate it into respective pi-ADL specification. The *τ-Metamodel* enables the *customisation* component to transform the XML representation into a programming context enabling the *concretisation* component to translate it into a respective pi-ADL form. The *χ-Metamodel* defines the pi-ADL specification for a particular business process modelling approach that is used by the *concretisation* component to translate the respective business process model into its corresponding pi-ADL code. Furthermore, the output of the *Transformation* layer is specific to a particular execution environment, and in particular pi-ADL environment e.g. emptyStore repository (ArchWare 2005).

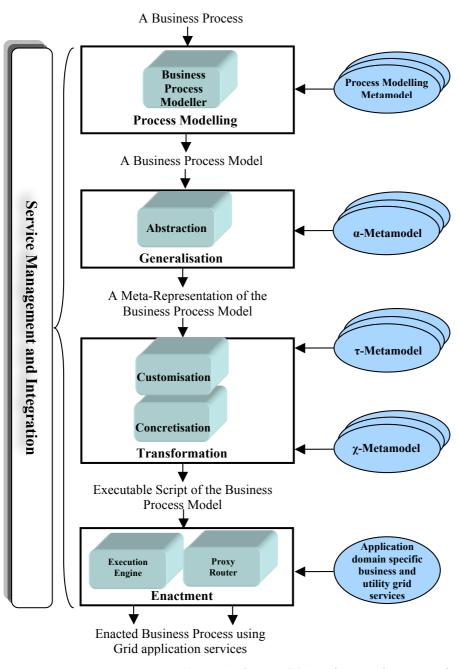


Figure 1: The BPMSOA Architectural Framework

- The *Enactment layer* takes the pi-ADL based executable script of the business process as an input and enacts it into an execution environment, in particular the ArchWare (ArchWare, 2005) virtual machine. The *proxy router* component enables the enacted process description to communicate with the external world. For example, it integrates the enacted process activities with respective application domain specific grid services using Java-based implementation of the proxy router (Khan, et. al., 2008b).
- The *Service Management and Integration* orthogonal architectural layer is supposed to bring higher levels of automation into the BPMSOA architectural framework i.e. facilitating the automated flow of information between the other four layers. Furthermore, this layer can also be utilised to keep track of the transformations of the business process at different levels of the BPMSOA framework and state transitions while in execution. However, the implementation of this layer is not within the scope of this paper and referred as future research direction.

RAD Notation	<i>PM-Metamodel</i> Meta- representation	a-Metamodel	τ-Metamodel	χ-Metamodel	pi-ADL Specifications and Abstractions
	Composition			Intra-Role Mapping	Role
Role	Element (CE)	Role (SP, IP)	Composed Element (SP)	Inter-Role Mappping	IRegistry_Process Interaction_Repository Registry_Filler Router Role_Connect
Part interaction	Receiver (FE), Interaction (IE)	Receiver_Part , Interaction, Role (SP, IP)	Interaction Element (SP)		Part_Interaction
Driver part interaction	Sender (FE), Interaction (IE)	Sender_Part, Interaction, Role (SP, IP)	Interaction Element (SP)	Intra and Inter-Role Mappings	Driver_Part_Interaction
→ Trigger	Trigger – (Timer, Message, Error) (FE)	Trigger- (Timer, Message, Error) (SP)	Flow Element (SP)	Intra-Role Mapping	RAD_Trigger, Trigger_External_Code
	State (FE)	State (SP)	Flow Element (SP)		Abst_Goal_State, Abst_State_Action
Part Refinement	Gateway– Concurrency (FE)	Gateway - Concurrent (SP, FP)	Flow Element (SP)		Part_Refinement, Part_Synchronisation, Part_Split, Part_Thread
Activity	Activity – Action (FE)	Activity - Action (SP)	Flow Element (SP)		RAD_Activity, Activity_External_Code Proxy_Router (for grid services)
Case Refinement	Gateway- Conditional (FE)	Gateway - Conditional (SP, FP)	Flow Element (SP)		Case_Activity, Case_Refinement, Case_Synchronisation, XOR_Split, Case_Thread
Role Instantiation	Activity – Instantiation (FE)	Activity - Role- Instantiation (SP)	Flow Element (SP)		Instantiate_Role
State	State (FE)	State (SP)	Flow Element (SP)		Using <i>in_trig</i> and <i>out_trig</i> channels by applying unifications
Loop	Gateway-Iterative (FE)	Gateway- Iterative (SP, FP)	Flow Element (SP)		Iterative_flow, normal_flow, Loop_handling_with_Activity (inside Case_Thread)
Process	CE, IE, FE	SP, IP, FP	SP, NFP, CP,	Inter-Process	Enactment Engine
			AIP	Intra-Process	Process Controller

**Table 1:** Summary of the RAD Constructs Mappings at different Levels of BPMSOA

Hence, BPMSOA's layered architecture follows a general to specific model translation of business processes, which suggests that BPMSOA is closely aligned with the MDE approach i.e. that of transforming platform independent business process models into concrete platform dependent models. Moreover, the PM-,  $\alpha$ -,  $\tau$ - and  $\chi$ -*Metamodels* (as depicted in Figure 1) play two important roles according to the needs of metamodels in MDE (Stuart, 2002). Firstly, they provide syntactic and semantic guidelines to translate a given business process model at respective layers of the BPMSOA framework. Without these guidelines, the BPMSOA components cannot dynamically translate different process designs. Each metamodel is associated with a specific component of a particular layer, for example the  $\alpha$ -Metamodel are associated with the *customisation* and *concretisation* components respectively. Secondly, there can be multiple implementations of associated metamodels at the respective layers, for example one implementation of *PM*-Metamodel can be used to capture the role-based perspective whereas another one can consider the functional view of business processes.

However, a particular implementation of the *PM-Metamodel* requires a corresponding implementation of the  $\alpha$ -*Metamodel*, the  $\tau$ -*Metamodel* and the  $\chi$ -*Metamodel*. Whilst these layers with the multiple implementations of metamodels

enable the BPMSOA framework to be general, extensible and flexible, this framework can become rigid and thus requires proper information flow between layers in addition to coordination. In this regard, the orthogonal *Service Integration and Management* layer is introduced which can be used to coordinate the information flow at the appropriate levels and verify the correctness of respective translations.

In this paper, the role-based business process modelling approach (and in particular Role Activity Diagramming (Ould, 1995) is adopted, which provides a distinctive modelling approach because of its intuitiveness, and its increased visibility by depicting flexible process topologies and event-driven and collaborative perspectives (Harrison-Broninski, 2007). Hence the role-based BPMSOA is instantiated and role-based metamodels and components of BPMSOA framework have been published elsewhere and can be accessed from (Khan, 2009; Khan, et. al, 2007; Khan, et. al, 2008a; Khan, et. al, 2008b; Khan, et. al, 2008c). These metamodels capture different perspectives built using different elements as depicted in Annex-I. All perspectives (i.e. Structural, Interaction, Flow, Control, Non-Functional and Application Integration Perspectives (SP, IP, FP, CP, NFP, AIP respectively)) and their elements (i.e. Composition, Flow and Interaction Elements (CE, FE, IE respectively)) have been presented in detail in (Khan, 2009). Due to space limitations, in Table 1 we summarise just the mappings of the different RAD constructs while being processed at the different role-based BPMSOA layers and facilitated by the respective layer's metamodels.

#### 4. THE CASE-STUDY: APPLYING THE ROLE-BASED BPMSOA FRAMEWORK ON THE DL SUBMISSION PROCESS

In order to aid understanding of the functionality of BPMSOA, an enactment process (as detailed below) is applied on the *Submission* process from the digital libraries (DL) domain. The *Submission* process is introduced to enact more complex RAD process models with control flow structures such as loops, case and part refinements, and hanging threads, etc. The *Submission* process is concerned with submitting an article or manuscript for publishing in a particular digital library. The manuscript is submitted to the *Editor* (role) by the *Author* (role). The *Editor*, after having performed the initial evaluation, notifies the *Author*, if the manuscript is not accepted, to proceed to peer-review. The *Author* subsequently then may consider resubmitting the same article after a further review of the initial feedback received. The articles which are accepted for peer-review are properly managed (i.e. assigned an ID, etc.) and forwarded for peer-review process. Finally, the *Author* is notified of progress while the article is being reviewed. The following is a step-by-step progression of above mentioned enactment process when applied on the *Submission* process.

- Step 1: Modelling the Submission Process: Using the above description, the RAD model of the Submission process that captures the basic flow of activities is depicted in Figure 2(a). RADModeller Ver. 1.1.0 (RADModeller, 2006) is used as the process modeller component of the Process Modelling layer. There are two roles involved in this process: Author and Editor. Activities such as "Peer-review Article" in the Editor role is shown as an encapsulated activity, as it may involve other roles such as a Reviewer role that does not fall within the region of the Submission process.
- Step 2: Generating the generalised XML representation of the Submission Process: Using BPMSOA's role-based α-Metamodel and abstraction component, the XML representation of the Submission process is generated and a snippet is represented in Figure 2(b). Once again, RADModeller Ver 1.1.0 is used as the abstraction component of the Generalisation layer.
- Step 3: Translating the process model into pi-ADL specifications: Using the role-based τ-Metamodel and customisation component, the XML representation of the Submission process is translated to the Java programming language, which can facilitate the concretisation component in translating it into pi-ADL. Using the role-based χ-Metamodel, the RAD pi-ADL specifications have been generated (Khan 2009) which can be utilised to program role-based business process models into pi-ADL. Due to space limitations these RAD pi-ADL specifications cannot be presented here but a small example is depicted in Annex-II. Using these RAD pi-ADL specifications, the Submission process is translated into pi-ADL (over 2000 lines of code)\* and accessible from (Khan 2009). The signatures of more specific pi-ADL abstractions from the RAD pi-ADL specifications, which have been used to translate specific RAD constructs of the Submission process model, have been presented in Table 2. In Table 2, the application of the RAD-based pi-ADL specifications for the different modelling constructs can be classified into the following three categories:
  - Fully-automated: reflects that a RAD modelling construct can be automatically translated into the corresponding pi-ADL abstractions. For example, Proxy\_Router, IRegistry\_Process, Interaction\_Registry, Router\_Role\_Connect, Process\_Engine\_Enactment, Driver\_Part\_Interaction, Part\_Interaction, Part\_Registry, and utility functions, etc;
  - Semi-automated: shows that a RAD modelling construct requires human intervention to add specific elements to the corresponding RAD pi-ADL abstractions, such as Role, Activity External Code, Case Activity, RAD Trigger, etc.

Due to space limitations, the pi-ADL code for the Submission process is either accessible from (Khan 2009) or downloadable from <a href="http://www.cems.uwe.ac.uk/~zakhan/BPMSOA/processes.pdf">http://www.cems.uwe.ac.uk/~zakhan/BPMSOA/processes.pdf</a>.

For example, defining parameters and their values for a specific instance of *Activity\_External\_Code* of a process activity which are required to invoke a specific grid service. In addition, *Case\_Activity, RAD\_Trigger, Instantiate\_Activities* (sub-abstraction or Role for handshaking protocols), etc. need to declare global channels which can be utilised for human user interaction for specific roles. Similarly, in order to handle loops, *Loop\_handling\_with\_Activity* and *iterative\_flow* abstractions are defined which utilise global channels to demonstrate iterative behaviour; and,

- *Customised:* represents a RAD modelling construct which requires humans (i.e. pi-ADL programmers) to define the required behaviour of that modelling construct in the respective pi-ADL specification. For example, a driver part interaction may receive a resource value from a human user and may compose it into *Resource\_Abst* which would require behavioural changes in the default *Driver\_Part\_Interaction* abstraction.
- Step 4: Executing the pi-ADL specification of the Submission Process: When the pi-ADL specification of a business process model is enacted using the ArchWare environment (ArchWare, 2005), the direct and indirect outputs are obtained. The direct output (i.e. human-based activities) of the execution of the Author and the Editor roles of the Submission process is depicted in Figure 3. In Figure 3, human users enter input values for specific activities within quotes (i.e. ""), whereas the system generated output is depicted without any quotes. Also, both the Author and the Editor roles are executed in the required order as labelled with the sequence numbers and associated descriptions. The handshaking protocol is used to ensure that the human user is connected with a user interface to interact with the required process activities at run-time. Furthermore, it is also depicted in Figure 3 that both of these roles execute loop, case refinements and nested part refinement constructs. The execution output also reveals that the pi-ADL based process activities successfully communicate with the ASP component of the proxy router (Khan, 2009), which enables them to be integrated with the respective grid-aware services, for example being deployed using the Globus Toolkit 4 (Sotomayor, 2005). In contrast, the indirect outputs (i.e. the detailed execution and communication logs of the Submission process and the ASP component of the proxy router) are used for the evaluation of the dynamic behaviour of the Submission process model as discussed in the following section.

#### 5. EVALUATION AND DISCUSSION

In order to evaluate the BPMSOA framework, a structured evaluation methodology has been derived from the research hypothesis (section 1) (Khan, 2009) and is briefly presented below. In general, this evaluation is mainly concerned with the static verification of the correct syntax of the translations which take place at the different layers of the BPMSOA framework. In addition, it also performs the dynamic validation of the correct behaviour of the given business process when enacted. The overall goal of the combined static verification and dynamic validation is to assess and reflect on the extent to which the BPMSOA framework can be utilised to bridge the gap between business process models and GSOA environments. Both static verification and dynamic validations are based on the Kotonya and Sommerville's concern-based approach (Kotonya, et. al, 2002) to derive main concerns which are verified and validated using the checklist questionnaires and walkthrough techniques (Sommerville, 2006).

# 5.1. Static Verification

Static verification is performed in two stages. In the *first stage*, the translation from a business process model to the respective abstract generalised form (i.e., RAD to XML) is verified with respect to the role-based *a-Metamodel*. In the *second stage*, the translation from the generalised process form to the concrete and executable form (i.e., XML to pi-ADL) is verified with respect to the pi-ADL specifications of RADs. In general, the above two verification stages conform to the expression 'Are we building the product right?' (Sommerville, 2006) i.e. do the respective translations conform to the guidelines of the respective metamodels at the different layers of BPMSOA? Using the concern-based approach (Kotonya, et. al, 2002), Figure 4 depicts the main concerns related to static verification. In Figure 4, verification stage I requires the quantitative analysis of RAD business process models, with the objective of cross-verifying that the modelling in a given RAD process model tallies with its respective XML modelling elements. Figure 4 also derives the main requirements and associated questionnaires for verifying the correctness of the resultant pi-ADL specifications of the translated RAD XML constructs. Based on these concerns different RAD pi-ADL abstractions, newly defined data types and channel unifications are verified using walkthrough/inspection technique (Sommerville, 2006).

As a result of the derived questions (as depicted in Figure 4), a checklist for necessary mappings has been prepared using different RAD pi-ADL abstractions, newly defined data types (a glimpse is depicted in Table 3 and presented in detail in (Khan, 2009)) and channel unifications, which are verified using walkthrough/inspection technique (Sommerville, 2006). A few checklist questionnaires to assist this verification have been shown in Table 4.

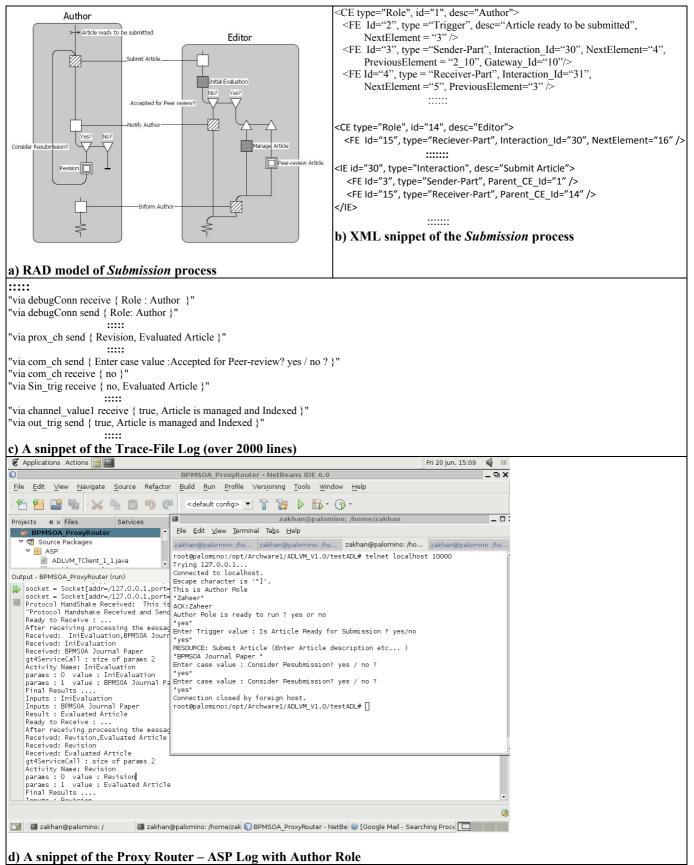


Figure 2: Translations and execution logs of the Submission process using the BPMSOA

 Table 2: pi-ADL Abstractions of the Submission Process

RAD Modelling Construct       Concerned       XML		Corresponding	Classification of RAD pi-	
Elements	Model Elements	RAD pi-ADL Abstraction(s) (actual pi-ADL code is over 2000 Lines of Code)	ADL Abstractions	
	Trigger (Article Ready)	RAD_Trigger using normal_flow, iterative_flow and abst_comp	Semi-automated	
	Sender-Part (Submit Article)	Driver_Part_Interaction	Customised	
	Receiver-Part (Notify Author)	Part_Interaction	Fully-automated	
Modelling constructs of the Author Role	Conditional-Activity (Consider Resubmission?)	Case_Activity	Semi-automated	
	Gateway-Condition, Case-Thread (Yes?), Case-Thread (No?)	Case_Refinement, XOR_Split, Case_Synchornisation, Case_Thread1, Case_Thread2	Customised and Semi-automated	
	Activity-Encapsulation (Revision)	RAD_Activity, Activity_Internal, and Activity_External_Code	Semi-automated	
	Gateway-Iterative	Normal_flow, iterative_flow, and abst_comp sub- abstractions	Semi-automated	
	Activity-Stop (Stop)	Stop abstraction	Fully-automated	
	Receiver-Part (Inform Author)	Part_Interaction1	Customised and Semi-automated	
Author Role		AuthorRole	Semi-automated	
	Receiver-Part (Submit Article)	Part_Interaction	Fully-automated	
	Activity-Action (Initial Evaluation)	RAD_Activity, Activity_Internal, and Activity_External_Code	Semi-automated	
Modelling	Conditional-Activity (Accepted for Peer-review?)	Case_Activity1	Semi-automated	
constructs of the Editor Role	Gateway-Condition, Case-Thread (No?), Case-Thread (Yes?)	Case_Refinement1, XOR_Split, Case_Synchornisation, Case_Thread3, Case_Thread4	Semi-automated	
	Sender-Part (Notify Author)	Driver_Part_Interaction1	Fully-automated	
	Gateway-Concurrent, Part- Thread1, Part-Thread2.	Part_Refinement, Part_Split, Part_Synchronisation, Part_Thread1, Part_Thread2.	Semi-automated	
	Activity-Action (Manage Article)	RAD_Activity, Activity_Internal, and Activity_External_Code	Semi-automated	
	Activity-Encapsulation (Peer- review Article)	RAD_Activity, Activity_Internal, and Activity_External_Code	Semi-automated	
	Sender-Part (Inform Author)	Driver_Part_Interaction1	Fully-automated	
Editor Role		EditorRole	Semi-automated	
Submission Process		Proces_Engine_Enactment, Process_Controller, Router_Role_Connect, IRegistry_Process, Proxy_Router, Utility Functions, Customised Data Types	Semi-automated	

# 5.2. Dynamic Validation

Dynamic validation is used to evaluate the behavioural correctness of the respective pi-ADL specifications of the RAD business processes at run-time which generates *direct* and *indirect* outputs. The aim here is to reveal the presence of any behavioural errors and limitations in the respective pi-ADL specification in order to suggest improvements accordingly. In addition, this validation is used in assessing the suitability of pi-ADL as the mobile process execution language adopted in the BPMSOA framework. This behavioural validation conforms to the expression 'Are we building the right product?' (Sommerville, 2006) i.e. is the process model specification that an end user or a client expects correctly propagated to the pi-ADL level? Using the concern-based approach (Kotonya, et. al, 2002), Figure 5 derives the main concerns and associated questions to validate the behavioural correctness of the resultant pi-ADL specifications by enacting the *Submission* process

model. Based on these concerns, checklist questions have been derived (a few question are depicted in Table 5) and utilised in dynamically validating the behaviour of RAD process models.

The pi-ADL specification of the *Submission* process is enacted and executed using the *execution engine* component and an execution log (i.e. *indirect* output) is stored in a trace-file (only a snippet is shown in Figure 2(c) as the actual log is over 2000 lines and accessible from Khan 2009). This execution log is made more expressive by using a debugger channel (i.e. debugConn) that records the communication between the different channels of the RAD pi-ADL abstraction instances. Furthermore, the ASP component of the *proxy router* stores the log of the communication between the RAD process activities and the respective services (a snapshot of ASP log is shown in Figure 2(d)). Both of the above logs serve as *indirect* outputs for the process being executed. In addition, the output generated to have human-user interaction during the execution of the *Submission* process model is used as a *direct* output and has been presented in Figure 3.

**Table 3:** pi-ADL Abstractions and Data Types for some of the Role, Hanging Thread and Process Modelling Constructs of RAD Modelling (Khan, 2009; Khan, et. al, 2008c)

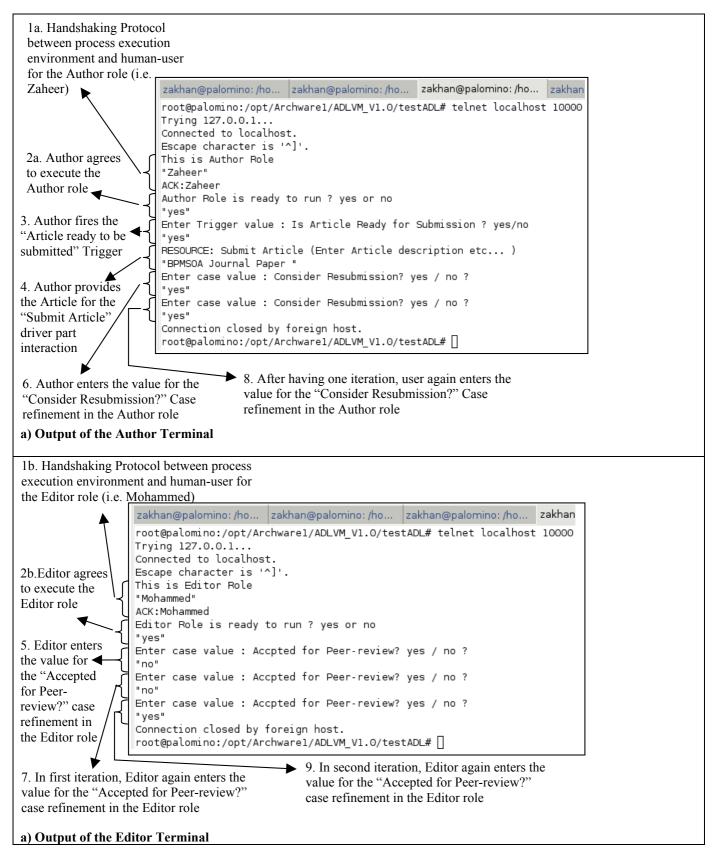
Concerned Elements (RAD Constructs)	New pi-ADL Constructs (or Abstraction)	Additional supporting pi- ADL Abstractions	New Data Types
Role	Role, router_role_connect	Iregistry_Process Interaction_Repository Registry_Filler	IR_Tuple, Trans_Element, Role_Address, globally scoped Role Channel(s)
Hanging Thread	-	Customised FE abstractions, for_hangthread n	Role scoped channels
Process	Process_Engine_Enactm ent	Process_Controller, IRegistry_Process, Proxy_Router	Globally declared channels

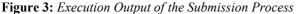
**Table 4**: A Few Static Verification Checklist Questions for Role Modelling Construct of RAD (Khan, 2009; Khan, et. al, 2008c)

Modelling constructs and additional pi- ADL abstractions	Concerned Checklist Questions
Role	<ol> <li>Does each role abstraction consist of abstractions of individual RAD constructs?</li> <li>Have RAD constructs inside the role abstraction been enacted?</li> <li>Have the channels of RAD constructs inside a role been unified as per the order depicted in the RAD process model?</li> <li>Have the mechanisms in a role abstraction been defined to handle more than one hanging threads?</li> <li>Has a global role_channel been declared for each role to communicate with human actors? E.g. trigger value, Case_Activity value etc.</li> <li>Has a protocol been defined to start role processing?</li> <li>Has a Part_Registry been enacted within a role abstraction to handle part interactions?</li> <li>Have all roles registered their names and channels to Interaction Registry at bootstrapping so that they can receive transmission element?</li> </ol>

Table 5: Dynamic Validation Checklist Questions For a few RAD Modelling Constructs (Khan, 2009; Khan, et. al, 2008c)

Modelling	<b>Concerned Questions for the Expected Behaviour</b>
Constructs	
Role	1. Has the role performed the required behaviour during execution?
	2. Has the topological formation within role correctly executed? i.e. flow of activities.
	3. Have all the hanging threads executed properly?
Activity and	4. Has the activity performed the required behaviour during execution?
Encapsulation	5. Has the activity properly linked with its corresponding grid service?
Trigger	6. Has the trigger performed the required behaviour during execution?
Stop	7. Has stop activity provided the required behaviour during execution?
Driver-part	8. Has the driver part interaction transmitted the resource and transmission element to its
interaction	corresponding receiver-part interaction in another role?
Receiver-part	9. Has the receiver part interaction received the resource being sent by its corresponding driver-
interaction	part interaction from another role?





# 5.3. Evaluation Outcomes

Both static verification and dynamic validation have identified a number of issues related to RAD process model translation and enactment using the BPMSOA framework, for example:

• Stage I verification verifies that the RAD model of the *Submission* process is correctly translated into its corresponding XML representation since the number of elements, their description and order in the XML representation is consistent with the corresponding RAD model (Khan, 2009). Also, inspecting the respective pi-ADL specifications of a RAD process model based on the stage II criteria verifies that the required functionality is correctly specified in the pi-ADL abstractions of the specific modelling constructs. However, stage II verification reveals that translating a RAD modelling construct into the respective pi-ADL specification *is not straightforward* since it requires customisation during translations for specific modelling constructs, for example, parametric values for RAD activities, resource elements (i.e. messages, e.g. 'Submit Article' driver part interaction of the *Submission* process), variation of control structures (i.e. loops, case and part refinements), human-user based activities, etc. (Khan, 2009). Such translation customisations lessen the extent to which RAD process models can be automatically translated into the respective pi-ADL specifications.

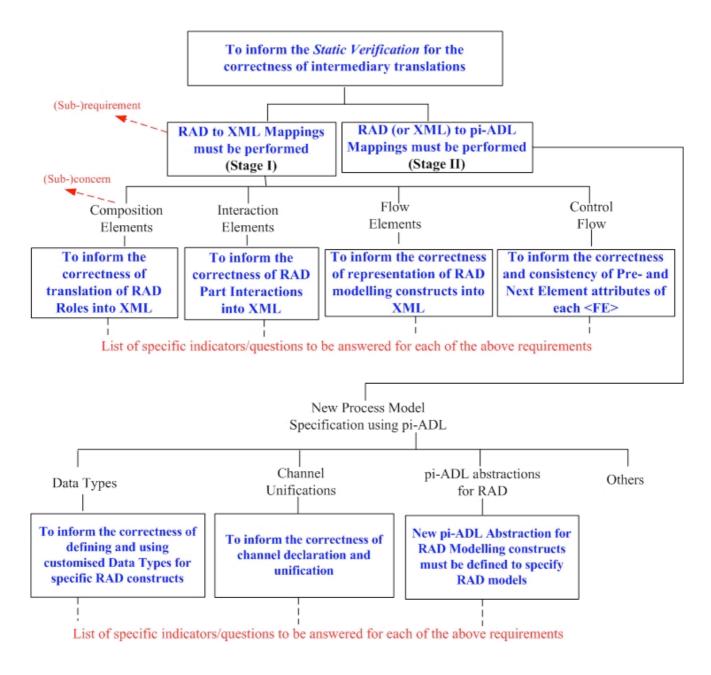


Figure 4: Static Verification using the Concern-based Approach

• In addition, the static verification (using inspections) of the pi-ADL specifications of the *Submission* process reveals that all the channel unifications have been correctly performed. An invalid channel unification between different abstractions may result in an unexpected process behaviour (e.g. halting the process). Such an error may be caused due to improper design of process abstractions or an incorrect channel qualifier name checking (i.e. syntactic checking), which cannot be detected at compile time. This indicates that channel unifications must be verified for process abstractions such as process activity, proxy router, case-refinements, loops, etc.

In general, the static verification of the role-based BPMSOA instantiation verifies that the translation of the *Submission* process from RAD process models to the respective pi-ADL specifications (through the intermediate XML translation steps) is syntactically correct with respect to the checklist questions derived using the adopted concern based approach. Stage II verification has revealed a number of issues which restrict the extent to which an XML representation of a RAD process model can be automatically translated into the respective pi-ADL specification. These issues can be mainly attributed to coarse grained RAD process models with poor execution semantics, and the restrictions in designing specific modelling constructs such as nested gateways (Khan, 2009).

Similarly, based on the above dynamic validation for the *Submission* process (Khan, 2009), the following few observations and results can be briefly reported and reflected on:

- Pi-ADL has the capability to capture and model different execution semantics, but to automate the correct interpretation of a coarse-grain RAD process model is not a straightforward procedure. The dynamic validation of the *Submission* process reveals that pi-ADL can model the structural, informational, flow and behavioural aspects of a business process (Khan, 2009). However, it has been observed that the more enriched RAD business process models are the more they can lead to correct and clear execution semantics. The following few scenarios highlight the uncertainty in determining the required execution behaviour for a particular modelling construct due to the unavailability of certain information in the corresponding RAD process models which may result in unwanted behaviour.
  - It is difficult to automatically determine at run-time whether the resource element of a driver-part interaction needs to
    be entered by a human-user of that role or that resource received from a previous activity or modelling construct.
    This reveals that there should be an application domain specific data model associated with the business process
    models that can be utilised during the process model execution. However, current models' representations (i.e. XML
    and pi-ADL specification of RADs) at different levels of BPMSOA cannot automatically generate such resources.
  - Similarly, a goal-state and/or merging two states does not provide clear execution semantics that can be automatically determined from the respective RAD model. For example, in the part refinement segment (in the *Editor* role of the *Submission* process model), all threads produce some state values which may need to be passed to an activity after the part refinement segment. However, the RAD model of the *Submission* process does not indicate how to synthesize these multiple state values generated by the part refinement threads before these are passed on to the next activity.
- The current RAD pi-ADL specifications necessitate process activities of the enacted process model to assemble the parameters required to invoke a particular grid service. A run-time error in integrating a process activity with the respective grid service (for example, due to incorrect parameters) can result in erroneous process execution behaviour such as halting the process model execution (Khan, 2009). For example, based on the *intra-role* model of  $\chi$ -Metamodel (Khan, et. al, 2007) when a process activity goes into blocking mode (i.e. the synchronous *receive* prefix is waiting for a reply from the *Proxy\_Router* abstraction), it also keeps the execution of the subsequent process modelling constructs blocking since they cannot continue their execution because they are waiting for the *control* trigger and *state* values from the default synchronous behaviour of pi-ADL *send/receive* prefixes. This suggests that further investigation is required in re-designing pi-ADL abstractions and handling such run-time errors.

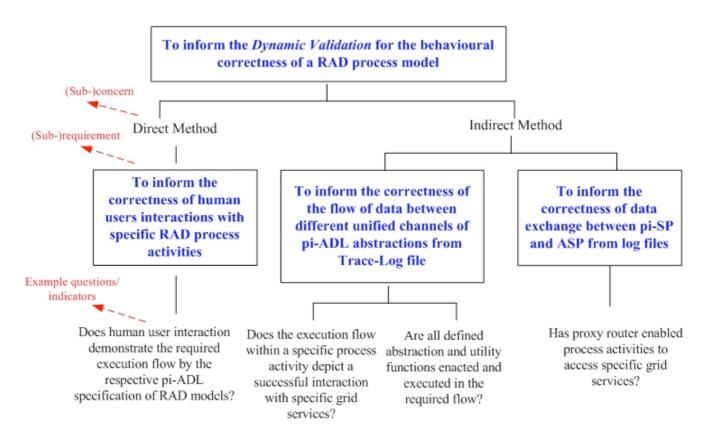


Figure 5: Dynamic Validation using the Concern-based Approach

In general, the execution behaviour of the *Submission* process has been dynamically validated and revealed the correct behaviour. This reflects on the suitability of pi-ADL as an execution language to be used in the BPMSOA framework to enact and execute a business process using application domain specific grid services (Khan, 2009). In addition, the pi-ADL specifications for RADs are still not complete and require further investigation since they currently cannot handle errors, exceptions, and are lacking in automating the proper identification of resources required for inter-role interactions at run-time.

# 6. ANALYSIS OF THE RESEARCH OUTCOMES

In this section, we briefly reflect on the BPMSOA's layered architecture, the use of metamodels, pi-ADL, and the respective model mappings at different layers.

- Business process models can be enacted in GSOA environments using the BPMSOA framework as demonstrated using the *Submission* process. The BPMSOA framework uses special purpose designed instantiation and application processes which facilitate the enactment of a role-based business process model into the GSOA environment via intermediary model translations. The orthogonal layers of the BPMSOA framework can be used to manage intermediary translated models as artefacts which can be reused for later process model enactment.
- The above characteristic suggests that the BPMSOA framework is closely aligned with the MDE approach as each layer generates a particular model of a given business process in accordance with its respective metamodels. Further, a top-down translation of Platform Independent Models (PIM) e.g. RAD, to Platform Specific Models (PSM) e.g. pi-ADL and its enactment is facilitated with a structured process. In addition, each associated metamodel has specific perspectives which capture different model dimensions such as structural, flow, non-functional, etc.
- There is a direct relationship between the particular instances of the BPMSOA metamodels, where each metamodel instance directs its associated layered component to perform specific model translation steps. For example, in (Khan 2009), the role-based *α-Metamodel* (i.e. at the *Generalisation* layer) is defined using the meta-concepts introduced in the *Process Modelling Metamodel* (i.e. at the *Process Modelling* layer). Similarly, the role-based instances of the *τ*-*Metamodel* and the *χ-Metamodel* (i.e. at the *Transformation* layer) are based on the concepts introduced in the role-based *α-Metamodel*. Further, particular instances of these associated metamodels can be used to capture a particular business process modelling approach such as role-based, etc. More instances of these metamodels allow BPMSOA to deal with

different process modelling approaches, and hence this suggests that BPMSOA can be a generalised framework. In addition, each metamodel is concerned with different perspectives (e.g. *a-Metamodel* consists of *Structural*, *Flow* and *Interaction* perspectives), where each is realised using a number of elements (e.g. *Structural* perspective of  $\alpha$ -metamodel consists of *Composition*, *Flow* and *Interaction* elements). The modular design of these metamodels is flexible enough to accommodate new perspectives (for example, a *Functional* perspective) and elements (such as *Control* elements, etc.), which suggests that BPMSOA can also be an extensible framework.

- The extent to which the intermediary translations can be automated in the BPMSOA framework is subject to generic mappings between different technological spaces such as role-based business process modelling languages, XML and pi-ADL. The application of the role-based BPMSOA framework on the *Submission* process of the DL domain has yielded that it is not straightforward to have such generic mappings because it requires bespoke design of the intermediary model representations and, in particular, the pi-ADL specifications associated with the dynamic behaviour of different business process modelling constructs. These limitations can be attributed to the restricted capability of these technological spaces (e.g. the business process modelling language space and architectural description languages such as pi-ADL) to be mapped and translated fully from one to the other. Hence, this reveals that the extent to which the BPMSOA framework can bridge the gap between business process models and service oriented environments depends on the capability to correctly map and translate business process models into their respective XML and pi-ADL specifications.
- Furthermore, the limitation of the translation automation may also be attributed to coarsely defined process models, adhoc, randomly presented resources or to data elements, and the associated human user responsibilities within the specific roles of the business process models. In addition, these limitations are not straightforward to identify automatically by intermediary translation tools. In contrast, humans are capable of handling the above limitations in real time and can make non-deterministic decisions to understand the semantics of specific process activities and the need for the required resources/data elements of particular business process models. However, software tools are constrained without human support in terms of correctly identifying and translating the syntactic representations and the semantic behaviour of coarsely grained process models into executable code. Hence, this suggests that role-based business process models can be enacted in GSOA environment but the translations for these process models into executable programs must be partially facilitated by programmers to aid undefined or coarsely grained execution semantics with additional resource or data requirements (Khan, 2009).
- The use of pi-ADL and its associated ArchWare tools play a major contribution in bridging the gap between business process models and GSOA environments. Although pi-ADL is considered a block-structured architectural description language, its *channel unification* and *mobility* features enable it to also capture graph-based models such as RAD, etc. For example, RAD modelling constructs are represented as abstractions using pi-ADL, which can embody the required programming expressions, statements, etc. related to the behavioural requirement for that particular modelling construct. When these abstractions are enacted, execution control can be passed from one abstraction instance to another using the unified and mobile channels between these instances through the *send* and *receive* action prefixes. These features enable the pi-ADL specification of a business process model to adhere to the specified control flow (Khan, 2009). However, the use of channel unification and mobility may result in erroneous process execution and/or halt process execution due to input/output (I/O) blocking (i.e. synchronous behaviour of *send* and *receive* prefixes), if improper channel unification is performed. As a consequence, this raises the need to incorporate advanced debugging facilities in order to identify possible erroneous channel unification to be applied for correct utilisation. Also, new design mechanisms can be investigated to facilitate asynchronous channel based communication in order to avoid limitations which can be caused due to I/O blocking prefixes.
- The pi-ADL specification of the *Submission* process (and in particular loop handling) shows that pi-ADL can execute the graph-based process structures using its channel based mobile communication characteristics. For example, the BPMSOA pi-ADL *iterative\_flow* and *abst\_comp* abstractions are activated using such characteristics. Thus, *in the absence of such characteristics, it will be difficult to achieve such graph-based (e.g. loops) behaviour* let alone designing pi-ADL specifications for RAD process models (Khan, 2009).
- Human roles or activities (i.e. activities with human intervention) can be simulated and executed in pi-ADL using a terminal node. Such roles and activities need to be distinguished from the outset in the *Process Modelling* and *Generalisation* layers since the BPMSOA and its respective role-based pi-ADL specifications do not cater for such a need unless it is identified in the above mentioned two layers.
- The application of the *Submission* process using the BPMSOA framework leads us to strongly conclude that pi-ADL can capture different dimensions (as envisaged in the MDE approach (Stuart, 2002)) of the original business process model such as platform specific executable specifications which can also include:
  - a subject area dimension such as human user based roles (e.g. the *Author* and the *Editor* roles in the *Submission* process), or system supported activities (e.g. in the *Submission* process, performing initial evaluation for a submitted article); and,

- an aspect dimension such as process flow (within a role or process model) which may include sequence, concurrency, iteration, distribution, information and data. This enables programmers to program both block-structured as well as graph-based business process models in pi-ADL.
- The application of the separation of concerns design principle has yielded a logical separation between sub components of BPMSOA layers. For example, the *proxy router* component enables pi-ADL based process activities to communicate with respective grid services without interfering with the associated pi-ADL based process execution (Khan, 2009; Khan, et. al, 2008b). Furthermore, the design of the *proxy router* sub-components can be extended to introduce a Universal Description Discovery and Integration (UDDI) like mechanism and can also access the required functionality from other IT applications, e.g. web-services, P2P, etc.
- The above model of enacting a business process using the BPMSOA framework further suggests its suitability for process agility (Khan, 2009). For example, while the execution behaviour of RAD modelling activities may not be determined using its respective RAD model, this execution behaviour is acquired from the respective application domain specific grid services. This approach demonstrates that the actual process workflow is translated into respective pi-ADL specifications and is executed in the ArchWare Virtual Machine (ADLVM) (ArchWare, 2005). However, the behavioural functionality of the executing process activities is acquired from the application domain specific grid services using the *proxy router* component. On the one hand, a change in the process design topology (i.e. its workflow or structure) is not affected by the behavioural functionality of the respective process activities since it can be accessed from specific application services. On the other hand, binding a new application domain specific grid service (i.e. with different functionality) with the specific executing process activity can also accomodate the required behavioural changes.
- In addition, the following limitations have been revealed which will be dealt as future research work:
  The absence of an application domain specific resource data model can make it difficult to automatically specify a business process in pi-ADL, because it is not possible to automatically determine from the given RAD model of a business process the data elements or resources which need to be exchanged during inter-role interactions, for example, an article or manuscript in the case of the Submission process. This indicates that an application domain specific data model needs to be introduced in the BPMSOA framework that can be associated with business process representations at different layers, which can be considered as a step towards handling this shortcoming.
- Designing and customising the pi-ADL specification for complex RAD process models is not a straightforward task. For example, as the level of control flow complexity (e.g. with nested gateways, overlapping loops, loops with hanging thread fragments and replicate refinement) increases in a RAD business process model, this may require refinements in the current RAD pi-ADL specifications. For example, in a RAD model a *replicate refinement* (indefinite part-refinement) can be represented. This however, cannot be accommodated by the current RAD pi-ADL specifications due to the need to specify the required number of part threads with their constructs and channel unifications. Hence, more case studies with complex control flows need to be conducted in order to find remedies for such shortcomings.
- *Errors and Exceptions can lead to the unexpected execution behaviour of a given business process.* The current pi-ADL specifications for RAD models do not cover exception and error handling, mainly due to the non-existence of any asynchronous channel communication in pi-ADL. This indicates that the current RAD pi-ADL specification needs to incorporate additional mechanisms to handle errors and exceptions by incorporating asynchronous communication design.

# 7. CONCLUSION

In conclusion and despite the above issues discussed in section 6, the evaluation outcomes reflect on the *usefulness* of BPMSOA as a framework that has been instantiated using the role-based process modelling paradigm and in particular using RADs as a step towards bridging the gap between role-based business process models and service oriented environments. In particular, the use of pi-ADL as a process execution language demonstrates its capability to specify, enact and execute complex business process models. We used a simple case study from digital libraries domain to establish a foundation for conducting the experiments. The same approach (i.e. by using the BPMSOA framework) could be adopted for other application domains (e.g. finance, etc.) to integrate business process activities with grid utility services. However, the RAD pi-ADL specifications need further extensions (for example, handling run-time errors) and refinements (for example, static parametric requirements to invoke respective grid services), which are anticipated to increase the *usability* of the BPMSOA framework when validated using different other process modelling paradigms and complex designed business processes.

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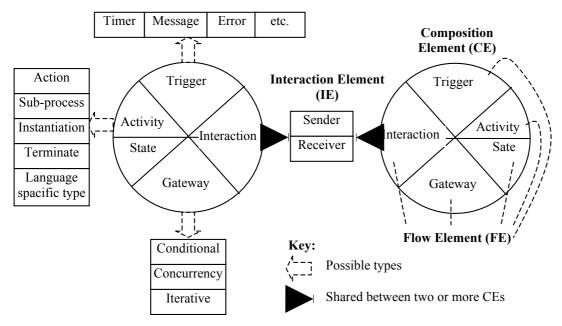
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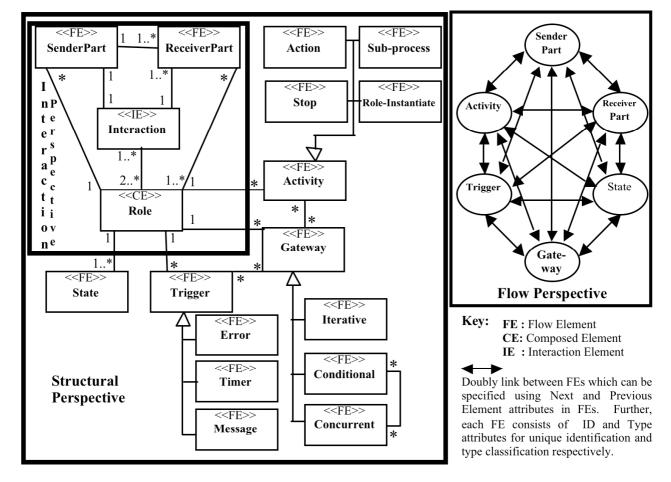
OMII-BPEL: URL Access: http://sse.cs.ucl.ac.uk/omii-bpel/docs/index.html Last Accessed: 28 April 2011.

# ANNEX - I: BPMSOA FRAMEWORK: STRUCTURES OF THE ROLE-BASED METAMODELS

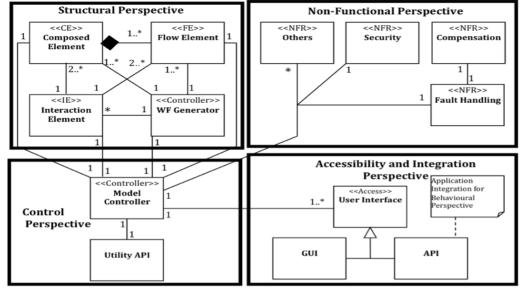


#### 1. A generic role-based meta-model (Khan, 2009; Khan, et. al, 2008c)

2. Structure of the Role-based (in particular RAD) α-Metamodel (Khan, 2009; Khan, et. al, 2008c)



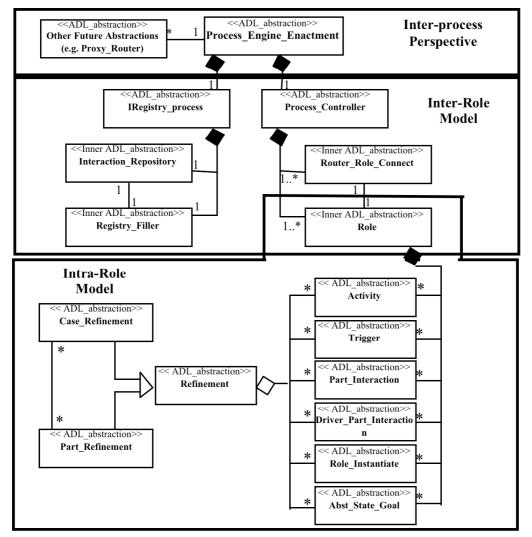
#### 3. Structure of the Role-based (in particular RAD) 7-Metamodel (UML model) (Khan, 2009; Khan, et. al, 2008c)



#### Key:

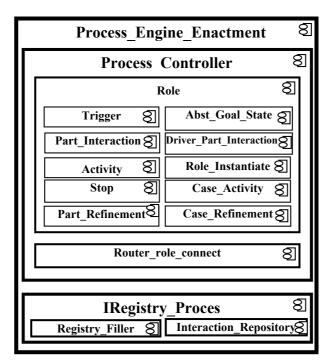
**CE :** Composed Element, **FE :** Flow Element, **IE :** Interaction Element, **API :** Application Programming Interface, **NFR:** Non-Functional Requirement, **GUI:** Graphical User Interface, **WF:** Work Flow





#### ANNEX - II: RAD PI-ADL SPECIFICATIONS: AN EXAMPLE

1. New Pi-ADL abstractions for RAD (Khan, 2009)



#### 2. An example: pi-ADL code of IRegistry\_Process abstraction for Interaction Repository Model (Khan, 2009)

Like an SOA model, in RAD pi-ADL specifications, the *Interaction Registry* model (Khan, 2009; Khan, et. al, 2007) enables two RAD roles to interact using a driver part and a receiver part interaction using a central registry mechanism. From RAD pi-ADL specifications (Khan, 2009), Figure B.1 (b) depicts the sequence of activities and interaction between different newly defined pi-ADL sub-abstractions to implement an interaction between two roles as depicted in Figure B.1 (a). For example, in Figure B.1 (b) the following steps are executed in sequence to perform a part interaction activity: (1) Likewise to a service provider in an SOA model, all roles register one dedicated mobile channel with the *Interaction Registry* component; (2) Similarly, all receiver part interactions inside a role register one dedicated mobile channel to *Part Registry* of the role; (3) Driver part interaction sends resource (e.g. message) to the associated instance of *Router Role Connect* abstraction which in steps (4) and (5) gets the registered mobile channel of the receiver role of the part interaction from the *Interaction Registry*; (6) Then the *Router Role Connect* forwards the resource on the received mobile channel of the receiving role; After receiving the resource, in steps (7) and (8), the receiving role gets the registered mobile channel of the receiving part interaction from the *Part Registry*; (9) Then this resource is forwarded to the receiving part interaction on the received mobile channel of the part interaction from the received mobile channel of the receiving part interaction from the received mobile channel of the receiving part interaction from the receiving part interaction from the part interaction from the receiving part interaction from the receiving part interaction from the part interaction from the receiving part interaction from the part interaction from the receiving part interaction from the part in

The *IRegistry\_Process* abstraction is the implementation of the *Interaction Registry* model using the pi-ADL. It consists of two sub abstractions: the *Interaction\_Registry* and the *Registry\_Filler*. The instance of *Registry\_Filler* abstraction utilises the addresses and names of roles and interaction activities (i.e. Interaction Elements (IE) from the  $\tau$ -Metamodel) to populate the *Interaction\_Registry (also referred as Interaction\_Repository)*. This results in binding the addresses of both the sender and the receiver part interactions with the corresponding roles in the *Interaction Registry* at the start of the execution of a business process. The *Interaction\_Registry* abstraction provides many sub abstractions which are used to manage the *Interaction Registry* (i.e. store, extract and remove the addresses and names of roles and interactions activities).

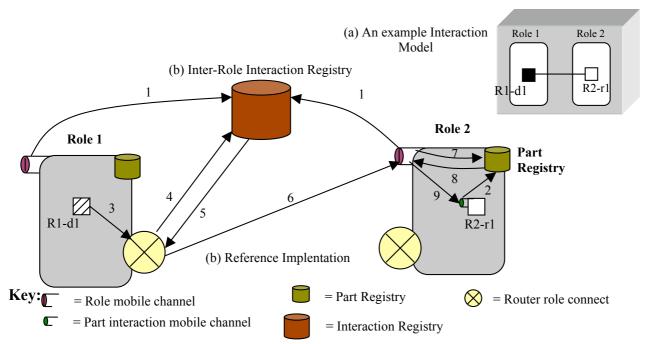


Figure B.1 Interaction Registry working model (Khan, 2009)

The pi-ADL code for the above *Interaction Repository* model (Figure B.1 (b)) is detailed below (anything after '!' is considered as comments by the ADL Virtual Machine).

#### value IRegistry\_process = abstraction();{

value tuple\_Registry = sequence(dummy\_IR\_tuple)
value t\_registry = location(tuple\_Registry)
value address\_registry = sequence(dummy\_Rol\_Address)
value r\_registry = location(address\_registry)
value mobile\_IRtuple\_channel\_rec = connection(IR\_Tuple)
value mobile\_IRtuple\_channel\_rec1 = connection(IR\_Tuple)
value send\_tuple = connection(IR\_Tuple)
value tuple\_rec\_channel = connection(connection[IR\_Tuple])
value tuple\_rec\_channel = connection(Role\_Address)
value role\_name\_channel = connection(Role\_Address)
value role\_add\_channel = connection(Role\_Address)
value rem\_add\_mchannel = connection(Role\_Address)
value addr\_rec\_channel1 = connection(Role\_Address)
value role\_Address)

# !Following abstraction implements Interaction Repository value Interaction Repository = abstraction();{

value tuple\_channel = connection(connection[IR\_Tuple])
value mobile\_IRtuple\_channel = connection(IR\_Tuple)
value dummy\_tuple = location(dummy\_IR\_tuple)
tuple\_Registry excluding dummy\_IR\_tuple
address\_registry excluding dummy\_Rol\_Address
via tuple\_channel send mobile\_IRtuple\_channel
via tuple\_rec\_channel send mobile\_IRtuple\_channel\_rec
via tuple\_rec\_channel1 send mobile\_IRtuple\_channel\_rec1

value receive\_and\_add\_tuple\_values = abstraction();{replicate{
 via mobile\_IRtuple\_channel receive IR\_entry : IR\_Tuple
 tuple\_Registry including IR\_entry
 t\_registry := tuple\_Registry
 done}
} ! End of receive and add tuple values

value receive\_and\_add\_role\_address = abstraction();{replicate{
 via role\_channel receive role\_reference : Role\_Address
 address\_registry including role\_reference
 r\_registry := address\_registry
 done}

# }! End of receive\_and\_add\_role\_address

value get\_address\_from\_Registry = abstraction();{replicate{
 via role\_name\_channel receive role\_name : string
 value dummy\_address = view(name=role\_name,mchannel=dummy6)
 iterate 'r\_registry by r : Role\_Address
 from ra = location(dummy\_address)
 accumulate { if (r.name = 'ra.name) then r else 'ra }
 via role\_add\_channel send 'ra.mchannel
 done}
} ! End of get\_address\_from\_Registry

value remove\_address\_from\_Registry = abstraction();{

via addr\_rec\_channel1 send rem\_add\_mchannel
replicate{
 via rem\_add\_mchannel receive rm\_add : Role\_Address
 iterate 'r\_registry by r : Role\_Address
 from rt = location(rm\_add)
 accumulate {if (r.name='rt.name) then r else 'rt}
 address\_registry excluding 'rt
 r\_registry := address\_registry
 dened

done}

} ! End of remove\_address\_from\_Registry

value get\_tuple\_from\_Registry = abstraction();{replicate{

via mobile\_IRtuple\_channel\_rec receive d : IR\_Tuple dummy\_tuple := d iterate 't\_registry by e : IR\_Tuple from dt = location(d) accumulate { if ((e.drv\_role='dt.drv\_role) and (e.drv\_entity='dt.drv\_entity)) then e else 'dt } via send\_tuple send 'dt done}

}! End of get\_tuple\_from\_Registry

value remove\_tuple\_from\_Registry = abstraction();{replicate{
 via mobile\_IRtuple\_channel\_rec1 receive rm\_tuple : IR\_Tuple
 iterate 't\_registry by e : IR\_Tuple
 from dt = location(rm\_tuple)
 accumulate {
 if ((e.drv\_role='dt.drv\_role) and (e.drv\_entity='dt.drv\_entity)) then e else 'dt
 }
 tuple\_Registry excluding 'dt
 t\_registry := tuple\_Registry
 done}

# } ! End of remove\_tuple\_from\_Registry

compose{

 rm\_tp\_reg as remove\_tuple\_from\_Registry()

 and

 gt\_tp\_reg as get\_tuple\_from\_Registry()

 and

 rec\_tp\_reg as receive\_and\_add\_tuple\_values()

 and

 get\_add\_reg as get\_address\_from\_Registry()

and rec\_add as receive\_and\_add\_role\_address() and rm\_ad\_reg as remove\_address\_from\_Registry() } done

}! End of Interaction Repository

### **!Following abstraction implements Registry Filler**

value Registry\_Filler = abstraction();{
 value sir tuple channel = connection(connection[IR Tuple])

done}

}! End of set\_interaction\_registry

! Following abstraction fills IR with *IR\_Tuple* (for Figure B.2 below) value send\_registry\_tuples = abstraction();{ value tuple\_value1 = view(drv\_role="R1", drv\_entity="R1-d1", rec\_role="R2", rec\_entity="R2-r1")

value tuple\_send = connection(IR\_Tuple)
via tuple\_send send tuple\_value1
via tuple\_send send tuple\_value2
done

}! End of send\_registry\_tuples

compose{

!Instantiating the Interaction\_Repository and Registry\_Filler abstractions

compose{

IR as Interaction\_Repository()

and

RF as Registry\_Filler() where {RF::sir\_tuple\_channel unifies IR::tuple\_channel}

```
}
done
```

# } ! End of IRegistry\_Process abstraction

# 3. pi-ADL Script for an Inter-Role Interactions using Interaction Repository Model (Khan, 2009)

In order to demonstrate an inter-role interaction using *Interaction Repository (IR)* model as depicted in Figure B.1 (b), the following script shows two interactions between two roles (Role1 and Role2), where Role1 send a message to Role2 and after receiving the message Role2 sends back a message to Role1, as shown in Figure B.2. Please note that anything after '!' is considered as comments by the ADLVM.

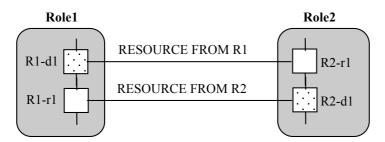


Figure B.2: A simple RAD model depicting Inter-Role Interaction (Khan, 2009)

**!Terminal node channel declaration – allows Input/Output e.g. input from users, connection to sockets, etc.** value ins value = stdio(std)

# !Global Type declarations - defined in detail in (Khan, 2009)

type IR\_Tuple is view[drv\_role:string, drv\_entity:string, rec\_role:string, rec\_entity:string] type Trans\_Element is view[res:string, tuple1:IR\_Tuple] type Role\_Address is view[name:string, mchannel:connection[Trans\_Element]] type Part\_Address is view[name:string, mchannel:connection[Trans\_Element]]

# !Following variables are declared to initialize different pi-ADL constructs with default values

# **!Important Note!**

!Here pi-ADL code of Interation Repository model will be utilised - as listed above.

# !Declaration of part\_interaction abstraction

#### value part\_interaction = abstraction(rname: string, pname:string, role\_channel:connection [Part Address], rm channel:connection[Part Address]); {

```
value in trig = connection(string)
value out trig = connection(string)
value res rec channel = connection(Trans Element)
value part address = view(name=pname, mchannel=res rec channel)
via in trig receive in x : string
if (in_x="true") then{
        via role channel send part address
        via res_rec_channel receive resource_ab : Trans_Element
        !! now also remove tuple1 from registry inside role...
        via rm channel send part address
        via out_trig send "true"
}
else {
        via out_trig send "false"
        done
}
done
```

} ! End of part\_interaction abstraction

! !Declaration of driver\_part\_interaction abstraction value driver\_part\_interaction = abstraction(drname:string, dpname:string, res:string, te\_channel:

#### connection[abstraction[]], re channel:connection[abstraction[]]);{

```
value in trig = connection(string)
value out trig = connection(string)
via in trig receive in x : string
```

```
value resource abst = abstraction();{
        value resource = res
        value resource out channel = connection(string)
        via resource out channel send resource
        done
}! End of resource abst
```

```
value Trans envelope = abstraction();{
```

```
value HEADER = view(drv_role=drname, drv_entity=dpname, rec role="", rec entity="")
value header out channel = connection(IR Tuple)
via header out channel send HEADER
done
```

#### } ! End of Trans\_envelope

```
if (in x = "true") then {
```

```
via te channel send Trans envelope
        via re channel send resource abst
        via out trig send "true"
        done
else {
        via out trig send "false"
        done
```

}

} ! End of driver part interaction abstraction

#### ! An empty abstraction required to initialize other necessary abstractions value dummy te = abstraction();{

```
done
```

```
}! End of dummy te
```

```
! Process Controller abstraction enacts router role connect and roles with their associated elements
value Process Controller = abstraction(stop chann:connection[string]);{
```

```
value dummy chan = connection(string)
value tuple rec channel = connection(connection[IR Tuple])
value tuple rec channel1 = connection(connection[IR Tuple])
value tuple rec value = connection(IR Tuple)
value r address channel = connection(Role Address)
value r name channel = connection(string)
value r maddr channel = connection(connection[Trans Element])
value addr rec channel1 = connection(connection[Role Address])
via tuple rec channel receive m tuple channel : connection[IR Tuple]
via tuple rec channel1 receive m tuple channel1 : connection[IR Tuple]
via addr rec channel1 receive m addr channel1 : connection[Role Address]
```

#### ! Following is the router role connect abstraction value router role connect = abstraction(parent role:string, rname chan:connection[string], mchannel:connection[connection[Trans Element]])

```
{
```

type IR Tuple is view[drv role:string, drv entity:string, rec role:string, rec entity:string] type Rol\_Address is view[name:string, mchannel:connection[abstraction[]]] value m\_te\_channel = connection(abstraction[]) value channel te transf = connection(connection[abstraction[]]) value m re channel = connection(abstraction[]) value channel\_re\_transf = connection(connection[abstraction[]]) value trans env loc = location(dummy te)

value trans res loc = location(dummy te) value header loc = location(dummy IR tuple) value resource loc = location("resource") via channel te transf send m te channel via channel re transf send m re channel value receive te = abstraction(m tchannel:connection[abstraction[]], m rchannel: connection[abstraction[]]);{replicate{ via m tchannel receive te : abstraction[] via m rchannel receive re : abstraction[] trans env loc := te trans res loc := re value extract send te = abstraction();{ value header in channel = connection(IR Tuple) value resource\_in\_channel = connection(string) via header in channel receive header : IR Tuple header loc := header via resource\_in\_channel receive resource : string resource loc := resource value trans element = view(res=resource,tuple1=header) via m tuple channel send header via tuple rec value receive ttuple : IR Tuple header loc := ttuple if (ttuple.drv role = header.drv role) and (ttuple.drv entity= header.drv entity) and (ttuple.rec role="") and (ttuple.rec\_entity="") then { via ins value send "No entry matches inside IR, router ! 'n" done } else { via ins value send " entry matches 'n" via rname chan send ttuple.rec role via mchannel receive rmchannel : connection[Trans Element] value resource\_new = view(res=resource,tuple1=ttuple) via rmchannel send resource\_new done done }! End of extract send te compose { tr en as te() and tr re as re() and ex\_te as extract\_send\_te() where { tr\_en::header\_out\_channel unifies ex\_te::header\_in\_channel, tr\_re::resource\_out\_channel unifies ex te::resource in channel} done} }! End of receive te abstraction receive\_te(m\_tchannel=m\_te\_channel, m\_rchannel=m\_re\_channel) done } ! End of router\_role\_connect abstraction

```
value part registry = sequence(dummy Part Address)
value p registry = location(part registry)
value out value = connection(string)
value loc final = location("")
value ac1 = driver part interaction
                                      ! R1-d1
value ac2 = part interaction
                                      ! R1-r1
value role name = rol name
value mchannel role = connection(Trans Element) !this is the role channel set in IR
value Role Addr IR = view(name=role name, mchannel=mchannel role)
value channel_te_transf = connection(connection[abstraction[]])
value channel re transf = connection(connection[abstraction[]])
via channel te transf receive m te channel : connection[abstraction[]]
via channel re transf receive m re channel : connection[abstraction[]]
via raddress send Role Addr IR
value rem add mpchannel = connection(Part Address)
value part channel = connection(Part Address)
value part name channel = connection(string)
value part add channel = connection(connection[Trans Element])
```

#### 

value resource\_in\_channel1 = connection(string)
value header\_in\_channel1 = connection(Role\_Address)
replicate{
 via mres\_chann receive te\_res : Trans\_Element
 via part\_name send te\_res.tuple1.rec\_entity
 via addrec\_pchannel receive mchannel\_part1 : connection[Trans\_Element]
 via mchannel\_part1 send te\_res
 done

# } ! End of res\_reception abstraction

```
value receive_and_add_part_address = abstraction(); {replicate{
     via part_channel receive part_reference : Part_Address
     part_registry including part_reference
     p_registry := part_registry
     done}
```

```
} ! End of receive_and_add_part_address
```

```
value get_address_from_pregistry = abstraction(); {replicate {
     via part_name_channel receive part_name : string
     value dummy_address = view(name=part_name,mchannel=dummy6)
```

iterate 'p\_registry by p : Part\_Address
 from pa = location(dummy\_address)
 accumulate { if (p.name = 'pa.name) then p else 'pa }
via part\_add\_channel send 'pa.mchannel
done}

# } ! End of get\_address\_from\_pregistry

value remove\_address\_from\_pregistry = abstraction(); {replicate {
 via rem\_add\_mpchannel receive rm\_padd : Part\_Address
 iterate 'p\_registry by p : Part\_Address
 from pt = location(rm\_padd)
 accumulate {if (p.name='pt.name) then p else 'pt}
 part\_registry excluding 'pt
 p\_registry := part\_registry
 done}
} ! End of remove\_address\_from\_pregistry

#### value role\_pregistry\_inst = abstraction();{

and

gar as get\_address\_from\_pregistry() and

rar as remove address from pregistry()

} ! End of role pregistry inst

```
!! Following abstraction is used to instantiate very first activity within this role value instantiate activities = abstraction();{
```

value inst\_act = connection(string) via inst\_act send "true" done

} ! End of instantiate\_activities

```
!! Following abstraction links the post-state of very last activity within Role with Role
value role_channel_comm = abstraction();{
```

value in\_value = connection(string)
via in\_value receive final : string
loc\_final := final
done

```
} ! End of role_channel_comm
```

```
if (instance x = "true") then
ł
        compose {
                 inst as instantiate activities()
        and
                 rcc as role channel comm()
        and
                 rpi as role_pregistry_inst()
        and
                 c1 as ac1(drname=rol name, dpname="R1-d1", res="RESOURCE FROM
                          R1", te_channel=m_te_channel, re_channel=m_re_channel)
                 c2 as ac2 (rname = rol_name, pname="R1-r1", role_channel=part_channel,
        and
                          rm channel=rem add mpchannel) where {inst::inst act unifies
                          c1::in_trig, c1::out_trig unifies c2::in_trig, c2::out_trig unifies rcc::in_value}
         }
}
else
ł
        via ins value send "Role - role end false 'n"
        done
```

```
} ! End of Role1
```

value mchannel\_role = connection(Trans\_Element) !this is the role channel set in IR
value Role\_Addr\_IR = view(name=role\_name, mchannel=mchannel\_role)
value channel\_te\_transf = connection(connection[abstraction[]])
value channel\_re\_transf = connection(connection[abstraction[]])
via channel\_te\_transf receive m\_te\_channel : connection[abstraction[]]
via channel\_re\_transf receive m\_re\_channel : connection[abstraction[]]
via raddress send Role\_Addr\_IR
value rem\_add\_mpchannel = connection(Part\_Address)
value part\_channel = connection(string)
value part\_add\_channel = connection(connection[Trans\_Element])

# 

value resource\_in\_channel1 = connection(string)
value header\_in\_channel1 = connection(Role\_Address)
replicate{
 via mres\_chann receive te\_res : Trans\_Element
 via part\_name send te\_res.tuple1.rec\_entity
 via addrec\_pchannel receive mchannel\_part1 : connection[Trans\_Element]
 via mchannel\_part1 send te\_res
 done

```
}
```

} ! End of res\_reception

# value receive\_and\_add\_part\_address = abstraction(); {replicate{ via part\_channel receive part\_reference : Part\_Address part\_registry including part\_reference p\_registry := part\_registry done}

# } ! End of receive\_and\_add\_part\_address

#### value get\_address\_from\_pregistry = abstraction();{replicate{

} ! End of get\_address\_from\_pregistry

value remove\_address\_from\_pregistry = abstraction(); {replicate {
 via rem\_add\_mpchannel receive rm\_padd : Part\_Address
 iterate 'p\_registry by p : Part\_Address
 from pt = location(rm\_padd)
 accumulate {if (p.name='pt.name) then p else 'pt}
 part\_registry excluding 'pt
 p\_registry := part\_registry
 done}

# } ! End of remove\_address\_from\_pregistry

#### value role pregistry inst = abstraction();{

compose {

rr as res\_reception(mres\_chann=mchannel\_role, part\_name= part\_name\_channel, addrec\_pchannel=part\_add\_channel)

and

rpa as receive\_and\_add\_part\_address()

and

gar as get\_address\_from\_pregistry()

and

rar as remove address from pregistry()

} ! End of role pregistry inst

**!!** Following abstraction is used to instantiate very first activity within this role value instantiate\_activities = abstraction();{

value inst\_act = connection(string)
via inst\_act send "true"
done

} ! End of instantiate activities

```
!! Following abstraction links the post-state of very last activity within Role with Role
value role channel comm = abstraction();{
        value in_value = connection(string)
        via in value receive final : string
        loc final := final
        done
}! End of role channel comm
if (instance_x = "true") then
{
        compose {
                 inst as instantiate activities()
        and
                 rcc as role channel comm()
        and
                 rpi as role pregistry inst()
        and
                 c1 as ac1 (rname= rol name, pname="R2-r1", role channel=part channel,
                          rm channel=rem add mpchannel)
                 c2 as ac2 (drname=rol name, dpname="R2-d1", res="RESOURCE FROM
        and
                          R2", te channel=m te channel, re channel=m re channel) where { inst::inst act
                          unifies c1::in trig, c1::out trig unifies c2::in trig, c2::out trig unifies
                          rcc::in_value}
        }
}
else
ł
        via ins value send "role end false"
        done
```

# }! End of Role 2

```
} ! End of Process_Controller abstraction
```

# ! Following is the Process Engine Enactment abstraction

```
value Process Engine Enactment = abstraction();{
        value running = location(done)
        value stop channel = connection(string)
```

# ! Following abstraction enacts and links IRegistry process with Process Controller

```
value exec = abstraction();{
```

```
running := compose{
        IRP as IRegistry process()
```

and

PC as Process Controller(stop chann=stop channel) where {

IRP::tuple rec channel unifies PC::tuple rec channel, IRP::role channel unifies PC::r\_address\_channel, IRP::role\_name\_channel unifies PC::r\_name\_channel, IRP::role\_add\_channel unifies PC::r\_maddr\_channel, IRP::tuple\_rec\_channel1 unifies PC::tuple\_rec\_channel1, IRP::send\_tuple unifies PC::tuple\_rec\_value, IRP::addr rec channel1 unifies PC::addr rec channel1}

done

1

```
} ! End of exec abstraction
```

#### ! Following abstraction is used to stop the process execution value stop abst = abstraction();{

```
via stop channel receive stop signal
                 if (stop signal = "stop") then {
                         value deco = decompose 'running
                         done
                 }
                 else{
                         done
        }! End of stop abst
        compose{
                 ex as exec()
        and
                 st as stop_abst()
} ! End of Process_Engine_Enactment
```

# ! Following is the application or enactment of the above process Process\_Engine\_Enactment()