

1 **Title: Dynamic Relationship between Embodied and Operational Impacts of Buildings:**
2 **An Evaluation of Sustainable Design Appraisal Tools**

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19 **Dynamic Relationship between Embodied and Operational Impacts of Buildings: An**
20 **Evaluation of Sustainable Design Appraisal Tools**

21
22 **Abstract**

23 **Purpose:** Buildings and their construction activities consume a significant proportion of
24 mineral resources excavated from nature and contribute a large percentage of CO₂ in the
25 atmosphere. As a way of improving the sustainability of building construction and operation,
26 various sustainable design appraisal standards have been developed across nations. Albeit
27 criticism of the appraisal standards, evidence shows that increasing sustainability of the built
28 environment has been engendered by such appraisal tools as BREEAM, Code for sustainable
29 homes, LEED and CASBEE, among others. This study evaluates the effectiveness of the
30 appraisal standards in engendering whole lifecycle environmental sustainability of the built
31 environment.

32
33 **Design/methodology/approach:** In order to evaluate the adequacy of sustainability scores
34 assigned to various lifecycle stages of buildings in the appraisal standards, four case studies of
35 a block of classroom were modelled. Using Revit as a modelling platform, stage by stage
36 lifecycle environmental impacts of the building were simulated through Green Building Studio
37 and ATHENA Impact estimator. The resulting environmental impacts were then compared
38 against the assessment score associated with each stage of building lifecycle in BREAAM and
39 code for sustainable homes.

40
41 **Findings:** Results show that albeit the consensus that the appraisal standards engender
42 sustainability practices in the AEC industry, total scores assigned to impacts at each stage of
43 building lifecycle is disproportionate to the simulated whole-life environmental impacts
44 associated with the stages in some instances.

45
46 **Originality/Value:** As the study reveals both strengths and weaknesses in the existing
47 sustainability appraisal standards, measures through which they can be tailored to resource
48 efficiency and lifecycle environmental sustainability of the built environment are suggested.

49
50 **Keywords:** *Sustainability, Simulation, Lifecycle Analysis, BREAAM, CO₂ emission, Global*
51 *Warming Potential.*

54 **1.0. INTRODUCTION**

55 In addition to its consumption of largest proportion of mineral resources excavated from nature
56 (Anink et al., 1996), building and construction activities contribute large percentage of CO₂ in
57 the atmosphere (Baek et al., 2013), and produce the largest portion of waste to landfill (Oyedele
58 et al., 2014). Due to this, it has often been argued that the sustainability of the built environment
59 is indispensable to achieving the global sustainability agenda (Anderson and Thornhill, 2002).
60 Since the initiation of official movement for sustainability was raised through
61 Brundtland Report, concerns raised by the awareness of climate change has become an
62 important political priority across the globe (O'Neill and Oppenheimer, 2002; Brundtland,
63 1987). Consequently, building performance, green buildings, eco-labelling, lifecycle impacts,
64 sustainable building and environmental impacts, among others are some of the concepts that
65 have changed, and are continuously changing, the teaching and professional practices within
66 the built environment (Ding, 2008; Ajayi et al., 2014; Ortiz et al., 2009).

67

68 Congruently, the governments and other concerned bodies across the globe have introduced
69 the concept of sustainable design appraisal frameworks, which are being used to engender
70 sustainable design and construction of built infrastructures (Kajikawa et al., 2011). Due to the
71 need of the diverse group of stakeholders involved in building lifecycle process, including
72 owners, construction professionals, designers and users, the development of the assessment
73 framework is a complex task (Cole, 2005). This is as a result of conflicting priority among the
74 different groups of stakeholders, with the government usually being the major driver of the
75 sustainability agenda. Nonetheless, since the introduction of the UK Building Research
76 Establishment Environmental Assessment Method (BREEAM) in 1990, buildings
77 environmental performance assessment frameworks have become rife within the construction
78 industry (Cole, 2005). These sets of frameworks include the US Leadership in Energy and
79 Environmental Design (LEED), the Comprehensive Assessment System for Built Environment
80 Efficacy (CASBEE), the Code for Sustainable Homes (CfSH), Comprehensive Environmental
81 Performance Assessment Scheme (CEPAS), and many others (Poveda and Lipsett, 2011; Cole,
82 2005). These performance assessment tools require that social development, environmental
83 protection and economic development should be appropriately considered in the decision about
84 locating, designing, constructing, operating as well as the end of life deconstruction or

85 demolition of the buildings. As such, scores were assigned to various aspects of project
86 lifecycle in a bid to calculate the overall sustainability of the buildings.

87

88 Evidence suggests that significant progress made in driving environmental sustainability
89 agenda is majorly due to the implementation of the sustainability appraisal frameworks (Ding,
90 2008; Ajayi et al., 2015). Albeit this success, claims have been made that wide acceptance of
91 the framework is not necessarily due to its effectiveness but largely due to the legislative
92 requirement for its implementation (Cole, 2005; Poveda and Lipsett, 2011). Scores are often
93 assigned to the different aspects of design and construction processes, but there is lack of study
94 that evaluates the overall effectiveness of the sustainable design appraisal tools in engendering
95 sustainability of the whole built processes throughout the building lifecycle.

96

97 Based on this gap, this study evaluates the effectiveness of the appraisal standards in
98 engendering whole lifecycle environmental sustainability of the built environment. The study
99 estimates the total environmental weight assigned to different lifecycle stages of buildings in
100 the UK BREAAAM and CfSH. The proportional weight per building lifecycle stages was then
101 compared with simulated environmental impacts of individual lifecycle stage, which were
102 assessed using Lifecycle Assessment (LCA) methodology. The study offers insights into
103 changes required of the sustainable design assessment frameworks for increased efficiency. It
104 also suggests the aspects of the built processes that are expected to be further targeted by the
105 sustainable design appraisal tools.

106

107 **2.0. LITERATURE REVIEW**

108 The construction industry is one of the least sustainable industry, accounting for about half of
109 all non-renewable resources consumed by mankind (Edwards, 2014). This is especially as all
110 other human activities are built around buildings and other constructed infrastructures such as
111 roads, bridges, etc. Apart from its consumption of the substantial proportion of resources
112 excavated from nature, and the subsequent CO₂ emission and materials depletion (Dixon et al.,
113 2018), the industry also accounts for various other environmental impacts. These include
114 energy consumption, agricultural land loss, air pollution, waste generation, use of CFC
115 generating materials, deforestation and water consumption, among others (Säynäjoki et al.,
116 2017; Soares et al., 2017). With all these impacts contributing to climate change, the

117 construction industry has remained under considerable pressure to improve its sustainability
118 profile (Ajayi and Oyedele, 2017).

119

120 In line with the global sustainability agenda, as entrenched in “Our Common Future”,
121 sustainable construction has become the buzzword that is driving the activities of the industry
122 towards achieving the social, economic and environmental sustainability (Brundtland
123 Commission, 1987). The impact of the construction industry touches the three pillars of
124 sustainability, which are economic, social and environmental. For instance, the UK
125 construction industry contributes about 6–10% of the nation’s GDP and provides employment
126 for over 3 million people (Edwards, 2014; ONS, 2017). At the environmental level, the industry
127 is responsible for almost half of carbon emissions, generates large portions of waste to landfill,
128 and consumes about half of mineral and water resources (Edwards, 2014; Säynäjoki et al.,
129 2017). The social significance of the industry is also evident in terms of its significance in
130 enhancing the quality of life in terms of housing, workspace, utilities and transport
131 infrastructure. As such, a truly sustainable construction project should address the
132 environmental, economic and social pillars of sustainability at all stages of the building
133 lifecycle. According to Halliday (2008), a sustainable construction enhances biodiversity,
134 support communities, uses resources effectively, minimizes pollution, managed responsibly,
135 energy efficient and creates healthy environments. Such construction project would aim at
136 providing a building that is affordable, accessible and environmentally conscious, covering the
137 three pillars of sustainability (Dixon et al., 2018; Chong et al., 2017). In addition to the
138 traditional project performance indicators – cost, time and quality – sustainable construction
139 adds sustainability as another key project performance indicator.

140

141 Apart from the sustainability of the actual construction process, the sustainability of the
142 building is essential to achieving the sustainability of the built environment (Chong et al.,
143 2017). The lifecycle of a typical building is divided into various stages, covering raw materials
144 and manufacturing, construction, operation and maintenance (Ajayi et al., 2015). Out of all
145 these stages, the operational stage of the building accounts for the larger impacts of the entire
146 lifecycle (Soares et al., 2017). Depending on building use, construction techniques, materials
147 used and reuse, among others, operational impacts of buildings could account for about 60%
148 to over 90% of the total lifecycle impacts (Zhan et al., 2018; Soares et al., 2017; Ajayi et al.,
149 2015). These impacts are specifically due to energy used for building operation, maintenance
150 and management of conventional buildings (Soares et al., 2017). As such, the use of renewable

151 energy system (Chong et al., 2017), as well as the changing use pattern and user behaviour are
152 essential to minimizing the overall impacts of buildings on the environment. This has become
153 the main focus of the legislation, with various new ways of efficiently operating buildings being
154 innovated.

155

156 In order to drive the sustainability of the built environment, including the building and its
157 construction process, various policies, legislation and targets have been set. Some of these
158 targets and mandates are in response to meeting the international targets for carbon emission
159 and global warming, and they remain the major driver of sustainability within the built
160 environment (Ajayi and Oyedele, 2017). These legislative requirements and targets have been
161 developed into standards that are fast becoming a requirement for every construction project.
162 Examples of such legislative measures include the EU Renewable Energy Directive (2009),
163 Energy Performance of Buildings Directive EPBD (2002/91/EC), Sustainable and Secure
164 Buildings Act (2004), Waste (England and Wales) Regulations 2011 with (Amendment) 2012
165 and continuous revision to the part L of the Approved document, among other provisions
166 (Edwards, 2014; Dixon et al., 2018)

167

168 In addition to the legislative provisions, sustainable design appraisal systems have been
169 developed to drive the sustainability of the built environment. Across the globe, considerable
170 effort has been made to develop various building performance assessment standards (Sharifi
171 and Murayama, 2013). These sets of building assessment standards benchmarks various
172 elements of building design and construction activities to award performance grade to the
173 building (Ding et al. 2008). Following the introduction of the UK BREEAM in 1990, various
174 other assessment standards have been developed across the globe (Illankoon et al., 2017).
175 These include the LEED in the US, BEPAC in Canada, CASBEE in Japan, Eco-Quantum in
176 Netherlands and GreenStar in Australia, among others (Ding et al., 2008; Sharifi and
177 Murayama, 2013; Doan et al., 2017). According to Ding (2008), only Eco-Quantum is based
178 on the whole building lifecycle

179

180 While some of these standards consider sustainability at the holistic level, covering social,
181 economic and environmental aspects, some of them focussed on the operational energy
182 efficiency of buildings without considering the embodied impacts of the materials and the
183 environmental impacts of the actual construction process (Doan et al. 2017). With the exception
184 of a few, most of the sustainable design appraisal systems have largely focused on the

185 environmental pillars of sustainability (Illankoon et al., 2017). Notwithstanding this, evidence
186 suggests that the sustainable design appraisal systems have been effectively doing what they
187 were designed to do by driving sustainability of the built environment (Doan et al., 2017;
188 Büyüközkan and Karabulut, 2018). Nonetheless, continuous improvement and updating of the
189 sustainable design appraisal systems are essential to its effectiveness in driving the
190 sustainability of the built environment (Doan et al., 2017; Illankoon et al., 2017).

191

192 Lifecycle assessment considers the whole life impacts of a product, covering its materials
193 extraction, transportation, processing and manufacturing (Khasreen et al., 2009). In the case of
194 a building, its lifecycle analysis covers all the processes involved from cradle to cradle, in case
195 of its materials reuse or recycling, or from cradle to grave (Ajayi et al., 2015). Since the LCA
196 covers the entire lifecycle of buildings, aligning the sustainable design appraisal tool with the
197 LCA is essential to assigning appropriate environmental weight to various stages of the
198 building lifecycle.

199

200

201 **2.1. ENVIRONMENTAL SCORES PER LIFECYCLE STAGES OF** 202 **BUILDINGS**

203 Various sustainability assessment frameworks are being used for weighing the sustainability
204 of building design and construction activities. Detailed analysis of some of these frameworks
205 is available in Ding (2008), Cole (2005), Sharifi and Murayama (2013) and Kajikawa et al.
206 (2011). In this study, the effectiveness and appropriateness of the UK BREAAAM and CfSH
207 were evaluated based on the environmental weight assigned to different lifecycle stages of
208 buildings. The two frameworks were selected as the study is based in the UK. Although the
209 sustainability assessment frameworks address the social, economic and environmental aspects
210 of sustainability, this study is limited to the environmental aspect of sustainability. This section
211 presents a brief overview of the assessment framework and summarises the scores assigned to
212 different sections of the framework.

213

214 **2.1.1 BREEAM**

215 BREEAM is the first and world's leading environmental assessment method for building. Its
216 aim is to give environmental labelling to buildings by considering the best environmental
217 practices that are incorporated into the planning, design, construction and operation of the

218 buildings (BREEAM, 2014). The assessment framework covers various building schemes,
219 which includes offices, retails, industrial, education, healthcare, multi-residential, court and
220 prisons, among others (Kajikawa et al., 2011).

221

222 In BREEAM, buildings are assessed on nine key categories of performance, including energy,
223 management, health and wellbeing materials, waste, pollution, and so on. As the 10th category,
224 an additional score is assigned to a project, where stakeholders can demonstrate another
225 innovative approach than those included in the assessment framework. The total number of
226 points or credits gained in each section is multiplied by an environmental weighting factor,
227 which considers the relative importance of each of the total 10 sections (BREEAM, 2014).

228

229 BREEAM consists of 5 categories of grades, which are a pass, good, very good, excellent and
230 outstanding, depending on the overall score achieved by a project. Based on the provisions of
231 BREEAM and scores assigned to different building performance indicators, Table 1 shows a
232 breakdown of scores assigned to different lifecycle stages of buildings. Since the BREAAM
233 considers social and economic aspects of sustainability, scores assigned to activities that do not
234 directly fall under any lifecycle environmental impacts of buildings are classified as "others"
235 in table 1. After multiplying the scores by the environmental weight assigned to each category
236 of building performance indicator, the overall score per lifecycle stage is put in the bracket in
237 the table.

238

239 **2.1.2. Code for Sustainable Homes**

240 The Code for Sustainable Homes is another environmental assessment rating method for new
241 homes that assessed the environmental performance of residential buildings at the design and
242 post-construction stage. It benchmarks building performance in nine categories of performance
243 indicators, which include energy and carbon emissions, water, health and wellbeing, materials,
244 waste and pollution, among others. Based on an analysis of a building proposal, and depending
245 on the overall score, a building could be scored from level 1 to level 6, with level six being the
246 highest achievable standard. Before it was repealed in April 2015, every new build in England
247 and Wales is expected to achieve code level 4 before it could be granted a building control
248 approval. Its provisions have now been incorporated into the building regulation as the new
249 national technical standard, which is set at the equivalent of a code level 4. Although the code
250 is not based on building lifecycle stages, but rather on the nine categories of measures, a

251 thorough analysis of the code for sustainable home was carried out to determine the total score
 252 assigned to different stages of the building lifecycle. The result of the analysis is presented in
 253 Table 2.

254
 255 **Table 1: A breakdown of environmental impact weight per lifecycle stages in BREEAM**

Categories/considerations	A	B	C	D	Others	Weight	Total Credit
1. Management		6 [0.72]			16[1.92]	0.12	22 [2.64]
2. Health and wellbeing			4 [0.60]		6 [0.90]	0.15	10 [1.50]
3. Energy			25[4.75]		5 [0.95]	0.19	30 [5.70]
4. Transportation					9 [0.72]	0.08	9 [0.72]
5. Water			6 [0.36]		3 [0.18]	0.06	9 [0.54]
6. Materials	10[1.25]		1[.125]	1 [.125]	0 [0.00]	0.125	12 [1.50]
7. Waste	1[0.075]	4 [0.30]	1[.075]	1[.075]	0 [0.00]	0.075	7 [0.525]
8. Land use and ecology		1 [0.10]			9 [0.90]	0.10	10 [1.00]
9. Pollution			7 [0.7]		6 [0.60]	0.10	13 [1.30]
10. Innovation					10[1.00]	0.10	10 [1.00]
Total	1.325	1.12	6.61	0.2	7.17	-	16.425
Percentage impacts per lifecycle stage	14.3%	12.1%	71.4%	2.2%	-	-	100%

256

257 *A = Embodied energy and Products manufacturing stage; B = Construction and replacement stage;
 258 C= Operational (use) stage; D = End of Life stage

259 *Percentage per impact considers the proportion of points assigned to each stage per total proportion
 260 for the whole lifecycle stages (excluding “others”)

261

262

263 **Table 2: A breakdown of environmental impact weight assigned to lifecycle stages in CfSH**

Categories/considerations	A	B	C	D	Others	Total Credit
1. Energy and CO2 emission (ECO 1 – 9)	2	-	23	-	4	29
2. Water (WAT 1 – 2)	-	-	6	-	-	6
3. Materials (MAT 1 – 3)	24	-	-	-	-	24
4. Surface Water Run-off (SUR 1 – 2)	-	-	-	-	4	4
5. Waste (WAS 1 – 3)		2	5		-	7
6. Pollution (POL 1 – 2)	1		3			4
7. Health & Wellbeing (HEA 1 – 4)			7		5	12
8. Management (MAN 1 – 4)		4			5	9
9. Ecology (ECO 1 – 5)	1	3			5	9
Total	28	9	44	0	23	104
Percentage impacts per lifecycle stage	34.6	11.1	54.3	0	-	100%

264

265 3.0. METHODOLOGY

266 The overall goal of this study is to assess the sensitivity of the sustainable design appraisal
 267 tools to the lifecycle impacts at the different stages of the building lifecycle. In order to achieve
 268 this, score assigned to the different lifecycle stages in BREEAM and Code for sustainable

269 homes were calculated. A full lifecycle analysis was carried out for four typologies of a
270 modelled classroom to determine the lifecycle impacts of different stages of the building. The
271 percentage of stage-based impacts were then compared with the percentage points associated
272 with each of the stages in the sustainable design appraisal tools. The comparative analysis
273 provokes some thoughts on the strength and weaknesses of the sustainable design appraisal
274 tools and the needs for continuous improvement, as the use of renewable technologies
275 increases.

276

277

278 **3.1. LIFECYCLE ANALYSIS OF FOUR TYPOLOGIES OF A BUILDING** 279 **CASE STUDY**

280 Lifecycle Analysis (LCA) is a globally recognised approach for estimating whole lifecycle
281 environmental impacts of products (Khasreen et al., 2009). It is performed within the
282 framework of ISO 14040, utilizing four established phases, which are goal and scope, inventory
283 analysis, impact assessment and interpretation (Ooteghem and Xu, 2012). A block of classroom
284 was modelled as a case study using one of the widely used BIM tool, Revit. The lifecycle
285 assessment process, case study model and the analytical process are discussed in this section.

286

287 **3.1. The Case study**

288 A case study of a block of classroom was modelled in Revit. The building consists of 2 floors
289 with a total Gross Floor Area (GFA) of 1233m². Details of the case study model are as given
290 in Table 3. In order to estimate the average lifecycle impacts of the building, irrespective of the
291 materials of construction, materials used for the building were varied across four typologies.
292 This is further referred to as sensitivity analysis in other parts of this paper. Typology 1 was
293 modelled as a traditional British brick and block building, typology 2 is a timber building,
294 typology 3 is a steel structure, while typology 4 was modelled with Insulated Concrete Forms.
295 Inventory of total materials required for each typology is estimated in Revit, while operational
296 impacts of the building typology were estimated using Green Building Studio (GBS) and
297 energy analysis function of Revit.

298

299

300

301

Table 3: Specific characteristics of the baseline design used for the study

Building system	Specific characteristics
Exterior walls	100mm facing brick, 110mm cavity filled with polystyrene insulation, CMU inner wall with 12.5mm plasterboard finish and partly curtain wall.
Interior walls	Cavity masonry units filled with sound barrier.
Structure	Self-sufficient brick/block component served as structural support.
Ground floor	Composite hollow core floor finished with synthetic resin
First floor	Timber boards with I-section timber frames and synthetic resin floor finish
Windows	Aluminium-frame, double-glazed, argon-filled, U -value 1.55 W/m ² K
Roof	Slate roofing sheet with wood frame
HVAC	Gas fired boiler, steam from Central Powerplant
Electricity	100% from external regional utility
Ceiling	Suspended gypsum ceiling with steel grid
Column	Pressure treated sawn hardwood – free from Copper Chromium Acetate(CCA)

302

303

304 3.2. Lifecycle Assessment (LCA) Framework

305 *Goal and Scope*

306 The scope of the LCA is limited to a two-floor BIM-modelled block of classroom with
 307 sensitivity analysis of material specifications, to determine the effects of each specification
 308 over the building's lifecycle. Also known as "what-if scenario", a sensitivity analysis was used
 309 to hypothesise alternative materials that could be used for the building. In line with Saynajoki
 310 et al. (2012), a period of 30 years was used for the LCA analysis of the building typologies.
 311 This is also partly due to the provision of 30 years available in GBS, which was used for
 312 evaluating the operational impacts of the buildings.

313

314 *Inventory analysis*

315 The LCA inventory analysis was estimated using the volume estimate capacity of Revit. The
 316 total volume of materials required by different typologies was entered into ATHENA impact
 317 estimator (IE), an LCA tool that takes in data from building materials and operation and
 318 converts it into various impacts categories such as Global Warming Potentials (GWP),
 319 acidification, etc. The inventory of energy need of the different building typologies was also

320 estimated using GBS and Revit energy analysis. The results were also entered into IE to
321 calculate the lifecycle impacts of the buildings.

322

323 *Impact Assessment*

324 In line with Hamilton et al. (2007), the most potent environmental impacts of building on the
325 environment are its tendency of increasing GWP. As such, the impacts of the buildings were
326 evaluated in terms of their tendency for GWP by calculating the quantity of carbon produced
327 by each typology over the entire building lifecycle in KgCO₂.

328

329 *Interpretation*

330 The overall goal of the whole life building LCA was to calculate an average impact per lifecycle
331 stage of buildings. As such, the sensitivity analysis provided an avenue for finding the average
332 impacts of the four typologies considered in the study.

333

334 **4.0. FINDINGS AND DISCUSSION**

335 This section presents the findings of the LCA for the building typology, and the corresponding
336 impacts of each stage are compared with the proportional score assigned to the stages in
337 BREEAM and CfSH.

338

339 **4.1. Environmental impacts per lifecycle stages of buildings**

340 As presented in Figure 1, the GWP of the buildings varied with the types of materials specified
341 for their construction. The findings show that the order of environmental friendliness of the
342 building typologies ranges from timber, brick/block, steel to concrete, where concrete buildings
343 have the highest negative environmental impacts. Considering the lifecycle stages, the
344 operational stage has the highest impacts on the environment. This was followed by the
345 materials/product stage, construction and replacement stage and end of life stages respectively
346 for all the building typologies. Figure 1 presents the average impacts of all the typologies over
347 each lifecycle stage in KgCO₂ that would be emitted by the buildings. AVERAGE represents
348 the average impact per lifecycle stages for all the four typologies.

349

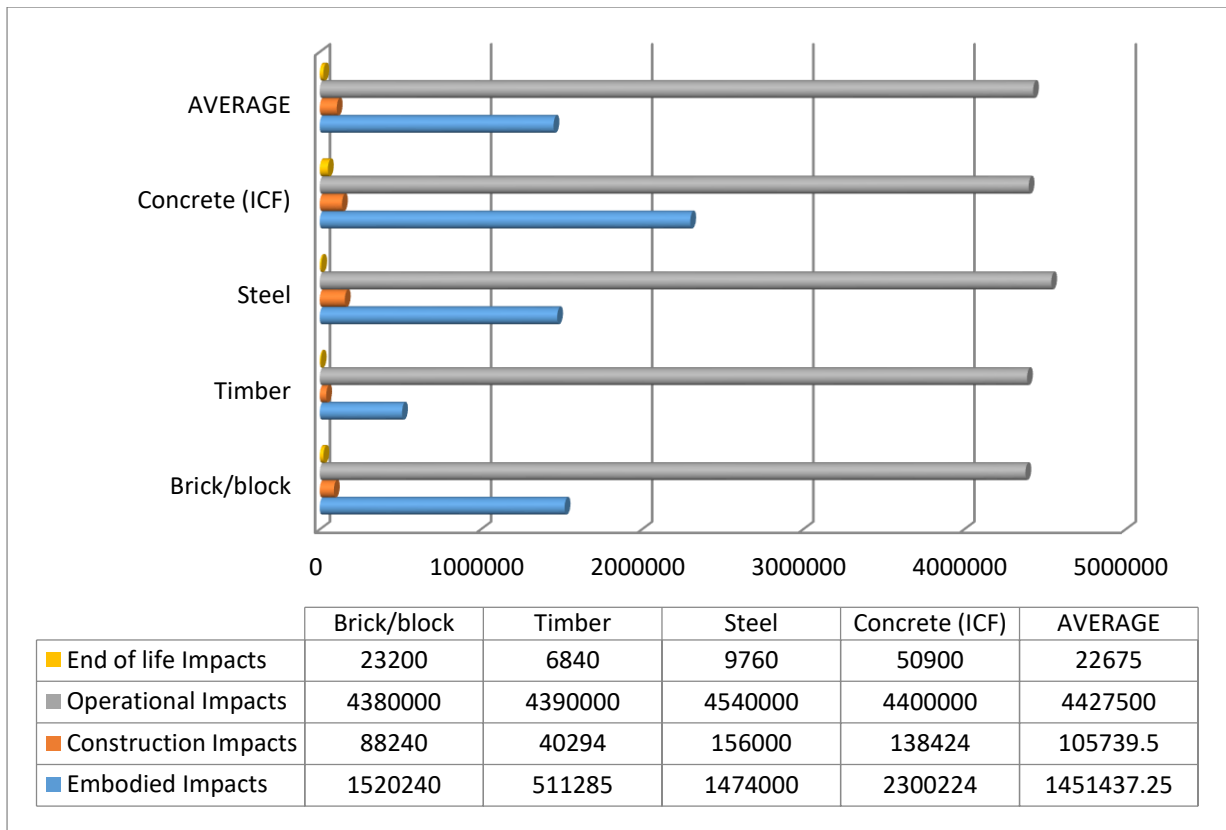
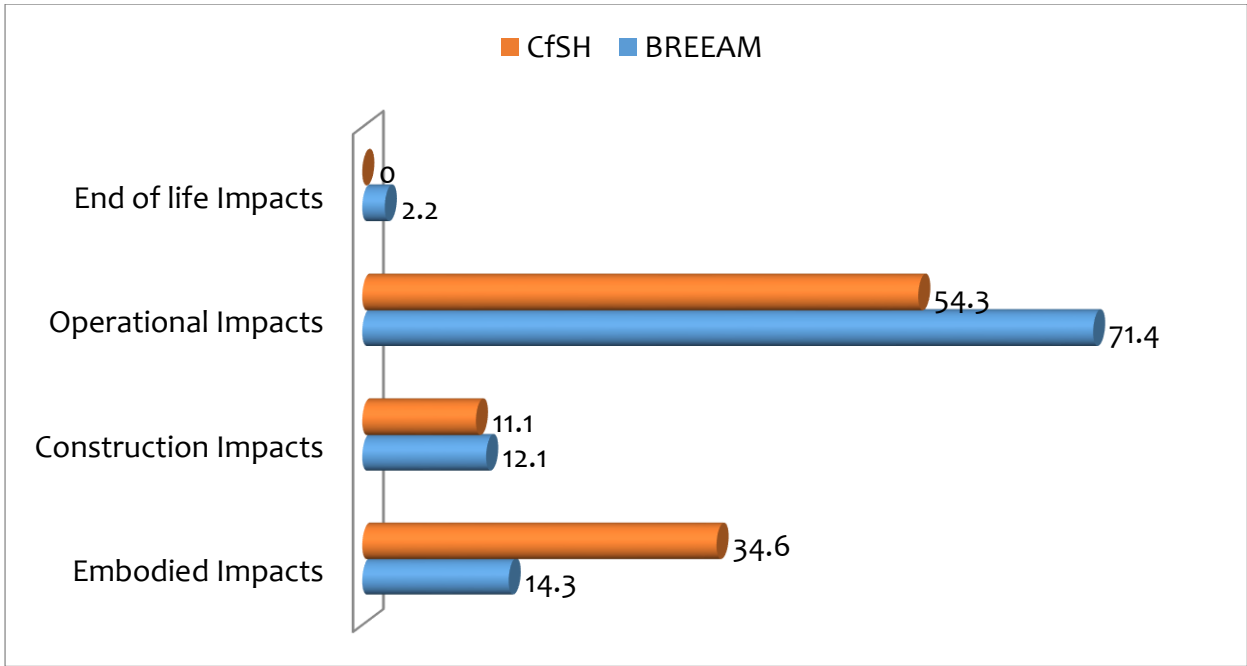


Figure 1: Impacts of all the typologies (in KgCO₂) over each lifecycle stage of buildings

350
351
352

353 4.2. The environmental weight assigned to different lifecycle stages of buildings in 354 BREAM and CfSH

355 As earlier presented in table 1 and 2, operational impacts of buildings were assigned with the
356 highest environmental weight in BREEAM and CfSH with 71.4% and 54.3% respectively. This
357 was followed by the embodied impact, which has 14.3% and 34.6% for BREEAM and CfSH
358 respectively. Construction and end of life-related impacts were assigned 12.1% and 2.2%
359 (respectively) in BREEAM. While the CfSH sets no direct measure for the end of life-related
360 impacts, construction-related impacts have a proportional weight of 11.1%. Figure 2 presents
361 the proportional environmental weight assigned to the different lifecycle stages.
362



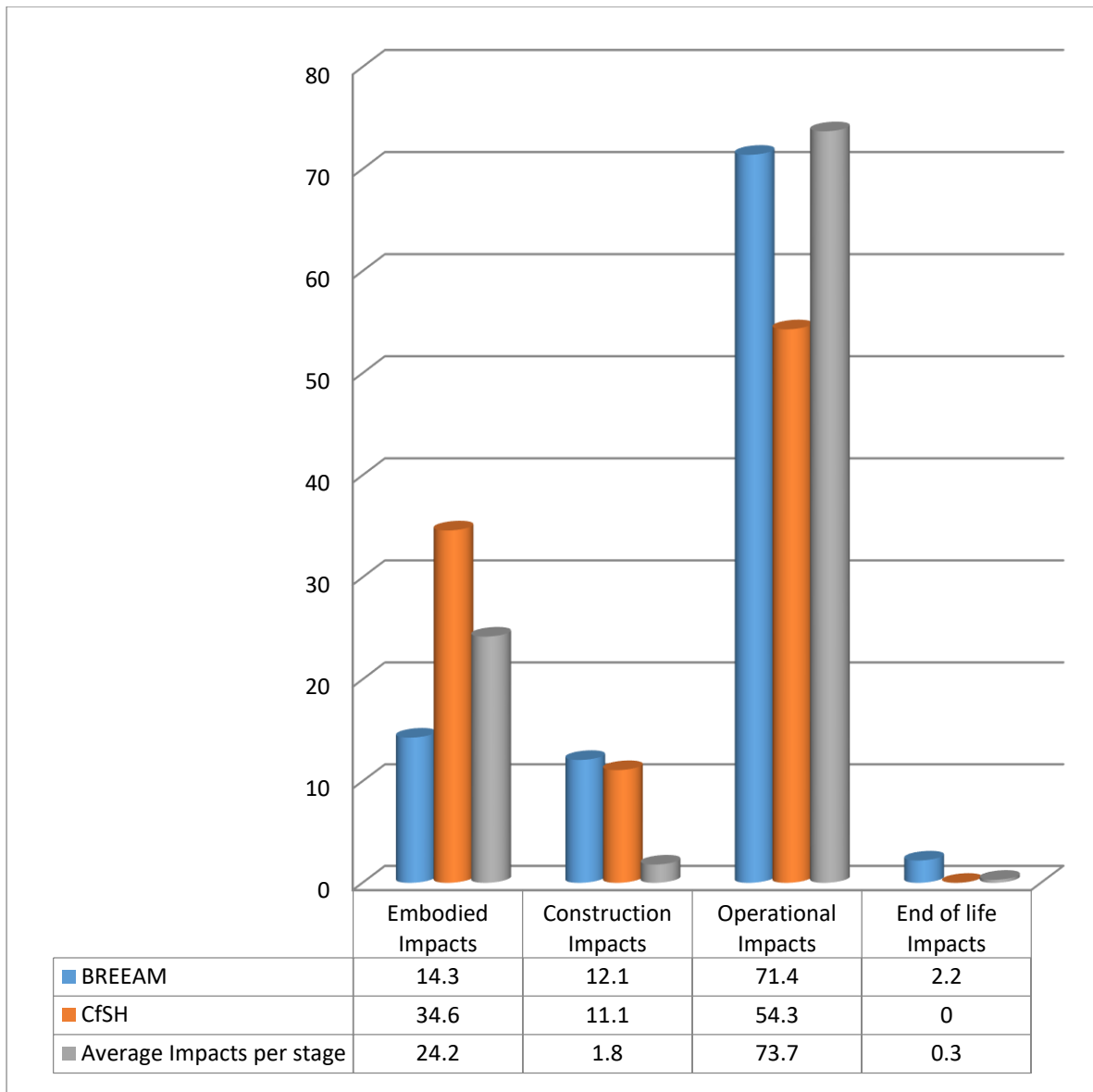
363

364 *Figure 2: Environmental weight assigned to different lifecycle stages of buildings in BREEM and*
 365 *CfSH.*

366

367 **4.3. Comparative analysis of Simulated and assigned lifecycle environmental impacts**

368 Figure 3 compares the percentage impacts of buildings over their entire lifecycle with the
 369 proportion of scores assigned to each stage in BREEM and CfSH.



370

371 *Figure 3: Comparison of simulated impacts with CfSH and BREEAM weightings*

372 *Note: "Average impacts per stage" refers to average simulated impacts for all the four building*
 373 *typologies as presented in figure 1.*

374

375 The figure suggests that on average, BREEAM perform fairly well in terms of the proportional
 376 scores assigned to the different lifecycle stages of buildings, when compared to the CfSH. For
 377 instance, while average operation impacts of buildings stand at 73.1%, a total impact weight of
 378 71.4% is assigned to the stage of the building lifecycle. This fairly represents the significant
 379 impacts of the operational stage of buildings (Zhan et al., 2018), suggesting that the sustainable
 380 design appraisal methodology is effective in driving the sustainability of buildings at the
 381 operational stage. Nonetheless, the embodied impacts of materials are underscored, while
 382 impacts of the construction processes are scored far higher in BREAM than its simulated

383 impacts. This suggests the need to reconsider the environmental weight assigned to the raw
384 materials processing and production in the widely used environmental assessment method. This
385 is particularly important as there is an increasing recognition of the economic benefits of the
386 operational stage (Ajayi et al., 2015). Based on this, there is an increasing decarbonisation of
387 national mixes and the use of fossil energy for building operation is decreasing (Malmqvist et
388 al., 2018). This means that legislative provisions and environmental assessment tools are
389 required to give more weight to the embodied impacts of the materials used in construction.
390 Although more significance has also been assigned to the end of life stage than the simulated
391 impacts, the assigned proportion still fall within the range of the simulated impacts of 1.5-4%
392 depending on the materials used. As the BREEAM weighting assigned to the operational
393 impacts reflects the simulated impacts of the stage, the most important improvement
394 requirement for the BREAAM is to redistribute the importance index assigned to the
395 construction and embodied impacts. This has the tendency of driving the use of
396 environmentally friendly materials for building construction.

397

398 Unlike the BREAAM, CFSH attached more importance to the embodied impacts of the
399 building, while the significance attached to the operational stage is lower than the simulated
400 impacts. Although the code has ceased to operate, the concern raised by this comparative
401 analysis is very important for the building regulation, into which the provision of the code has
402 been integrated. While the simulated lifecycle operational and embodied impacts of buildings
403 cover about 73.7% and 24.2%, 54.3% and 34.6% have been allocated to the two stages
404 respectively. In addition, no significant provision has been made for the end of life of the
405 building, which contributes about 0.3% with the tendency of contributing between 1.5 and 4%
406 when brick and concrete are used for construction. This requirement is in line with Akinade et
407 al. (2015) who opined that significant proportion of construction waste and its associated
408 environmental impacts could be prevented by considering the end of life in the sustainable
409 design appraisal tools.

410

411 **5.0. CONCLUSION AND IMPLICATION FOR PUBLIC POLICY**

412 Sustainability appraisal frameworks have received both praises and criticism in terms of their
413 effectiveness in engendering sustainability of the built environment. In order to contribute to
414 the ongoing debate and determine the effectiveness of the appraisal framework concerning
415 whole life performance, this study compares simulated lifecycle impacts of buildings with the

416 environmental weight assigned to the lifecycle stages in BREAAAM and Code for Sustainable
417 Homes (CfSH) as case studies. The comparative analysis suggests that while BREEAM has
418 adequately assigned weight to operational stage of building lifecycle, scores assigned to
419 embodied and construction impacts are disproportionate to their simulated lifecycle impacts.
420 Code for Sustainable Homes, on the other hand, attached more importance to the embodied
421 impacts of the building, while less significance is attached to the operational stage. It also
422 makes no significant provision for end of buildings' lifecycle, which could have significant
423 environmental impacts on the built environment.

424

425 This study has an implication for improving the effectiveness of the sustainability appraisal
426 framework. The deficiency in BREEAM provision requires that more weight should be given
427 to embodied impacts, while points assigned to construction-related impacts requires reduction.
428 These require re-consideration of the scores assigned to materials, waste and management
429 aspects of the appraisal methodology. Although the CfSH has ceased from being a requirement
430 for new homes, its integration into building codes means that weights assigned to different
431 lifecycle stages require revision. This could be achieved by increasing the total weight
432 associated with the operational stage while reducing the weight associated with the embodied
433 impacts.

434

435 Notwithstanding this present change requirement, continuous improvement of the total weight
436 associated with different lifecycle stages is required for the effectiveness of the appraisal
437 framework. Similarly, increasing recognition of the economic benefits of buildings operational
438 effectiveness means that other stages could be further driven by the sustainability appraisal
439 framework. This is particularly important, as buildings that are based on renewable technology
440 over its lifecycle could possess higher embodied impacts than operational impacts. Thus, with
441 increasing energy efficiency of buildings, there is a need for a stepwise increment of the
442 proportional importance assigned to embodied and end of life impacts of buildings.

443

444 As this study is limited to a case study of a block of the classroom, other studies could evaluate
445 the effectiveness of the sustainability appraisal framework using a case study of other building
446 use types such as residential, offices, retails and industrial buildings among others. Similarly,
447 the effectiveness of other internationally recognised sustainability appraisal framework, such
448 as LEED and CASBEE among others, could be evaluated in terms of their proportionality to
449 real lifecycle impacts of buildings. Although the Green Building Studio and ATHENA impacts

450 estimator have been widely approved and used for building simulation, the accuracy of the
451 simulated results largely depends on the tools.

452

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