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      Finite element-based optimisation of an elastomeric honeycomb for impact mitigation in
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      helmet liners
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      Honeycomb; optimisation; finite element analysis; impact; helmet; additive manufacturing
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15
      Abstract
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      Finite element simulation was used to analyse the response of an elastomeric pre-buckled
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17 honeycomb structure under impact loading, to establish its suitability for use in helmet liners. 18 A finite element-based optimisation was performed using a search algorithm based on a radial 19 basis function. This approach identified optimisation configurations of a pre-buckled 20 honeycomb structure, based on structural bounds subject to impact loading conditions. 21 Furthermore, the influence of objective function, peak acceleration and head injury criterion 22 was analysed with respect to the resultant mechanical behaviour of the structure. Numerical 23 results demonstrate that this class of structure can exceed the performance threshold of a 24 common helmet design standard and minimise the resultant injury index. Experimental testing, 25 facilitated through laser sintering of thermoplastic polyurethane powder, validated the output 26 of the numerical optimisation. When subject to initial impact loading, the fabricated samples 27 satisfied their objective functions. Successive impact loading was performed to assess the 28 performance and degradation. Samples optimised for peak acceleration demonstrated superior 29 performance after stabilisation, relative to their initial response. The culmination of this study 30 establishes a numerical design pathway for future optimisation of candidate structures for head 31 impact protection. Furthermore, the optimised pre-buckled honeycomb structure represents a 32 new class of energy absorbing structure, which can exceed the thresholds prescribed by the 33 design standard.

34 **1. Introduction**

Physical activity that includes elevation or speed carries the risk of head injury. Injury risk is mitigated by wearing safety helmets [1], [2], [3]. Whilst cycling is adopted as an exemplar when evaluating new helmets [4], [5] and their use being advocated by the World Health Organisation [6], head injury remains a notable cause of mortality and morbidity in cycling accidents [7], [8]. Indeed, head injury still causes 69-93% of fatal bicycle accidents [9]; hence, new helmet technologies remain of significant social importance.

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42 Advances in computational modelling and additive manufacturing (AM) have enabled 43 investigation of novel alternative helmet liners that can exceed contemporary materials 44 performance (e.g., Polymeric Foam). Soe et. al numerically explored the use of an ordered 45 lattice structure for impact mitigation [10], demonstrating that tailorable energy absorption and 46 thus impact mitigation can be achieved through structural changes. This concept has since been 47 expanded by *Khosroshahi et. al*, who investigated lattice grading schemes and relative density 48 on head injury severity [11], [12]. Clough et. al fabricated micro lattice impact attenuators, 49 which afforded greater specific stiffness and densification strain, resulting in a reduction in 50 peak linear acceleration under impact versus stochastically architecture foams [13]. The greater 51 geometric freedom means architectured cellular structures hold a notable advantage over 52 stochastic cellular structures. Architectured cellular structures with tailorable mechanical 53 properties, therefore, represent a viable route to improving helmet liner performance and 54 ultimately head protection.

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56 The honeycomb is another example of an architecture cellular structure [14]. Combing 57 properties such as high specific strength and stiffness [15], and excellent impact mitigating 58 properties [16], the honeycomb has become a common design route to achieve lightweight 59 structures with high energy absorption [17], [18]. The adoption of honeycomb structures within 60 helmet design can improve user safety [19], [20]. Localised reinforcement [21], [22], exclusive use [23], or a hybrid combination of foam and honeycombs [24] provide superior performance 61 62 relative to a monolithic equivalent. In all cases, the principal mechanisms leveraged to mitigate 63 the impact energy are plastic deformation and material fracture. These solutions are unsuitable for applications with potential for multiple (or consecutive) impacts however, as the onset of 64 65 permanent deformation will diminish helmet performance [25]. Indeed, it is common for 66 consumers to wear a previously damaged helmet despite contrary advice [26]; hence, there is 67 growing motivation in identifying a multi-impact solution [27].

68 In recent years, elastically recoverable honeycomb structures have been investigated due to the 69 potential of repeatable and high specific energy absorption. Furthermore, elastomers can now 70 be additively manufactured, facilitating rapid design exploration of novel structures that are 71 infeasible using traditional methods such as injection moulding [28]. Bates et. al, for example, 72 reported that hexagonal honeycombs additively manufactured from thermoplastic polyurethane 73 achieved recoverable behaviour under cyclic compression, whereby the behaviour of these 74 structures could be tailored by changing the unit cell structure [29], [30]. Adams et. al 75 investigated the dynamic response of elastomeric pre-buckled honeycombs, reporting a 76 stabilised yield stress and energy absorption following repeat impact loading [31]. Townsend 77 et. al investigated the tailorable energy absorption of elastomeric origami-inspired 78 honeycombs, reporting the potential application for helmet liners [32]. Caccese et. al is one of 79 few studies describing design optimisation using intelligent search algorithms of elastomeric 80 honeycombs, presenting optimal elastomeric honeycomb structures for head impact mitigation 81 [33]. Adopting a simplified genetic search algorithm, minimum unit cell depth could be 82 identified to achieve a reduced peak acceleration. Loading conditions equivalent to the design 83 certification standards for head protection were not adopted however [34], meaning further 84 investigation is required under these conditions to establish whether this class of structure can 85 satisfy the performance requirement.

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A novel additively manufactured elastomeric pre-buckled honeycomb structure has 87 88 demonstrated excellent energy absorption capability during quasi-static and dynamic testing 89 over successive loading cycles [31]. This study aims to optimise the honeycomb structure 90 subject to the loading conditions and performance threshold of the design standard for cycling 91 helmets. A numerical approach is outlined that utilises a finite element-based optimisation to 92 identify the optimal honeycomb configuration. Moreover, the influence of varying objective 93 function is also evaluated. Laser sintering of a thermoplastic polyurethane powder is adopted 94 to fabricate the optimal structures, which were experimentally tested under equivalent 95 conditions to enable validation of the numerical approach. Lastly, successive impact testing is 96 carried out to establish the performance degradation over multiple impacts. The outcome of 97 this study is a numerical design pathway for optimisation of candidate structures for impact 98 mitigation.

99

101 **2. Materials & Methods**

102 The design of the proposed pre-buckled honeycomb is presented with respect to its structural 103 parameters. The finite element model used to simulate impact loading is then discussed 104 providing the basis for optimisation. The sequential steps of the search algorithm are then 105 described, followed by an overview of the computational sequence. Lastly, the fabrication and 106 testing of optimal honeycombs is detailed.

107 2.1 Honeycomb structure

108 A circular pre-buckled honeycomb structure (figure 1) was defined by structural parameters: 109 cell size (w), wall thickness (t), depth (d), circular minor radius (r_1), circular major radius (r_2), 110 and number of folds (f). The aspect ratio (e), hereafter used to describe the eccentricity of the 111 circular cross section of the unit cell, is defined as the ratio of r_1 and r_2 . The fold is based on a 112 cosine function. Computer aided design files for fabrication and simulation were generated 113 using an in-house code written in Python [31].



Figure 1: The structural model of the pre-buckled honeycomb unit cell including parameters cell size (w), wall thickness (t), depth (d), circular minor radius (r₁), circular major radius (r₂) and number of folds (f).

114 **2.2 Finite element model**

- 115 Finite element analysis (Abaqus Explicit 2019; Dassault Systems, France) was performed to
- replicate the shock absorption test from the cycling helmet design standard EN1078 [35]. The
- 117 model comprised a deformable honeycomb comprising of two unit cells positioned between
- 118 two analytically rigid plates as illustrated by figure 2.
- 119



Figure 2: The finite element model of the pre-buckled honeycomb comprising of two unit cells positioned between an upper and lower rigid plate. The upper plate is assigned a preimpact velocity and point mass, whilst the lower is fixed.

The lower plate was assigned an encastre boundary condition and the upper plate a point mass of 4.7kg, equivalent to a size J headform [36]. Pre-impact velocity of $v_z = 5.42 \text{ms}^{-1}$ was adopted from the design standard, whilst global acceleration due to gravity, $a_g = 9.81 \text{ms}^{-2}$ was assigned to the entire model. This represents the experimental setup (section 2.5) enabling validation of the numerical outcome, whilst simplifying the anticipated crushing between the head and the liner under impact.

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An efficient periodic boundary condition model alleviated the computational cost of multiple full-scale simulation. In the model, the 2 x 2 honeycomb configuration has zero displacement in the X and Y axes along the perimeter nodes. The lower plate boundary conditions remained the same, whilst the upper plate point mass was scaled by 0.25, proportional to the kinetic energy, to account for load distribution over a quarter of the projected area. Previous work validated this approach, reporting it as comparable to 4 x 4 honeycomb array under impact loading [31].

136 An eight-node brick element with hexahedron shape type, reduced integration and hourglass controlled was utilised (C3D8R). The mesh density was selected so that there were two 137 138 elements across the wall thickness to mitigate against shear locking; mesh independence 139 studies identified diminishing gains using greater than two elements. A global friction value of 140 1.0 was used to emulate the anticipated friction that arises from self-contact of the elastomeric 141 material, in accordance with similar studies [31], [32]. Although this global friction is not likely 142 to represent the surface contact between the plates and honeycomb, it is considered an 143 acceptable simplification due to the relatively small contact area. Luvosint was adopted as the 144 base material, a thermoplastic polyurethane utilised in additive manufacturing (e.g., laser 145 sintering), with a density of 1200kg/m³. Material behaviour was characterised under uniaxial, 146 planar and equiaxial tension, as well as single step stress relaxation and is described in figure 147 3. The numerical material model was validated under quasi-static and dynamic, isolated and 148 mixed deformation testing [37], [38]. An isotropic Ogden N5 material model was used to represent the non-linear hyperelastic behaviour, which was then augmented with a linear 149 150 viscoelastic material model, Prony series, to represent the rate dependant behaviour (material 151 model coefficients are reported in the appendix, table A1 and A2 respectively). Initial 152 honeycomb impact tests indicated no obvious structural fracture nor material plasticity; 153 therefore, fracture and damage was not considered in the numerical simulations. Furthermore, 154 whilst it is well known that additive manufacturing's layer by layer process yields a degree of 155 local anisotropy with respect to the build direction, this was not considered in the numerical 156 analysis as the mechanical behaviour is recognised to be less sensitive when exposed to 157 compressive loads in line with the build axis [39].



(a) Uniaxial (UT), planar (PT) and equibiaxial tension (ET) experiment compared to material model

(b) Stress-relaxation experiment compared to material model

(1)

 $HIC = max \left[\frac{1}{t_2 - t_2} \int_{t_1}^{t_2} a(t) dt \right]^{2.5} (t_2 - t_1)$

Figure 3: Mechanical behaviour of the sintered Luvosint under three modes of deformation and stress relaxation used in the calibration of the hyperelastic and linear viscoelastic model respectively. Adopted from [37].

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159 A 15ms simulation time was used to sufficiently capture the entirety of the impact event. Reaction force, linear acceleration, and velocity, as well as displacement in the Z-axis 160 161 was recorded with respect to time and extracted from the reference point located on the upper 162 surface. This was then used to calculate the dynamic stress and strain at the reference point. Stress was calculated by dividing the reaction force by the projected cross-sectional area and 163 164 strain by normalising the plate displacement by the honeycomb height. The recorded data was 165 further treated with a low pass Butterworth filter that had a 1000Hz cut-off frequency. 166 Head injury criterion (HIC) was also calculated, using equation (1), to establish the relative severity of the resultant acceleration [40]. Since there was no rotational kinematics induced 167 168 during the impact, rotational severity indexes were not considered.

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- 172

173 **2.3 Optimisation**

174 To identify the ideal honeycomb parameters for impact mitigation, numerical optimisation was 175 performed based on a finite number of simulations using the surrogate optimisation algorithm 176 available in MATLAB's Optimisation Toolbox (MathWorks, United States). The surrogate 177 optimisation algorithm, which is based on a radial basis function [41], was adopted over other 178 search algorithms available in MATLAB, such as genetic, particle swarm or simulated 179 annealing due to its capability of accurately modelling arbitrary functions, handling scattered 180 training points in multiple dimensions and requiring fewer iterations [42]. Moreover, since it 181 is a non-gradient based solver, it is more appropriate for problems that include discontinuities 182 due to self-contact. Lastly, it is more suited to time-consuming objective functions, such as 183 finite element problems, as it is proven to converge to a global optimum for bounded problems. 184

185 The surrogate optimisation algorithm occurs over multiple steps, as illustrated by figure 4. 186 Initially, quasi-random points are sampled throughout the design space, with the objective 187 function evaluated following each successful design point simulation. The surrogate, which 188 approximates the relationship between each design point and the objective function, is then 189 constructed by interpolating a cubic spline with a linear tail through the sampled points. Next, 190 the algorithm searches for the minimum. New values are sampled within the design space 191 around the incumbent value. A merit function is evaluated subject to the surrogate model values 192 at these points, as well as the distance between them and the points where the objective function 193 has already been evaluated. The best point, based on its merit function, is simulated and the 194 objective function evaluated. The surrogate model is then updated to reflect the new information. This cycle repeats for a finite number of iterations where the fidelity of the 195 196 surrogate model improves. Upon convergence, the surrogate model is reset, and new random 197 samples selected to ensure the design space is fully explored. Once the maximum number of 198 iterations is reached, the minimum point can be identified.



Figure 4: The optimisation steps for construction of the surrogate response used by the search algorithm for an increasing number of sampled finite element simulations including,(a) random sampling, (b) surrogate construction, (c) merit function analysis, (d) best point simulated, (e) surrogate model updated and (f) final surrogate model approximation.

The objective function used in this optimisation was adopted from the cycle helmet design standard (EN1078), which defines an acceptable shock absorption threshold. The standard mandates that, for a singular impact, the resultant acceleration shall not exceed 250g [35]. Consequently, the objective function was defined by equation (2).

205

206
$$f(x_d) = \frac{J_{calc}}{J_{crit}}$$
(2)

207

Where f(x) is the objective function, x is the structural parameter vector, J_{calc} , is the calculated objective function recorded during the simulation, normalised by J_{crit} a critical threshold value. The optimisation problem is therefore defined by the number of structural parameters, x, the constructed surrogate model, and subject to structural parameter limits described as follows:

213 *Find*: *t*, *e*

214 *Minimise*: f(x)

215 Subject to: w = 12.5mm, d = 25.0mm, $0.8mm \le t \le 1.4mm$, 0.6 < e < 0.8, f = 1.0216

217 To utilise the optimisation approach, a computational procedure was developed. As illustrated 218 by figure 5, Matlab, Python and Abaqus, were utilised to execute the structural optimisation. 219 Initially, user-specified inputs such as maximum number of iterations, loading conditions 220 (mass and velocity) and structural parameter limits were set. The optimisation search algorithm 221 was then initiated using Matlab. The structural parameter vector was parsed, and a Python 222 script was called that meshed the structure. A secondary script consisting of indigenous Abaqus 223 macros imported the newly meshed configuration, applied boundary conditions and wrote the 224 simulation job file. Once the new job file was written, Matlab executed the job. Upon 225 completion of the simulation, the result file was automatically analysed and filtered using 226 another Python script, before being imported into Matlab which calculated the objective 227 function. This procedure was then repeated where the structural parameter vector changes with 228 respect to the calculated objective function. Once the user prescribed iteration limit was 229 reached, the procedure ends.



Figure 5: The outline of the computational procedure indicating the software used during each step of the optimisation (oval = start/end, parallelogram = input/output, rectangle = process, diamond = decision).

233 **2.4 Honeycomb fabrication**

234 Additive manufacturing was employed to fabricate the honeycombs identified through the 235 optimisation. Figure 6 illustrates the computer aided design files, generated using a python 236 script, were converted to .stl file format for interpretation by the laser sintering machine. 237 Fabrication was sub-contracted to a specialist third party, building parts from Luvosint X92A-238 1 (Lehmann & Voss & Co; Hamburg, Germany) a thermoplastic polyurethane powder 239 described in section 2.2. A contouring scan mode was leveraged with a minimum layer 240 thickness in the z direction was set to 0.1mm. Post processing was performed using 241 compressed air to remove excess unsintered powder. Build accuracy was assessed by 242 measuring finished parts using a Vernier Calliper (Absolute AOS Digimatic, Mitutoyo, Japan), 243 for comparison to the intended design values.





Figure 6: The fabrication method for the optimal honeycomb design including digital design, laser sintering overview and final part. Scanning electron imagery of Luvosint powder has been adopted from [43]

247 **2.5 Experimental validation**

248 Fabricated honeycombs were subjected to dynamic impact loading, to validate the results of 249 the numerical optimisation procedure. This was performed using a monorail shock absorption testing facility (model: 1002 MAU 1006/CF/ALU; AD Engineering, Italy) (figure 7). Each 250 honevcomb was taped to the upper platen of the drop carriage, which was designed specifically 251 252 to have an equivalent mass to a size J headform (4.7kg). The carriage was then wire-guided, 253 under free-fall, onto a steel anvil that had a 50kN load cell positioned within it. Each sample 254 was subjected to an initial impact velocity of 5.42m/s validated through use of a light gate. 255 Data was recorded at 50,000Hz and treated with a low pass Butterworth filter that had a 256 1000Hz cut-off frequency. The line of impact was out-of-plane to the build orientation. All 257 testing was performed in ambient conditions.



Figure 7: The experimental setup for impact testing of the optimised honeycomb samples including drop carriage (A), light gate (B), upper plate (C), honeycomb sample (D), lower plate (E), load cell (F).

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260 **3. Results**

In this section, the results from the numerical optimisation are presented. The variation in honeycomb impact behaviour is reported relative to the number of function evaluations as well as changing the objective function. Variation in objective function relative to structural parameters is also discussed. Following, fabricated samples are subject to experimental testing to validate the outcome of the numerical optimisation. Lastly, testing is carried out over successive repeats to explore the multi-impact behaviour and performance degradation of the fabricated honeycombs.

268

269 **3.1 Optimisation**

270 Figure 8 reports the variation in the objective function, peak linear acceleration (PLA), for each 271 evaluation relative to the acceptable 250g limit during the optimisation. The optimisation 272 procedure successfully satisfied the objective function yielding a response less than 250g. The 273 first 20 evaluations are randomly sampled yielding a variation in objective function between 274 419.7 and 158.0g. The minimum value reported in the random sample was at iteration 9. This 275 represents a relative reduction in the objective function by 36.8%. The optimal solution was 276 identified during the first surrogate, within the adaptive sampling phase between iterations 20 and 75. The minimum solution reported was 140g at the 56th evaluation representing a further 277 reduction by 11.4% compared to the best point of the random sample. To ensure that the current 278 best point was the global minimum, the surrogate model was reset after the 75th iteration and 279 random sampling was undertaken to construct a new surrogate. The surrogate reset failed to 280 281 achieve an improvement on the best point from the first surrogate. Similarly, a third and final reset (started at 125th iteration) also failed to achieve an improvement, although the procedure 282 283 was terminated prior to reaching adaptive sampling as the maximum number of iterations had 284 been exceeded ($i_{max} = 150$).



Figure 8: The variation in the objective function, peak linear acceleration, for each function evaluation relative to a threshold of 250g

Figure 9a – 9c illustrates the changing resultant acceleration and mechanical behaviour of the 286 287 honeycomb during the optimisation procedure. Comparison is made to the acceptable shock 288 absorption threshold of the design standard, as well as the failure criterion for skull fracture 289 [44]. Iterations 1, 14 and 56, of the first surrogate model, are reported as they demonstrate two 290 characteristic behaviours and the optimal result. Iteration 1 represents an overly compliant 291 response. As the structure begins to deform, buckling occurs at a relatively low stress, initially 292 resulting in a low acceleration. As the structure proceeds through the plateau region, the 293 structure fails to sufficiently mitigate the kinetic energy of the impactor. Consequently, the 294 structure begins to densify yielding a large and rapid increase in acceleration, exceeding the 295 acceptable threshold, as the impact is mitigated through compression of the base material. The 296 duration of the impact occurs over 9ms, reaching a PLA of 412g, and a peak stress of 7.6MPa. 297 Conversely, iteration 14 represents an overly stiff response. The structure deforms at a high 298 stress, yielding a high initial acceleration, which exceeds the permissible threshold. By the time 299 the structure buckles, entering the non-linear region before the plateau phase, the kinetic energy 300 of the impact has been mitigated leading towards a response which is over in less than 6ms 301 reaching a PLA of 288g, and a peak stress of 5.3MPa. Iteration 56 represents the optimal 302 solution. The response effectively mitigates the kinetic energy prior to reaching the onset of densification, without exceeding the 250g threshold. Buckling occurs at a stress that is below
 the acceptable threshold; whilst structural stress-softening is observed, acceleration remains
 nearly constant throughout.



(c) Visualised deformation with respect to time

Figure 9: The simulated response for iterations 1, 14 and 56 for the optimisation where PLA was the objective function

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Figure 10 further examines the data recorded in the optimisation procedure. In addition to PLA, HIC was calculated at each function evaluation; the variation in PLA and HIC is reported at each function evaluation. The data features two trends constructed in a slanted 'V-shape'. Firstly, the left-hand side trend ranges between 140 to 412g, within which the value for HIC varies between 1129 to 3024. Conversely, for a similar PLA range, the associated right-hand HIC values range from 1129 to 5658. These two trends meet at a point of intersection located 313 at the bottom left-hand corner. The density of function evaluations in this region, compared to 314 the others, is indicative of the location of the identified minimum. The 'V-shape' formulation 315 of data reports an interesting feature, where points of equivalent PLA have markedly different 316 HIC. One such instance is reported in figure 11a and 11b, which compares the acceleration-317 time and mechanical behaviour of these two points. Similar behaviour to that observed in 9a and 9b is observed i.e., a stiff structure that mitigates the kinetic energy prior to reaching the 318 319 plateau, versus a compliant structure that mitigates kinetic energy by deforming within the 320 plateau and densification region. Interestingly the search algorithm qualifies both results 321 equally based on the PLA reported, however, the calculated HIC values are markedly different. 322 Notably, the HIC value for the stiff structure is 114.1% greater than the compliant structure. 323 Since the search algorithm examines these two responses equally, a greater number of iterations 324 is required to attain the optimum solution. In both cases the requirement of the design standard 325 (PLA < 250g), and thus objective function have been satisfied; however, owing to the 326 significance of HIC as an injury severity index, it is prudent for further optimisation to consider 327 HIC as the objective function.



Figure 10: The variation in peak linear acceleration and head injury criterion at each function evaluation. Two points of equivalent PLA are indicated on the plot to be used in reference to figure 9.



Figure 11: The comparison of impact response and mechanical behaviour of iteration 11 and 136, identified from figure 8, response when yielding the same peak linear acceleration

329 Figure 12 compares the objective function relative to the structural parameters, wall thickness 330 and aspect ratio. The contour plot illustrates a band of minimum peak linear acceleration, 331 neighboured equally either side by areas of increasing values indicating that the results are 332 forming a valley shape where the minima is located within the gulley. The two localised 333 clusters of function evaluations are representative of the completed adaptive sample phases. 334 The optimal values found for each surrogate were within 1% of each other. This suggests that 335 for the pre-buckled honeycomb structure there is a band of optimal values for various 336 combinations of wall thickness and aspect ratio as indicated by the dashed lines. Within this 337 band of near contact performance for decreasing aspect ratio an increase in wall thickness is 338 required to mitigate the impact.



Figure 12: The variation in peak linear acceleration relative to wall thickness and aspect ratio when the objective function is set to PLA. Each function evaluation is indicated by a black point.

340 To examine the influence of objective function, HIC was used in a secondary optimisation. Considering equation (1), the objective was calculated and normalised by a value of HIC =341 342 1574, equivalent to an abbreviated injury score (AIS) of 4, whilst all other optimisation and 343 structural parameters remained the same. Figure 13 reports the variation in objective function, 344 HIC, for each evaluation relative to the new acceptable threshold during the optimisation. The 345 optimisation procedure successfully satisfied the objective function yielding a response with a 346 HIC less than 1574. The first 20 evaluations are randomly sampled yielding a variation in HIC 347 between 5412 and 1050. The minimum value reported in the random sample was at iteration 348 7. This represents a reduction in the objective function by 33.3%. The minimum solution was 349 identified during the adaptive solution between iterations 20 to 85. The minimum solution reported was HIC = 1029 at the 48^{th} evaluation representing a reduction of 2.0% compared to 350 351 the best point of the random sample. Subsequent function evaluations do not yield an improved result. After the 90th function evaluation the surrogate model is reset and random sampling 352 353 occurs again to construct the surrogate model, however, this search does not attain 354 improvement.



Figure 13: The variation in the objective function, head injury criterion, for each function evaluation relative to a threshold of 1574.

Figure 14 compares the objective function, HIC, relative to the structural parameters of wall thickness and aspect ratio. The contour plot illustrates a similar trend to figure 12. Figure 15 compares the optimal results from the PLA and HIC optimisation, hereafter referred to as PLA_{opt} and HIC_{opt} respectively. The objective function has a notable influence on the resultant mechanical response of the honeycomb. Specifically, the PLA_{opt} favours a higher yield and plateau stress than HIC_{opt}. Conversely HIC_{opt} favours a lower yield stress and takes advantage of densification. The reported PLA and HIC values for each optimal are reported in table 1.



Figure 14: The variation in head injury criterion relative to wall thickness and aspect ratio when the objective function is set to PLA. Each function evaluation is indicated by a black point



Figure 15: Comparison of mechanical behaviour for optimal honeycombs based on an objective function of PLA and HIC.

363	Table 1: Structural and performance parameters for the optimal values for both objective
364	functions.

Objective function	Cell width (mm)	Wall thickness, t (mm)	Aspect ratio, e	Number of folds, f	PLA (g)	HIC
PLA	12.5	1.37	0.70	1.0	140.0	1174
HIC	12.5	1.11	0.80	1.0	193.2	1029

365 **3.2 Experimental validation**

366 Sample inspection

As previously discussed, the optimised honeycomb structures were fabricated using laser sintering. The wall thickness was measured across 16 positions as well as overall length, width and height for each specimen. The values were then averaged and compared to the CAD models as reported by table 2. Visual inspection of the samples did not identify any defects due to residual stresses from the sintering process, such as warping or curling [45].

- 372
- 373 Table 2: Recorded dimensions of the fabricated honeycomb samples, difference from design
- 374 values provided in brackets.

Label	Average wall	Sample length	Sample width	Sample height
	thickness (mm)	(mm)	(mm)	(mm)
PLA _{opt}	1.42 (0.05)	50.05 (0.05)	50.02 (0.02)	25.04 (0.04)
HICopt	1.06 (-0.05)	49.62 (-0.38)	49.32 (0.68)	26.08 (1.08)

375

376 Single impact

377 Impact loading was performed on the fabricated honeycombs to demonstrate that the 378 optimisation process yields structures which satisfy their objective functions. Figure 17a and 379 17b reports the acceleration-time data for the PLA_{opt} and HIC_{opt}. The PLA_{opt} solution satisfies 380 its objective function, yielding a PLA value of 232.2g. This represents a relative decrease of 381 7.1% compared to the threshold value. The PLA_{opt} solution also satisfies the HIC objective 382 function, yielding a value of 1274 and representing a relative decrease of 19.1%. The HIC_{opt} 383 solution also satisfies its objective function, yielding a HIC value of 1085, which is a relative 384 decrease of 31.1% compared to the threshold value. The HICopt solution, however, did not 385 satisfy the PLA_{opt} threshold. The recorded PLA was 258.6g which exceeds the threshold value 386 by 3.4%.



Figure 17: Experimental data of single impact loading for PLA and HIC optimised solutions

389 **Repeat impact**

Following the initial single impacts, each sample was subjected to 4 additional repeat impacts at 1-hour intervals to characterise the multiple loading behaviour of the optimal structures. Figure 18a and 18b reports the acceleration-time data for samples of PLA_{opt} and HIC_{opt} with respect to the first, third and firth repeat impact. Moreover, figure 18c and 18d reports the PLA and HIC values reported with respect to all repeat impacts.

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The PLA_{opt} solution was optimised with respect to an objective function threshold of PLA < 396 397 250g. Following the second impact, the PLA recorded was 172.3g representing a relative 398 reduction of 25.8%. For an increasing number of impacts an improvement in performance was 399 observed. For impacts 3 - 5, a successive relative reduction of 8.5%, 1.8% and 2.5% is 400 observed. Moreover, repeat impacts 4 and 5 represent a stabilised response when compared to 401 impacts 1 - 3 which yields less variation and a more predictable response. Comparing the 402 performance of the final impact (number 5), the reported value is 39.6% less than the objective 403 function threshold (250g). The PLA_{opt} results also satisfies the HIC_{opt} objective function for 404 repeat impacts. Similar to the PLA trends, the reported HIC values decreased for increasing 405 number of impacts. For a single impact the reported HIC value was 1274. Following the second 406 impact, the HIC value recorded was 945 representing a relative reduction of 25.8%. For impact 407 3 - 5, a successive reduction of 3.5%, 2.0% and 1.0% was observed. Comparing the 408 performance of the final impact (number 5), the reported value was 29.9 % less than HIC_{opt}
409 objective function threshold.

410

411 The HIC_{opt} solution was optimised with respect to an objective function of HIC < 1574. 412 Following the second impact, the HIC recorded was 2723 representing a relative increase of 413 150.1%. For an increasing number of impacts a common trend of deteriorating performance is 414 observed. For impacts 3-5, a successive increase of 32.6%, 12.1% and 8.9% is reported. 415 Comparing the performance of the final impact (number 5), the reported value is 306.0% 416 greater than the critical value. As previously discussed in the analysis of single impact 417 behaviour, the HIC_{opt} solution does not satisfy the PLA_{opt} objective function. For the first repeat 418 impact, the PLA recorded was 446.2g representing an increase of 72.5%. For an increasing 419 number of impacts a common trend of deteriorating performance is observed. For impacts 3 -5, a successive increase of 19.8%, 7.3% and 5.2% of PLA is reported. Comparing the 420 421 performance of final impact (number 5), the reported value is 141.3% greater than the critical 422 value (250g).







Figure 18: Experimental comparison of repeat impact loading for PLA and HIC optimised solutions

425 4. Discussion

In this section, the effect of changing objective function is qualitatively analysed relative to the mechanical behaviour of the honeycomb. Following, experimental phenomena observed are related to structural and material-based energy absorption mechanisms of the honeycomb. Next, limitations in performance are reported before expanding on future applications of the optimisation process.

431 Finite element simulations were employed to obtain the resultant acceleration of the 432 parametrised pre-buckled honeycomb structure when subject to impact loading. The surrogate 433 optimisation algorithm from the MATLAB toolbox was used to analyse the results of FE 434 simulation response relative to the design space. It was shown that the novel circular pre-435 buckled honeycomb design can minimise PLA and therefore satisfy the requirements of the 436 design standard, but this was achieved at the expense of the HIC index. Varying the objective 437 function from PLA to HIC resulted in notable differences in honeycomb response. The PLA 438 optimal favoured a high yield and plateau stress, ensuring that the impact energy had been 439 mitigated prior to entering densification, avoiding the characteristic large and rapid increase in 440 acceleration. In contrast, the HIC optimal favoured a lower yield and plateau stress, resulting 441 in densification of the structure. Since the structure yields at a lower comparative stress, the 442 resultant acceleration is reduced in comparison to the PLA optimal. Once the structure

443 densifies, however, the resultant acceleration exceeds that of the PLA optimal. This reduces444 the time of exposure to injurious levels of acceleration [46].

445

446 Experimental testing aimed to validate the numerically identified optimal configurations. Both 447 structures, when tested experimentally, satisfied their respective objective function, however, the reported results did not match the numerical analysis. The HICopt configuration adopts a 448 449 low yield stress which results in a reduced linear acceleration for a large proportion of the 450 impact. It, however, takes advantage of the densification region to mitigate residual impact 451 force for short periods at high acceleration. Consequently, there is a small operational window 452 with which this structure works optimally. Variance in performance is therefore anticipated 453 subject to variation in structural parameters. In this case variation in wall thickness, as reported 454 by table 2, led to an overly compliant structure, meaning the structure was unable to sufficiently 455 mitigate the impact. The negative performance was compounded over successive impacts, 456 yielding a larger spike in acceleration as an increasing proportion of kinetic energy was 457 mitigated within the densification region. Conversely, the wall thickness for the PLA_{opt} 458 configuration exceeded the design value. Over successive impacts, the performance, however, 459 improved. In both cases, repeat impact loading causes the base material to transition into its 460 relaxed state due to cyclic stress softening [31] known as the Mullins effect. In the polymer's 461 relaxed state, the resultant stress is lower for the same strain compared to the initial response. 462 Considering the experimental PLA_{opt} results, the initial response was overly stiff, deforming at 463 high stress and did not densify. The consequence of this was a larger resultant acceleration than 464 that anticipated in the computational result. During the subsequent impacts, the structure 465 deformed at lower stress due to the relaxation of the base material. The structure then proceeded 466 to deform at a lower stress, yielding a reduced resultant acceleration whilst deforming further 467 as characterised by nearly reaching densification. These results align with previous studies and 468 indicates that there is opportunity for helmets to be pre-stressed (cycled / conditioned) to 469 achieve repeatable, consistent behaviour [38]. This structure would be more long living than 470 polymeric foams such as EPS, which tend to either plastically deform or demonstrate 471 permanent set [25]. Consequently, this could benefit the user by reducing the risk of 472 unknowingly wearing a helmet that is already damaged, from an innocuous drop or following 473 an impact.

474

The finite element model used in this study consisted of a 2 x 2 array with a cell width of 12.5mm, representing a 4 x 4 array with a total contact area of 50 x 50mm equivalent to 477 2500mm². Contact area, however, is likely to change on a user-by-user basis as a function of 478 head and helmet radii [47]. Previous investigations adopted a similar contact area values when 479 investigating impact mitigation materials for helmet applications [32] although there seems to 480 be little justification for this design choice. Moreover, other examples exist where a value as 481 high as 6400 mm² has been used for similar impact conditions [48]. Increasing contact area for 482 the same resistive force will yield a reduction in local stress exposed to the user. Therefore, 483 selecting an appropriate contact area is paramount for future investigations. Analytical 484 expression exists for the anticipated contact area based on helmet and head radius, and liner 485 crush [49]. Considering general values for a size J headform, and a nominal liner crush of 0.5mm/mm for a 25mm liner, the contact area is in fact 10,000 mm². This exceeds the value 486 487 used in this study, as well as previous studies, suggesting that an additional performance gain 488 can be achieved through greater consideration of the anticipated contact area.

489

490 Whilst the optimised configurations satisfied the design standard performance threshold, the 491 samples exceeded the typical mass of polymeric foam commonly used in helmet liners, 5g 492 (80g/L [50]), by 10 - 20g. Adopting a stiffer base material with similar elasticity would allow 493 use of thinner walls whilst enabling weight reduction and retaining performance. This could be 494 achieved through different grades of powder [51], or inclusion of additives, such as functional 495 reinforcement [52] and infiltration of resin [53] to improve the base material mechanical 496 properties. Consequently, there is potential for further improvements in performance through 497 adoption of additives within laser sintering to fabricate the pre-buckled honeycomb structure 498 for multi-use helmet liner development.

499

500 The current optimisation process is guided by the design standards that prescribe a vertical 501 impact and a minimum acceptable level of protection.-This, however, is contrary to the fact 502 that the most commonly occurring helmet impact occur at an angle [54]. The forces that arise, 503 therefore, have components of compression and shear [55], [56], [57] which ultimately leads 504 to a rotational velocity and acceleration. It is widely accepted that the human head is susceptible 505 to rotational kinematics [58] and that these loading regimes are more closely linked to traumatic 506 brain injury [59], [60]. Consequently, future optimisation should include angled impact 507 conditions as well as consider other head impact variables such as velocity, location, and 508 curvature of the head.

510 **5. Conclusion**

511 In this study, an effective combined numerical framework was reported for optimisation of a 512 parametrically defined honeycomb-type structure, subject to the boundary conditions of a 513 common helmet design standard. Numerical optimisation was realised through use of an 514 algorithm derived from a radial basis function based on finite element analysis, to form a 515 surrogate model of the impact performance relative to the honeycomb's structural parameters. 516 Samples were fabricated using laser sintering of a thermoplastic polyurethane powder, 517 subjected to experimental impact conditions to validate the outcome of the numerical analysis, 518 then to successive impacts to explore multi-impact behaviour and performance degradation. 519

520 Numerical optimisation revealed the influence of objective function on the impact behaviour 521 for this class of additively manufactured elastomeric honeycomb. For the limits prescribed in 522 the analysis, optimising for peak linear acceleration resulted in a structure that mitigates the 523 kinetic energy of the impact at a stress which facilitates avoidance of the densification region. 524 In contrast, optimising for head injury criterion results in a structure which yields at a relatively 525 lower yield stress and resultant acceleration, however, densifies thus resulting in a higher peak 526 linear acceleration but for a small duration. Fabrication and experimental testing of the samples 527 provided further insights regarding the impact performance. Both structures satisfied their 528 respective objective function when subjected to experimental testing, therefore providing 529 validity for the numerical procedure and its adoption in future studies. Over repeat impacts, 530 PLA optimised structures reported improved performance and stabilised after the third impact. 531 performance was observed over multiple impacts, stabilizing after the third impact. In contrast, 532 HIC optimised structures reported degrading performance over successive impacts. The 533 culmination of this study is a numerical design pathway for exploring new materials and 534 structures for head impact protection.

535

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- 540
- 541

542 7. Appendix

Ν	μ	α	D
1	903.01	3.72	0
2	-723.56	5.24	0
3	264.03	6.19	0
4	-669.43	2.26	0
5	236.66	1.42	0

543 Table A1: Hyperelastic material model coefficients (Ogden N5). Adopted from [37].

544

545 Table A2: Linear viscoelastic material model coefficients (Prony series). Adopted from [37].

Ν	G	K	τ
1	0.16	0	1.35E-03
2	0.13	0	7.13E-02
3	8.98E-02	0	0.92
4	7.29E-02	0	6.27
5	8.04E-02	0	49.41

546

547 8. References

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