

Breaking the news: Parents' experiences of receiving an antenatal diagnosis of cleft lip

Abstract

Objective: In high-income countries, many cases of cleft lip are now identified at the 18-21 week pregnancy scan. The manner in which a diagnosis is communicated is vital for long-term parental wellbeing, yet previous studies have been indicative of parental dissatisfaction. The aims of the present study were to examine the experiences of parents who received an antenatal diagnosis of cleft lip in their unborn child, and to offer pragmatic suggestions for improving the diagnostic experience in practice.

Design: An online, mixed-methods survey was designed and distributed by the Cleft Lip and Palate Association. Data from 574 eligible parents were analysed using descriptive statistics and inductive content analysis.

Results: Although survey responses indicated positive diagnostic experiences overall, respondents perceived a lack of sensitivity among sonographers, long waiting times between referrals, and a lack of appropriate and reliable information. Respondents also reported a number of misconceptions about cleft lip and/or palate and its prognosis, as well as a variety of initial concerns about their own ability to cope with the anticipated challenges.

Conclusion: Findings emphasise the importance of providing accurate and individualised information to prospective parents, in a sensitive manner, so they can adjust to their child's diagnosis and prepare for the birth appropriately. Given that antenatal screening for cleft lip is becoming more fully integrated into routine practice, more training for healthcare professionals, improved access to reliable information in a variety of formats, and stronger links between local hospitals and specialist cleft services may be needed.

Keywords: diagnosis; cleft lip; maternal health; ultrasound; sonography; pregnancy

25 **Introduction**

26 A cleft lip and/or palate (CL/P) is one of the most common congenital conditions in the world,
27 affecting one in every 500-700 live births each year (World Health Organization, 2012). While the
28 initial surgical repair usually takes place during the first year of life, the child will be expected to
29 engage in a long-term multidisciplinary treatment pathway. Research has demonstrated the potential
30 impact of CL/P and its treatment on the child's social, emotional, and cognitive development, as well
31 as on the wellbeing of the parents and the family as a whole (Stock & Feragen, 2016; Nelson et al.,
32 2012). In addition, approximately 30 percent of all cases of cleft lip and palate, and 50 percent of
33 cases of cleft palate alone are considered to be syndromic (Dixon et al., 2011). Common genetic
34 syndromes associated with CL/P, which carry additional medical and psychosocial challenges,
35 include Pierre Robin sequence, Stickler's syndrome, and 22Q11.2 deletion syndrome. In rare cases,
36 a cleft may be indicative of more serious problems, including Down's, Edwards' or Patau's
37 syndromes, which may lead to potentially fatal complications.

38 In **high-income** countries, fetal anomaly screening at 18-21 weeks now routinely includes screening
39 for a cleft lip (e.g. Public Health England, 2013). If detected, prospective parents are typically given
40 an appointment for a second scan to confirm and discuss the diagnosis with a **maternal-fetal medicine**
41 consultant. Following confirmation of a cleft lip, a referral should be made to the local specialist cleft
42 team within 24 hours (e.g. NHS England, 2013). National charities dedicated to helping those born
43 with CL/P and their families, such as the Cleft Lip and Palate Association (CLAPA; United Kingdom)
44 and the American Cleft Palate-Craniofacial Association (United States) also provide specialist
45 information and peer support following a diagnosis.

46 While an antenatal diagnosis can be distressing (Hsieh et al., 2013; Nusbaum et al., 2008), it also
47 allows prospective parents to adjust to their child's condition and engage with specialist services
48 ahead of the birth (Smith et al., 2015; Berggren et al., 2012; Kuttenger et al., 2010; Robbins et al.,
49 2010; Rey-Bellet & Hohlfeld, 2004; Aspinall, 2002; Moss, 2001; Davalbhakta & Hall, 2000). To
50 promote optimal long-term parental wellbeing, the manner in which the diagnosis is communicated

51 is vital (Vanz & Ribeiro, 2011; Chuacharoen et al., 2009; Maes et al., 1998; Matthew et al., 1998).
52 Although several studies in the field of CL/P have acknowledged concerns regarding the way in which
53 antenatal diagnosis is handled (Nelson et al., 2012), relatively few studies have specifically
54 investigated parents' diagnostic experiences and their suggestions for how the service may be
55 improved. This is particularly important given that the validity of ultrasound diagnosis is continuing
56 to evolve (Johnson & Sandy, 2003). The objectives of the present study were to utilise an online,
57 mixed-methods approach to examine the views and experiences of parents who received an antenatal
58 diagnosis of cleft lip in their unborn child, and to offer pragmatic suggestions for improving the
59 diagnostic experience in practice.

60 61 **Method**

62 *Design*

63 An online, mixed-methods survey was designed by CLAPA using the online survey platform,
64 SurveyMonkey. The full survey consisted of nine demographic questions (including the parent's age
65 at the time of diagnosis, and their child's cleft type), 27 quantitative questions (including who
66 provided the diagnosis, and how satisfied parents were with their diagnostic experience), and five
67 qualitative questions (including the ways in which the amount, timing and content of diagnostic
68 information could be improved). A full list of survey questions is provided in Table 1.

69 *Procedure*

70 Institutional ethical approval was obtained from the Faculty Ethics Committee at the University of
71 the West of England, Bristol, and adhered to at all times. The study was also conducted within the
72 ethical guidelines of the British Psychological Society. Respondents were made aware that their
73 contribution to the survey was voluntary, that their data would be kept confidential, that they would
74 not be personally identified during dissemination, and that they could withdraw their data from the
75 study at any time prior to publication. The survey was advertised on the charity's website, e-

76 newsletters and social media between October 2016 and January 2017. A total of 1,044 eligible
77 survey responses were collected. Of these, 574 respondents reported receiving an antenatal diagnosis
78 of cleft lip. The present manuscript describes the quantitative and qualitative data provided by these
79 574 respondents specifically on the topic of antenatal diagnosis. Quantitative data were analysed
80 using descriptive statistics. Since not every respondent answered all of the survey questions, reported
81 percentages were adjusted depending on the number of answers received. Qualitative data were
82 analysed by the second author using inductive content analysis. This type of analysis is deemed
83 appropriate when the aim of a study is to succinctly summarise a large body of qualitative data, and
84 when existing theory or research literature on a given phenomenon is limited (Neuendorf, 2017).
85 First, the data were read and re-read, to establish an overall picture of the data. Common themes were
86 then inductively grouped together in an iterative process (Neuendorf, 2017). A proportion of the data
87 was also coded by the first author to assess reliability. Qualitative codes were then compared, with
88 initial coding reaching an average agreement of 95% (range 93-100%). Any discrepancies were
89 subsequently discussed until full agreement was reached. Finally, frequency counts were calculated
90 and exemplar quotes were selected to illustrate each category.

91 92 **Results**

93 *Participant characteristics*

94 Table 2 presents demographic information on the 574 parents who participated in this study. Figure
95 1 also provides a visual representation of the year of diagnosis.

96 *Quantitative findings*

97 All survey responses included in this study had received a diagnosis of cleft lip during ($n = 527/574$,
98 92%), or shortly after ($n = 47/574$, 8%) the antenatal anomaly scan. In the majority of cases, the
99 sonographer had delivered the initial diagnosis ($n = 495/572$, 87%). Following the initial anomaly
100 scan, most respondents reported undergoing a second scan to confirm the diagnosis ($n = 448/568$,

101 79%); however, only 29% had received their second scan within three working days ($n = 164/568$).
102 In 35% of cases ($n = 199/567$), respondents had been informed about other conditions related to CL/P,
103 such as Stickler's syndrome and 22Q11, while 35% of respondents ($n = 199/567$) had also been told
104 about potentially fatal conditions linked to cleft palate, such as Edwards' or Patau's syndrome. Most
105 respondents felt that the diagnosis was presented in either a very sensitive ($n = 219/572$, 38%) or
106 somewhat sensitive ($n = 224/572$, 36%) manner. However, 10% of respondents felt that the diagnosis
107 had been presented insensitively ($n = 58/572$) and a further 12% believed the diagnosis had been
108 delivered very insensitively ($n = 66/572$). Overall, the majority of respondents reported being either
109 very satisfied ($n = 197/574$, 34%) or satisfied ($n = 178/574$, 31%) with their diagnostic experience.
110 Nonetheless, fifteen percent of respondents ($n = 84/574$) remained neutral, 12% ($n = 67/574$) reported
111 being dissatisfied, and 8% ($n = 48/574$) reported being very dissatisfied. No differences in overall
112 satisfaction were observed between respondents who received a diagnosis prior to the implementation
113 of the guidelines in 2010 and those who received a diagnosis after 2010.

114 Prior to receiving information about the diagnosis, respondents reported having a number of common
115 misconceptions about CL/P. This included the idea that CL/P is purely cosmetic ($n = 151/554$, 27%),
116 that CL/P has a solely hereditary aetiology ($n = 131/554$, 24%), that all children with CL/P will have
117 learning difficulties ($n = 77/554$, 14%), that a diagnosis of CL/P means the child will be disabled (n
118 $= 63/554$, 11%), and that CL/P only affects infants in low-income countries ($n = 20/554$, 4%). Fifty-
119 seven percent of respondents ($n = 313/553$) felt that they had received the right amount of information
120 at the time of diagnosis, while 37% ($n = 202/553$) felt they had not received enough, and 1% ($n =$
121 $8/553$) thought the amount of information given was too much. Respondents' greatest concerns
122 following the diagnosis (besides the physical health of their baby) included: other peoples' reactions
123 to their child looking and/or sounding different ($n = 358/561$, 64%), being able to feed the baby ($n =$
124 $336/561$, 60%), entrusting the child to the surgical team for repair procedures ($n = 204/561$, 36%),
125 coping with the long-term treatment pathway ($n = 147/561$, 26%), others treating them or their child
126 differently ($n = 127/561$, 23%), concerns about whether they or their partner would be able to bond

127 with their child ($n = 116/561$, 21%), having to tell friends and family about the diagnosis ($n = 87/561$,
128 16%), having to explain CL/P to their other children ($n = 19/561$, 3%), and having to tell their partner
129 about the diagnosis ($n = 4/561$, 1%). Although the majority of respondents ($n = 446/551$, 81%)
130 reported that they had been told about where to seek further information and/or support, only 45% (n
131 $= 246/551$) felt that the information they had received had covered the right topics and had addressed
132 all the queries they had.

133 *Qualitative findings*

134 In addition to these quantitative data, 298 qualitative responses about parents' experiences of
135 antenatal diagnosis were extracted. Parents' responses related to the emotional impact of the
136 diagnosis, information provision, experiences with healthcare professionals, waiting times, and
137 experiences with cleft specialists. These findings are summarised in Table 3.

138 **Discussion** 139

140 *Synthesis of Findings*

141 This study provides crucial insight into the antenatal diagnostic experience of cleft lip as perceived
142 by parents. While the findings of this online, mixed-methods survey indicate positive diagnostic
143 experiences overall, respondents also perceived a lack of knowledge and sensitivity among
144 sonographers, long waiting times between referrals, and a lack of availability of appropriate and
145 reliable information. Respondents reported a number of misconceptions about CL/P and its
146 prognosis, as well as a variety of initial concerns about their own ability to cope with the anticipated
147 challenges. Subsequent engagement with specialist CL/P services was reported to be very positive.

148 *Responding to Parents' Emotional Needs*

149 Previous studies have acknowledged the emotional impact of an antenatal diagnosis and identified a
150 perceived lack of empathy from healthcare professionals in response (Lalor et al., 2007; Schuth et al.,
151 1994). Healthcare professionals need to be aware that parents may be distressed and disoriented, and

152 require experience and skill to determine the level of need of each individual and to respond
153 accordingly (Lalor et al., 2008; Schuth et al., 1994). This is especially relevant given that parents
154 may struggle to absorb information when they feel overwhelmed, as has been demonstrated in the
155 present study. Rather than using medical or outdated terminology, a comprehensible description of
156 the condition, its treatment and its prognosis should be provided (Aspinall, 2002; Schuth et al., 1994).

157 ***Information Provision***

158 The quality of the information received at the time of diagnosis can considerably influence parents'
159 long-term wellbeing (Vanz & Ribeiro, 2011), as well as their attitudes toward the diagnosis, further
160 antenatal testing, and even termination of the pregnancy (Stock et al., 2018; Brajenović-Milić et al.,
161 2008). Specialist CL/P materials should be on hand for parents at all stages of the diagnostic pathway.
162 To suit different parents' needs and to enhance accessibility, information should be available in a
163 variety of formats (e.g. leaflets, trusted websites). Information could also be provided cumulatively,
164 to ensure the amount, content and timing of information is optimal for each individual's coping style
165 (Lalor et al., 2008).

166 ***Training for Healthcare Professionals***

167 Basic training, possibly in the form of written, online or face-to-face 'Continuing Professional
168 Development' certification, could be beneficial in supporting healthcare professionals to deliver
169 difficult news (Lalor et al., 2007), and to ensure that healthcare professionals are able to provide up-
170 to-date and accurate information confidently, to the level of their degree of specialisation. Future
171 research and public involvement activities are needed to guide the development and evaluation of
172 such training, and work toward this goal is currently underway. In addition, improved links between
173 local hospitals and specialist CL/P services is necessary, so that specialist emotional support and
174 realistic, balanced accounts of what life is like with a child with CL/P can be offered (Aspinall, 2002),
175 and so that any gaps between referrals can be appropriately bridged.

176 ***Methodological Considerations***

177 Limitations of the present study must be acknowledged. Specifically, the survey was only shared
178 with parents who are a part of CLAPA's community. While CLAPA's community is considerable,
179 it cannot be assumed that this group, nor the subgroup who responded to the survey, are representative
180 of the CL/P population as a whole. National initiatives, such as the CRANE database ([www.crane-](http://www.crane-database.org.uk)
181 [database.org.uk](http://www.crane-database.org.uk)) and the Cleft Collective Cohort Studies (Stock et al., 2016) will be better placed to
182 address more complex questions in the future, such as pre-post improvements in the diagnostic
183 experience. Further, not all survey respondents answered all of the questions, and therefore some
184 data is missing. Additional questions which may have shed further light on the findings, such as
185 socioeconomic status were not included in the survey, and should be considered for inclusion in future
186 work. A mismatch is also evident between the results of the quantitative analysis and the codes
187 identified in the qualitative data, with the qualitative findings painting a slightly more negative picture
188 overall. It is possible that those who recalled a particularly negative experience were more compelled
189 to provide further details of their experiences. It is also possible that some of the answers given by
190 participants were subject to recall bias. All individuals reshape their life stories in an attempt to make
191 meaning out of their experiences, and it could be that participants emphasised or diluted certain parts
192 of their story. However, this does not make their recalled experiences any less significant. In spite
193 of these limitations, this survey provided a large amount of quantitative and qualitative data on a
194 subject which has to date received relatively little attention in the context of CL/P. The findings will
195 be used to inform future research in this area, and are pertinent to the ways in which antenatal care is
196 delivered in clinical practice.

197

198 **Conclusions**

199 Taken together, the findings of this and other studies emphasise the importance of providing accurate
200 and individualised information to prospective parents, in a sensitive manner, so that they can adjust
201 to their child's diagnosis and prepare for the birth appropriately. Given that antenatal screening for
202 cleft lip is becoming more fully integrated into routine practice, the results of the current study suggest

203 that more training for **healthcare** professionals, improved access to reliable information in a variety
204 of formats, and stronger links between local hospitals and specialist CL/P services is needed.

205

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