1	Geometry optimisation of vertical axis wind turbine with Gurney flap for performance
2	enhancement at low, medium and high ranges of tip speed ratios
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4	Taurista P. Syawitri <sup>a,b</sup> , Yufeng Yao <sup>a*</sup> , Jun Yao <sup>a</sup> and Budi Chandra <sup>a</sup>
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6	<sup>a</sup> Department of Engineering Design and Mathematics, University of The West of England,
7	Bristol BS16 1QY, United Kingdom
8	<sup>b</sup> Department of Mechanical Engineering, Universitas Muhammadiyah Surakarta, Surakarta
9	57162, Central Java, Indonesia
10	
11	*Corresponding author: <u>yufeng.yao@uwe.ac.uk</u>
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13	Highlights
14	• Geometry of Gurney flap mounted on VAWT is optimised using Taguchi method
15	• Optimisation considers the effect of rotating blades at different tip speed ratios
16	• Optimum Gurney flap geometry varies depending on the tip speed ratio
17	• Maximum performance improvement by Gurney flap is achieved at a lower tip speed ratio
18	• Performance of VAWT with Gurney flap decreases with the increase of tip speed ratio
19	
20	Abstract
21	Optimisation of Gurney flap (GF) geometries mounted on a three-straight-bladed vertical
22	axis wind turbine (VAWT) is evaluated with computational fluid dynamics (CFD) solution and
23	Taguchi method, considering the blade rotating effect at three tip speed ratios (TSRs) of low,
24	medium and high ranges. A hybrid RANS-LES model applying stress-blended eddy simulation
25	with transition shear-stress transport turbulence model is adopted. Results analysis confirms
26	that GF geometry optimisation needs to consider multiple rotational blades rather than a single
27	stationary one, owing to different optimum GF geometries and their performances at a different
28	range of TSRs. It is found that VAWT with GF can significantly improve the power coefficient
29	at low range TSRs (up to 233.19%), but it decreases with the increase of TSRs ranges (up to
30	69.94% and 41.36% at medium and high ranges of <i>TSR</i> s, respectively).
31	
32	Keywords: Vertical Axis Wind Turbine; Gurney Flap; Stress-blended Eddy Simulation;

33 Geometry Optimisation; Taguchi Method.

### 34 1. Introduction

Vertical Axis Wind Turbine (VAWT) has received increasing interest in recent years due 35 to its lower cut-in speed, small size in dimensions, and relatively less noise pollution, compared 36 to large-scale Horizontal Axis Wind Turbine (HAWT). Thus, it is more suitable for urban 37 environment applications. In practice, VAWT is easier to install and maintain because its 38 generator and gearbox are located near the ground. In addition, the absence of the yaw 39 mechanism in VAWT can further reduce the complexity of the system and increase turbine 40 reliability, leading to the reduction of total capital cost [1]. In terms of flow physics, VAWT is 41 42 less sensitive to adjacent turbines' wake flow than HAWT. This characteristic helps VAWT to have better performance than HAWT in urban environments, where both unsteady flows and 43 largely skewed wind conditions are often prevalent and persistent. 44

Despite of those aforementioned benefits, VAWTs still need further performance 45 improvement to compete with commercial HAWTs, mainly due to their relatively lower 46 efficiency and poorer self-starting capability. In general, there are two methods implemented 47 in the past to improve the VAWT performance. The first method is to use active or passive 48 49 flow control device to control the dynamic stall of turbine blades and the second method is to 50 use flow augmentation device to increase local wind speed and/or re-direct incoming wind 51 towards the turbine. These two methods have been proven successful, as they can improve the efficiency and self-starting ability of VAWT. The study presented in this paper is purely 52 53 devoted to the use of dynamic stall control to improve the performance of a three-straight-54 bladed VAWT model.

55 Compared to active flow control, passive flow control is a relatively simple and practical solution for VAWT performance enhancement. This is primarily due to the general requirement 56 57 of an external power source for active flow control, which can add further complexities in product design and manufacturing process, along with additional maintenance costs and 58 59 operational difficulties. As VAWTs are usually deployed in low wind speed areas such as urban environments, this additional external power requirement can significantly reduce the 60 economic feasibility of VAWTs. Therefore, passive flow control is widely used, as it does not 61 need an external power source. 62

Among available passive flow control devices, Gurney flap (GF) has been given much attention by many researchers. It is due to its simple geometry modification, low cost in production and better performance improvement, especially at low range of Tip Speed Ratios (*TSR*s) (i.e. the ratio between the wind speed and the speed of the tips of the wind turbine blades, as defined by Equation (1) later). Recent studies [2, 3, 4, 5, 6] have found that GF can 68 significantly improve the lift coefficient whilst having small drag coefficient increase, resulting in considerable power coefficient  $(C_p)$  improvement of VAWT. GF can also improve the self-69 starting ability of VAWT, which will reduce the external power source needed to rotate the 70 turbine initially at a very low incoming wind speed or a low range of TSR [4]. Furthermore, GF 71 72 could improve the VAWT performance at all ranges of TSRs operation [4], compared to other passive flow controls such as dimple/cavity [7], vortex generator [8, 9], leading edge serrations 73 74 [10], winglet [11], or leading edge micro-cylinders [8], as these alternative solutions can merely increase the VAWT performance over a narrow range of TSRs. According to a previous study 75 76 [6], it was evident that the ability of GF to improve the VAWT performance can be varied, depending on GF geometry details. Therefore, geometry optimisation can be very crucial in 77 the design of GF to be implemented in VAWT. It is known that both the blade rotating effect 78 and blade-to-blade interaction have significant impacts on the performance of VAWT. 79 Moreover, VAWT can be operated at typically three different ranges of TSRs, namely, low 80 range of TSRs, medium range of TSRs and high range of TSRs. Within each range of TSRs, 81 flow behaviour around VAWT will be quite differently. Based on the evaluation of NACA 82 0021 aerofoil VAWT at low range of TSRs by Castelli et al. [12], it was found that during the 83 operation, VAWT blades can experience higher angle of attack (AoA) up to 27.7°, which is 84 85 beyond the stall angle of a static aerofoil (normally +15°/-15°). This causes very small positive and sometimes even negative torque productions, leading to the poor self-starting ability of 86 VAWT at low wind speed or low TSRs operation condition [13]. At medium range of TSRs, 87 VAWT blades will have a small increment of AoA beyond static stall angle compared to low 88 range of TSRs (up to 10.3° higher than stall angle of a stationary aerofoil). As a result, the flow 89 can be considered mostly attached to blade surfaces and the level of flow unsteadiness of 90 91 VAWT is decreased accordingly. At this medium range of TSRs, optimum TSR operation can be obtained due to strong shed wake of the turbine and significant induction velocities [14]. 92 Lastly, VAWT will have less *AoA* increment beyond the static stall angle at high range of *TSR*s 93 (up to 5° higher than stall angle of a stationary aerofoil), compared to low and medium ranges 94 of TSRs. Even though the turbine can still operate at the ranges of no static stall condition, the 95 96 power production may decrease, due to the fact that the rotor can act as a solid wall obstruction at a high rotational speed [15]. The higher rotational speeds will also induce high vibrations, 97 drag increases and tip losses in VAWT, resulting in lower power generation of VAWT at high 98 range of TSRs [16]. 99

100 Consequently, it is crucial to conduct the optimisation of a full VAWT configuration (i.e. considering blade rotating effect and blade-to-blade interaction) at these three ranges of TSRs 101 (i.e. low, medium and high ranges of TSRs) so that the GF geometry can be modified 102 accordingly to generate the optimum solution for VAWT performance improvement at all 103 ranges of TSRs. Nonetheless, previous studies on the use of GF in VAWT have primarily 104 focused on performing geometry optimisation of a single stationary aerofoil and at a narrow 105 range of TSRs [4, 6]. Although there is a study that has performed geometry optimisation of a 106 107 full VAWT configuration at all ranges of TSRs [5], it only focused on how geometry parameters 108 (e.g. the height (H) and mounting angle  $(\theta_{GF})$ ) behave at different TSRs without evaluating the optimum value at each range of TSRs and discussing possible reasons behind. Therefore, it is 109 necessary to perform geometry optimisation of GF for a full VAWT configuration at all ranges 110 111 of TSRs.

Furthermore, due to the constraint of key parameters in GF geometry evaluation, previous studies were often limited, e.g. merely focussing on the height and mounting angle optimisations [4, 5, 6]. On the other hand, the evaluation of other GF geometry parameters in a stationary aerofoil modification has been widely performed within the aerodynamic community, including GF position from the trailing edge (*s*) [17]. It was found that this parameter also has significant effect on aerofoil performance. However, it is still unclear on its effectiveness for multiple rotating blades such as VAWT.

Additionally, some previous studies [4, 5, 6] performed GF geometry optimisation 119 merely for one parameter variation at a time. Hence, there is no information about which 120 parameter of GF gives the highest or the lowest impact on the performance improvement of 121 VAWT. In the meantime, a multiple-parameter optimisation method based on a fractional 122 design called Taguchi method [18] has been utilised to optimise straight upstream deflector 123 geometry, leading edge serration and helical blade for performance enhancement of VAWT 124 previously [19, 20]. This method can largely reduce the computational cost as it allows the 125 optimisation design to be performed with a fractional design rather than a complete factorial 126 127 design [19]. Thus, it is more suitable for investigating the sensitivity of each parameter to the 128 goal of the design [21], while considering two robust characteristics as "uniformity and decentralisation, orderliness and comparable". "Orderliness and comparable" can ensure that 129 the experimental results comparison is convenient, whilst "uniformity and decentralisation" 130 can establish uniformly scattered sample points over the domain [21]. This is one of advantages 131 of the Taguchi method compared to other fractional design methods such as Latin Hypercube 132 Sample (LHS), as the sample points in LHS are often random [21]. Hence, even if the number 133

of computations and the interval of parameters are all pre-fixed, the LHS results will still be
different at a different time instance [21]. Therefore, it is not suitable to use this method for
studying the sensitivity of each parameter to the design goal.

To overcome all of the shortcomings described above, this study attempts to perform the 137 optimisation of GF geometry for a full VAWT configuration, by considering the blade rotating 138 effect and blade-to-blade interaction at all ranges of *TSR*s over a wider range of GF parameters. 139 In addition to GF height and mounting angle as previously studied [4, 5, 6], the GF position 140 from the trailing edge is also evaluated. Note that only one TSR is chosen as a representative 141 142 value for each range of TSRs, i.e. TSR = 1.44 for low range of TSRs, TSR = 2.64 for medium range of TSRs and TSR = 3.3 for high range of TSRs. This is because VAWT behaves quite 143 similarly at the same range of *TSR*s operation [22]. 144

Taguchi method [18] is adopted to perform simultaneous optimisation of three different GF geometry parameters (i.e. height, mounting angle and position from the trailing edge). To the authors' knowledge, this is the first kind of work to be attempted for multiple-parameter GF optimisation applied to a VAWT configuration, as previous optimisations [4, 5, 6] were merely for one parameter variation at a time. Furthermore, this study only considers the maximum power coefficient of VAWT as the goal of the optimum design. Therefore, other design factors, such as structure vibration and noise, are not considered in this study.

A three-straight-bladed VAWT model is adopted and the investigation will focus on a 152 153 2D mid-plane cutting through the 3D configuration, applying computational fluid dynamics (CFD) simulation. Hence, the results of this present study are only appropriate for VAWT with 154 155 a high aspect ratio where the blade tip effect is relatively insignificant. Reynolds averaged Navier-Stokes equations (RANS) are solved together with a stress-blended eddy simulation 156 157 (SBES) turbulence model along with transition shear-stress transport (TSST), so-called a hybrid RANS-LES approach. All CFD simulations are carried out using ANSYS Fluent v19 158 [23]. 159

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# 161 2. Description of Bare VAWT and Gurney Flap Geometry

162 2.1. Bare VAWT

A three-straight-bladed Darrieus VAWT equipped with NACA 0021 aerofoil, previously examined by Castelli et al. [12] experimentally and numerically, is adopted as a baseline bare VAWT configuration in this study with parameter details given in Table 1. It can be seen from the study of Castelli et al. [12] that the position of the spoke-blade connection between their simulations and experiments is somehow different, and there is no clear explanation for this 168 difference. It is very likely that Castelli et al. [12] have altered the spoke-blade connection 169 position in their experiments from 0.25 *c* to 0.5 *c* to ease the experimental procedure and 170 perform some post-test corrections to take into account the spoke drag due to this change. 171 Furthermore, Castelli et al. [12] have evaluated this VAWT at  $U_{\infty} = 9 m/s$  with *TSR*s ranging

- between 1.44 and 3.3. The turbine rotational speed is calculated based on Equation (1) as,
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$$TSR = \frac{\omega_r R}{U_{\infty}},\tag{1}$$

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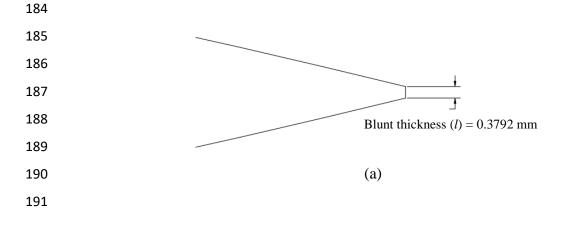
Table 1. Main geometrical parameters of Castelli et al. [12] model.

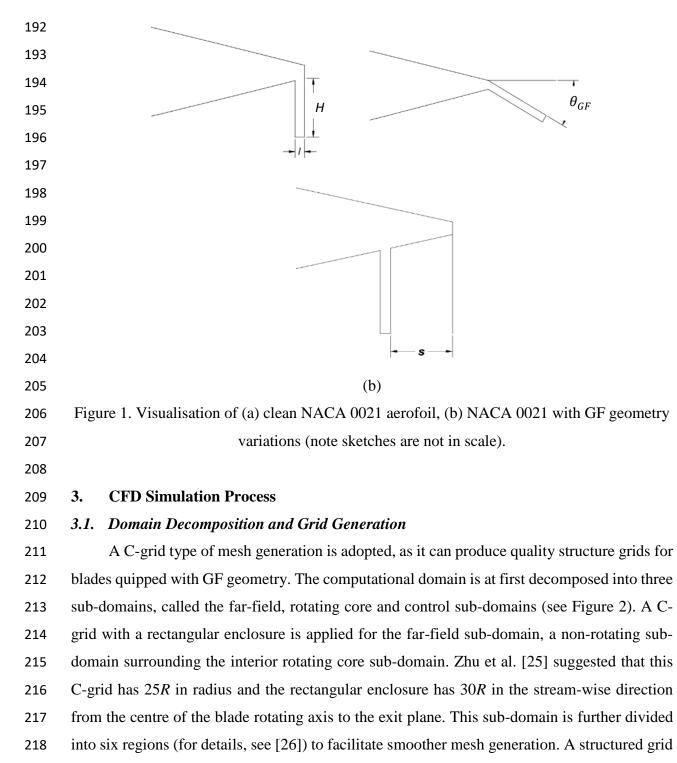
Parameters	Simulation	Experiment		
Turbine diameter $(D_{rotor} (mm))$	1030	1030		
Turbine height $(H_{rotor} (mm))$	1000 (for 2D simulation)	1456.4		
Turbine swept area $(A_s(m^2))$	1.03	1.236		
Number of blades (N (-))	3	3		
Blade profile	NACA 0021	NACA 0021		
Chord length (c (mm))	85.8	85.8		
Trailing edge thickness (mm)	0.3792	0.3792		
Spoke-blade connection	0.25 of chord length	0.5 of chord length		
Solidity ( $\sigma$ (-))	0.5	0.5		
Aspect ratio	1.4	1.4		

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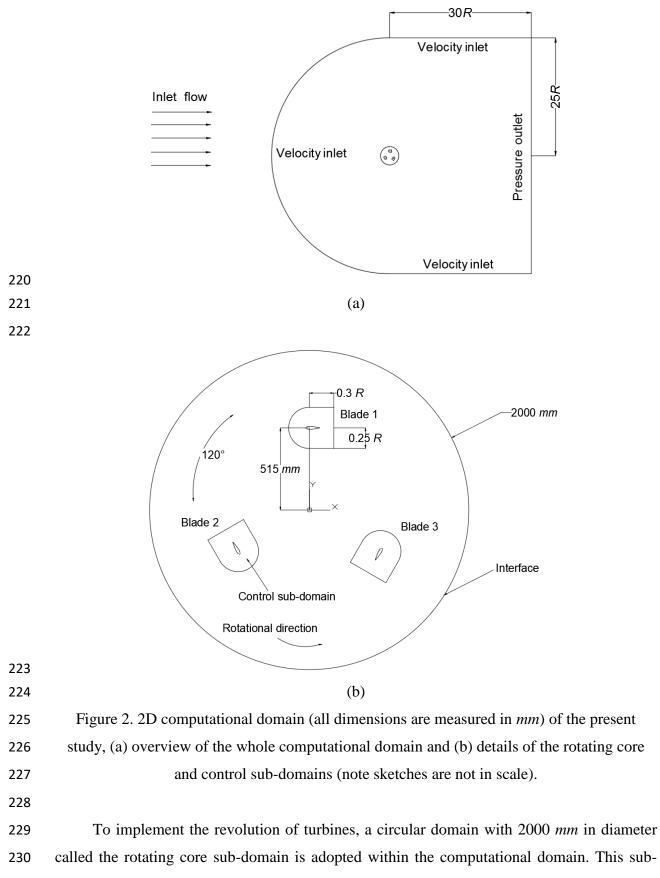
## 178 2.2. Gurney Flap

In this study, a bare VAWT model is modified by mounting a GF at the trailing edge of NACA 0021 aerofoil. The GF has a rectangular shape with a fixed thickness (*l*) (0.33% of aerofoil chord) and other parameters such as height (*H*), mounting angle ( $\theta_{GF}$ ) and distance from the trailing edge (*s*) are varied for geometry optimisation studies (see Figure 1). The chosen GF thickness is based on the finding of a previous study by Mohammadi et al. [24].





with 18240 quadrilateral cells is finally generated within this sub-domain [26].



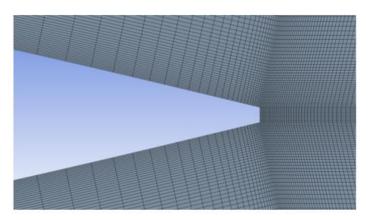
231 domain rotates in an anti-clockwise direction around the rotating turbine axis at a pre-defined

rotational speed. A total of 22527 quad-dominant cells is generated in this sub-domain [26]. A

"fluid-fluid" interface is set up at the boundary intersection between the far-field and rotating
core sub-domains to ensure the continuity of fluid flow crossing these two sub-domains. This
is accomplished by creating interface boundary conditions at the boundary intersection between
the far-field and rotating core sub-domains for each sub-domain. Then, a mesh interface is
created to connect these interface boundary conditions.

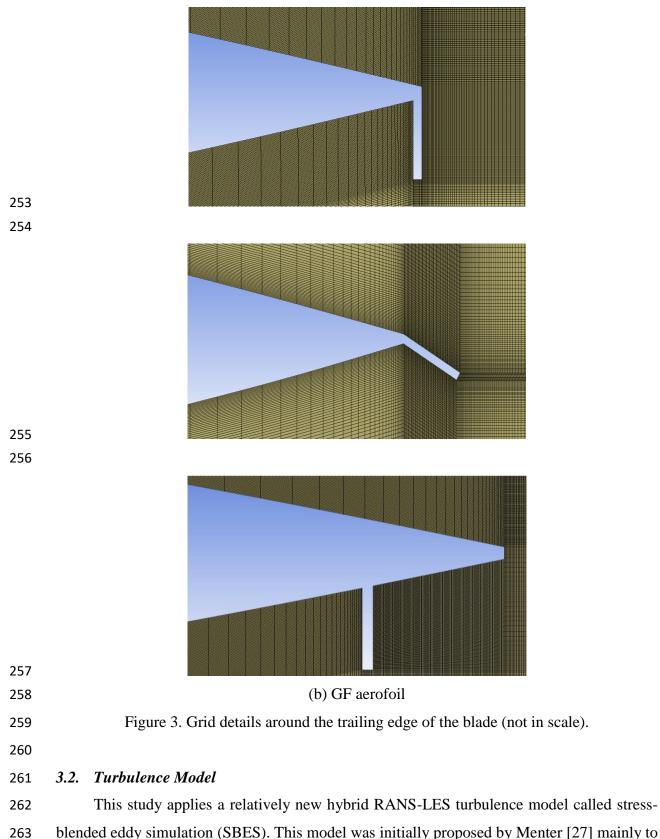
The remaining sub-domains are called control sub-domains (see Figure 2 (b)) and they 238 are used to generate grids around three blades. Three control sub-domains with inserted blades 239 are located inside the rotating core sub-domain and separated by 120° angular distance between 240 two adjacent blades. The C-shape with 0.25R in radius and 0.3R in length from the centre of 241 the blade is applied in each control sub-domain. Noting that, the boundaries between control 242 sub-domains and rotating core sub-domain are frozen and treated as "interior" to ensure the 243 continuity of the fluid flow. A total of 49680 structured quadrilateral cells are generated in each 244 control sub-domain, with fine grids in the near-wall region of the trailing edge of blade (see 245 Figure 3 in details) and coarse grids away from the wall. To satisfy the criteria of the TSST 246 turbulence model, a non-dimensional wall distance  $y^+ < 1$  is adopted whilst generating the first 247 layer grid height near the wall of the blades. 248

249



(a) Clean aerofoil

250 251



blended eddy simulation (SBES). This model was initially proposed by Menter [27] mainly to resolve the issue of Grid Induced Separation (GIS) that usually appears in previous hybrid RANS-LES approaches such as Delayed-Detached Eddy Simulation (DDES) and Improved-Detached-Delayed Eddy Simulations (IDDES) when the mesh is refined in the boundary layer region. Such a GIS is mainly due to the fact that there is improper balancing between RANS
and LES turbulence contents caused by the influence of the LES grid limiter on the RANS
model. It is also caused by the tendency of "slow" transition from the RANS to the LES zones
in separating shear layer (SSL) region [28] with no clear differentiator between the RANS and
LES regions.

The SBES model improves the "clearness" between the RANS and the LES zones by visualising the shielding function, and thus revises it in the shielded DES (SDES) SST model to protect the RANS boundary layers whilst automatically switches to an existing algebraic LES model in the LES zone [27]. SBES introduces an explicit function to switch to an algebraic LES whilst maintaining the blending function the same as that of the shielding function in SDES ( $f_{SDES}$ ), whilst disables it in the LES zone where  $f_{SDES} = 0$ . As a result, the Reynolds stress tensor and eddy viscosity equations are changed to

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$$\tau_{i,j}^{SBES} = f_{SDES} \tau_{i,j}^{RANS} + (1 - f_{SDES}) \tau_{i,j}^{LES},\tag{2}$$

281

282 
$$v_t^{SBES} = f_{SDES} v_t^{RANS} + (1 - f_{SDES}) v_t^{LES},$$
(3)

283

where  $\tau_{i,j}^{SBES}$ ,  $\tau_{i,j}^{RANS}$  and  $\tau_{i,j}^{LES}$  are turbulence Reynolds stress tensors and  $v_t^{SBES}$ ,  $v_t^{RANS}$  and  $v_t^{LES}$ are turbulence kinematic viscosities. SBES model also reduces the enforced turbulence stress level of the LES model. Hence, this model can change rapidly from the RANS to the LES function in SSL region, producing better, realistic, and consistent solutions. Furthermore, this turbulence model allows a "switch" between RANS zone and LES zone to be predictable even on a coarser grid, but other Detached Eddy Simulation (DES) models such as DDES or IDDES cannot do so.

The implementation of the SBES model with TSST in CFD modelling of VAWT has 291 292 been evaluated in the previous studies [26, 29]. It was found that this model could largely reduce the power coefficient discrepancies between CFD predictions and experiment 293 294 measurements at all TSRs operations, whilst the unsteady RANS (URANS) model could not achieve. Additionally, the hybrid RANS-LES turbulence models such as SBES could predict 295 296 dynamic stall behaviour more accurately than URANS turbulence models implicated by further vortex shedding away from blade wall and trailing edge. They can also predict weak trailing 297 298 edge vortex roll up at high ranges TSRs whilst URANS turbulence models only show weak 299 vortex shedding around the trailing edge, but not the roll up behaviour [29].

### 300 3.3. Computational Settings

In this study, both pressure-velocity coupling and second-order accuracy numerical 301 scheme for both temporal and spatial discretisation are implemented to solve the Navier-Stokes 302 equations in a hybrid RANS-LES manner. Due to the use of a hybrid RANS-LES turbulence 303 304 model, bounded central differencing (BCD) is applied for momentum spatial discretisation. The residual convergence criteria for the inner loop is set equal to or less than  $10^{-6}$ . As discussed 305 in the previous study [30], a total of 40 sub-iterations per time step is adopted to reduce 306 turbulence kinetic energy (TKE) residual by order of  $10^{-4}$ . The time step is set to be the lapse 307 time of blades making a 1° rotation, which has been proved sufficient for VAWT simulations 308 [26, 29]. 309

It is known that for VAWT simulation, it is necessary to achieve a statistically converged 310 flow field before collecting data samples. Several studies have suggested that the simulation 311 must achieve the 'converged' performance until the variation of power coefficient  $(C_p)$  or 312 moment coefficient ( $C_m$ ) between two neighbouring revolutions is at least less than 1% [12] or 313 even more stringent criteria of 0.1% [31] before collecting data samples. The evaluation of 314 blade revolution convergence by the present authors has found that a total of 34 revolutions is 315 316 necessary before the differences of  $C_m$  between two neighbouring revolutions are less than 317 0.1% for SBES with TSST turbulence model [29]. Therefore, data samples are collected from a simulation of the 35<sup>th</sup> revolution in this study. 318

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### 320 3.4 Model Validation

The grid independence and model validation studies have already been documented in works of the present authors [26, 29]. As mentioned in the previous study [26], three grid resolutions from coarse, medium, to finer meshes, each having 87, 174 and 348 cells around the blade, were considered for grid independence study. The results illustrated that the instantaneous moment coefficient ( $C_{mi}$ ) changes along azimuthal positions have shown a small difference between the medium and the fine grids whilst the coarse grid could not produce satisfying instantaneous moment coefficients [26].

The model validation study also showed that CFD simulations adopting SBES with TSST turbulence model can generate better predictions of averaged power coefficient over one blade revolution ( $C_{p-ave}$ ), compared to URANS realisable  $k-\varepsilon$  turbulence model with enhanced wall treatment (RKE) that was used in the study of Castelli et al. [12] among all ranges of *TSR*s operation [26, 29]. In particular, SBES with TSST model is found superior compared to RKE

model at low range of TSRs. Whilst CFD simulation with RKE model generates nearly 441% 333 error of C<sub>p-ave</sub> prediction compared to experimental data at low range of TSRs, SBES with TSST 334 model can largely reduce this discrepancy to be just less than 52% [26]. At medium and high 335 ranges of TSRs, SBES with TSST model also produces small discrepancy to be less than 1%, 336 whilst the RKE model produces about 16% discrepancy on average compared to experimental 337 data [26]. Therefore, SBES with TSST model will be used for further simulations and 338 optimisations presented below. 339

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### **Optimisation Method**

To investigate the effect of different GF geometry parameters on the VAWT performance 342 (e.g. the power coefficient), numerical experiments can be adopted to study the effect of 343 multiple parameters simultaneously. However, the study of all possible parameters combined 344 can be quite challenging because it needs a complete factorial design that is not feasible for 345 investigation with a large number of parameters. Moreover, the time and resources can be very 346 demanding. Hence, this study adopts a fractional design investigation based on the Taguchi 347 method and its applications [18, 19, 20]. 348

Taguchi method is an optimisation method that Taguchi developed in order to improve 349 350 the quality of manufactured goods. The main principle of this method is based on a so-called quality loss function, expressed by the deviation of parameter from its target value [18]. Note 351 352 that the parameters are divided into control and noise parameters in the Taguchi method. The control parameters are used to determine the optimum condition, whilst noise parameters show 353 354 the deviation of the system from its target value and are not controlled [19]. The influence of the noise parameters on the system performance is used to determine the optimisation, and it 355 356 can be calculated using the signal to noise (S/N) ratio. In the Taguchi method, there are three kinds of *S*/*N* ratio functions, namely the larger-the-better (LB), the nominal-the-better (NB) 357 and the smaller-the-better (SB), respectively [19, 20]. The choice of the S/N ratio function 358 mainly depends on the target value of the evaluation. 359

After determining the control and noise parameters, a matrix of numerical experiments 360 can be designed based on orthogonal arrays of the control and noise parameters. This matrix is 361 used to guide numerical experiments until the results are obtained for each test cycle. The 362 optimum value of each control parameter is then determined by using the S/N ratio. As this 363 study aims to generate higher power output, the larger-the-better S/N ratio function to maximise 364 the target value of power output is applied. This LB S/N ratio can be obtained by using the 365 366 following Equation (4) as [18]:

$$S/N = -10\log\left(\frac{1}{nc}\sum_{i}^{nc} 1\frac{1}{l_i^2}\right),\tag{4}$$

where nc is the total number of observed cases,  $I_i$  is the value of observed performance indicator 368 369 of each individual case (in present study, this value is the averaged power coefficient of VAWT obtained from CFD simulation) and *i* is the index of simulation case. 370

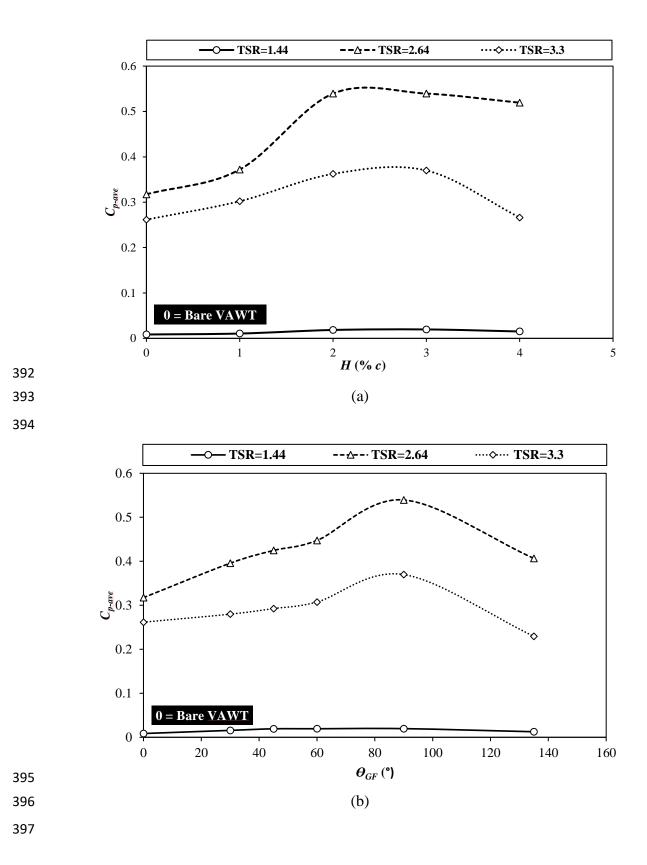
As mentioned above, this study will evaluate the effect of three GF geometry parameters 371 on the VAWT performance, including GF height, mounting angle and position from the trailing 372 edge. Therefore, before applying the Taguchi method, precursor studies are carried out to 373 evaluate the effects of GF height, mounting angle, and distance to trailing edge variations to 374 identify appropriate ranges, thus avoiding an unnecessarily large number of test cases during 375 376 the optimisation stage. This is necessary to avoid the enormous computational cost of CFD simulation. In this study, it takes about 48 hours on average to simulate one case using a high-377 378 spec workstation with 2 CPUs @ 2.2 GHz, 128 GB RAM. During these precursor studies, only one parameter is varied at a time, while the other two parameters are fixed based on the 379 380 optimum values of previous studies [6, 17, 32].

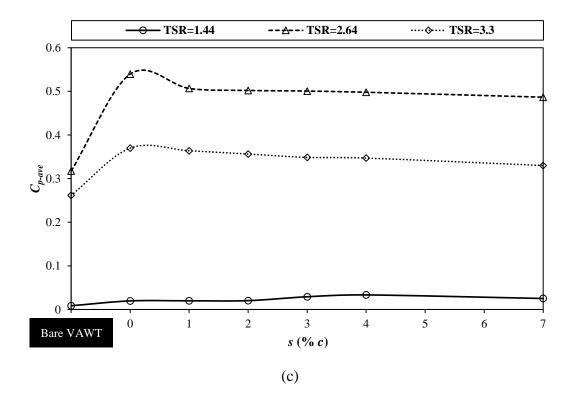
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### 382

### 4.1. Range values of the height of GF

To determine the range of GF height for optimisation, pre-evaluation is conducted by 383 varying the GF height from 1% to 4% c with a fixed mounting angle of 90° and a fixed position 384 385 at 0% c from the trailing edge. Figure 4 (a) shows the effect of GF height with regard to the averaged power coefficient over one blade revolution at all three ranges of TSRs. It can be seen 386 that the  $C_{p-ave}$  increases with the increase of GF height until reaching an optimum value at H =387 3% c at all three ranges of TSRs. After that, the  $C_{p-ave}$  decreases, indicating GF height larger 388 than 3% c will not further improve the VAWT performance. Hence, further study only 389 390 evaluates three GF heights for optimisation, i.e. H = 2%, 3% and 4% c.





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Figure 4. Comparison of  $C_{p\text{-}ave}$  for a VAWT with and without GF at all three ranges of *TSR*s against (a) GF heights, (b) GF mounting angles and (c) GF positions from the trailing edge.

# 404 4.2. Range values of the mounting angle of GF

In order to determine the range of GF mounting angle, the mounting angle is varied from 30° to 135°. The GF height and position from the trailing edge are fixed at 3% *c* and 0% *c*, respectively. As illustrated in Figure 4 (b), the  $C_{p-ave}$  has been enhanced at all three ranges of *TSR*s as the mounting angle increases. The maximum improvement is achieved for a mounting angle of 90°. Further increase of mounting angle does not enhance the  $C_{p-ave}$ . Therefore, three mounting angles of  $\theta_{GF} = 60^{\circ}$ , 90° and 135° are chosen for GF geometry optimisation.

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# 412 4.3. Range values of the position from the trailing edge of GF

In this pre-evaluation, the position from the trailing edge of GF is varied from 0% to 7% c with a fixed GF height and mounting angle of 3% c and 90°, respectively. Figure 4 (c) shows that at medium and high ranges of *TSR*s the maximum performance comes from a GF position at the trailing edge, i.e. s = 0% c. Whilst all GF positions tested can enhance the  $C_{p-ave}$  compared to a bare VAWT, the increase of the GF distance to the trailing edge will produce slightly decreased  $C_{p-ave}$  at both medium and high ranges of *TSR*s (see Figure 4 (c)). However, different behaviours happened at low range of *TSR*s. As the GF position moves away from the trailing 420 edge, the  $C_{p\text{-}ave}$  increases compared to GF at the trailing edge until reaching its optimum value 421 at 4% *c* from the trailing edge. After this position, the  $C_{p\text{-}ave}$  decreases. Further explanation 422 about this behaviour will be discussed later in Section 5.5. Based on these pre-evaluations, 423 three distances to the trailing edge are chosen as 0%, 4% and 7% *c* to include those influential 424 positions at all ranges of *TSR*s.

425

# 426 **5. Results and Discussion**

# 427 5.1. Geometry Optimisation

428 Following the GF geometry variants described above, a case study matrix is proposed based on the Taguchi method. As this study aims to optimise three different geometrical 429 parameters of GF (i.e. height, mounting angle and position from the trailing edge) with each 430 parameter having three different values (see Table 2), the study will form a  $3 \times 3 \times 3$  matrix 431 with a total of 27 cases (see Table 3). Noting that VAWT performance has different behaviours 432 at different ranges of TSRs, so that this investigation is performed at all three different ranges 433 of TSRs with TSR = 1.44 representing low range of TSRs, and TSRs = 2.64 and 3.3 for medium 434 and high ranges of TSRs, respectively. Therefore, there will be a total of 81 cases to be 435 investigated (i.e. each TSR has 27 cases). 436

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Table 2. Details of GF geometry parameters and levels of studies.

Parameter	Level				
I al allicici	1	2	3		
Н	2% c	3% c	4% c		
$ heta_{GF}$	60°	90°	135°		
S	0% c	4% c	7% c		

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Table 3. Matrix of case studies for each TSR.

Run	H (% c)	$\boldsymbol{\theta}_{\boldsymbol{GF}}\left(^{\circ} ight)$	<i>s</i> (% <i>c</i> from the trailing edge)
1	2	60	0
2	2	60	4
3	2	60	7
4	2	90	0
5	2	90	4
6	2	90	7
7	2	135	0
8	2	135	4
9	2	135	7
10	3	60	0

11	3	60	4
12	3	60	7
13	3	90	0
14	3	90	4
15	3	90	7
16	3	135	0
17	3	135	4
18	3	135	7
19	4	60	0
20	4	60	4
21	4	60	7
22	4	90	0
23	4	90	4
24	4	90	7
25	4	135	0
26	4	135	4
27	4	135	7

Table 4 shows the predicted  $C_{p-ave}$  of 27 cases for each pre-defined *TSR*. The results indicate that optimum  $C_{p-ave}$  has shown different increments for each range of *TSR*s compared to bare VAWT. By choosing certain geometry parameters, the  $C_{p-ave}$  increment can be around 233.19% higher than a bare VAWT at low range of *TSR*s. Meanwhile, this increment is reduced to 69.94% and 41.36%, respectively, at medium and high ranges of *TSR*s. Moreover, the optimum geometry will differ between the low range of *TSR*s and the medium and high ranges of *TSR*s.

Based on the mean S/N ratio tabulated in Table 5, at medium and high ranges of TSRs, 449 the largest mean S/N ratio for the GF height and mounting angle is obtained at level 2, whilst 450 for GF position from the trailing edge, it is obtained at level 1. This means that the optimum 451 GF geometry at these ranges of *TSR*s is a GF configuration with H = 3% c,  $\theta_{GF} = 90^{\circ}$  and s =452 0% c. However, there is a change in optimum geometry parameter for GF position from the 453 trailing edge at low range of TSRs. The mean S/N ratio for the parameter s reaches its maximum 454 455 value at level 2 at the low range of TSRs with the same optimum level for the other two parameters. Hence, the optimum GF geometry is a GF configuration with H = 3% c,  $\theta_{GF} = 90^{\circ}$ 456 and s = 4% c at low range of TSRs. The possible reason behind of this behaviour will be 457 explained later in Section 5.5. 458

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461	
462	

Table 4. C<sub>p-ave</sub> values of all 81 cases at all ranges of TSRs (bold and italic fonts are used for the optimum cases).

	7	<i>TSR</i> =1.44	7	CSR = 2.64	TSR = 3.3		
Run	C <sub>p-ave</sub>	C <sub>p-ave</sub> increment (%)	C <sub>p-ave</sub>	C <sub>p-ave</sub> increment (%)	C <sub>p</sub> -ave	C <sub>p-ave</sub> increment (%)	
1	0.0179	110.38	0.3693	16.33	0.2940	12.37	
2	0.0189	122.09	0.3198	0.75	0.2327	-11.06	
3	0.0108	27.54	0.3124	-1.59	0.2265	-13.43	
4	0.0185	117.25	0.5394	69.93	0.3624	38.51	
5	0.0267	213.58	0.4873	53.51	0.3020	15.40	
6	0.0133	56.20	0.4756	49.84	0.2937	12.23	
7	0.0112	31.48	0.4019	26.62	0.2176	-16.84	
8	0.0189	122.53	0.3587	13.00	0.1692	-35.33	
9	0.0096	13.00	0.3493	10.03	0.1641	-37.28	
10	0.0194	128.36	0.4474	40.95	0.3075	17.51	
11	0.0205	141.49	0.3904	22.97	0.2449	-6.40	
12	0.0110	29.20	0.3810	20.03	0.2389	-8.68	
13	0.0196	130.94	0.5394	69.94	0.3699	41.36	
14	0.0283	233.19	0.4980	56.88	0.3470	32.63	
15	0.0163	91.24	0.4866	53.31	0.3301	26.14	
16	0.0126	47.85	0.4065	28.07	0.2295	-12.30	
17	0.0232	172.49	0.3584	12.92	0.1806	-30.97	
18	0.0115	35.14	0.3490	9.96	0.1749	-33.15	
19	0.0135	58.27	0.3286	3.51	0.1835	-29.88	
20	0.0147	73.28	0.2878	-9.34	0.1241	-52.59	
21	0.0089	4.54	0.2809	-11.50	0.1205	-53.95	
22	0.0152	78.43	0.5193	63.61	0.2663	1.76	
23	0.0214	151.74	0.4766	50.16	0.1943	-25.72	
24	0.0124	46.22	0.4653	46.57	0.1888	-27.85	
25	0.0093	9.33	0.3319	4.56	0.1133	-56.70	
26	0.0151	77.18	0.2855	-10.05	0.0879	-66.39	
27	0.0078	-8.68	0.2776	-12.53	0.0850	-67.50	

It is also noticed that the significance of each parameter on the predicted  $C_{p-ave}$  of VAWT 464 behaves differently at a different range of TSRs. According to the deviation of S/N ratio 465 (denoted by  $\Delta$  thereafter, i.e.  $\Delta$  = the highest average response characteristic value – the lowest 466 467 average response characteristic value) for levels of specific parameter and the parameter rank 468 by Taguchi analysis (see Table 5), the GF position from the trailing edge at low range of TSRs has the most significant effect on the  $C_{p-ave}$  of VAWT, followed by the mounting angle and the 469 height of GF, respectively. This seems reasonable as the change of GF position at low range of 470

471 *TSRs* has a considerable effect on  $C_{p-ave}$  improvement (see, e.g. Figure 4 (c)). The averaged 472 moment coefficient ( $C_{m-ave}$ ) at low range of *TSRs* indicates that changing the position of GF 473 can increase this value around 32.22%. Meanwhile, changing the height and mounting angle 474 of GF can only increase the  $C_{m-ave}$  values by 14.03% and 18.37%, respectively.

However, the most significant parameter at the medium and high ranges of *TSR*s is the mounting angle, followed by the height and position of GF. The reason that the mounting angle of GF has more effects on the change of  $C_{p-ave}$  value compared to the height of GF at all ranges of *TSR*s is likely due to the fact that in this study, the chosen variation of the height of GF does not generate significant change in  $C_{p-ave}$  value, as the performance of VAWT with GF is already very close to its optimum value.

481

Table 5. Response of Signal to Noise Ratios (i.e. the large-is-better) after applying Taguchi
analysis.

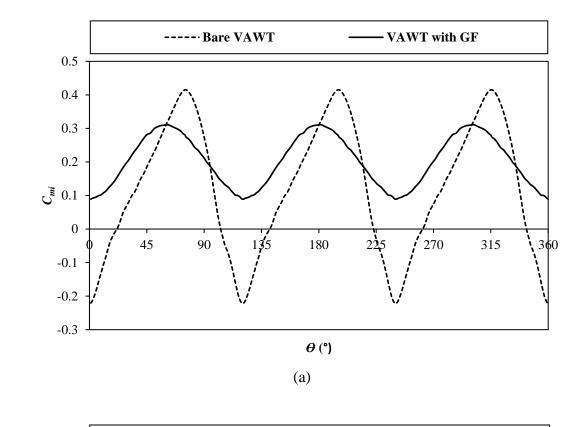
	TSR = 1.44			TSR = 2.64			TSR = 3.3		
Level	Parameter			Parameter			Parameter		
	H	$\theta_{GF}$	S	H	$\theta_{GF}$	S	H	$\theta_{GF}$	S
1	-36.25	-36.78	-36.66	-8.076	-9.301	-7.448	-12.27	-13.59	-12.17
2	-35.33	-34.77	-33.81	-7.454	-6.056	-8.481	-11.68	-10.84	-14.25
3	-38.03	-38.07	-39.14	-9.098	-9.270	-8.698	-16.99	-16.51	-14.52
Δ	2.69	3.30	5.33	1.645	3.245	1.250	5.30	5.68	2.36
Rank	3	2	1	2	1	3	2	1	3

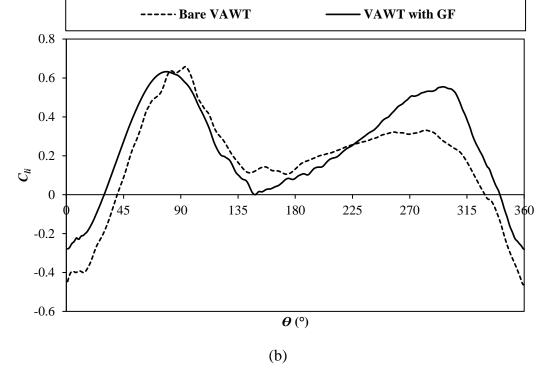
484

## 485 5.2. General Effect of GF

486 As shown in Figure 4 (a) to (c) previously, the introduction of GF can generally increase the power coefficient of VAWT at all ranges of TSRs. This confirms that GF can be applied as 487 488 a device to improve the VAWT performance at all ranges of *TSR*s. It is mainly due to the ability of GF to mitigate the negative moment coefficient production, as illustrated in Figure 5 (a). 489 Moreover, the presence of GF can ease the deep stall of turbine blades, which is evident by a 490 slower declining rate in the  $C_{mi}$  curve after reaching its maximum peak, as seen in Figure 5 (a). 491 In order to further understand the effect of VAWT blades mounted with GF on turbine 492 performance, instantaneous lift coefficient ( $C_{li}$ ) and drag coefficient ( $C_{di}$ ) of one selected blade 493 (blade 1 is used here) over one rotation cycle are depicted in Figure 5 (b) and Figure 5 (c), 494 respectively. It shows that the introduction of GF can reduce the fluctuation amplitude of  $C_{li}$ 495 and delay the sudden increase of  $C_{di}$  at an azimuthal position of about 90°. This confirms that 496 the GF can ease the deep stall of turbine blades. The unsteady behaviour of  $C_{li}$  and the rapid 497

498 increment of  $C_{di}$  also suggest that the VAWT will start to experience the stall between 499 azimuthal positions of 60°-100°, approximately.





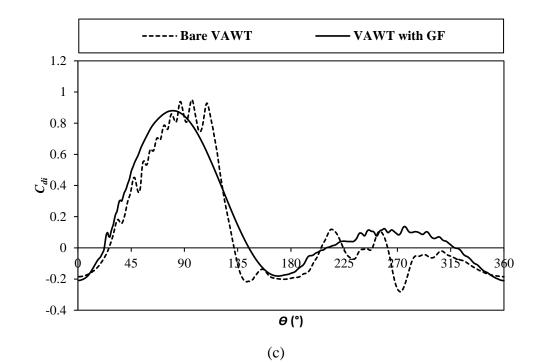


Figure 5. Comparison of (a) instantaneous moment coefficient, (b) instantaneous lift coefficient and (c) instantaneous drag coefficient respectively, over one rotation cycle of VAWT with GF (optimum geometries) and without GF at TSR = 2.64.

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Further investigation on the root-mean-square error (RMSE) velocity magnitude 513 514 contours with super-imposed pathlines (see the right graphs of Figure 6 (a)) reveals that the GF addition can certainly introduce vortices in downstream, which can influence the flow and 515 516 pressure fields near the trailing edge. Moreover, as shown in Figure 6 (a), contours of the root mean square error of velocity magnitude suggest that the GF can enhance the velocity 517 518 magnitude over the suction surface whilst decreasing it on the pressure surface. As a result, less flow separation can be seen (showed by less vortex shedding in Figure 6 (b)), and the total 519 520 circulation of the blade will be increased (consistent with enhanced lift coefficient). For 521 example, the calculation of circulation ( $\Gamma$ ) by taking surface area (S) integral of vorticity ( $\xi$ ) (see Equation 5) of the blades shows that the addition of GF (optimum geometries) can improve 522 the total circulation of the blades of VAWT by about 108% compared to bare VAWT at TSR 523 = 2.64 ( $\theta$  = 90°). Therefore, the lift enhancement will lead to more power generation achieved 524 by using the GF mounted on the blades. 525

526

$$\Gamma = \oint \xi \,.\, dS,\tag{5}$$

527 where  $\xi = \nabla \times \vec{U} = \frac{\partial U_y}{\partial x} - \frac{\partial U_x}{\partial y}$  with  $U_y$  is y-velocity component and  $U_x$  is x-velocity 528 component, respectively.

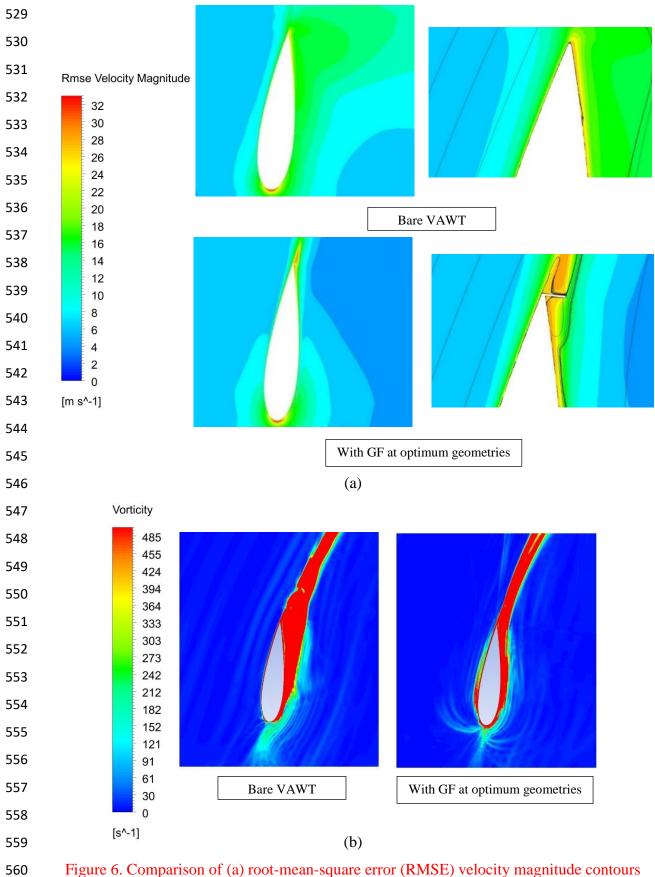


Figure 6. Comparison of (a) root-mean-square error (RMSE) velocity magnitude contours with super-imposed pathlines in the right graphs and (b) contours of *z*-vorticity between bare VAWT and VAWT with GF (optimum geometry) at TSR = 2.64,  $\theta = 90^{\circ}$ .

Although GF can improve the  $C_{p-ave}$  of bare VAWT at all ranges of TSRs, the degree of 563 improvement can be varied at each range of TSRs. For example, GF with a height equals to 3% 564 c, 90° mounting angle and mounted at the trailing edge of the blade is likely to have a 565 significant influence on the increment of  $C_{p-ave}$  at low ranges of TSRs (around 130.94%) 566 performance increment compared to a bare VAWT at the lowest value of TSR). At medium 567 TSRs range, the improvement of  $C_{p-ave}$  of VAWT in the presence of GF is lower compared to 568 low range of TSRs (about 69.94% of the optimum TSR value of a bare VAWT), whilst at high 569 TSRs range, GF can still enhance the  $C_{p-ave}$  (approximately 41.36% at the highest value of TSR), 570 but not as significant as those at low and medium ranges of TSRs. This phenomenon is most 571 likely caused by the different range of angle of attacks operation and beyond static stall AoAs 572 of an aerofoil at those ranges of TSRs. As mentioned by Malael et al. [13], the range of AoAs 573 operation and beyond static stall *AoAs* works on the blade at low range of *TSRs* more widely 574 than the medium and high ranges of TSRs. Hence, the benefit of having a GF to increase the 575 maximum lift and reducing the dynamic stall of VAWT can be utilised effectively at this range 576 of TSRs, compared to the medium and high ranges of TSRs. 577

It is also noticed that the presence of GF moves an optimum TSR to a lower value 578 compared to bare VAWT (e.g. from TSR = 2.64 to TSR = 2.50). In fact, this is rather desirable 579 580 as VAWT can produce optimum power output at lower TSR, as higher TSR expresses the higher tangential speed at the blade trailing edge resulting in significant and undesirable centrifugal 581 582 force. Consequently, VAWT will produce a higher noise level and need stronger blades in terms of structure to balance the larger centrifugal force. Therefore, GF will also help VAWT 583 584 to produce optimum power with a lower noise level and strength requirements of the blade structure. 585

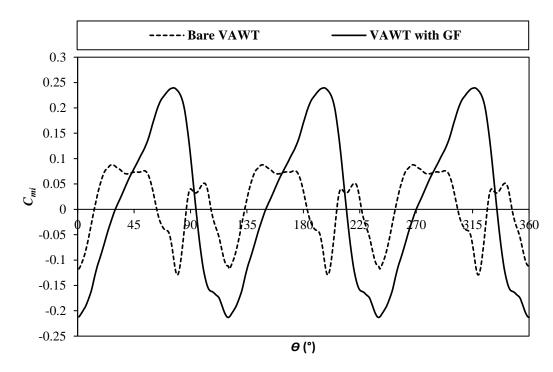


Figure 7. Comparison of  $C_{mi}$  distribution of VAWT at TSR = 1.44 with GF (H = 3% c,  $\theta_{GF} = 90^{\circ}$  and s = 0% c from trailing edge) and without GF.

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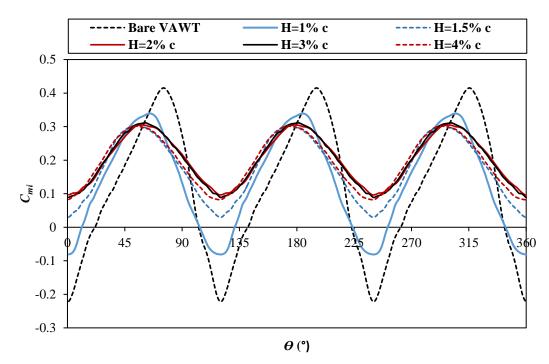
The use of GF to significantly improve the power generation of VAWT at lower range 590 591 of TSRs is desirable as it can also enhance the self-starting ability of VAWT. It is widely known that at lower range of TSRs, VAWT tends to experience dynamic stalls and, therefore, produce 592 a considerable amount of negative moments that prevent the VAWT from rotating by itself. As 593 a result, VAWT operated at a low range of TSRs often needs additional external power to rotate 594 595 the turbines before producing a positive moment for power generation. The addition of GF can reduce the number of positive/negative moment production pairs as indicated with only one 596 negative peak of  $C_{mi}$  distribution in every 120° azimuthal position, as seen in Figure 7. This 597 shows that GF can ease dynamic stall at low range of TSRs. While adding GF can decrease the 598 number of negative peak  $C_{mi}$  compared to bare VAWT, it also enhances the optimum value of 599  $C_{mi}$  significantly. Thus, the presence of GF improves the moment production of VAWT at low 600 range of TSRs, and this demonstrates that GF can essentially elevate the self-starting ability of 601 VAWT at this range of TSRs. 602

603

## 604 5.3. Effects of the height of GF

As shown in Figure 4 (a), the  $C_{p-ave}$  of VAWT generally rises with the increase of the GF height, with a maximum  $C_{p-ave}$  achieved for a GF height of 3% *c*. Further increasing GF height beyond 3% *c* will lose its capability to further increase the  $C_{p-ave}$ . Compared to a single stationary aerofoil with an optimum GF height of 2% c [6], this optimum GF height is slightly higher, possibly due to the rotating effect and the wake-blade interactions. Nevertheless, this observation confirms that those findings from a single stationary aerofoil with GF are not applicable to the rotating wind turbine blades scenarios.

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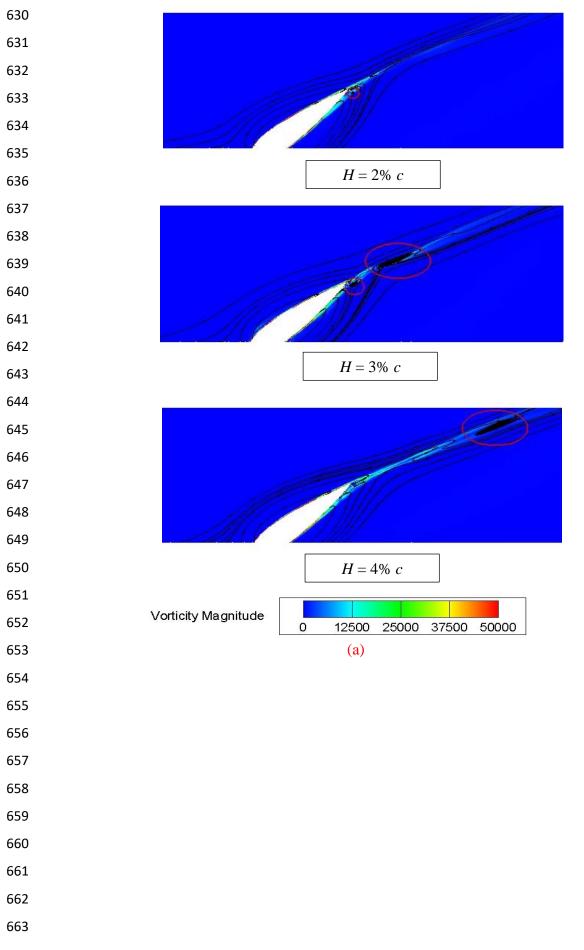


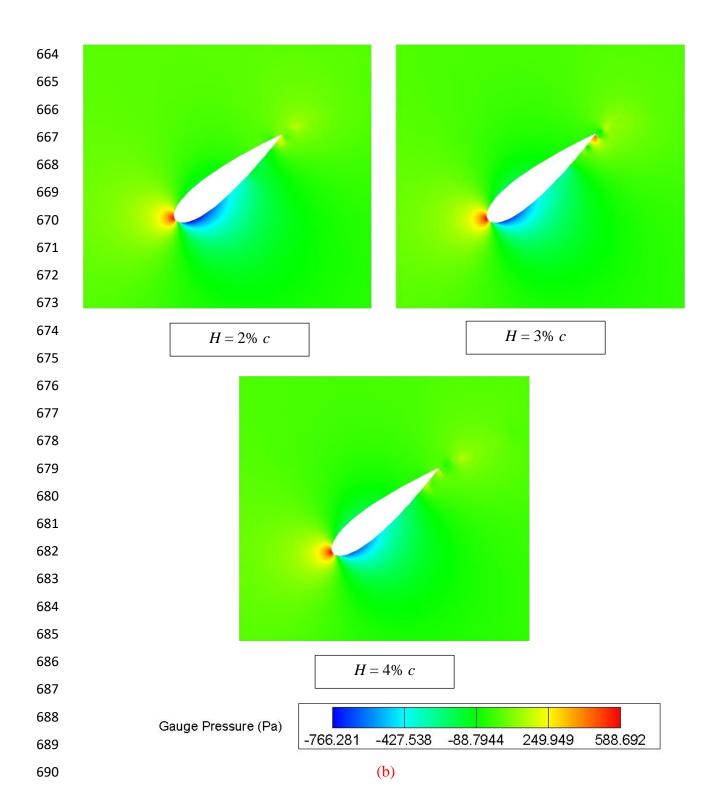
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Figure 8. Comparison of  $C_{mi}$  distribution of VAWT with and without GF at various GF heights (TSR = 2.64).

616

617 Figure 8 illustrates the instantaneous moment coefficients over one turbine revolution from simulations of different GF heights. The  $C_{mi}$  distribution demonstrates that a GF with a 618 619 height equal to or higher than 1.5% c can totally remove the negative  $C_{mi}$ . As the height of GF increases, the variation values of  $C_{mi}$  moves forward to positive values and the average value 620 of  $C_{mi}$  increases (For example, averaged  $C_{mi}$  increases from 0.1409 for GF with H = 1% c to 621 0.2043 for GF with H = 3% c), resulting in an increased power output until an optimum GF 622 623 height of 3% c. After this optimum height, even if there is no negative  $C_{mi}$  production, the variation values of  $C_{mi}$  shifts down to be negative and thus, the average value of  $C_{mi}$  decreases 624 625 (averaged  $C_{mi}$  declines from 0.2043 for GF with H = 3% c to 0.1967 for GF with H = 4% c). This indicates that when a GF height is greater than 3% c, the GF addition starts to reduce the 626 moment production of the turbine. In Figure 8, GF with H = 4% c slightly decreases the 627 minimum value of  $C_{mi}$  towards the negative value compared to GF with optimum height and, 628 as a result, it decreases the improvement of VAWT performance. 629





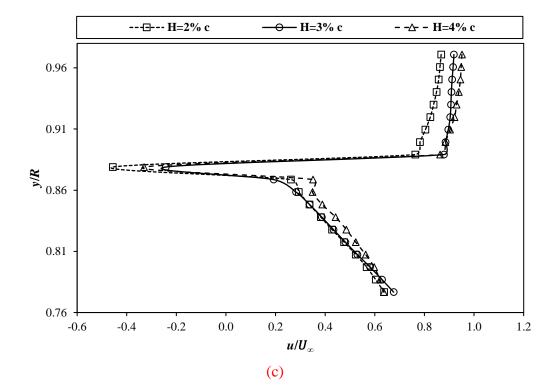


Figure 9. Comparison of (a) streamlines coloured by *z*-vorticity contours, (b) contours of gauge pressure and (c) *x*-velocity profiles in the wake region of one selected blade (blade 1) at various heights of GF (TSR = 2.64,  $\theta = 45^{\circ}$ ).

691 692

697 Further investigation of streamlines coloured by z-vorticity contours (see Figure 9 (a)) 698 at azimuthal position =  $45^{\circ}$  (i.e., where there is significant difference of  $C_{mi}$  between GF with three different heights) indicates that GF with H = 3% c generates two counter-rotating vortices 699 700 in the near wake of GF, while GF with H = 2% c and 4% c only generate one vortex in the 701 same wake region (see, e.g. red circles in Figure 9 (a)). These two counter-rotating vortices in downstream of GF lead GF with H = 3% c to have smaller momentum deficits in the wake 702 703 region (as shown by narrower x-velocity variation in Figure 9 (c)). Hence, the highest 704 increment of lift force generation can be achieved by GF with H = 3% c. In addition, the gauge pressure contours demonstrate that there is no significant difference in pressure distributions at 705 the leading edge of the blade, showing that GF indeed does not have effect on the flow around 706 leading edge of the blade (see Figure 9 (b)). On the other hand, noticeable difference can be 707 seen around the trailing edge of the blade (in particular at the pressure side of the blade) when 708 709 the height of GF is altered. Compared to GF with H = 2% c and 4% c, GF with H = 3% c has shown higher variations in the gauge pressure contours around trailing edge of the blade (see, 710 e.g. colour differences between the suction and pressure sides of the blade). This suggests that 711 GF with H = 3% c can produce the highest difference in gauge pressure compared to other two 712

heights, resulting in the largest moment production. As a results, GF with H = 3% c can produce

the greatest improvement of the power coefficient of VAWT.

Concerning the effect of GF height on the VAWT performance improvement at different 715 ranges of TSRs, the effect of GF on the improvement of the power coefficient of VAWT has 716 shown similar trends at all three ranges of low, medium and high TSRs, as illustrated in Figure 717 4 (a). As the GF height increases, the  $C_{p-ave}$  also increases until it reaches an optimum value for 718 a GF with H = 3% c. After that, the C<sub>p-ave</sub> decreases, indicating that GF height increment cannot 719 further enhance the  $C_{p-ave}$  of VAWT. For all GF heights that have been tested, it is observed 720 721 that GF generates the highest  $C_{p-ave}$  increment at low range of *TSR*s, followed by the medium and high range of TSRs (see, e.g. Figure 10). This indicates that at those ranges of GF height, 722 the presence of GF has the strongest capability to improve the performance of VAWT at low 723 ranges of TSRs. Nevertheless, it has been observed at high range of TSRs that whilst a GF with 724 the height of less than or equals to 3% c experiences a similar rate of decrement of the  $C_{p-ave}$ 725 enhancement, a GF with a height greater than 3% c (e.g. 4% c) experiences a significant 726 reduction in  $C_{p-ave}$  improvement. The reason behind this is probably due to the fact that the 727 decrement of lift to drag ratio as the GF height increases could be more prominent at this range 728 729 of TSRs.

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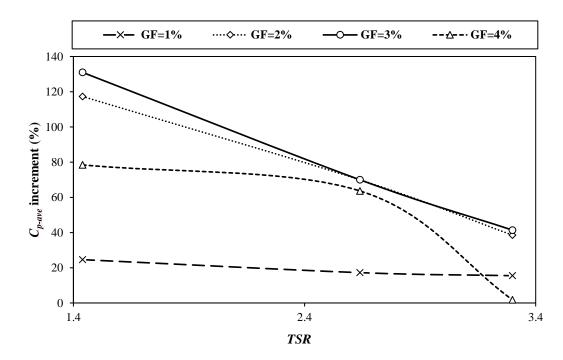


Figure 10. Comparison of  $C_{p-ave}$  improvement of VAWT with GF against GF heights at different ranges of *TSR*s (*TSR* = 1.44 for low ranges of *TSR*s, *TSR* = 2.64 for medium ranges of *TSR*s and *TSR* = 3.3 for high ranges of *TSR*s).

735 At TSR = 2.64 (in medium range of TSRs), averaged lift to drag ratio is reduced by about 0.004 whilst GF height increases from 3% c to 4% c. Meanwhile, at TSR = 3.3 (in high range 736 of TSRs), this value decreases significantly by about 0.0128. As explained above, GF cannot 737 optimally enhance its ability to reducing the dynamic stall of VAWT at high range of TSRs, 738 and this is because, at this range of TSRs, the increment of AoAs beyond the static stall angle 739 becomes smaller, compared to both the low and medium ranges of TSRs. Hence, GF 740 contribution to lift increment decreases (at TSR = 3.3, averaged lift decreases from 0.243 to 741 0.242 whilst GF height increases from 3% c to 4% c) whilst the drag rises (at TSR = 3.3, 742 743 averaged drag increases from 0.1309 to 0.1315 whilst GF height increases from 3% c to 4% c), 744 resulting in a lower lift to drag ratio.

745

#### 746

### 5.4. Effects of the mounting angle of GF

Figure 11 (a) illustrates the effect of variation of GF mounting angle and height at a fixed 747 position (0% c from trailing edge) on the  $C_{p-ave}$  of VAWT at TSR = 2.64. There are similar 748 tendencies of  $C_{p-ave}$  variations between different GF heights as those GF mounting angle 749 changes. This means that the  $C_{p-ave}$  of VAWT increases with the increase of the GF mounting 750 angle until reaching its optimum value at  $\theta_{GF} = 90^{\circ}$ . Beyond this angle, the ability of GF to 751 improve the  $C_{p-ave}$  of VAWT starts to reduce. Nevertheless, VAWT with a GF height lower 752 than 1.5% c and a mounting angle larger than 90° (it is 135° in this case) will produce a  $C_{p-ave}$ 753 value lower than that of a bare VAWT. This finding suggests that GF with a shorter height and 754 smaller mounting angle towards the lower surface of the blade will not improve the 755 performance of VAWT. 756

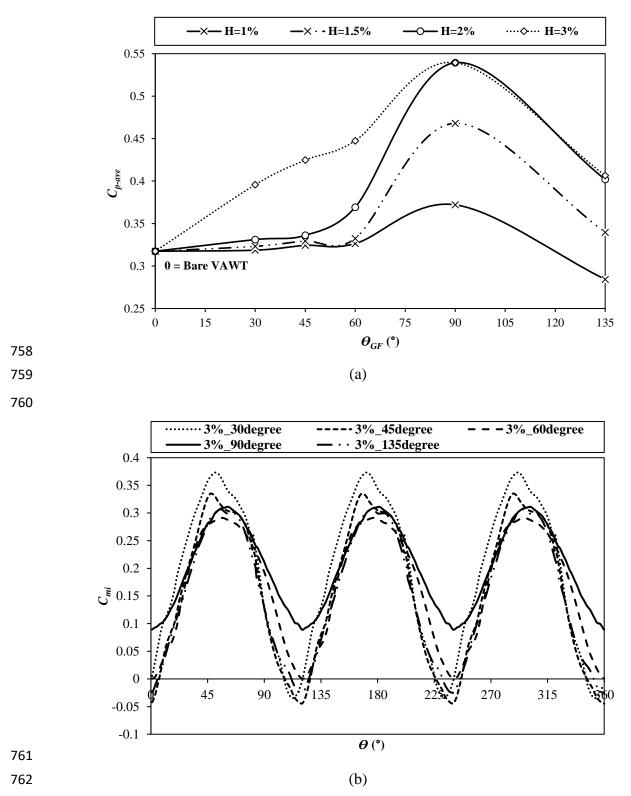


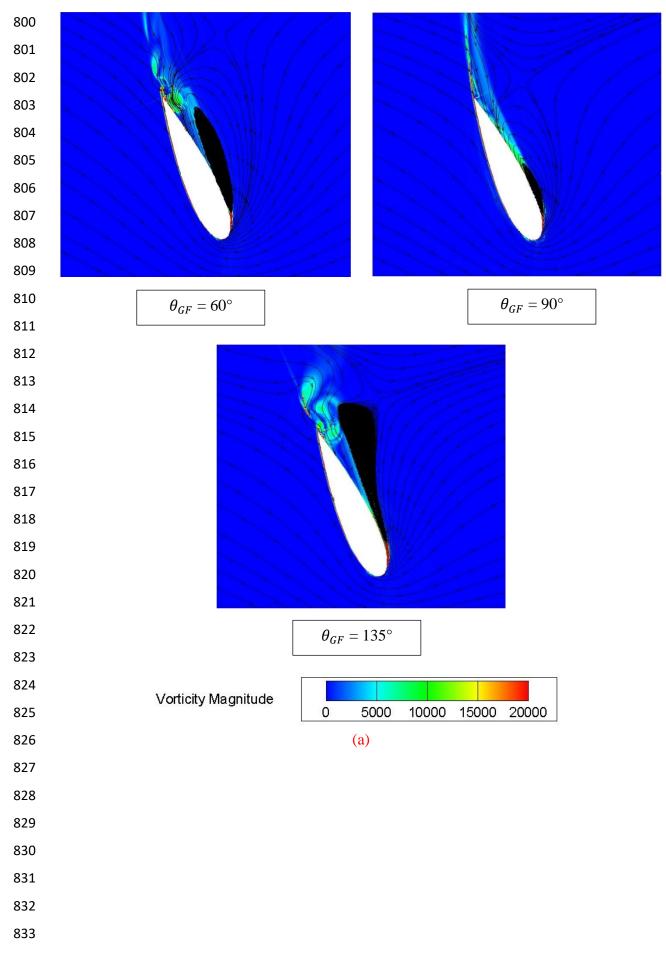
Figure 11. Comparison of (a)  $C_{p-ave}$  of VAWT with and without GF at various GF heights and mounting angles and (b)  $C_{mi}$  distribution of VAWT with GF at various GF mounting angles with a fixed height of 3% *c*. GF is fixed at 0% *c* from the trailing edge and TSR = 2.64.

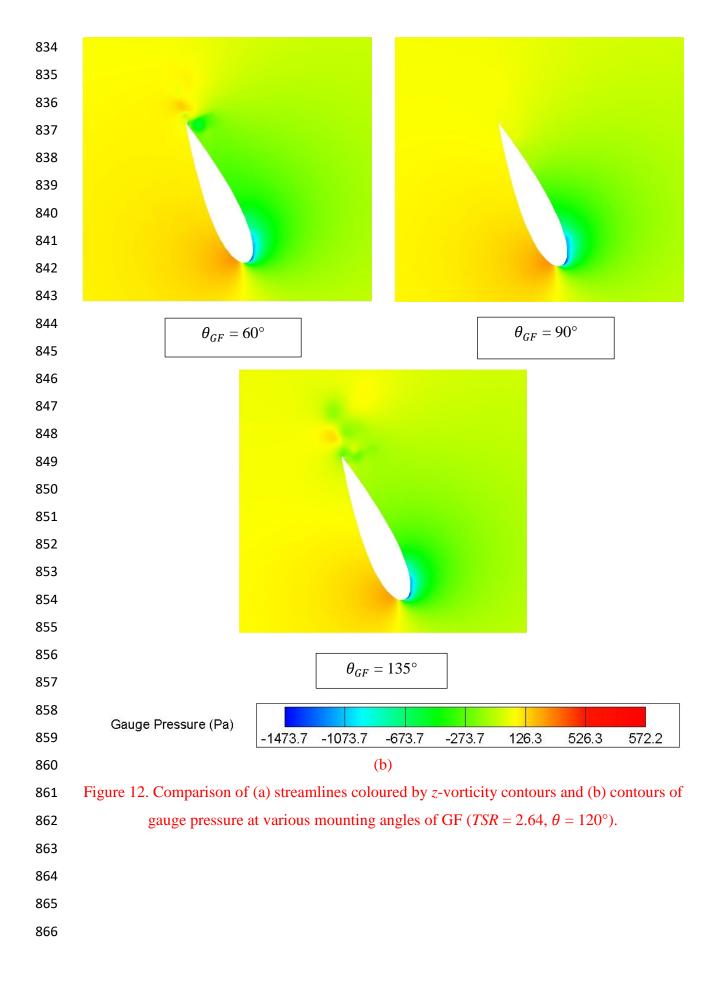
767 Further investigation of  $C_{mi}$  distribution shows that GF with a height equals to 3% c, a distance from trailing edge at 0% c and mounting angles between 60° and 90° or an angle of 768  $\theta_{GF} = 90^{\circ}$  can remove the negative moment production of VAWT, resulting in the highest 769 positive moment production, for TSR = 2.64 (see Figure 11 (b)). The gauge pressure contours 770 (see Figure 12 (b)) at  $\theta = 120^{\circ}$  (i.e. where there are significant  $C_{mi}$  differences between GF 771 with  $\theta_{GF} = 60^\circ$ , 90° and 135°) demonstrate that compared to GF with other two mounting 772 angles, GF with  $\theta_{GF} = 90^{\circ}$  produces significant higher gauge pressure at the trailing edge of 773 the blade (as indicated by yellow colour shade domination in the trailing edge of the pressure 774 side of the blade). This leads to a better  $C_{m-ave}$  increment for GF with  $\theta_{GF} = 90^{\circ}$  than other two 775 mounting angles, resulting in higher  $C_{p-ave}$  value. 776

777 Moreover,  $C_{mi}$  distribution at  $\theta_{GF} = 90^{\circ}$  implies the slowest rate of decrement of  $C_{mi}$  and the longest delay of the fall of  $C_{mi}$ , indicating that GF mounting angle at  $\theta_{GF} = 90^{\circ}$  has the best 778 ability to reduce the dynamic stall experienced by VAWT, and in the meantime increase its lift 779 780 production. It is further confirmed by the streamlines coloured by z-vorticity contours at  $\theta$  = 120°. At this azimuthal position, GF with  $\theta_{GF} = 90^{\circ}$  generates a small region of reverse flow 781 at the leading edge of the pressure side of the blade compared to GF with other two mounting 782 angles, resulting in relative weaker or even almost invisible vortex shedding behind the trailing 783 edge of the blade (see Figure 12 (a)). On the other hand, GF with  $\theta_{GF} = 60^{\circ}$  and 135° induce 784 larger region of reverse flows at the leading edge of the pressure side of the blade. This region 785 is quite large, ranging from the leading edge up to the trailing edge. It causes stronger vortex 786 787 shedding behind the trailing edge of the blade with increased drag, compared to GF with  $\theta_{GF}$ = 90° (see Figure 12 (a)). Hence, GF with 90° mounting angle can generate the highest  $C_{m-ave}$ 788 improvement of VAWT, leading to highest  $C_{p-ave}$  value compared to GF with other two 789 mounting angles. This is in an agreement with previous study that mentioned that stronger 790 vortex shedding could increase the drag generation and as a result, reduce the lift to drag ratio 791 792 and lead to the performance decrement [33].

In relation to the effect of GF mounting angle at different ranges of *TSR*s, Figure 4 (b) has already shown that the change of range of *TSR*s does not affect the trend of  $C_{p-ave}$  variation caused by the change of GF mounting angle. At all ranges of *TSR*s, VAWT produces the highest  $C_{p-ave}$  at  $\theta_{GF} = 90^{\circ}$ , indicating that this optimum mounting angle can be applied for all ranges of *TSR*s.

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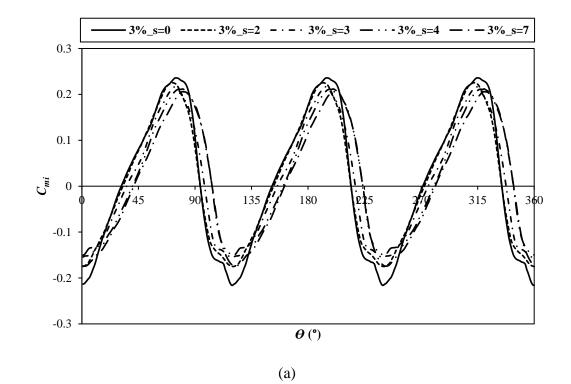




### 867 5.5. Effects of the position from the trailing edge of GF

As illustrated in Figure 4 (c), the GF position will have different effects on the 868 performance of VAWT with GF at different ranges of TSRs. At low range of TSRs, GF position 869 from the trailing edge less than 4% c can improve the  $C_{p-ave}$  production, compared to GF at 870 trailing edge (see Figure 4 (c)). If the GF is moved further than 4% c from the trailing edge, 871 the  $C_{p-ave}$  generation starts to decrease, although it is still higher than the bare VAWT. Moving 872 GF position towards the leading edge will likely reduce or even remove the ability of GF to 873 improve the performance of VAWT. Figure 13 (a) shows that by mounting GF further away 874 from the trailing edge (0% c  $\leq s \leq 4\%$  c), GF can further decrease the negative moment 875 production of VAWT, which can improve the power generation VAWT. After s > 4% c, this 876 ability starts to be weakened, and optimum moment production has shown lower than those 877 whilst  $s \le 4\% c$ . Therefore, the  $C_{p-ave}$  generation for GF position > 4% c is lower than that GF 878 position of less than 4% c. 879

Meanwhile, at medium and high ranges of *TSR*s, changing the GF position further away 880 from the trailing edge does not positively influence the increment of  $C_{p-ave}$  (see Figure 4 (c)). 881 The  $C_{p-ave}$  continuously decreases compared to the  $C_{p-ave}$  of VAWT with GF at the trailing edge. 882 The  $C_{mi}$  distribution at TSR = 2.64, as shown in Figure 13 (b), suggests a significant drop of 883 884 optimum  $C_{mi}$  when the GF position is shifted from the trailing edge. The  $C_{mi}$  distribution also shows mentioned behaviours above for all tested GF positions. Furthermore, GF still maintains 885 886 its ability to remove negative moments, which can improve the performance of VAWT. Nevertheless, as the GF moves upstream towards the leading edge, the  $C_{mi}$  minimum value 887 888 drops to a lower value. This is probably due the fact that changing the GF position further away from the trailing edge (s = 4% c) shifts the two counter-rotating vortices further to the 889 890 downstream region of the blade. Additionally, GF at s = 4% c also generates more vortices at both upstream and downstream of the flap (see Figure 14 (a)). The gauge pressure contours 891 892 indicate that moving the GF position towards to leading edge of the blade can introduce lower pressure region in the upstream and downstream of the GF (e.g. see green shade colour in front 893 of and behind the GF in Figure 14 (b)), triggering the formation of more vortices. Accordingly, 894 the  $C_{m-ave}$  production of VAWT with GF at s = 4% c are lower than VAWT with GF at the 895 trailing edge. Therefore, placing GF further away from the trailing edge only reduces the  $C_{p-ave}$ 896 value of VAWT with GF, although the  $C_{p-ave}$  of a VAWT with GF is still better than the bare 897 VAWT until s = 7% c. 898





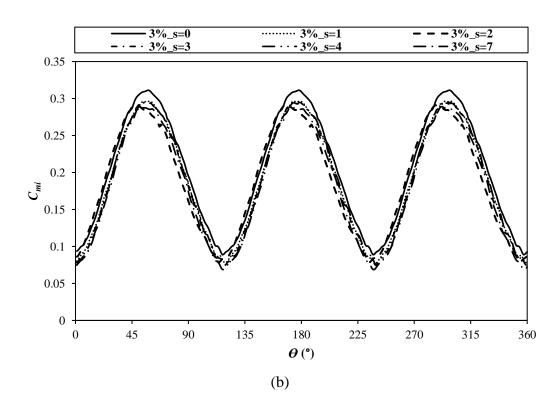
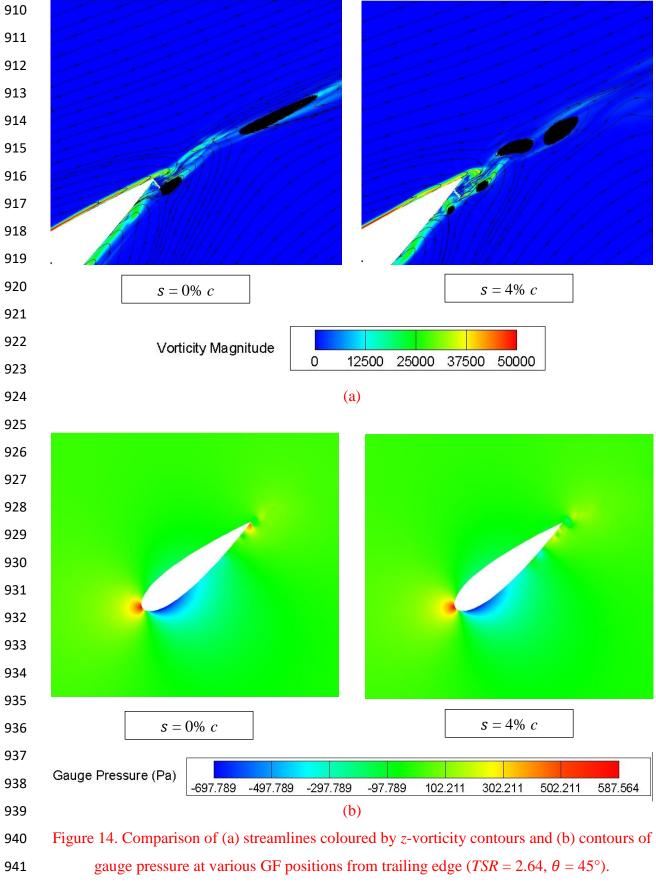


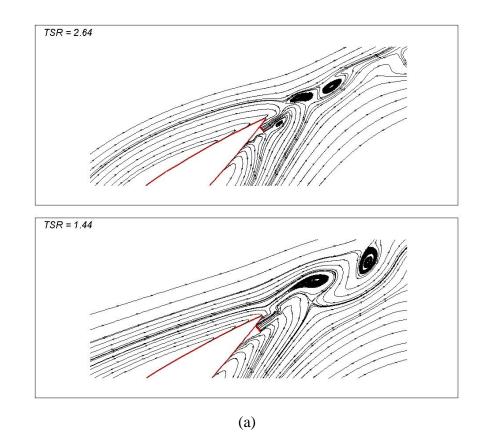
Figure 13. Comparison of  $C_{mi}$  distribution of VAWT with GF at different GF positions, (a) TSR = 1.44 and (b) TSR = 2.64.





943 The observed difference in the GF position's effect on the  $C_{p-ave}$  improvement between the low range of *TSR*s, and medium and high ranges of *TSR*s can be explained by the streamline 944 distributions at  $\theta = 45^{\circ}$  as illustrated in Figure 15 (a). This azimuthal position is chosen as there 945 is significant difference in  $C_{mi}$  value between the observed GF's positions at both TSRs = 1.44946 and 2.64. It demonstrates that for TSR = 2.64, the GF position from the trailing edge at s = 4%947 c can shift the vortices near downward of the Gurney flap further to the downstream region. In 948 addition, the second vortex, which is located further from the GF, is separated from the newly 949 formed vortex. These newly formed vortices can reduce flow turning over the leeward of the 950 951 GF, leading to considerable momentum deficits in the wake region (as shown by wider xvelocity variation in Figure 15 (b)), and as a result, it reduces the lift force generation. On the 952 other hand, for TSR = 1.44, these newly formed vortices do not appear, and there are still two 953 strong counter-rotating vortices at the downstream of GF, which better reduce momentum 954 deficits in the wake region (as shown by narrower x-velocity variation in Figure 15 (b)). Hence, 955 the increment of lift force generation still can be achieved. 956





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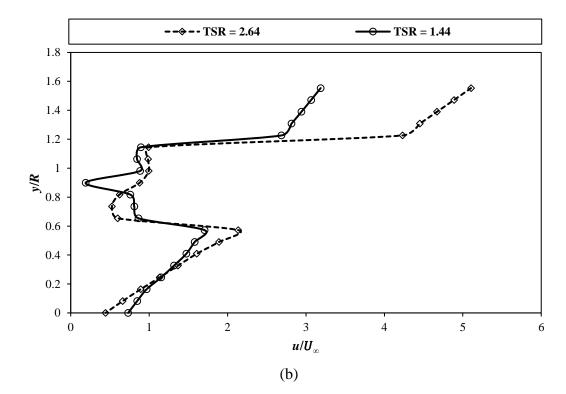


Figure 15. Comparison of (a) streamlines distribution of VAWT with GF at s = 4% of c from trailing edge and (b) *x*-velocity profile in the wake region of one selected blade (blade 1) of VAWT with GF mounted at s = 4% c from trailing edge between TSR = 2.64 and TSR = 1.44(at  $\theta = 45^{\circ}$ ).

961 962

### 968 6. Conclusion

The effect of GF and its geometry optimisation for the VAWT performance enhancement 969 at all ranges of TSRs have been studied by hybrid RANS-LES CFD simulations. It is evident 970 that GF geometry optimisation needs to be done for a practical VAWT configuration, 971 particularly rotating multiple blades rather than a single stationary aerofoil. It is found that the 972 VAWT equipped with GF will have an optimum height of H = 3% c, compared to a single 973 974 stationary aerofoil with GF, which usually has an optimum height of H = 2% c. This is probably 975 due to the rotating effect hindering the vortices generation at the upstream of the flap for 976 VAWT with GF.

977 Overall, VAWT equipped with GF can improve the performance compared to bare 978 VAWT at all ranges of *TSR*s. This confirms that GF can be applied as a passive device to 979 improve the performance of VAWT for whole *TSR*s ranges. Nevertheless, the degree of the 980 VAWT performance improvement caused by GF addition is varied at each range of *TSR*s. The 981 GF has shown the most significant effect at low ranges of *TSR*s (e.g. the  $C_{p-ave}$  increment can 982 be up to 233.19% compared to a bare VAWT). It also can improve the self-starting ability at 983 this range of TSRs as GF can decrease negative moment production of VAWT and reduce the moment fluctuation, meaning that GF can ease the dynamic stall at this range of TSRs. 984 Meanwhile, the level of VAWT performance improvement starts to decrease at medium range 985 of TSRs (e.g.  $C_{p-ave}$  increment is up to 69.94% at TSR = 2.64, compared to a bare VAWT) and 986 at high range of TSRs, this rate is further reduced (e.g.  $C_{p-ave}$  increment is up to 41.36% at TSR 987 988 = 3.3, compared to bare VAWT). This is possibly because the range of *AoAs* operation and beyond static stall AoAs (AoAs that higher than stall AoAs of static aerofoil) acting on the 989 blades becomes wider with the decrease of TSRs. Hence, the benefit of VAWT with GF in 990 991 increasing the maximum lift and reducing the dynamic stall of VAWT can be utilised more 992 effectively at low range of *TSR*s.

Regarding to the geometry optimisation, it is essential to evaluate at all ranges of TSRs. 993 994 It is found that whilst GF has the same optimum height and mounting angle (i.e. H = 3% c and  $\theta_{GF} = 90^{\circ}$ ) at all ranges of TSRs, there is still a difference in optimum position from the trailing 995 edge between low range of TSRs and medium and high ranges of TSRs. At a low range of TSRs, 996 the  $C_{p-ave}$  value reaches its optimum value whilst the GF has optimum height and mounting 997 angle and is located at s = 4% c. Meanwhile, moving the position of GF towards the leading 998 edge of the blade reduces the  $C_{p-ave}$  generation at medium and high ranges of TSRs. Hence, the 999 1000 optimum position of GF at the medium and high ranges of TSRs is at the trailing edge of the 1001 blade (i.e. s = 0% c). The flow visualisation shows that whilst changing the position of GF at low range of TSRs can introduce stronger counter-rotating vortices at downstream of the flap, 1002 1003 this behaviour does not happen at the other two higher ranges of TSRs.

1004 In conclusion, to generate a relatively higher average performance enhancement at all ranges of TSRs, the optimal GF geometry will have a height of 3% c, a mounting angle of 90° 1005 1006 and be positioned at the trailing edge of the blade. VAWT equipped with this GF geometry can produce optimum  $C_{p-ave}$  enhancement at medium and high ranges of TSRs (i.e. 69.94% and 1007 41.36%, respectively) and retain very high  $C_{p-ave}$  improvement at low range of TSRs (i.e. 1008 130.94%). A further experimental study would be valuable to provide test data in validating 1009 flow behaviour around VAWT with GF predicted by CFD simulations, so that a better 1010 1011 understanding of the actual flow phenomenon around VAWT with GF can be achieved. However, it is outside the scope of this work and can be defined as part of future studies. 1012

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