1	A study in integrity of an RFID-monitoring HMSC
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10	ABSTRACT

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In recent years, trade of Halal food has been spreading at a rapid pace. Meantime, Halal 12 13 food consumers are increasingly concerned about the integrity of Halal-food related products in terms of production, transportation and storage along an entire supply chain 14 15 network as it is important for Halal food products these consumers purchase from supermarkets are truly Halal. Unlike non-Halal food, this requires Halal food suppliers 16 17 who are able to monitor a Halal food supply chain network providing adequate information of Halal food sold in supermarkets and these information data can also be 18 19 easily accessed by Halal food consumers. This paper presents a framework in development of an RFID-enabled monitoring system for a Halal meat supply chain 20 21 (HMSC) network design for enhancing traceability of integrity of Halal meat products. A multi-objective model was developed and used for investigating an economic feasibility 22 23 of the proposed RFID-enabled monitoring system and it was validated through a case study. Keywords: 2G-RFID, Halal, supply chains, optimization, design. 24

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27 INTRODUCTION

28 Consumption of Halal food is a well-known diet among Muslim and many non-Muslim people. Production and supply of Halal meat products is one of fast-growing businesses 29 30 around the world. The Islamic term of Halal means "allowed or permitted" in English translation and it is often used in association with food, i.e., food that is permissible under 31 the Islamic Shari'ah (laws) for Muslims to eat or drink. It also specifies a number of 32 criteria that direct people as to how food should be prepared in a Halal way. For instance, 33 34 production and transportation of Halal meat products need to comply with the Islamic Shari'ah, and this should be applied to all sectors including each process of livestock 35 feeding, slaughtering, transporting, packing and storing before being sold in 36 supermarkets. If a specific process of HMSCs (Halal meat supply chains) is not handled 37 properly in a Halal way, retailers or consumers may regard these products as non-Halal. 38 As a result of this, there is a desire for Halal meat consumers who increasingly demand 39 more transparent information relating to the integrity of Halal meat products they 40 purchase in supermarkets.^[1] Halal integrity refers to a food product that remains Halal 41 from a upstream to downstream of a food supply chain free from any activities that might 42 breach the Halal status intentionally or unintentionally.^[2] Nevertheless, a survey by 43 authors indicates that there are a number of concerns from Halah food consumers about 44 the integrity of Halal meat products sold in supermarkets. These include periodic records 45 in livestock feeding and growing history in farms, slaughtering processes at abattoirs and 46 47 Halal meat transportation from abattoirs to retailers. However, these issues are often overlooked by researchers in this filed.^[2,3] 48

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There are a few preliminary publications in studies on traceability of Halal meat products. 50 Mansor,^[4] proposed a method for checking meat colors to determine if the slaughtered 51 poultry is handled properly in the Halal way. Junaini and Abdullah, ^[5] suggested a mobile 52 Halal product verification method on which information of a Halal product can be sent to 53 a customer's mobile phone using the camera phone barcode scanning technique. 54 Shanahan,^[6] proposed an RFID-based framework for improving the traceability of cattles 55 at farms and abattoirs where each cattle's ear is attached with an RFID tag. Kassim,^[7] 56 synthesized a similar system using mobile applications that allow customers to check 57 Halal product information directly on their mobile phones. Bahrudin,^[8] developed a 58

tracking system using RFID technology for enhancing Halal product integrity. Feng,^[9]
developed a traceability system by integrating RFID applications into a personal digital
assistant (PDA), which is a handheld PC used by operators at beef segmentation sections
to collect data and print out information in a form of barcode label attached with each
pack of segmented beef. Similar studies on beef traceability were reported.^[10-14]

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There were many studies using RFID techniques for improving tracecibility in ensuring 65 safety and/or originality of food products provided in supply chain sectors. Expósito,^[15] 66 developed an RFID-based monitoring system used for tracing a wine supply chain. The 67 developed system collects data of the meteorological and botanical information 68 associated with the used grapes using RFID tags that are attached to grape boxes; the 69 system sends collected data to a central server via a GPRS system. These information 70 data can also be accessed online by consumers. Barge,^[16] describes an item-level 71 traceability system for cheese products in a dairy factory as each piece of cheese is 72 attached with an RFID tag containing cheese identifications such as cheese type, 73 production date and expiry date. Similar studies were reported.^[17-20] In order to identify 74 the origin of agricultural products, Sun,^[21] developed an anti-counterfeit RFID-GPS 75 system in which GPS data and encrypted Chinese-sensible codes were applied. The 76 system was used to collect data of location and the weight of the agricultural products 77 78 and print the anti-counterfeit labels in associated with sold products. The collected data is encrypted/decrypted using AES (Advance Encrypted Standard) algorithm with a different 79 cipher code. Jedermanna,^[22] developed a smart-container that can monitor the freshness 80 of fruits during transportation using a combination of RFID sensors, sensor networks and 81 software agents. Zhang,^[23] introduced an RFID-based system that can improve 82 traceability of frozen foods in terms of food temperatures and arrival times during storage 83 and transportation using RFID sensors, GPS and mobile applications. Chen,^[24] proposed 84 a new type of RFID application namely 2G-RFID-Sys using the Internet of Things (IoT) 85 86 technology with RFID sensor tags (semi-passive tags integrated with sensors) that can monitor food temperatures in a refined smart cold supply chain. Wang,^[25] presented a 87 real-time online monitoring decision supporting system which can monitor quality of 88 perishable products providing drivers with suggestions as to how to cope with an 89

abnormality when an alert is triggered during transportation in order to reduce losses ofperishable products.

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To cope with the increasing demand for the Halal meat products that are produced 93 according to the Islamic Law, a HMSC monioring system is needed for improving the 94 traceability of Halal meat integrity. This paper presents a framework in development of 95 96 an RFID-enabled HMSC network for enhancing traceability in terms of integrity of Halal 97 meat products to be sold in supermarkets. Nevertheless, such an integrated system is subject to additional costs for RFID system implementation and return of investment 98 (ROI), which also need to be investigated. To this aim, a multi-objective mathematical 99 100 model was developed and used for examining the economic feasibility of the proposed RFID-enabled HMSC network in order to obtain a trade-off decision within three 101 conflicting objectives. 102

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104 THE PROPOSED 2G-RFID-ENABLED HMSC

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Figure 1 illustrates the architecture of a simplified RFID-enabled HMSC for monitoring each process of Halal meat production and transportation. The proposed RFID-enabled monitoring HMSC consists of farms, abattoirs, transporters, retailers and consumers as described below:

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In farms: Each livestock is attached with a 2G-RFID (second-generation RFID) sensor 111 tag which can store both passive and active information. The 2G-RFID sensor tag is 112 capable of transmitting information data in the relevance to not merely a unique 113 identification code of an attach livestock but also its health status such as heartbeats and 114 body temperatures. Information data are collected by wireless RFID readers that 115 interrogate RFID-sensor tags by emitting radio signals and subsequently RFID sensor 116 tags respond by sending information data to RFID readers. The gathered information data 117 by RFID readers are sent to a host computer management system. Water supply for each 118

119 livestock is monitored by a water sensor mounted on a water basin. When contaminated 120 water is detected by a water sensor, it sends an alert to the computer management system 121 for records and farmers ought to isolate those contaminated livestock immediately from others. Periodically, farmers should also take a medical record of livestock relating to 122 illnesses, medical treatments and treatment results during the growing period. The record 123 should include information of given medical treatments and vaccination that do not 124 contain pork enzymes which make livestock as non-Halal. The growing history of each 125 livestock needs to be input into the computer management system manually. All the 126 collected information data will be analyzed and displayed as shown in Table 1 allowing 127 traders and consumers to check relevant information in terms of the integrity of Halal 128 meat products they purchase in farms or supermarkets by either entering product codes 129 online or scan them using their smart mobile phones. 130

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132 In abattoirs: Because each livestock is attached with a 2G-RFID tag, once these transported livestock from farms enter into abattoirs through an RFID-reader mounted 133 gate, information data of each livestock will be collected and stored automatically in an 134 abattoir database. To comply with the Halal slaughtering process.^[26] slaughtering places 135 must be monitored by abattoir operators through installed cameras. If a livestock is not 136 slaughtered according to the Hahal way, this livestock needs to be isolated and marked as 137 non-Halal. At the end of the slaughtering process, each segmented meat is packed and 138 tagged with a new 2G-RFID sensor tag that is used for monitoring its pH values; a typical 139 pH value for meats ranges from 4.8 to 5.8.^[27] The information data can be collected by an 140 RFID handheld reader and the collected information data are subsequently sent back to 141 the abattoir database. 142

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In transportation: Figure 2 illustrates the architecture of the proposed monitoring system during transportation of Halal meat products from abattoirs to retailers. Each container of a lorry is equipped with an RFID reader, a temperature sensor, a GPS and a GPRS system. The RFID reader is used for collecting identification information as well as pH 148 values from 2G-RFID sensor tags, which are attached with each of packed Halal meat products in the lorry. The GPS is used for tracking locations of the lorry sporadically 149 150 providing an estimated arrival time to retailers. A temperature sensor continuously detects container's temperatures and sends an alert to notify drivers if the temperature 151 reaches the upper limit. Information data collected by an RFID reader and a GPS are sent 152 back to the abattoir management system over a GPRS network that consists of a GPRS 153 154 transmitter, an antenna and a receiver. These data can be retrieved by retailers. GPRS rather than GSM (global system for mobile) was selected as its active transmission can 155 share available resources. Also, it uses a packet switch technique allowing an allocation 156 of resources when needed; furthermore, it provides a data transfer rate up to 172 kbps. 157 Figure 3 shows data transmission flow throughout the transportation monitoring process. 158

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In retailers or supermarkets: Once packed meats from abattoirs arrive at a retailer or a supermarket, each packed meat is scanned by a handheld RFID reader to collect information data that are subsequently uploaded into an inventory management system at the retailer or the supermarket. Meat in each package may then be sliced and repacked in smaller sizes and each re-packed meat is tagged with a barcode label that contains relevant information of the packed meat product as shown in Table 2, which can be accessed by consumers entering barcodes online or using a mobile scanner.

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Figure 4 shows a flowchart that illustrates a complete monitoring process during Halal meat production (at farms and abattoirs), transportation and in retailers. Table 3 shows the corresponding operations (or actions) that may be taken into account in order to maintain the integrity of Halal meat throughout the proposed HMSC network.

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173 MULTI-OBJECTIVE MATHEMATICAL MODEL

There are a number of similar studies in optimization of supply chains design using 175 multi-objective approaches. Amin and Zhang,^[28] created a mixed integer linear 176 programming model aiming to minimize the total cost for multiple locations in a closed-177 loop supply chain network. Kannan,^[29] developed a genetic algorithm method for seeking 178 a solution in minimization of total costs for a closed-loop supply chain. Lee and Dong^[30] 179 presented a stochastic model for managing a supply chain with three objectives including 180 costs of facility location, path selection and transportation. Pishvaee and Razmi,^[31] 181 established a multi-objective fuzzy model for optimizing a green supply chain design in 182 minimizing total cost and environmental impact. Mohammed and Wang,^[32] developed a 183 multi-objectiv possibilistic programming approach for a distribution-planning problem of 184 a meat supply chain. 185

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In this study, a mathematical model with three conflicting objectives was developed for investigating the economic feasibility of the proposed RFID-enabled HMSC in order to obtain a cost-effective decision. The first objective Z_1 is aimed at minimizing the total investment cost. The second objective Z_2 is aimed at maximizing the Halal meat integrity in the number of Halal meat products. And the third objective Z_3 is aimed at maximizing ROI (return of investments). Sets, parameters, variables and notations are described as follows:

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Sets:		195
		196
Ι	set of farms $i \in I$	197
J	set of abattoirs $j \in J$	198
		199
Κ	set of retailers $k \in K$	

Parameters:

$C_i^{E,a}$	RFID equipment (E) cost (GBP) required for farm i
$C_i^{\mathrm{E}, \mathrm{\beta}}$	RFID equipment $(E) \cos (GBP)$ required for abattoir j

$C_i^{\mathrm{I}, \alpha}$	RFID implementation (I) cost (GBP) required for farm i
$C_{j}^{I,eta}$	RFID implementation (I) cost (GBP) required for abattoir j
$C_{ij}^{{ m T},{ m u}}$	unit transportation (T) cost (GBP) per mile from farm i to abattoir j
$C_{jk}^{\mathrm{T,v}}$	unit transportation (T) cost (GBP) per mile from abattoir j to retailer k
d_{ij}^{u}	travel distance (mile) from farm i to abattoir j
d_{jk}^{ν}	travel distance (mile) from abattoir j to retailer k
W	transportation capacity (units) per vehicle
S_i^{α}	maximum supply capacity (units) of farm <i>i</i>
S_j^{β}	maximum supply capacity (units) of abattoir j
D_{j}^{β}	minimum demand (in units) of abattoir j
D_k	minimum demand (in units) of retailer k
$P^{u}_{ij} \\$	integrity percentage through first transportation link u from farm i to abattoir j
P_{jk}^{ν}	integrity percentage through second transportation link v from abattoir j to retailer k
R_i^{α}	return of investment (GBP) per item for farm i
R_j^β	return of investment (GBP) per item for abattoir j

Variables:

$$x_{ij}^{u}$$
 quantity of units transported through the first transportation link u from farm *i* to abattoir *j*

 \mathbf{x}_{jk}^{v} quantity of units transported through the second transportation link v from abattoir *j* to retailer *k*

$$y_i^{\alpha}$$
 { 1: if farm *i* is open
0: otherwise

$$y_{j}^{\beta}$$
 = $\begin{bmatrix} 1: \text{ if abattoir } j \text{ is open} \\ 0: \text{ otherwise} \end{bmatrix}$

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201 To minimize the total investment cost Z_1 , which consists of equipment costs, 202 implementation costs and transportation costs, it is given by:

$$\operatorname{Min} Z_{1} = \sum_{i \in I} \left(C_{i}^{E,\alpha} + C_{i}^{I,\alpha} \right) y_{i}^{\alpha} + \sum_{j \in J} \left(C_{j}^{E,\beta} + C_{j}^{I,\beta} \right) y_{j}^{\beta}$$

$$+ \sum_{i \in I} \sum_{j \in J} C_{ij}^{T,u} \left[x_{ij}^{u} / W \right] d_{ij}^{u} + \sum_{j \in J} \sum_{k \in K} C_{jk}^{T,v} \left[x_{jk}^{v} / W \right] d_{jk}^{v}$$

$$(1)$$

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To maximize integrity of Halal meat products Z₂ is the main objective of the RFID-based
monitoring HMSC network, it is given by:

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$$Max Z_{2} = \sum_{i \in I} \sum_{j \in J} P_{ij}^{u} x_{ij}^{u} + \sum_{j \in J} \sum_{k \in K} P_{jk}^{v} x_{jk}^{v}$$
(2)

Return of investment (ROI) Z_3 is one of three objectives that need to be considered. To maximize ROI, it is given by:

$$\operatorname{Max} Z_{3} = \sum_{i \in I} \sum_{j \in J} R_{i}^{\alpha} x_{ij}^{u} + \sum_{j \in J} \sum_{k \in K} R_{j}^{\beta} x_{jk}^{v}$$
(3)

209 Subject to

$$\sum_{i \in I} \mathbf{x}_{ij}^{u} \leq \mathbf{S}_{i}^{\alpha} \ \mathbf{y}_{i}^{\alpha} \qquad \forall \mathbf{j} \in J$$
(4)

$$\sum_{k \in K} x_{jk}^{\nu} \le S_{j}^{\beta} y_{j}^{\beta} \qquad \forall j \in J$$
(5)

$$\sum_{i \in I} x_{ij}^{u} \ge D_{j}^{\beta} \qquad \forall j \in J$$
(6)

$$\sum_{j \in J} x_{jk}^{\nu} \ge D_k^{\gamma} \qquad \forall \ k \in K$$
(7)

$$D_{j}^{\beta} \geq \sum_{k \in K} x_{jk}^{\nu} \qquad \forall j \in J$$
(8)

$$x_{ij}^{u} - integer \tag{9}$$

$$x_{jk}^{\nu} - integer \tag{10}$$

$$y_i^a - binary \tag{11}$$

$$y_{j}^{\beta}$$
 -binary (12)

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Where constraints 4-5 are supply constraints in quantity and constraints 6-8 are demandconstraints in quantity.

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214 SOLUTION METHODOLOGY

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216 Optimization approach

218 In order to obtain Pareto optimal solution, a solution approach was developed. This approach transforms the multi-objective model into a single-objective model (Z_s) which 219 220 is formulated by considering each objective individually. This single-objective model aims to minimize the scalarized differences between each objective and its optimal value. 221 Undesired deviations (Z_d) are proposed to be subtracted from Zs with the aim to achieve 222 more accurate objective values. These values are close enough to non-inferior optimal 223 224 solutions which lead to a clear insight of a compromise solution between conflicting objectives for decision makers. The solution approach function (Z) can be formulated as 225 follows: 226

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$$Min \ Z = Z_s - Z_d \tag{13}$$

228 Where

$$Z_{s} = \left[\left(w_{1} \ \mu_{1} \right) - \left(w_{2} \ \mu_{2} \right) - \left(w_{3} \ \mu_{3} \right) \right]$$
(14)

$$\begin{cases}
\mu_{1} = \left[\frac{Z_{1} - Z_{1}^{*}}{Z_{1}^{*}}\right] \\
\mu_{2} = \left[\frac{Z_{2} - Z_{2}^{*}}{Z_{2}^{*}}\right] \\
\mu_{3} = \left[\frac{Z_{3} - Z_{3}^{*}}{Z_{3}^{*}}\right] \\
0 \ge w_{n} \ge 1 \qquad n = (1, 2, 3) \\
\sum_{n=1}^{3} w_{n} = 1
\end{cases}$$
(15)

230 Set
$$w_n^* = \frac{w_n Z_n^{\bullet}}{Z_n^{\bullet} - Z_n}$$
, then

$$Z_{d} = w_{1}^{*}Z_{1} + w_{2}^{*}Z_{2} + w_{3}^{*}Z_{3}$$

$$= \frac{w_{1}Z_{1}^{\bullet}}{Z_{1}^{\bullet} - Z_{1}} Z_{1} + \frac{w_{2}Z_{2}^{\bullet}}{Z_{2}^{\bullet} - Z_{2}} Z_{2} + \frac{w_{3}Z_{3}^{\bullet}}{Z_{3}^{\bullet} - Z_{3}} Z_{3}$$
(16)

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Finally, based on the aforementioned procedures the solution objective function can bewritten as follows.

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$$Min \ Z = \left(w_1 \mu_1 - w_2 \mu_2 - w_3 \mu_3\right)$$

$$-\left(\frac{w_1 Z_1^{\bullet}}{Z_1^{\bullet} - Z_1} \ Z_1 + \frac{w_2 Z_2^{\bullet}}{Z_2^{\bullet} - Z_2} \ Z_2 + \frac{w_3 Z_3^{\bullet}}{Z_3^{\bullet} - Z_3} \ Z_3\right)$$
(17)

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The constraints contain equations (4)-(10) and (15). Utilizing this approach yields a mono objective function, mixed integer linear programming model which can be solved using a linear programming solver i.e., LINGO or Xpress.

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240 Decision making algorithm

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Once the Pareto optimal solutions are obtained, it needs to determine one optimal 242 243 solution used for implementation. The selected solution can be made by decision makers 244 with the highest degree of preference of the related objectives. So far, several approaches have been employed aiming to select the best trade-off decision in a multi-objective 245 problem. In this paper, a new decision making algorithm was developed and used to 246 select the best solution from the derived Pareto set. The selected solution is subject to the 247 248 highest superiority value S which is determined by a summation of the minimum distance to the ideal solution Z+ and the maximum distance to the worse solution Z-. The 249 250 selection formula can be expressed as follow:

$$S = \sum_{i=1}^{I} \left| Z_i - Z_i^+ \right| + \sum_{i=1}^{I} \left| Z_i - Z_i^- \right|$$
⁽¹⁸⁾

252 Application and Evaluation

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In order to examine the applicability of the developed mathematical model as well as the 254 255 usefulness of the developed solution methodology, two case studies were applied based on data shown in Table 4. The data were collected from farms, abattoirs and retailers by 256 the Halal Meat Committee in the UK.^[33] Travel distances were estimated between farms 257 and abattoirs and between abattoirs and retailers using the Google map. In case study A, 258 259 London-South West area was considered, it includes five farms, six abattoirs and eleven 260 retailers. In case study B, London-South East area was considered. It includes five farms, 261 six abattoirs and three retailers.

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In this work, LINGO¹¹ was used for computing results aiming to seek optimization solutions. Table 5 shows outputs of Pareto solutions which were obtained by assigning varying weight values to each objective for case study A and B, respectively. These solutions are associated with allocations of farms, abattoirs and retailers that need to be opened for a specified supply chain network. These results, however, were obtained by assigning seven sets of three varying values in weights to the three objectives.

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By analyzing the obtained solutions, the objectives (by minimizing the total investment 270 271 cost, maximizing the Halal meat integrity and maximizing ROI) are conflicting objectives, i.e., maximizing or minimizing one objective value may lead to an increase of 272 273 undesired values of other one or two objectives. As an example, a maximal integrity number of Halal meat products and a maximal ROI may result in an increase of the 274 275 undesired value which is total investment cost. A pairwise comparison among the three conflicting objectives for case A is illustrated in Figure 5. The result shown in Figure 5 276 277 (a) indicates that decision makers do not need to invest more than 305,076 GBP on the RFID-based monitoring HMSC network as it will only lead to a slight increase the 278 279 number of Halal meat products. By comparison, the computed result shown in Figure 5

(b) indicates that decision makers need not to invest more than 459,858 GBP to achieve a
maximal ROI of 690,260 GBP, i.e., a further increase in the total investment cost from
459,858 GBP to 494,596 GBP will not lead to an increase but a slight decrease of ROI.
This result proves that the maximum total investment cost does not necessarily lead to a
maximal ROI. The result shown in Figure 5 (c) indicates a maximal number of Halal
meat products (311,230 items) that yields a maximal ROI of 690,260 GBP.

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287 In practice, one of these solutions must be selected by preferences of decision makers or using a decision making algorithm. To this aim, the developed decision making algorithm 288 289 was utilized. Accordingly, solution three is the best solution for case A and solution two is the best solution for case B. It is noted that solution three for case study A generates a 290 maximal ROI of 559,000 GBP, a maximal integrity number of 137,952 items and a 291 minimal total investment cost of 279,922 GBP; it gives three farms and five abattoirs that 292 293 need to be opened for the specified HMSC network. The result for solution two for case study B gives a maximal ROI of 210,000 GBP, a maximal integrity number of 93,151 294 items and a minimal total investment cost of 90,480 GBP, which suggests two farms and 295 two abattoirs that need to be opened for the specified HMSC network. 296

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298 Finally, Figure 6 shows the selected optimal design of HMSC networks that were obtained by setting up weight values (0.8, 0.1, 0.1) for case study A (solution three in 299 300 Tables 5) and (0.9, 0.05, 0.05) for case study B (solution two in Tables 5). The geographic configuration shows locations of farms, abattoirs and retailers which need to 301 be established for the proposed RFID-based HMSC network design. For instance, 302 solution three for case study A suggests that the HMSC network needs three farms 303 located in Warwickshire, Leicestershire and Yorkshire, respectively, and five abattoirs 304 located in Birmingham, Balham, West Midland, Warrick and Norfolk, respectively. 305 These abattoirs supply Halal meat products to eleven retailers. Solution two for case 306 study B suggests the HMSC network needs two farms located in Lancashire and 307

Warwickshire, respectively, and two abattoirs located in Balham and West Midland,respectively. These abattoirs supply Halal meat products to three retailers.

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311 CONCLUSIONS

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A study by Wang,^[34] suggested that RFID implementation is a trend for future generation 313 automated warehouses in logistics and supply chain sectors. This paper presents a 314 feasibility study by examining a proposed RFID-based monitoring process that enhances 315 316 the integrity of HMSCs using the multi-objective approach. This includes a framework of an RFID-based monitoring system that collects relatively accurate and real-time 317 information data in order to improve traceability of Halal meat products in each process 318 in production and transportation sectors. Retailers and consumers can also check 319 320 information of Halal meat products in terms of Halal meat integrity online or using mobile phones. A multi-objective mathematical model was developed as an aid for a 321 322 trade-off decision making process in design of the proposed RFID-enabled HMSC network. Subsequently, a solution methodology was developed including a solution 323 324 approach to obtain Pareto solutions and a decision making algorithm to select the best Pareto solution. Based on the computed results, the proposed system is economically 325 326 feasible as a relatively high profit can be possibly obtained.

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