1	A new stepwise and piecewise optimization approach for CO <sub>2</sub>					
2	pipeline					
3						
4	Dongya Zhao <sup>1*</sup> , Qunhong Tian <sup>1</sup> , Zhaomin Li <sup>2</sup> , Quanmin Zhu <sup>1, 3</sup>					
5	1. College of Chemical Engineering, China University of Petroleum, Qingdao, China, 266580					
6	2. School of Petroleum Engineering, China University of Petroleum, Qingdao, China, 266580					
7	3. Department of Engineering Design and Mathematics, University of the West of England, Frenchay Campus,					
8	Coldharbour Lane, Bristol, BS16 1QY, UK					
9	Corresponding authors' email: <u>dyzhao@upc.edu.cn; dongyazhao@gmail.com</u>					
10 11	Abstract: The process of CO <sub>2</sub> capture, transportation, enhanced oil recovery (EOR) and storage is one of					
12	the best ways for $CO_2$ emission reduction, which is also named as Carbon Capture, Utilization and Storage					
13	(CCUS). It has been noted that $CO_2$ transportation cost is an important component of the total investment of					
14	CCUS. In this paper, a novel stepwise and piecewise optimization is proposed for $CO_2$ transportation					
15	design, which can compute the minimum transportation pipeline levelized cost under the effect of					
16	temperature variation. To develop the proposed approach, several models are referred to lay a foundation					
17	for the optimization design. The proposed optimal algorithm is validated by using numerical studies, which					
18	show the approach can reduce the levelized cost and improve the optimization performance in comparison					
19	with the existing methods.					
20	Keywords: CO <sub>2</sub> emission reduction; transportation pipeline; stepwise and piecewise optimization;					
21	levelized cost					
22						
23	1 Introduction					
24	CCUS has been widely considered as an effective mean to prevent the increase of CO <sub>2</sub> concentration in					
25	the atmosphere (Faltinson et al. 2009; Middleton et al. 2012; Rubin et al. 2013; Scott et al. 2013). In					
26	general, the location of CO <sub>2</sub> capture is far away from EOR and storage site. There are two main manners to					
27	transport CO <sub>2</sub> , that is, vehicles and pipelines. Pipeline is more efficient for the long distance transportation					
28	(Svensson et al. 2004). Figure 1 shows the process of CCUS. It is obvious that CO <sub>2</sub> transportation is the					
29	important link from capture location to the EOR and storage site, whose cost should not be overlooked in					
30	the whole investment of CCUS (Fimbres Weihs et al. 2012; Knoope et al. 2013; Middleton 2013).					
	Capturing CO2 from power plants     Transporting CO2 by pipeline     CO2 EOR     CO2 storage       Capture     Transport     Storage					
31						
32	Figure 1. The flowsheet of CCUS					
33						

- In general, there are two types of construction of  $CO_2$  pipeline: with and without boosting pump stations.
- 35 Most of the transport models have not considered boosting pump stations (McCoy et al. 2008; Vandeginste

et al. 2008; Middleton et al. 2009; Morbee et al. 2012). For long pipelines, the inlet pressure without boosting pumps will be much higher than those with boosting pumps. Furthermore, there will not be sufficient pressure to ensure flow in the pipeline without adding booster stations. As a result, the wall thickness will be thicker, and the cost of the pipeline will increase seriously. Obviously, the lack of boosting pump stations is not economical in many case of the industrial practice.

41 The recent developments of the  $CO_2$  pipeline design approaches are summarized in the following context. Based on the research of (McCoy et al. 2008), the method for calculating the max length of pipeline is 42 developed by (Gao et al. 2011) without considering booster pump. The conditions of the requirement of the 43 44 boosting pump stations are given by (Zhang et al. 2006; Gao et al. 2011). The conditions of intermediate 45 recompression is presented by means of ASPEN PLUS (Zhang et al. 2006). It should be mentioned that 46 these methods just give the rules of the requirements of the inter-stage booster pumps. However, most of 47 them have not presented the computational algorithms. A simplified approach is used by fixing the distance between pumping stations (Wildenborg et al. 2004; Van den Broek et al. 2010), which leads to a special 48 49 solution. However, the cost-effectiveness is not analyzed in these studies. There are some results not only considering the boosting pump stations but also optimizing the number of them (Chandel et al. 2010; Zhang 50 51 et al. 2012; Knoope et al. 2014). Hydrodynamic models are presented to evaluate engineering and 52 economic performance (Zhang et al. 2012). However, the result does not use the concept of nominal 53 diameter and cannot be used in industrial applications directly. Literature (Chandel et al. 2010) studies the potential economies of scale by using the engineering-economic model of CO<sub>2</sub> pipeline transportation. 54 However, the temperature and density are assumed constants, which does not conform the actual situation 55 well. Cost models are presented without insulation or heating of the pipeline in optimizing CO<sub>2</sub> pipeline 56 57 configuration, which can optimize the number of pumping station, the inlet pressure, the diameter, and the 58 wall thickness (Knoope et al. 2014). However, the temperature is assumed to be a constant value during all 59 seasons, which does not conform to the practice. Because the temperature is ever-changing in some area among the different seasons. It should be noted that the pipeline diameter and wall thickness are computed 60 61 by using the given design conditions, but in practice the diameter is selected from the available nominal pipe size which is larger than the computed one in general. Most of existing studies use the NPS in design 62 63 which may degrade the design performance indeed because the design conditions are not changed.

Seasonal temperature can affect the soil temperature directly (Zhang et al. 2012). Further, the soil 64 65 temperature is assumed to be the average temperature for  $CO_2$  pipeline (McCoy et al. 2008). The pipeline system is designed based on summer soil temperature which can operate well in winter (Zhang et al. 2012). 66 67 The subcooled liquid (low temperature) transport will maximize the energy efficiency and minimize the cost of CO<sub>2</sub> transport (Zhang et al. 2006). But how to deal with the effect of seasonal temperature for 68 pipeline optimization design is not mentioned in the existing literatures. The soil temperature has 69 significant influence on the pressure drop behavior of  $CO_2$  in the pipeline (Zhang et al. 2012). For example, 70 71 annual lowest and highest soil temperature at a 1.5 m depth in the Ningxia-North Shanxi district is 2  $^{\circ}$   $\sim$ 

and 17  $^{\circ}$  , respectively. Note that the seasonal temperature still can affect the design of buried pipeline with thermal insulating layer, CO<sub>2</sub> temperature approaches the soil temperature exponentially along the pipeline length (Zhang et al. 2012). How to deal with the influence of temperature is very important to minimize the levelized cost of the CO<sub>2</sub> transportation. Therefore, it's necessary to optimize the operational pressure to minimize the levelized cost of CO<sub>2</sub> transportation in a range of temperature and then to decide the related pipeline parameters.

A new approach named stepwise and piecewise optimization is initially developed in this study to minimize the levelized cost of  $CO_2$  transportation pipeline. Based on the optimization model constructed by least square method, a novel stepwise optimization approach is formulated to solve pipeline nominal diameter, wall thickness, operation pressure and the number of boosting pump stations. A piecewise optimization presents a criterion to deal with the effect of temperature The proposed approach is illustrated by using numerical studies to validated the effectiveness of the proposed approach.

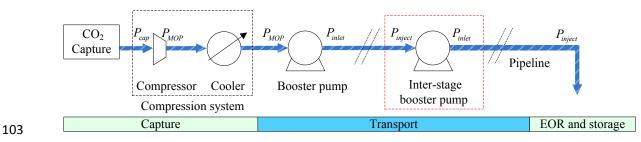
In conventional optimal design, the pipeline diameter and wall thickness are computed by using the given design conditions, but in practice the diameter is selected from the available nominal pipe size (NPS) which is larger than the computed one in general. Therefore, the stepwise optimization is proposed to improve the performance of the conventional optimization. The seasonal temperature has significant influence on the pressure drop behavior of  $CO_2$  in the pipeline, but how to deal with the effect of seasonal temperature for pipeline optimization design is not mentioned in the existing literatures. The piecewise optimization presents a criterion to deal with the effect of temperature and find the better levelized cost.

The rest of this paper is given as: The problem description is given in Section 2. The optimization algorithms are developed in Section 3. The proposed approach is demonstrated by numerical studies and compared with existing methods in Section 4. Finally, in Section 5, some concluding remarks are given.

### 94 2 Problem description

Before transportation, the captured  $CO_2$  should be compressed and cooled from flue gas of the power plant. Thereby the compression system (including compressor and cooler) should be used. In addition, the pressure will decrease along the pipeline. Hence, the boosting pump stations should be added in the pipeline design. The composition of  $CO_2$  pipeline transportation is shown in Figure 2.

The pipeline segment length, inlet pressure, and minimum outlet pressure are all specified for each pipeline segment in the design. Once the  $CO_2$  pressure drops below the pre-specified pressure, an inter-stage boosting pump station should be installed to re-increase the pressure. The outlet pressure of each inter-stage pipeline segment equals to the injection pressure (shown in Figure 2).



104

Figure 2. The process of CO<sub>2</sub> transportation

## 105 **3** Stepwise and piecewise optimization approach

#### **3.1 The optimization model**

107 Based on the mathematical models, the optimization model is detailed as follows:

$$\begin{array}{ll} \min & LC(P_{inlet}, T_{ave}) \\ \text{s.t.} & P_{cap} < P_{inlet} \\ & P_{min} \leq P_{inlet} \leq P_{max} \\ & t_{cal} \leq t_{design} \leq t_{NPS} \\ & P_{out} < P_{inlet} \\ & T_{minop} \leq T_{ave} \leq T_{maxop} \\ & V < V_{max} \\ & 0 \leq N_{pump} \\ & P_{out} = P_{inlet} - \Delta P_{act} L/(N_{pump} + 1) \end{array}$$

$$\begin{array}{l} \end{array}$$

108

109 where  $P_{inlet}$  and  $T_{ave}$  are inlet pressure and average temperature along the pipeline respectively, which are 110 selected as decision variables;  $P_{out}$  is the outlet pressure of the pipeline (*MPa*);  $\Delta P_{act}$  is the actual 111 pressure drop (*MPa/m*); *L* is the is the length of the pipeline (*m*);  $N_{pump}$  is the number of boosting 112 pump stations;  $LC(P_{inlet}, T_{ave})$  is the function of levelized cost, which is the optimization goal (Knoope et al. 113 2014):

114 
$$LC(P_{inlet}, T_{ave}) = \frac{CRF_1 \times C_{P_cap} + CRF_2 \times C_{C_cap} + CRF_3 \times C_{B_cap} + C_{T_oM} + C_{T_eenergy}}{Q_m \times 10^{-3} \times H_{ope} \times 3600}$$
(2)

115 
$$CRF_x = \frac{r}{1 - (1 + r)^{-z_x}}$$
 (3)

116  $CRF_1$ ,  $CRF_2$ ,  $CRF_3$  are the capital recovery factors of pipeline, compressors and booster pumps, 117 respectively; *r* is the discount rate (%);  $z_1$ ,  $z_2$ ,  $z_3$  are the lifetime of pipeline, compressors and booster 118 pumps, respectively (years);  $H_{ope}$  is the operation time of the transportation (*hour/year*).  $P_{min}$  is the 119 minimum operational pressure.  $P_{max}$  is the maximum operational pressure.  $t_{cal}$  is the calculated thickness, 120  $t_{design}$  is the designing thickness,  $t_{NPS}$  is the final selected thickness of NPS.  $T_{minop}$ ,  $T_{maxop}$  are minimum 121 and maximum operational temperature for liquid CO<sub>2</sub> transport, respectively.  $V_{max}$  is a certain velocity. 122 The detail models can be found in the related literatures (Table 1).

- 123
- 124
- 125

#### 126 Table 1 Detail models and the related literatures

Literature	Model
(Zhang et al. 2006)	Pipeline diameter/ D <sub>inner</sub>
(Mohitpour et al. 2003)	Average pressure along the pipeline/ $P_{ave}$
(McCoy et al. 2008)	Pipe wall thickness/t
(Damen et al. 2007; Kuramochi et al. 2012; Knoope et al. 2014)	The capacity of the compressor/ $W_{comp}$
(IEA 2002)	Capacity of the boosting pump station/ $W_{cap(y)}$
(McCollum et al. 2006)	The maximum length of pipeline without booster pump/ $l_{max}$
(Vandeginste et al. 2008)	Pipeline capital cost/ $C_{P\_cap}$
(Knoope et al. 2014)	Inlet compressor capital cost / $C_{C_{cap}}$
(Rubin et al. 2008)	Boosting pump stations capital cost / $C_{B_{cap}}$
(Knoope et al. 2013)	Total annual O&M cost/ $C_{T_OM}$
(Knoope et al. 2014)	Total energy cost/ $C_{T\_energy}$

127

## 128 **3.2** The stepwise optimization

A stepwise optimization approach is proposed to minimize the levelized cost for pipeline transportation, 129 which can be divided into two steps: (1) the parameters optimization of diameter and wall thickness. (2) the 130 131 parameters optimization of inlet pressure and the number of boosting pump stations. Then, the piecewise optimization is developed to give a criterion for dealing with the effects of temperature. The steps nested in 132 133 the chosen order is used to deal with the influence of seasonal temperature variance. The advantages of the proposed approach is that it can improve the optimal performance. The disadvantages of the proposed 134 approach is that it cannot deal the model uncertainty, which is under our study and will be reported as soon 135 136 as we get the results.

For The first step optimization, the decision variable of  $P_{inlet}$  satisfies the ideal condition for designing inner diameter and wall thickness. Figure 3 shows algorithm flow diagram of the first step optimization process.  $\Delta P_{inlet}$  and  $\Delta T_{ave}$  are the increment of temperature and inlet pressure respectively, the smaller  $\Delta P_{inlet}$  and  $\Delta T_{ave}$ , the more accurate optimized results. The readers can find the required parameters, such

141 as  $OD_{NPS}$ ,  $t_{max}$ ,  $t_{NPS}$ ,  $ID_{NPS}$ , range of  $D_{inner}$  in Appendix B.

## 142 Algorithm 1: The first step optimization (FSP)

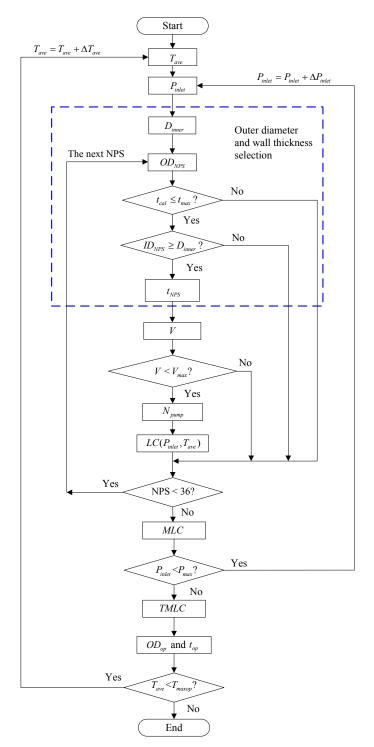


Figure 3. Flow diagram of the first step optimization

145 **Remark 1:** Because the CO<sub>2</sub> pipeline diameters are smaller than 1 m in most of existing engineering 146 projects, the proposed approach does not consider the cases  $OD_{NPS} > 1m$ . But it still can be used in the 147  $OD_{NPS} > 1m$  by using the appropriate NPS standard.

148 Remark 2: In the first step, enumeration method is used to solve the optimal issue. Hence, Algorithm149 compute all the NPS until it equals to 36.

- 150 By using the results of Algorithm 1, (1) can be transformed into:
- 151

$$\min LC(P_{inlet}, T_{ave})$$
s.t.  $P_{min} \leq P_{inlet} \leq P_{cmax}$ 
 $T_{minop} \leq T_{ave} \leq T_{maxop}$ 
 $V < V_{max}$ 
 $0 \leq N_{pump}$ 
 $P_{out} = P_{inlet} - \Delta P_{act} L/(N_{pump} + 1)$ 
(4)

where the decision variable of  $P_{inlet}$  satisfies the first optimization result of diameter and wall thickness.  $P_{cmax}$  is the maximum pressure, which is calculated by  $t = P_{max} \times D_{out}/2 \times S \times F \times E$  based on the optimized diameter and wall thickness.

In the second step optimization, Algorithm 2 will solve the new optimal issue (4) and compute the final inlet pressure  $P_{inlet}$  and the numbers of boosting pump stations  $N_{pump}$ . Figure 4 shows flow diagram of the second step optimization.

159 Algorithm 2: The second step optimization (SSP)

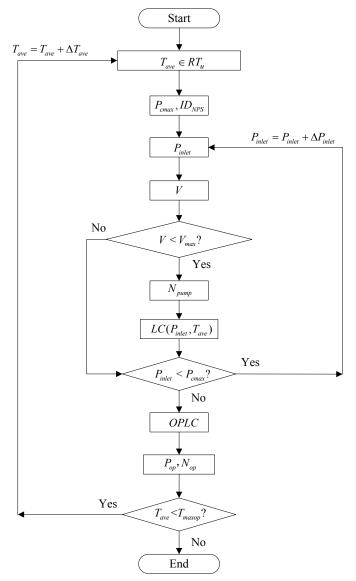




Figure 4. Flow diagram of the second optimization

- 162 **Remark 3:** The  $RT_{\mu}$  range division can be found in Sub-section 3.3.
- 163 **Remark 4:** All the pipeline diameter and wall thickness are computed by using 164  $t = P_{max} \times D_{out} / 2 \times S \times F \times E$ , which is in line with international standards. Hence, the proposed optimization 165 approach will not lead to the safety problems.

#### 166 **3.3 The piecewise optimization**

The optimized diameter, wall thickness, inlet pressure and the number of boosting pump stations may not be the same at different temperature range. Once the design of transportation is finished, the designing parameters cannot be changed. According to (Zhang et al. 2012), the parameters of final optimization should select the ones in the highest soil temperature case. However, this method may not find an appropriate results. To address the mentioned problems, this paper presents a novel piecewise optimization approach. The minimum levelized cost is computed at each temperature range and the solution can be found for the optimal problem.

The piecewise optimization is embedded in Algorithm 2. For the same diameter and wall thickness, the operational temperature will be divided into several ranges. (4) can be re-written as:

$$\min LC(P_{inlet}, T_{ave})$$
s.t.  $P_{min} \leq P_{inlet} \leq P_{cmax}$ 
 $V < V_{max}$ 
 $0 \leq N_{pump}$ 
 $T_{ave} \in RT_u (u = 1, 2, 3...U)$ 
 $P_{out} = P_{inlet} - \Delta P_{act} L/(N_{pump} + 1)$ 
(5)

176

- where  $RT_u$  is the divided temperature range, U is the number of the ranges. It is obvious that the levelized cost is varying among different temperature ranges. Hence, the levelized cost can be reduced by using the proposed approach.
- The rules of piecewise optimization approach are illustrated in Table 2 and the flow diagram is shown inFigure 5.
- 182 Table 2. A criterion for optimization design

	$RT_1$	$RT_2$	RT	$RT_U$
$t_H$	$rt_1$	rt <sub>2</sub>	rt	$rt_U$
$LC(t_H)$	$LC(rt_1)$	$LC(rt_2)$	LC(rt)	$LC(rt_U)$
Condition	$LC(t_H) < LC(rt_I)$	$LC(t_H) < LC(rt_2)$	$LC(t_H) < LC(rt_{\dots})$	$LC(t_H) < LC(rt_U)$
Changing temperature	$RT_1$	$RT_2$		$RT_U$
of $RT_H$ in				

183 where:  $t_H$  is the maximum  $T_{ave}$  in the area;  $RT_H$  is the interval which includes  $t_H$ ,  $H \in u$ ;  $rt_u \in RT_u$ 

184 and  $rt_u \notin RT_H$ .

- 185 Algorithm 3: piecewise optimization
- 186

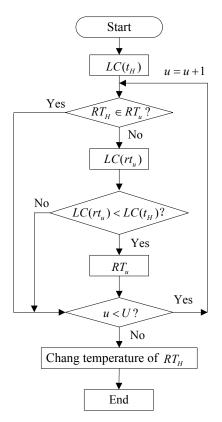


Figure 5. The flow diagram of piecewise optimization

189 The piecewise optimization presents a criterion to deal with the effect of temperature, which is one of the

190 main works of this paper. If the designer considers the inter-stage cooler and heat transfer theory in

191 modelling pipeline transportation, it may obtain the global optimum solution.

192 **4** Numerical studies and analysis

193 The basic parameters of the transportation are given in Table 3. The other detailed parameters are given

in Table 4-5.

195 Table 3. Basic parameters of the transportation (Chandel et al. 2010; Zhang et al. 2012)

Parameter	Symbol	Value
Typical operational temperature (° $\smile$ )	$T_{ope}$	-20~35
District temperature (° $ c$ )	$T_{soil}$	2~17
CO <sub>2</sub> inlet pressure ( <i>MPa</i> )	$P_{inlet}$	8.6~15.3
Altitude difference (m)	$H_1 - H_2$	0
Pipeline length ( <i>km</i> )	L	150
$CO_2$ mass flow rate ( $kg/s$ )	$Q_m$	252
Injection pressure (MPa)	$P_{inject}$	10
Operation time (hour)	$H_{ope}$	8760

196

197 Table 4. Detail parameter values of pipeline (McCoy et al. 2008; Vandeginste et al. 2008)

Parameter	Symbol	Value	
-----------	--------	-------	--

Specified minimum yield stress for X70 steel ( <i>MPa</i> )	S	483
Longitudinal joint factor	Ε	1.0
Design factor	F	0.72
Price of steel pipeline ( $\epsilon/kg$ )	$C_{ps}$	0.9342
Material cost factor	$f_{\scriptscriptstyle M}$	22.4%
Percentage of capital cost for pipeline	$f_{PO\&M}$	0.04

199 Table 5. Detail parameter values of compressor and boosting pump stations (Zhang et al. 2006; Kuramochi
---

200 et al. 2012; Knoope et al. 2014)

Parameter	Symbol	Value
CO <sub>2</sub> compressibility factor (1.013 <i>bar</i> , 15 $^{\circ}$ )	Ζ	0.9942
Universal gas constant( $J/mol K$ )	R	8.3145
Suction temperature (K)	$T_1$	313.15
Specific heat ratio ( $c_p/c_v$ )	γ	1.294
Molar mass (g/mol)	М	44.01
Number of stages for compression system	Ν	4
Isentropic efficiency	$\eta_{\scriptscriptstyle iso}$	80%
Mechanical efficiency	$\eta_{\scriptscriptstyle mech}$	99%
Suction pressure (MPa)	$P_1(P_{cap})$	0.101
Discharge pressure (MPa)	$P_2(P_{MOP})$	8.6
Base costs for calculating the compressor capital cost ( $M \epsilon$ )	$I_0$	21.9
Base scale of the compressor ( <i>MWe</i> )	$W_{comp,0}$	13
Scaling factor	У	0.67
Multiplication exponent	п	0.9
Percentage of the capital cost for boosting pump stations	$f_{{\scriptscriptstyle BO\&M}}$	0.04
Efficiency booster pump	$\eta_{\scriptscriptstyle booster}$	0.5
Dollar- Euro exchange rate	$r_D$	0.7230
Operation time of compressor (hour)	$T_C$	8760
Operation time of boosting pump stations (hour)	$T_B$	8760
Price of electricity ( $\epsilon$ /per kilowatt hour )	$C_{PE}$	0.0584

201

Table 6. Parameter values of the levelized cost model (Knoope et al. 2013; Knoope et al. 2014)

Parameter	Symbol	Value
Interest rate (%)	r	15
Design lifetime of the pipeline (years)	$Z_1$	50
Design lifetime of compressors (years)	$Z_2$	25
Design lifetime of the boosting pump stations (years)	<i>Z</i> <sub>3</sub>	25

Table 7 gives the comparisons of the first and second step optimization in a series of different mass flow 203 rate. It is obvious the SSP can improve the optimization results. Though the improved percentage of the 204 levelized cost is not large, the saved total cost is large enough. This can show the advantages of the 205 proposed stepwise optimization. The reasons are given as: In FSP, the pipeline diameter and wall thickness 206 are computed by using the given design conditions, but in engineering practice the diameter and wall 207 thickness are selected by using nominal pipe size (NPS) which is larger than the computed one in general. 208 (Knoope et al. 2014). Based on FSP results of diameter and wall thickness, SSP can re-optimize the inlet 209 pressure and the numbers of boosting pump stations, which can improve the optimal results. For example, 210  $Q_m$  is assigned to be 150 kg/s,  $T_{ave}$  is 15  $^{\circ}$ . The optimized inlet pressures are 11.8550 and 10.1855 211 MPa of FSP and SSP, respectively. The levelized cost is just saved 0.85 %. However, it should be pointed 212 that the SSP saves 7580466  $\epsilon$  over the design lifetime of 25 years. 213

$Q_m (kg/s)$		150	200	250	300	350
$T_{ave}$ (°C)		15	-10	17	30	-10
P <sub>inlet</sub> (MPa)	FSP	11.8550	11.7384	10.6042	10.8215	10.63070
inlet (111 W)	SSP	10.1855	10.1908	10.1325	10.1060	10.11660
$D_{out}$ (m)	FSP	0.32385	0.3556	0.4064	0.45720	0.45720
- out ()	SSP	0.32385	0.3556	0.4064	0.45720	0.45720
t(m)	FSP	0.00635	0.00635	0.00635	0.007925	0.007925
( ( , , , )	SSP	0.00635	0.00635	0.00635	0.007925	0.007925
LC ( $\epsilon/t$ CO <sub>2</sub> )	FSP	7.5560	7.0981	6.8231	6.8814	6.6009
	SSP	7.4919	7.0446	6.8062	6.8508	6.5846
Total cost ( $\epsilon$ )	FSP	893572560	1119228408	1344833010	1627588728	1821452346
(25 years)	SSP	885992094	1110792528	1341502020	1620351216	1816954524
Total saving	%	0.85	0.75	0.25	0.45	0.25
Tour saving	$\cot(\epsilon)$	7580466	8435880	3330990	7237512	4497822

Table 7. Comparison results of the first and second step optimization

215

216 Table 8. Results of the first step optimization

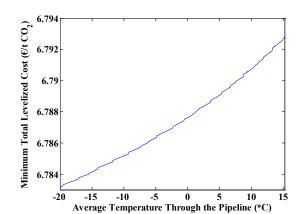
Range of operational temperature ( $^{\circ}$ $\bigcirc$ )	$D_{out}$ (m)	t(m)
$RT_1$ (-20 ~ 15.255)	0.4064	0.00635

$RT_2$ (15.31 ~ 35)	0.4572	0.007925
---------------------	--------	----------

Table 8 shows The first step optimization results under the range of operational temperature. Based on 217 the same diameter and wall thickness, the operational temperature can be divided into two portions. Figure 218 6 shows the second step optimization results over  $RT_1$  and  $RT_2$  respectively. It shows that the levelized 219 cost increases as the temperature rises. Table 9 further compares these results. From Table 9, one can see 220 221 that the levelized costs in  $RT_2$  are obviously larger than in  $RT_1$ .  $RT_H$  is one part of  $RT_2$ . By using the proposed piecewise optimization, if changing the temperature of  $RT_{H}$  into  $RT_{1}$ , the levelized cost will 222 223 decrease obviously. For example, if we use the highest temperature of  $RT_1$  as the  $T_{ave}$  of  $RT_H$ , the levelized cost can be saved 5.19%~5.20%. The pipeline system designed based on higher temperature can 224 225 be operate well in lower temperature (Zhang et al. 2012). Therefore, the proposed approach can guarantee 226 the operation conditions satisfy the seasonal conditions without the inlet pressure to be lowered necessary 227 to ensure pipeline flow.

From table 9, it also can be seen that if the highest soil temperature is used, the levelized cost is 7.1655  $\ell/t CO_2$ . Keeping the temperature in 15.255 °C, the levelized cost is 6.7928  $\ell/t CO_2$ . That is, reducing the temperature not more than 1.745 °C, the levelized cost can be saved 5.20%. Therefore, using the highest soil temperature is not the best way to optimize the pipeline. It is convenient to reduce the temperature at lower temperature, therefore, selecting lower temperature is practical and reasonable.

233





236

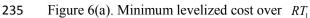


Table 9. Piecewise optimization rules

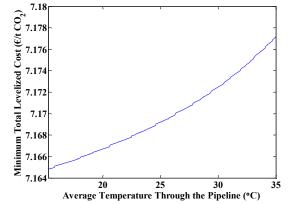


Figure 6(b). Minimum levelized cost over  $RT_2$ 

	$RT_1$	$RT_2$	$RT_{H}$
Temperature (° 🗸 )	-20~15.255	15.31~35	15.31~17
LC ( $\epsilon/t CO_2$ )	6.7832~6.7928	7.1648~7.1772	7.1648~7.1655

To further illustrate the proposed approach, it will be compared with the existing methods (shown in Table 10). The distance is assigned to be 350 km, and the soil temperature is  $17 \degree c$ .

<sup>237</sup> 

Compared with the method of (Zhang et al. 2012), it can be seen that the levelized cost saves 13.14 %. The main reasons are as follows: For the optimal design of pipeline, the inlet pressure and the numbers of boosting pump stations should be used as decision variable to find the optimal tradeoff between the pipeline and boosting pump station parameters. The diameter and wall thickness have to be enlarged in practice for the discrete NPS. However, the method of (Zhang et al. 2012) has not considered these tradeoff and the effects of discrete NPS.

Compared with the method of (Knoope et al. 2014), it can be seen that the levelized cost is just saved 0.156 %. However, it should be pointed that the proposed method saves 2483460  $\epsilon$  over the design lifetime of 25 years.

Method	$\mathcal{Q}_m$ (kg/s)	P <sub>inlet</sub> (MPa)	$D_{out}(m)$	<i>t</i> ( <i>m</i> )	$LC$ $(\epsilon/t \ CO_2)$
(Zhang et al. 2012)	100	13.8	0.27305	0.00635	10.4371
(Knoope et al. 2014)	250	10.6201	0.4064	0.00635	8.1002
The proposed approach	100	10.3710	0.27305	0.004191	9.0660
The proposed approach	250	10.1908	0.4064	0.00635	8.0876

249 Table 10. Comparison results of the existing and proposed methods

250

Though the annual saving is small but the whole saving in the pipeline life is very considerable. If the unexpected costs are existed in both traditional and the proposed methods, the optimal results will still be better by using the proposed one. For example, if the unexpected cost increase 2% of the inlet compressor capital cost (IC), boosting pump stations capital cost (BC), annual O&M cost (AC), energy cost (EC) for different cases, respectively. The proposed approach is compared with (Knoope et al. 2014). It can be seen that the total saving is very considerable over the design lifetime of 25 years (Table 11).

Table 11 Unexpected cost for different cases (Compared with Knoope et al. 2014)

Cost	IC	BC	AC	EC
Total saving /( $\epsilon$ )	9903882	9837436	9881446	10204212
25 years				

The optimized levelized cost is lower by selecting the minimum temperature for the pipeline design, butthe design cannot satisfy the following constraint (Knoope et al. 2014).

260 
$$P_{out} = P_{inlet} - \Delta P_{act} L / (N_{pump} + 1)$$

Table 12 gives the comparison of optimization results based on the minimum temperature and the proposed methods. Assuming L = 140 km,  $P_{out} = 10 MPa$ , the minimum and maximum CO<sub>2</sub> temperatures along the

263	pipeline are 2 and 15°, respectively. It is important to note that $P_{out} = 10MPa$ is the minimum injection
264	pressure (Zhang, D et al. 2012). For example, if $Q_m = 120 kg/s$ , based on 2 °, the optimized nominal
265	outer diameter and wall thickness are $0.32385 m$ and $0.00635 m$ respectively; the optimized inlet
266	pressure is 13.0276 MPa. $P_{out}$ decreases from 10 to 9.7702MPa as the temperature increases.
267	Therefore, if the optimization design is applied based on the minimum temperature, $P_{out}$ is smaller than
268	10MPa at higher temperatures, this lead to the design unsuitable.

- Based on the proposed approach,  $P_{out}$  decreases from 10.2283 to 10 *MPa* as the temperature increases.
- 270 The proposed method meet the constraint. From above analysis, it can be seen that the proposed approach
- is applicable in pipeline engineering.

$Q_m (kg/s)$ Method		120	130	140	145
Optimization	$D_{out}$ (m)	0.32385	0.32385	0.32385	0.32385
design based on	t (m)	0.00635	0.00635	0.008382	0.008382
the minimum	P <sub>inlet</sub> (MPa)	13.0276	13.5480	14.3985	14.7137
temperature	$P_{out}$ (MPa)	10~9.7702	10~9.7352	10~9.6781	10~9.6578
	$D_{out}$ (m)	0.32385	0.32385	0.32385	0.32385
The proposed	t (m)	0.00635	0.00635	0.008382	0.008382
method $P_{inlet}$ ( <i>MPa</i> )		13.2537	13.8080	14.7134	15.0479
	$P_{out}$ (MPa)	10.2283~10	10.2636~10	10.31920~10	10.3388~10

Table 12 Comparison optimization results based on the minimum temperature and proposed methods

273

## 274 **5** Conclusion

Based on the least square method, the pipeline diameter model are contrasted over different operational temperature ranges. A new stepwise and piecewise optimization approach is initially proposed for  $CO_2$ pipeline transportation. The enumeration method is employed to develop the optimal algorithms. In the numerical studies, the proposed approach can save the levelized cost obviously by comparing with the existing optimization methods. Because several realistic engineering problems are considered explicitly, this paper presents an optimization method for  $CO_2$  pipeline design indeed.

281

## 282 Acknowledgments

This work is partially supported by the National Science and Technology Support Program under Grant 2012BAC24B03, the key technology of low-carbon for major projects of CNPC under Grant 2011E2403, the National Nature Science Foundation of China under Grant, 61273188, 61473312, the postdoctoral researcher applied research project of Qingdao and the Fundamental Research Funds for the Central Universities under Grant 15CX06053A. Finally the authors are grateful to the editor and the anonymous reviewers for their helpful comments and constructive suggestions with regard to the revision of the paper.

289

## 290 Appendix A

### 291 Pipe diameter

Based on the data from National Institute of Standards and Technology (NIST), Pipeline diameter can becalculated as (Zhang et al. 2006):

294

$$D_{inner} = 0.363 Q_m^{0.45} [f_\rho(P_{ave}, T_{ave})]^{-0.32} [f_\mu(P_{ave}, T_{ave})]^{0.025}$$
(6)

where  $P_{ave}$  is the average pressure along the pipeline (*MPa*);  $T_{ave}$  is the soil temperature around the pipeline (° ).  $f_{\rho}(P_{ave}, T_{ave})$  is the function of density that depends on the  $P_{ave}$  and  $T_{ave}$  ( $kg/m^3$ );  $f_{\mu}(P_{ave}, T_{ave})$  is the function of viscosity that depends on the  $P_{ave}$  and  $T_{ave}$  ( $Pa \cdot s$ ).

298 The density is given as a function of average pressure and temperature along the pipeline:

$$f_{\rho}(P_{ave}, T_{ave}) = (BT)^{T} P \tag{7}$$

300 The viscosity is given as a function of average pressure and temperature along the pipeline:

$$f_{\mu}(P_{ave}, T_{ave}) = (DT)^{T} P$$
(8)

302 where *B* and *D* are known constant matrixes which can be found in Appendix A; *P* is the matrix of 303  $P_{av}$ ; *T* is the matrix of  $T_{ave}$ .

$$304 \qquad B = \begin{bmatrix} b_{55} & b_{54} & b_{53} & b_{52} & b_{51} & b_{50} \\ b_{45} & b_{44} & b_{43} & b_{42} & b_{41} & b_{40} \\ b_{35} & b_{34} & b_{33} & b_{32} & b_{31} & b_{30} \\ b_{25} & b_{24} & b_{23} & b_{22} & b_{21} & b_{20} \\ b_{15} & b_{14} & b_{13} & b_{12} & b_{11} & b_{10} \\ b_{05} & b_{04} & b_{03} & b_{02} & b_{01} & b_{00} \end{bmatrix}, T = \begin{bmatrix} T_{ave}^{-5} \\ T_{ave}^{-4} \\ T_{ave}^{-2} \\ T_{ave}^{-1} \\ 1 \end{bmatrix}, P = \begin{bmatrix} P_{ave}^{-5} \\ P_{ave}^{-4} \\ P_{ave}^{-3} \\ P_{ave}^{-2} \\ P_{ave}^{-1} \\ 1 \end{bmatrix}, D = \begin{bmatrix} d_{55} & d_{54} & d_{53} & d_{52} & d_{51} & d_{50} \\ d_{45} & d_{44} & d_{43} & d_{42} & d_{41} & d_{40} \\ d_{35} & d_{34} & d_{33} & d_{32} & d_{31} & d_{30} \\ d_{25} & d_{24} & d_{23} & d_{22} & d_{21} & d_{20} \\ d_{15} & d_{14} & d_{13} & d_{12} & d_{11} & d_{10} \\ d_{05} & d_{04} & d_{03} & d_{02} & d_{01} & d_{00} \end{bmatrix}$$

305 By using (7-8), (6) can be re-written as:

$$D_{inner} = 0.363 Q_m^{0.45} \left( (BT)^T P \right)^{-0.32} \left( (DT)^T P \right)^{0.025}$$
(9)

307 Remark 5: Based on the data from (NIST), the computational expressions are obtained by using least
 308 square approach for density and viscosity.

The matrixes of *B* and *D* have been programmed as two stand-alone spreadsheet models using
Visual Basic in Microsoft Excel (Table 12, Table 13).

311 The values for the correlation coefficients— $b_{ij}$  (i = 0, 1, 2, 3, 4, 5; j = 0, 1, 2, 3, 4, 5)— are listed in Table 12

for pressure (8.6 MPa ~ 15.3 MPa) and temperature (-20  $\degree$  ~ 35  $\degree$  ). The ranges of pressure and

313 temperature are detialed in the text.

314

306

	$b_{i5}$	$b_{i4}$	$b_{i3}$
<i>i</i> = 5	3.41303419112014E-09	-6.27606343131403E-08	-1.83750350897551E-06
<i>i</i> = 4	-2.1479352541565E-07	3.93076652279199E-06	0.000115547578911259
<i>i</i> = 3	5.38395520369261E-06	-0.0000979614237758237	-0.00289271196485396
<i>i</i> = 2	-0.0000672108836203396	0.00121424647915507	0.0360416517323296
<i>i</i> = 1	0.000418099646923243	-0.00748487070134038	-0.223492778776728
i = 0	-0.0010377856097512	0.0183499072848713	0.551534176694391
	$b_{i2}$	$b_{i1}$	$b_{i0}$
<i>i</i> = 5	0.0000230230930909667	0.000224944614768009	-0.000852920610610217
<i>i</i> = 4	-0.00144458113956358	-0.0141687690130181	0.0532381024649439
<i>i</i> = 3	0.0360950459842883	0.355697287851562	-1.31850338708515
<i>i</i> = 2	-0.449221125789696	-4.45600334239692	16.081925864937
<i>i</i> = 1	2.78940873199454	28.0357205366994	-90.7523009464699
i = 0	-6.95671353509922	-76.2734885162019	1144.8428039407

**315** Table 12. Value of  $b_{ij}$  coefficients in (7)

317

321

316

318 The values for the correlation coefficients— $d_{ij}$  (i = 0, 1, 2, 3, 4; j = 0, 1, 2, 3, 4) — are listed in Table 13 for

319 pressure (8.6 MPa ~15.3 MPa ) and temperature (-20  $^{\circ}$   $\sim$  35  $^{\circ}$   $\sim$  ).

**320** Table 13. Value of  $d_{ij}$  coefficients in (8)

	$d_{i5}$	$d_{i4}$	<i>d</i> <sub><i>i</i>3</sub>
<i>i</i> = 5	2.96979983755421E-16	-5.11790363405514E-15	-1.61341423050057E-13
<i>i</i> = 4	-1.87118491886111E-14	3.2115785053841E-13	1.01460065638067E-11
<i>i</i> = 3	4.69554059206441E-13	-8.0183431311157E-12	-2.53958254359596E-10
<i>i</i> = 2	-5.86762907841313E-12	9.95470125149012E-11	3.16249472186625E-09
<i>i</i> = 1	3.65292223605669E-11	-6.14360261245057E-10	-1.95888466031802E-08
i = 0	-9.07040455852916E-11	1.50745813211555E-09	4.81654629878995E-08
	$d_{i2}$	$d_{i1}$	$d_{i0}$
<i>i</i> = 5	2.96979983755421E-17	-5.11790363405514E-16	-1.61341423050057E-14

<i>i</i> = 5	2.96979983755421E-17	-5.11790363405514E-16	-1.61341423050057E-14
<i>i</i> = 4	-1.87118491886111E-15	3.2115785053841E-14	1.01460065638067E-12
<i>i</i> = 3	4.69554059206441E-14	-8.0183431311157E-13	-2.53958254359596E-11
<i>i</i> = 2	-5.86762907841313E-13	9.95470125149012E-12	3.16249472186625E-10
<i>i</i> = 1	3.65292223605669E-12	-6.14360261245057E-11	-1.95888466031802E-09
i = 0	-9.07040455852916E-12	1.50745813211555E-10	4.81654629878995E-09

# 322 Appendix B. The modified nominal pipe size

323 Table 14. The modified NPS

NPS	$OD_{NPS}$ (mm)	t <sub>maxNPS</sub> (mm)	t <sub>maxOP</sub> (mm)	t <sub>max</sub> (mm)	$t_{NPS}$ (mm)	$ID_{NPS}$ (mm)	Classified range ( <i>mm</i> )
1/8	10.26	2.413	0.2257	0.889	0.889	8.4812	$0 < D_{inner} \le 8.4812$
1/4	13.72	3.023	0.3018	1.245	1.245	11.23	$8.4812 < D_{inner} \le 11.23$

3/8	17.15	3.200	0.3773	1.245	1.245	14.66	$11.23 < D_{inner} \le 14.66$
					7.925	847.75	
					9.525	844.55	$796.95 < D_{inner} \le 847.75$
34	863.6	17.475	18.9974	17.475	12.7	838.2	$190.93 < D_{inner} \le 647.73$
					15.875	831.85	
					17.475	828.65	
					7.925	898.55	94775 < D < 90955
36	914.4	12.7	20.1149	12.7	9.525	895.35	$847.75 < D_{inner} \le 898.55$
					12.7	889	

Based on the exit data of  $CO_2$  pipeline transportation, NPS should not be larger than 36, (Zhang et al. 2012). As the maximum operational pressure of 15.3 *MPa* (McCoy et al. 2008), the range of wall thickness of NPS can be modified.

327 Substituting the maximum operational pressure into  $t = P_{max} \times D_{out}/2 \times S \times F \times E$ , the maximum operational wall thickness  $(t_{maxOP})$  is calculated for each original NPS (shown in Table 14).  $t_{maxNPS}$  is the 328 maximum wall thicknesses of corresponding original NPS. If  $t_{maxOP} \le t_{maxNPS}$ , the suitable thickness of 329 330 original NPS is selected as the maximum thickness of the modified NPS ( $t_{max}$ ). If  $t_{maxOP} > t_{maxNPS}$ ,  $t_{maxNPS}$  is selected as  $t_{max}$ . Compared  $t_{max}$  with the original thicknesses of each original NPS, the modified thickness 331  $(t_{NPS})$  is established. Plunging  $t_{NPS}$  and corresponding  $OD_{NPS}$  into  $D_{out} = D_{inner} + 2t$ , the modified inner 332 333 diameter ( $ID_{NPS}$ ) is obtained. Based on  $ID_{NPS}$ , the classified range of  $D_{inner}$  is established. It can be seen that  $D_{inner}$  should be in the ragne of  $0 < D_{inner} \le 898.55$ . 334

335

### 336 **References**

- Chandel, M. K., Pratson, L. F. and Williams, E. 2010. Potential economies of scale in CO<sub>2</sub> transport
   through use of a trunk pipeline. Energy Conversion and Management. 51(12): 2825-2834.
- Damen, K., van Troost, M., Faaij, A. and Turkenburg, W. 2007. A comparison of electricity and hydrogen
   production systems with CO<sub>2</sub> capture and storage-Part B: Chain analysis of promising CCS options.
   Progress in Energy and Combustion Science. 33(6): 580-609.
- Faltinson, J. and Gunter, B. 2009. Integrated Economic Model CO<sub>2</sub> capture, transport, ECBM and saline
   aquifer storage. Energy Procedia. 1(1): 4001-4005.
- Fimbres Weihs, G. A. and Wiley, D. E. 2012. Steady-state design of CO<sub>2</sub> pipeline networks for minimal
   cost per tonne of CO<sub>2</sub> avoided. International Journal of Greenhouse Gas Control. 8: 150-168.
- Gao, L., Fang, M., Li, H. and Hetland, J. 2011. Cost analysis of CO<sub>2</sub> transportation: Case study in China.
  Energy Procedia. 4: 5974-5981.
- 348 IEA, G. 2002. Pipeline Transmission of CO<sub>2</sub> and Energy. Transmission Study Report. PH4/6: 1-140.
- Knoope, M. M. J., Ramírez, A. and Faaij, A. P. C. 2013. A state-of-the-art review of techno-economic
   models predicting the costs of CO<sub>2</sub> pipeline transport. International Journal of Greenhouse Gas Control.
   16: 241-270.
- 352 Knoope, M. M. J., W., G., Ramírez, A. and Faaij, A. P. C. 2014. Improved cost models for optimizing CO<sub>2</sub>
- pipeline configuration for point-to-point pipelines and simple networks. International Journal ofGreenhouse Gas Control. 22: 25-46.
- 355 Kuramochi, T., Ramírez, A., Turkenburg, W. and Faaij, A. 2012. Comparative assessment of CO<sub>2</sub> capture

- technologies for carbon-intensive industrial processes. Progress in Energy and Combustion Science.
   38(1): 87-112.
- McCollum, L., D. and Ogden, J. M. 2006. Techno-economic models for carbon dioxide compression,
   transport, and storage & correlations for estimating carbon dioxide density and viscosity. Institute of
   Transportation Studies.
- McCoy, S. and Rubin, E. 2008. An engineering-economic model of pipeline transport of CO<sub>2</sub> with
   application to carbon capture and storage. International Journal of Greenhouse Gas Control. 2(2):
   219-229.
- Middleton, R. S. 2013. A new optimization approach to energy network modeling: anthropogenic CO<sub>2</sub>
   capture coupled with enhanced oil recovery. International Journal of Energy Research. 37(14):
   1794-1810.
- Middleton, R. S. and Bielicki, J. M. 2009. A scalable infrastructure model for carbon capture and storage:
   SimCCS. Energy Policy. 37(3): 1052-1060.
- Middleton, R. S., Keating, G. N., Viswanathan, H. S., Stauffer, P. H. and Pawar, R. J. 2012. Effects of
   geologic reservoir uncertainty on CO<sub>2</sub> transport and storage infrastructure. International Journal of
   Greenhouse Gas Control. 8: 132-142.
- Mohitpour, M., Golshan, H. and Murray, A. 2003. Pipe design & construction. first ed. ASME Press, New
  Yourk, NY.
- Morbee, J., Serpa, J. and Tzimas, E. 2012. Optimised deployment of a European CO<sub>2</sub> transport network.
   International Journal of Greenhouse Gas Control. 7: 48-61.
- 376 NIST National Institute of Standards and Technology. http://webbook.nist.gov/chemistry/fluid.
- Rubin, E. S., Berkenpas, M. B. and McCoy, S. 2008. Technical documentation: the economics of CO<sub>2</sub>
   transport by pipeline storage in saline aquifers and oil reserves. Department of Engineering and Public
   Policy. Paper 72. <u>http://repository.cmu.edu/epp/72</u>.
- Rubin, E. S., Short, C., Booras, G., Davison, J., Ekstrom, C., Matuszewski, M. and McCoy, S. 2013. A
  proposed methodology for CO<sub>2</sub> capture and storage cost estimates. International Journal of Greenhouse
- **382** Gas Control. 17: 488-503.
- 383 Scott, V., Gilfillan S and Markusson N, e. a. 2013. Last chance for carbon capture and storage. Nature
  384 Climate Change. 3(2): 105-111.
- Svensson, R., Odenberger, M., Johnsson, F. and Strömberg, L. 2004. Transportation systems for CO<sub>2</sub>–
   application to carbon capture and storage. Energy Conversion and Management. 45(15-16): 2343-2353.
- Van den Broek, M., Brederode, E., Ramírez, A., Kramers, L., van der Kuip, M., Wildenborg, T.,
  Turkenburg, W. and Faaij, A. 2010. Designing a cost-effective CO<sub>2</sub> storage infrastructure using a GIS
  based linear optimization energy model. Environmental Modelling & Software. 25(12): 1754-1768.
- 390 Vandeginste, V. and Piessens, K. 2008. Pipeline design for a least-cost router application for CO<sub>2</sub> transport
- in the  $CO_2$  sequestration cycle. International Journal of Greenhouse Gas Control. 2(4): 571-581.
- Wildenborg, T., Holloway, S., Hendriks, C. and al., e. 2004. Cost curves for CO<sub>2</sub> storage. Part 2: European
   sector. NITG 04-238-B1208.: 1-162.
- Zhang, D., Wang, Z., Sun, J., Zhang, L. and Li, Z. 2012. Economic evaluation of CO<sub>2</sub> pipeline transport in
   China. Energy Conversion and Management. 55: 127-135.
- Zhang, Z. X., Wang, G. X., Massarotto, P. and Rudolph, V. 2006. Optimization of pipeline transport for
   CO<sub>2</sub> sequestration. Energy Conversion and Management. 47(6): 702-715.
- 398 399