An improved photometric stereo through distance estimation and light vector optimization from diffused maxima region

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Abstract

Although photometric stereo offers an attractive technique for acquiring 3D data using low-cost equipment, inherent limitations in the methodology have served to limit its practical application, particularly in measurement or metrology tasks. Here we address this issue. Traditional Photometric Stereo assumes that lighting directions at every pixel are the same, which is not usually the case in real applications, and especially where the size of object being observed is comparable to the working distance. Such imperfections of the illumination may make the subsequent reconstruction procedures used to obtain the 3D shape of the scene prone to low frequency geometric distortion and systematic error (bias). Also, the 3D reconstruction of the object results in a geometric shape with an unknown scale. To overcome these problems a novel method of estimating the distance of the object from the camera is developed, which employs photometric stereo images without using

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other additional imaging modality. The method firstly identifies Lambertian diffused maxima region to calculate the object distance from the camera, from which the corrected per-pixel light vector is able to be derived and the absolute dimensions of the object can be subsequently estimated. We also propose a new calibration process to allow a dynamic (as an object moves in the field of view) calculation of light vectors for each pixel with little additional computation cost. Experiments performed on synthetic as well as real data demonstrates that the proposed approach offers improved performance, achieving a reduction in the estimated surface normal error of up to 45% as well as mean height error of reconstructed surface of up to 6 mm. In addition, when compared to traditional photometric stereo, the proposed method reduces the mean angular and height error so that it is low, constant and independent of the position of the object placement within a normal working range.

Keywords: Photometric Stereo, Light Vector Calculation, Distance Estimation.

1 1. Introduction

Traditional Photometric Stereo (PS) is used to recover the surface shape of an object or scene by using several images taken from the same view point but under different controlled lighting conditions [1, 2]. It was initially introduced by Woodham in 1980 [3]. PS has been extensively used in many applications especially for estimating high density local surface normals in the fields of computer vision and computer graphics. It has been used for 3D modelling [4], facial expression capturing [5, 6]. It has also been used for ⁹ medical applications [7, 8] and in face recognition security systems [9]. Most ¹⁰ of these applications require high accuracy reconstructed surfaces. So it is ¹¹ critical to estimate high accuracy surface normals in order to get accurate ¹² subsequent surface reconstruction from their integration. As we will show ¹³ in the following experiment setup, 2-3 degree error in surface normal can ¹⁴ produce up to 6 mm error in the height of reconstructed surface.

Current state-of-the-art systems normally assume that light sources are 15 at an infinite distance from the scene so that a homogeneous and parallel 16 incident light condition can be formed; and then the PS problem becomes 17 solvable through a group of linear equations. In reality it is not always possi-18 ble to produce parallel(collimated) incident light, especially when the object 19 size is comparable in magnitude to the light separation and or the distance 20 of object from light source is relatively small. Any underestimation or mis-21 alignment of the illumination may produce some error during recovery of the 22 surface normal. For example, a 1% uncertainty in the intensity estimation 23 will cause a 0.5-3.5 degree deviation in the calculated surface normal for a 24 typical three-light source photometric stereo setup [10]. Uncertainty in the 25 calibration process can also lead to systemic errors when recovering surface 26 normals and in the 3D recovered surface [11, 12]. 27

Furthermore PS gives no information concerning the absolute distance of the object from the camera. Other imaging modalities are normally required for obtaining such range data, for example laser triangulation or stereo vision techniques have been combined with the PS approach [13–17]. A dense (perpixel) surface reconstruction of a smooth and texture-less object proves to be a challenging task for many range detection imaging approaches, since they can only provide sparse surface data. In order to recover the range data at
pixel resolution, we may alternatively make use of some information about
the object surface itself such as convexity and smoothness.

In this paper we present a novel method to allow us to calculate the 37 distance of an object based on the same photometric stereo imaging setup, 38 i.e. one camera and four lights, without a requirement for any additional 39 hardware, but with little extra computation processing cost. The object's 40 distance from the camera is estimated by finding small patches on the object 41 surface whose normal is pointing towards light source. This small patch is 42 also called the diffused maxima region (DMR) and has been recently [18] used 43 for solving the problem of the generalized bas-relief ambiguity (GBR) [19]. 44 The estimated distance is then used to calculate the light vectors at every 45 image pixel, thereby minimizing the error associated with the assumption of a collimated light source. This approach enables the photometric stereo 47 method to effectively work with real light sources, on Lambertian surfaces 48 that have at least one patch with normal vectors pointing directly towards 49 the light source, in reality this is a reasonable assumption. 50

To the best of our knowledge we are the first to use the DMR in this 51 way, i.e. to enhance the PS method by reducing the well know problem of 52 distortion in the recovered 3D surface by improving the light vector direction 53 estimation and adding range data by using the convexity and smoothness of 54 real objects and without using other additional imaging modalities. Paper is 55 organized as following, in next section we will discuss related work after that 56 photometric stereo technique is discussed. In section 4 proposed method is 57 discussed followed by experiments and results in section 5. Finally in section 58

⁵⁹ 6 paper is concluded.

60 2. Related Work

The common low cost approach to produce collimated light is to use 61 convex lenses or concave mirrors; but even in these cases, only a narrow 62 parallel light beam with similar physical size to that of the lens or mirror can 63 be obtained. To produce a collimated light source for a larger scene area, 64 a possible solution is to develop a custom optical system with an array of 65 specially aligned individual light units. Unfortunately this results in a high 66 hardware and setup cost [20]. Another practical solution is to set the light 67 sources far away from the object[21], so that the light can be approximated 68 as a distant radiation point source. This strategy may help to provide evenly 69 distributed radiance across the object surface, but it sacrifices the majority 70 of the illumination intensity, and correspondingly decreases the signal/noise 71 ratio of the whole system. In addition, such a distant lighting setup usually 72 means a large impractical working space is required. So this approach is 73 only suitable for those light sources able to produce high levels of energy and 74 those applications where a large redundant space is available. In terms of 75 the availability and flexibility of current commercial illumination, the distant 76 illumination solution is often not an optimal choice. 77

A nearby light source model has been considered as an alternative by Kim [22] and Iwahori [23] to reduce the photometric stereo problem to find a local depth solution using a single non-linear equation. By distributed the light sources symmetrically in a plane perpendicular to camera optical axis, they were able to get a unique solution of non-linear equations. However, selection of initial values for the optimisation process and limitations in the speed for
solving non-linear equation are the main problems with this method.

A moving point light source based solution has been proposed by Clark 85 [24] termed "Active Photometric Stereo". By moving a point light along a 86 known path close to the object surface a linear solution can be formulated to 87 solve the photometric stereo problem. However, the range of motion of light 88 must be closely controlled in order to guarantee the efficiency of the solution. 89 Kozera and Noakes introduced an iterative 2D Leap-Frog algorithm able 90 to solve the noisy and non-distant illumination issue for three light-source 91 photometric stereo [25]. Because distributed illuminators are commercially 92 available, Smith *et al.* approximated two symmetrically distributed nearby 93 point sources as one virtual distant point light source for their dynamic pho-94 tometric stereo method [26]. Unfortunately, none of these methods lend 95 themselves to a generalized approach. 96

Varnavas *et al.* [27] implemented parallel CUDA based architecture and computed light vectors at each pixel by manually placing shiny sphere at the four corners of the field of view and assuming a flat plane at that distance, so that a changing light direction was taken into account. However in practice the whole surface of the object is not flat and is not necessarily at the same distance from the light source, especially when the size of the object is comparable to the distance of the light source.

104 3. Photometric Stereo

According to the Lambertian reflectance model the intensity I of light reflected from an object's surface is dependent on the surface albedo ρ and the cosine of the angle of the incident light as described in Equation 1. The cosine of the incident angle can also be referred as dot product of the unit vector of the surface normal \overrightarrow{N} and the unit vector of light source direction \overrightarrow{L} , as shown in Equation 2.

$$I = \rho \cos(\phi_i) \tag{1}$$

$$I = \rho(\overrightarrow{L}.\overrightarrow{N}) \tag{2}$$

When more than two images (four images are used in the following work) from same view point are available under different lighting conditions, we have a linear set of Equation 1 and 2 and this can be represented in vector form as shown in Equation 3.

$$\overrightarrow{I}(x,y) = \rho(x,y)[L]\overrightarrow{N}(x,y)$$
(3)

 \overrightarrow{I} is the vector formed by the four pixels $((I^1(x,y), I^2(x,y), I^3(x,y), X^3(x,y), Y^3(x,y), Y^3(x$ 115 $I^4(x,y))^T$ from four images, [L] is the matrix composed by the light vec-116 tors $(\overrightarrow{L^1}; \overrightarrow{L^2}; \overrightarrow{L^3}; \overrightarrow{L^4})$. Where, 1, 2, 3 and 4 is the number with respect to the 117 individual light source direction. [L] is not a square and so not invertible, but 118 the least square method can be used to compute Pseudo-Inverse and local 119 surface gradients p(x, y) and q(x, y), and the local surface normal N(x, y)120 can be calculated from the Pseudo-Inverse using Equations 4,5 and 6 where 121 $\vec{M}(x,y) = (m_1(x,y), m_2(x,y), m_3(x,y)).$ 122

$$\vec{M}(x,y) = \rho(x,y)N(x,y) = ([L]^T [L])^{-1} [L]^T \vec{I}(x,y)$$
(4)

$$p(x,y) = \frac{m_1(x,y)}{m_3(x,y)}, q(x,y) = \frac{m_2(x,y)}{m_3(x,y)}$$
(5)

$$N(x,y) = \frac{p(x,y), q(x,y), 1}{\sqrt{p(x,y)^2 + q(x,y)^2 + 1}}$$
(6)

$$\rho(x,y) = \sqrt{m_1^2(x,y) + m_2^2(x,y) + m_3^2(x,y)}$$
(7)

123 4. Proposed Method

By estimating the distance of the object from the camera we can improve the accuracy of the surface normals by calculating the light vector of every pixel based on its distance from the camera and light source. The proposed method is summarised in Pseudo code in Table 1. It is divided into three parts: "Light source position estimation", "Object distance estimation" and "Per pixel light direction calculation". Light source position estimation is required only once during the rig calibration process.

131 4.1. Light source position estimation

The general assumption that the light vector is the same at every point 132 (pixel) is mostly not true in practice, so subsequently we use triangulation 133 and the intersection of at least two light vectors (calculated at different posi-134 tions) to determine the true position of a light in a world coordinate system 135 at the optical centre as shown in Figure 1. A specular sphere is used to calcu-136 late the light vectors at several (we take two as example) different locations 137 in the imaging area. The intersection of these light vectors is taken as the 138 position of the light in the real world coordinate system. The position of 139

1. Light Source Position Estimation.
1.1. Place a specular sphere in Field of view.
1.2. Calculate Light vector using equation 8.
1.3. Calculate position of highlight point in world coordinates using equation 19.
1.4. Repeat steps 1.1 to 1.3 by placing the sphere in another location.
1.5. Once two light vectors and two highlight positions for same light has been calculated using the
above steps, the position of light can be calculated using equation 11.
1.6. Repeat steps 1.1 to 1.5 for all light sources to calculate light positions.
1.7. Calculate light vectors of all lights by placing the sphere in the centre of field of view by using
equation 8. These light vectors will be called pseudo light vectors.
2. Object distance estimation.
2.1. Capture a sequence of images of the object.
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Table 1: Pseudo code of proposed method.

3.2. Calculate vectors from light position to every pixel on the plane to obtain per-pixel light vectors.

light 1 is calculated by finding the intersection point of light vectors $\vec{L}_1^{\vec{1}}$ and $\vec{L}_2^{\vec{1}}$ as shown in Figure 1. $\vec{L}_1^{\vec{1}}$ is the light vector calculated at a sphere surface position p_1^1 by placing the sphere at one random location and $\vec{L}_2^{\vec{1}}$ is the light vector calculated at a sphere surface position p_2^1 by placing the sphere at another random location in the imaging area. To calculate $\vec{L}_1^{\vec{1}}$ and $\vec{L}_2^{\vec{1}}$ Equation 8 is used.

$$\overrightarrow{L} = 2(\overrightarrow{n}, \overrightarrow{d})\overrightarrow{n} - \overrightarrow{d}$$
(8)

Where \overrightarrow{d} is reflection direction taken as (0, 0, 1), \overrightarrow{n} is unit surface normal at point p_1^1 or p_2^1 , $\overrightarrow{n} = (nx, ny, nz)$, nx = px - cx, ny = py - cy and $n_z = \sqrt{(r^2 - n_x^2 - n_y^2)}$, (cx, cy) and (px, py) are the pixel coordinates of the sphere centre and the highlight on the sphere respectively, and r is the radius of sphere in the image plane.



Figure 1: Calibration setup for light position calculation and initial (Pseudo) light vector calculation.

The intersection of $\overrightarrow{L_1^1}$ and $\overrightarrow{L_2^1}$ can be calculated using equations 9, 10 and 152 11 [28]

$$Lp_{1}^{1} = p_{1}^{1} + \left(\frac{(\overrightarrow{L_{2}^{1}} \times (p_{1}^{1} - p_{2}^{1})).(\overrightarrow{L_{1}^{1}} \times \overrightarrow{L_{2}^{1}})}{(\overrightarrow{L_{1}^{1}} \times \overrightarrow{L_{2}^{1}}).(\overrightarrow{L_{1}^{1}} \times \overrightarrow{L_{2}^{1}})}\right) * \overrightarrow{L_{1}^{1}}$$
(9)

$$Lp_2^1 = p_2^1 + \left(\frac{(\overrightarrow{L_1^1} \times (p_1^1 - p_2^1)).(\overrightarrow{L_1^1} \times \overrightarrow{L_2^1})}{(\overrightarrow{L_1^1} \times \overrightarrow{L_2^1}).(\overrightarrow{L_1^1} \times \overrightarrow{L_2^1})}\right) * \overrightarrow{L_2^1}$$
(10)

$$Lp^{1} = \frac{Lp_{1}^{1} + Lp_{2}^{1}}{2} \tag{11}$$

$$E = |Lp_1^1 - Lp_2^1| \tag{12}$$

 Lp^1 is the 3D position of light 1 in the world coordinate system. Lp_1^1 is the point on vector $\overrightarrow{L_1^1}$ closest to $\overrightarrow{L_2^1}$, Lp_2^1 is the point on vector $\overrightarrow{L_2^1}$ closest 153 154 to $\overrightarrow{L_1}^1$, E is the distance between these two points - which can be used to 155 measure the accuracy of the calculation. If E is zero then both light vectors 156 intersect. However, due to error in estimating the light vector, the position 15 of the highlight or sphere centre E is not always zero or close to zero. So we 158 use a threshold to establish when the estimated light position is not accurate. 159 In this case the sphere can be positioned in additional places to improve the 160 accuracy. 161

To calculate the position of light using the above method we need the position of at least two highlights on the sphere surface. These highlights can be calculated by first calculating the centre of the sphere. As the actual size of the sphere, focal length of the camera and physical pixel size of camera sensor are known, we can find the position of the centre of the sphere in theworld coordinate system.

$$c(X,Y,Z) = \left[\frac{-x}{f_x}Z, \frac{-y}{f_y}Z, Z\right]$$
(13)

$$Z = \frac{focalLength * sphereActualRadius}{pixelLength * spherePixelRadius}$$
(14)

Where Z is the distance of sphere centre from camera in the z direction, f_x and f_y are the focal length in pixels in x and y direction. Once the centre of sphere c is known, the surface normal \overrightarrow{n} at point p (highlight pixel position) can be used to calculate p from equation 15.

$$p(X, Y, Z) = c(X, Y, Z) + k * n(X, Y, Z)$$
(15)

k is a constant required to calculate p. As p lies on the surface of the sphere |p - c| should be equal to the sphere radius and by using value of pfrom equation 15 we can solve the value of k from the following equations.

$$|c + k\overrightarrow{n} - c| = sphereActualRadius \tag{16}$$

$$|\overrightarrow{n}| = 1 \tag{17}$$

$$k = sphereActualRadius \tag{18}$$

Once the value of k is calculated, it can be used in equation 15 to calculate the position of the highlight on the sphere surface in real world coordinates; as shown in equation 19.

$$p(X, Y, Z) = c(X, Y, Z) + sphereActualRadius * \overrightarrow{n}$$
(19)

178 4.2. Object Distance Estimation

The object distance from the camera is calculated by using the Diffused Maxima Region (DMR), which is calculated by taking the absolute of the dot product between the pseudo light vector and pseudo surface normal, and then applying a threshold; as shown in equation 20. During experimentation we have found that for most cases the threshold is greater then or equal to 0.9.

$$DMR_i = |\vec{N}.\vec{L^i}| > 0.9 \tag{20}$$

 $\overrightarrow{L^i}$ is a pseudo light vector for light i and \overrightarrow{N} is the pseudo surface normal 185 at each pixel. The pseudo light vector $\overrightarrow{L^i}$ is calculated during the calibration 186 process by placing the sphere at the centre of the field of view, it is assumed 187 to be same for every pixel. The centre of the DMR gives us the point 188 where the surface normal and the light vector are approximately aligned. 189 Many DMR(s) can exist on the surface of an object but the region with 190 maximum pixel area is considered to be the best choice. Lights are arranged 191 in a square arrangement as shown in Figure 4(a) and the dot product of the 192 light vectors with surface normals are shown in Figure 2. Higher value of dot 193 product means it is more close to diffused maxima. Figure 2 shows the four 194 selected DMR centres plotted on a height map of a synthetic sphere and a 195 real human dummy torso. 196



Figure 2: (a) and (b) dot product of image with its light vectors. Diffused maxima regions are in highlighted in dark red colour. (c) and (d) Diffused Maxima Regions centres are plotted on Height Map



Figure 3: (a) Depth calculation using DMR and intersection of vector Ov and L^1 . (b) Light vector calculation on each point of object surface.

Once the *DMR* centre is identified in the image plane, a vector \overrightarrow{Ov} can be created from the *DMR* centre to the centre of the lens *O*, as shown in Figure 3(a). *O* is also the origin of the world coordinate system. Now by using origin *O*, position of light *LP*, light vector $\overrightarrow{L^1}$ and vector \overrightarrow{Ov} , we can determine the intersection point of these two vectors in world coordinates by using equations 9, 10 and 11. The average of the *Z* coordinate of these points of intersection is the estimated distance of the object from the camera.

204 4.3. Per pixel light direction calculation

Once the distance of the object is known from the camera, an imaginary plane parallel to the image plane is created. The pseudo height of the object is then defined relative to this plane by adding the reconstructed surface from pseudo normals; so that new light vectors for each pixel point for each light are created as shown in Figure 3(b). The pseudo height of the object is
calculated by integrating [29] the pseudo surface normal N and then scaling
the height to compensate for the camera distance.

Traditional photometric stereo assumes that the light direction is same 212 across the whole scene but in reality, particularly where the object has a 213 comparable size to the illumination working distance, it is clear that this 214 varies; as shown in Figure 3(b). This variation needs to be considered for 215 accurate surface normal calculation because any variations in the illumination 216 position are finally interpreted as uncertainty in recovered surface normals. 217 For our synthetic imaging setup Table 2 shows the range of light vectors 218 in terms of tilt and slant of a plane at a known distance from the camera, 219 compared to traditional photometric stereo where the tilt and slant angle of 220 illumination are normally assumed fixed. 22

	Our M	ſethod	Traditional PS		
	Max/Min	Max/Min	Tilt (de-	Slant (de-	
	$\operatorname{Tilt}(\operatorname{degree})$	Slant(degree)	gree)	gree)	
Light 1	-8.8/-76.2	83.3/57	-45	70.5	
Light 2	171.2/103.8	83.4/57.2	135	70.5	
Light 3	-103/-171	83/57	-135	70.5	
Light 4	70.5/8.8	83/57	45	70.5	

Table 2: Tilt and Slant Light angle range for traditional PS and proposed method

222 5. Experiments and Results

Experiments were performed on range of synthetic images as well as with real images. For real images a setup based on a Teledyne DALSA Genie HM1400 1.4 Mega pixel monochrome camera and High power LEDs was designed as shown in Figure 4(a). A commercial 3dMD [30] system is used to acquire ground truth data as this system has a reported 0.2 mm accuracy in depth measurement.

Figure 5(a) shows the error (mm) in the calculation of object distance 229 from camera when the initial calibration (pseudo light vectors) of the setup 230 is performed with the specular sphere located approximately at 2000mm from 23 the camera. The $\sim \pm 20$ mm uncertainty is found when the object is moved 232 from 1800mm to 2200mm from the camera. This is relatively high compared 233 to other 3D range finding technologies, however the system can achieve a 234 recovery in pixel level which is not provided by any other 3D imaging systems. 23 To test the accuracy of the surface normals acquired from the proposed 236 method we have used Mean Angular Error (MAE) as the measure of accuracy. 237 MAE is calculated by taking the cosine inverse of the dot product of a ground 238 truth surface normal and a calculated surface normal. Table 3 summaries the 239 Mean Angular error calculated from a synthetic as well as real images. Table 240 3 shows that the mean error in the height calculation of the reconstructed 241 surface is improved around 2-6 mm in height and there is around 2-3 degree 242 improvement in surface normal estimation. 243

Figure 5(b) shows mean angular error (degree) when the object is moved from 1900 mm to 2100 mm with the initial light vector for traditional photometric stereo and a pseudo light vector for our proposed method calculated



(a)



(b)





Figure 4: (a) Image acquisition Setup. (b-f) images of Objects used in Experiments



Figure 5: (a) Absolute Error in distance estimation from camera to object. (b) Mean angular error in surface normals.

at 2000 mm. It can be found that the MAE in traditional photometric stereo
is highly dependent on the location of object with respect to the calibration
position while the proposed method has a constant low MAE.

	Mean Angular Error in		Mean Height Er-	
	surface normal(degree)		ror(mm)	
	Traditional	Our	Traditional	Our
	PS[3]	Method	PS[3]	Method
Synthetic Sphere	6.53	4.53	14.586	9.108
Synthetic Bunny	3.95	2.36	15.378	11.826
Synthetic Horse	3.90	2.33	9.174	6.608
Polystyrene Sphere	6.72	4.61	15.642	10.714
Human Dummy	6.88	4.86	17.006	11.066
Polystyrene Face	7.1	5.2	15.642	13.530
Polystyrene Owl	7.5	4.18	15.224	11.044

Figure 6(a) shows the surface reconstructed from surface normals ob-250 tained from traditional photometric stereo while Figure 6(b) is the surface 251 reconstructed from surface normals obtained from the proposed method by 252 using a Poisson based surface integrator [29]. If we visually compare Figure 253 6(a) with the ground truth in Figure 6(c) we can easily find low frequency 254 geometric distortion in addition to high frequency noise. This geometric 255 distortion is due to the fact that photometric stereo in its original form in-256 terprets a change in light intensity due to change in light direction as change 25 in surface normal, which is very common in low cost and large field of view 258

photometric stereo imaging setups. In comparison, Figure 6(b) is more flat and closer to the ground truth. This is because the geometric distortion is partially removed by considering the lighting distance from the object surface. The same phenomena can be observed clearly by plotting slices of the surfaces as shown in Figure 7.

Figure 7 shows slices of reconstructed surfaces. When comparing proposed method (which estimates distance of object from the camera and calculates light vector for every pixel using distance estimation), with traditional photometric stereo (which assumes the same lighting direction for each pixel), it is clear that the proposed method calculates more accurate surface normals and hence better surface reconstruction.



Figure 6: (a) Integrated surface using traditional PS. (b) Integrated surface using Proposed method. (c) Surface scanned from 3dMD as a ground truth.



Figure 7: Slices of Integrated Surface

270 6. Conclusion

This approach offers a useful way to add range data, improving accuracy and reducing distortion in PS acquired reconstructed 3D surfaces. Distortion in PS derived 3D surface data is a well know limitations of the method and

its solution offers opportunity for taking advantage of the PS methodology 274 in a new range of challenging applications, including accurate real-time re-275 construction non-rigid 3D surfaces, such as the moving human chest. In this 276 paper we presented a new method to calculate light vectors dynamically for 27 improving photometric stereo 3D surface reconstruction performance. The 278 improvement in light vector estimation is achieved through calculating the 279 distance of the object from the camera using diffused maxima region and then 280 using this distance to calculate per-pixel light vector dynamically. This dy-281 namic calculation can be done in real-time (i.e. real-time reconstruction of a 282 deforming 3D shape, such as a human chest). By using the proposed method 283 the error in surface normal estimation is reduced to become almost constant 284 and independent from the working distance. Experiments performed on syn-285 thetic and real scenes shows there is improvement of up to 45% in surface 286 normal and up to 6 mm in the reconstructed surface height. 28

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