1	Modeling the impacts of future LULC and climate change on runoff and
2	sediment yield in a strategic basin in the Caatinga/Atlantic forest ecotone of
3	Brazil

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#### 16 Abstract:

17 Water management in the Caatinga/Atlantic forest ecotone in Brazil is critically dependent on 18 better understanding of potential future changes in streamflow and sediment dynamics. This 19 paper evaluates both the future impacts of land use and land cover (LULC) changes and the 20 impacts of climate change on the streamflow and sediment yield in the Tapacurá River basin 21 in northeastern Brazil, using a novel combination of approaches. Projected climate data 22 derived using global circulation model HadGEM2-ES were coupled to regional circulation 23 model ETA-CPTEC/HadCM3 for two representative concentration pathways (RCP 4.5 and 24 8.5), with bias correction. Two future LULC scenarios were generated: (a) optimistic (current 25 LULC), and (b) pessimistic (land use change trends continue), using the multilayer perceptron 26 algorithm (MP). The Soil and Water Assessment Tool (SWAT) model was used to estimate

27 future streamflow and erosion for different periods (2011–2040, 2041–2070 and 2071–2099). 28 The SWAT model was calibrated for period 1995-2003 and validated for 2004-2013. The results showed good accuracy in relation to R<sup>2</sup>, NSE and PBIAS for the calibration and 29 30 validation of the runoff, as well as for the verification of the sediment yield. Simulations indicated significant increases in erosion for the pessimistic scenario under RCP 8.5, followed 31 32 by the pessimistic scenario and RCP 4.5. Lower sediment yields occurred for the optimistic 33 and RCP 8.5, with lower still for the optimistic and RCP 4.5. However, the latter estimates are 34 still considerably higher than baseline conditions. Although higher flows are found for some 35 scenarios, the increases in sediment yield have serious implications for reservoir siltation and 36 storage reduction. Despite modeling uncertainty, the results demonstrate that the proposed 37 methodology has promising scope to contextualize potentially significant regional hydrological changes which have implications for land and biodiversity management and the 38 sustainability of water resources in the Caatinga/Atlantic forest ecotone. 39

40 Keywords: Streamflow; erosion; future scenarios; degradation; hydrologic modeling.

41

#### 42 1. Introduction

43 Climate variation and climate change over the longer term, together with human activities, are 44 the main factors that influence streamflow and erosion in catchments (Shi et al. 2013; Petelet-45 Giraud et al., 2017; Dai et al., 2020). Global climate change is widely acknowledged (de 46 Oliveira et al., 2018), and many studies address the increasing concentration of greenhouse 47 gases and associated changes in climate drivers and patterns (Liu et al., 2020; Marin et al., 2020; An et al., 2020). The increase in extreme hydrological events, for example, has caused **48** 49 environmental problems worldwide (Kusangaya et al., 2018; Zhao et al., 2020; Zhang et al., 2020; Wang et al., 2020; Santos et al., 2021; Brito et al., 2021), with direct impacts to the 50 51 global economy and the lives of much of the population (Eamen et al., 2020). These include 52 increased floods and droughts, pollution of water resources, soil erosion, silting, and a 53 reduction in the productive capacity of soils. This study represents the first application of 54 modeling to assess the impacts of future LULC and climate change on runoff and sediment 55 yield at a monthly scale in the ecologically important Caatinga/Atlantic forest ecotone of northeastern Brazil. Investigating the relative significance of LULC and climate change as 56 57 drivers is of fundamental importance in understanding runoff and sediment yield behavior. Understanding the effects of LULC and climate change on sediment yield and streamflow in **58** 59 basins is a major challenge in contemporary water resource management (Cunha et al., 2020). 60 The Caatinga/Atlantic forest ecotone area in northeastern Brazil, also known as "Agreste", is 61 an internationally significant biodiversity hotspot, and critical in the regional water supply. 62 The region is under-studied and a better understanding of future hydrological conditions is an 63 urgent need (Da Silva et al., 2012).

Natural changes usually occur on scales of decades, whereas anthropogenic influences
have the power to change hydrological dynamics in a short time (Bhatta et al., 2019). In
particular, two human actions that result in LULC changes can be highlighted, deforestation,
and the replacement of other native vegetation by agriculture, urban areas or other forms of
occupation, which do not always provide as good protection of the soil against rainfall effects
(Ursulino et al., 2019).

These changes are felt more strongly in transition regions such as the Caatinga/Atlantic ecotone forest. The Caatinga biome, also known as Dry Forest (Souza et al., 2019) is ecologically fragile and particularly sensitive to climate change, due to recurrent droughts (Correia et al., 2020). The adjacent Atlantic forest biome, home to a large part of the Brazilian population, has also been impacted by droughts and floods (Alvalá et al., 2019). Consequently, areas such as the Caatinga/Atlantic forest ecotone, require special efforts to preserve their important water resources and unique environment. The area is an international biodiversity hotspot, and much of its biological heritage cannot be found elsewhere on the
planet (Da Rocha et al., 2020). The population and the economic activities within this biome
depend on its water resources, which are used mainly for irrigation and public supply, making
the population susceptible to climate variability as extreme events of flood and droughts
(Silva et al., 2020).

82 Hydrological models have previously been used to predict water resources under projected warming in northeastern Brazil (Dos Santos et al., 2014; Silva et al., 2018; de 83 84 Andrade et al., 2019; de Medeiros et al., 2019). Recently, SWAT applications have been used to analyze hydrologic behavior in basins of different scales (e.g., Čerkasova et al., 2018; Chen 85 et al., 2019; Marin et al., 2020), based on the coupling of hydrological and global circulation 86 87 models (GCM) (Braga et al., 2013). However, no studies have assessed runoff-erosion 88 dynamics using distributed hydrological models and LULC change estimation algorithms 89 coupled with bias corrected global and regional models for the Caatinga/Atlantic Forest 90 ecotone region. This study aims to address this need by providing an analysis of the combined 91 impacts of both potential climate and LULC changes, in support of developing urgent 92 strategic land, biodiversity and water management plans, including control approaches 93 (Montenegro and Ragab, 2012). In this study, the SWAT hydrological model driven by 94 climate simulations, estimated streamflow and annual sediment yield in a strategic basin of 95 northeastern Brazil (Tapacurá River basin), in response to climate change across three periods 96 (2011-2040, 2041-2070 and 2071-2099) using GCM data for two different gas emission 97 scenarios.

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99 2. Material and methods

100 2.1. Study area and methodological overview

101 The Tapacurá River basin (470 km<sup>2</sup>) is situated in a transition area between the Caatinga and 102 Atlantic forest biomes, in Pernambuco State, northeastern Brazil (Figure 1). The rainy season 103 is from March to August and the annual rainfall ranges from 800 to 1,800 mm. The basin is 104 classified as type As (equatorial, hot, and summer dry) in the Köppen-Geiger climate 105 classification, with an annual average temperature of 27°C (da Silva et al. 2012).

The Tapacurá River basin is a significant water resource supply unit for the Recife Metropolitan Region (RMR), one of the largest population centers in Brazil, with approximately 3.7 million inhabitants (IBGE, 2020). The region has faced dry periods such as the drought of 1998, as well as periods of flooding, which were frequent until the 1970s. These extreme events have had numerous social, economic and environmental impacts and structural interventions have been required to minimize the effects of flooding in Recife city, the capital of the State.

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Figure 1. Location map of the Tapacurá River basin in Pernambuco state (Brazil).

117 The main reservoir is the Tapacurá Reservoir, was built in 1973 to supply about 40% of the RMR with water, and to control the periodic floods referred to above. The reservoir has a 118 119 storage capacity of 95×10<sup>6</sup> m<sup>3</sup>, a maximum water surface of 9.7 km<sup>2</sup> (da Silva et al., 2012), and supplies water to approximately 1 million inhabitants of the RMR (Gunkel et al., 2003). 120 121 The Tapacurá River basin is consequently a critical strategic water supply area for 122 Pernambuco State, and hydrological processes in the basin have far-reaching implications for 123 the RMR. This study therefore focuses specifically on the combined effects of projected 124 climate change and LULC change on the runoff and sediment yield within the Tapacurá River 125 basin.

126 Figure 2 provides a schematic of the research methodology. The SWAT model was 127 calibrated and validated for the baseline period (1995-2012) using 2007 LULC. Landsat 5 128 imagery and an ANN algorithm was used to classify and map LULC change over time (1987-129 2015), generating a transition probability matrix. Following testing of the transition probabilities against observed LULC, LULC scenarios were generated for several future 130 131 periods based on two sets of assumption (optimistic, pessimistic). A GCM was downscaled 132 and corrected for two RCPs (4.5, 8.5), and used together with the LULC scenarios to estimate 133 future streamflow and sediment yields.



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Figure 2. Schematic of the research methodology.

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# 138 2.2. Data description

# 139 2.2.1. Rainfall and streamflow datasets

140 The observed daily rainfall and streamflow data were acquired from the Agência Nacional de 141 Águas e Saneamento Básico (National Water and Sanitation Agency – NWSA), for five rain 142 gauges, one weather station and a streamflow gauge within the study area (Figure 1). The 143 observed daily streamflow data were acquired from Vitória de Santo Antão streamflow gauge 144 for January 1995 to December 2012 (baseline), which were utilized for calibration (1995– 145 2003) and validation (2004–2013) of the SWAT model.

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# 147 2.2.2. DEM, soil type and LULC datasets

148 The digital elevation model (DEM) underpinning this work (Figure 3a) was derived from the 149 30m SRTM product (available at https://earthexplorer.usgs.gov). Three Landsat 5 images 150 were utilized in mapping current LULC and developing future LULC scenario maps. These 151 were from orbit 214 and point 66 for time periods t<sub>1</sub> (July 1987), t<sub>2</sub> (August 2007), and t<sub>3</sub> 152 (July 2015). These images were chosen because they represent an interval considered suitable 153 for analyzing changes in the LULC. The LULC map (Figure 3b), used as an input for the 154 baseline SWAT modeling was generated through the classification of the t<sub>2</sub> image (2007). 155 Seven LULC classes were identified, namely, agriculture, livestock, sugarcane, rain forest, 156 urban areas, water and Caatinga vegetation. According Ragab et al. (2012), the Tapacurá 157 River basin is characterized by deforestation, with most of the original rain forest having been 158 cleared during the last decades (1980-2010). Caatinga, a vegetation type similar to Savanna 159 and typical of northeastern Brazil, remains, but a large area of the basin is now occupied by 160 farmlands and sugar cane production (Montenegro and Ragab, 2012). The soil map was 161 derived from the EMBRAPA (1999) at a scale of 1:100,000. The soil types within the basin 162 are acrisols, gleysols, ferralsols, chromic luvisols, fluvisols, leptosols, regosols and planosols 163 (Figure 3c). Figure 3d shows slopes of the basin.

164



166 Figure 3. (a) DEM and sub-basins, (b) LULC in 2007, (c) soil types, and (d) slopes of Tapacurá River basin.



169 In order to determine the accuracy of the classification process, classified images with land 170 use data that were derived from ground-truth data, were used. These data are assumed to be a 171 'true' representation of land use. In this study, evaluating the accuracy of the classification 172 was accomplished by applying thresholding and accuracy assessment methods, such as kappa statistics, omission and commission errors. Omission error estimates the probability of a pixel 173 174 being accurately classified. This is the result from dividing the number of correctly classified pixels in each LULC class by the number of training pixels determined from the ground-175 176 truthed data. This reveals how well training set pixels of the given LULC type are classified. 177 Commission error shows the probability that a pixel represents the class for which it has been 178 assigned. This is computed by dividing the number of correctly classified pixels in each 179 category by the total number of pixels in that category. The relationship between these two 180 sets of information (classified pixels and commission error) is usually summarized in an error 181 matrix, also named a confusion matrix. The number of rows and columns in the error matrix 182 should be equal to the number of categories whose classification accuracy is being assessed 183 (Lillesand and Kiefer, 2000). In the error matrix, the pixels located along the diagonal (from 184 the upper left to the lower right) represent the pixels classified into the proper category. The 185 non-diagonal values in the columns represent the omission error, while the non-diagonal 186 values in the rows represent the commission error. The kappa statistic is used to measure the 187 agreement between variables (McHugh, 2012). This index is a discrete multivariate measure 188 of the actual concordance minus the concordance per chance (Cunha et al., 2021); i.e., it is a 189 measure of the consistency between the classification and the reference data. The kappa 190 statistic is calculated as:

191 
$$\kappa = \frac{n \sum_{i=1}^{C} x_{ii} - \sum_{i=1}^{C} x_i + x + x_i}{n^2 - \sum_{i=1}^{C} x_i + x + x_i}$$
(1)

192 where  $x_{i+}$  is the sum of row *i* and  $x_{+i}$  is the sum of column *i* of the confusion matrix.

193

#### **194 2.4 LULC scenarios and validation of LULC prediction**

195 To quantify and map the changes of each LULC class, we used the land change modeler 196 (LCM) integrated into TerrSet software (Clark Labs, 2020) package. Two predicted LULC scenarios were used to analyze the influence of future climate on streamflow and sediment 197 198 yield: (a) optimistic: current (2007) LULC conditions are maintained in the longer term 199 (Figure 3b), and (b) pessimistic: future changes in LULC (2050) considering intensification of 200 sugar cane monoculture, growth of urban areas and loss of rain forest and Caatinga 201 vegetation. For the creation of the pessimistic LULC map for 2050 (t<sub>4</sub>), three Landsat 5 202 images from 1989 ( $t_1$ ), 2007 ( $t_2$ ), and 2015 ( $t_3$ ) were analyzed using the multilayer perceptron 203 (MP) algorithm.

In this study, we overlay t<sub>1</sub>, t<sub>2</sub>, and a simulation map of t<sub>3</sub>. LCM produces three types of results (pixels): misses, hits, and false alarms. Predicting hits (changed) means the t<sub>3</sub> map shows change and the simulation shows change. Predicting hits (not changed) or misses show change, but the simulation shows persistence. False alarm means the t<sub>3</sub> map show persistence, but the simulation shows change.

209 An estimate of LULC for 2015 was obtained by assessing the changes in area based on 210 t<sub>1</sub> and t<sub>2</sub> using the MP algorithm. The validation of LULC prediction was analyzed using the 211 kappa statistics. Then, this estimate of LULC for 2015 was compared with the observed 212 LULC (t<sub>3</sub>) with kappa statistics of about 87%. The learning algorithm is considered to have 213 satisfactorily simulated the transition potential of LULC when the MP accuracy is greater than or equal to 80%. Based on this result, the time t<sub>3</sub> (2015) was specified, and the ANN was 214 employed to determine the transition probability matrix from  $t_2$  (2007) for  $t_3$  (2015). See 215 216 Section 3.3 for how this was applied to estimate 2050 LULC.

The MP algorithm referred to above performed image classification by means of an artificial neural network (ANN) classifier using the back-propagation approach (Silva et al., 2020). As recommended by Ahmadlou et al. (2016), a configuration where 60% of the modified cells were used for MP training, with the remaining 40% retained for validation, was used. In this study, we used 15,000 iterations, because it was noted that the error curve decreased and stabilized at this number. In addition, the kappa statistics (Landis & Koch, 1977) were used to assess classification validity.

224 In order to validate the estimated LULC, and assess the performance of the LULC 225 change probability, the total operating characteristics (TOC) approach (Pontius Jr. and Si, 226 2014) was used to evaluate the accuracy of the simulation. AUC is an area under a curve 227 obtained using TOC method. An AUC value greater than the baseline value of equal to 0.5 228 indicates that the quality of the modeling results is satisfactory, whereas a value equal to 1 229 corresponds to a perfect fit (Chen et al., 2019). Additionally, the area under the curve (AUC) 230 method was used to assess the result accuracy (Silva et al., 2020). These methods contemplate 231 multiple thresholds and creates contingency tables that compare the performance of the 232 probability of LULC changing. This approach is commonly used in studies of LULC change, 233 urban growth and climate forecasting (Li and Chen, 2020).

234

#### 235 2.5 Simulation accuracy and SWAT performance evaluation

The Soil and Water Assessment Tool (SWAT) model (Arnold et al. 1998) is a comprehensive,
semi-distributed multi-parameter hydrologic model and is one of the most used models for
modeling runoff and sediment yield (Silva et al., 2018). The Sequential Uncertainty Fitting
procedure (SUFI-2) (Abbaspour et al., 2007) within the SWAT Calibration Uncertainty
Procedures (SWAT-CUP) tool, was used to calibrate monthly streamflow based on data from
the Vitória de Santo Antão streamflow gauge. A Split Sample Test was applied, i.e. three

years were considered for warm-up (1992–1994), nine years for calibration (1995–2003) and
nine years for validation (2004–2012).

Tapacurá River basin is an ungauged basin for sediment data, which are measured only
during random campaigns. The SWAT model was calibrated for estimation of sediment yield
using a sediment-discharge rating curve. The sediment load is given by the rating curve
(Equation 2 and Figure 4) for the Vitória de Santo Antão streamflow gauge, based on field
measurements.

$$S_y = 6.1496 Q^{1.6399}$$
(2)

**250** where  $S_y$  is the sediment yield (mg/day) and Q is the streamflow (m<sup>3</sup>/s).

251 The mean daily *in-situ* suspended sediment curve equals the ratio between the sediment

load and the river discharge. The sampling of suspended sediments is performed by the
Geological Survey of Brazil using isokinetic samplers by vertical integration and the sampling
method by equal width increment (EWI), as described in Carvalho (2008). This station falls
within the responsibility of NWSA and has observed sediment data from 1995 to 2012
obtained on an irregular basis over a period of years by the Geological Survey of Brazil.

Over the simulation period, sediment data were obtained on 8 days. The small number of measurements is due to the fact that the Geological Survey of Brazil schedules visits to monitoring cross-sections annually (three visits) and is not always able to carry out the scheduled collections due to river conditions. The sediment station is located in a river that is within an urban area, and after intense rainfall events, runoff produces very strong currents which prevent measurement.

This approach has been used because sediment-discharge rating curves have been successfully applied in other basins with limited sediment data (dos Santos et al., 2015). The results of the streamflow and sediment yield simulations were calibrated to maximize the R<sup>2</sup>, Nash–Sutcliffe efficiency (NSE) and relative bias (PBIAS), based on Moriasi et al. (2007). 267 Moreover, two tests have been applied for evaluation of model performance and uncertainty,
268 i.e., p-factor (observations bracketed by the prediction uncertainty) and the r-factor
269 (achievement of small uncertainty band).





271

**Figure 4.** Sediment rating curve for the Vitória de Santo Antão sediment gauge.

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#### 273 2.6 Future climate projections and bias correction

274 To provide long-term simulations of future climate at a suitable spatial resolution, a regional 275 climate circulation ETA-CPTEC/HadCM3 model (Chou et al., 2014a; Chou et al., 2014b) was 276 coupled with a GCM (Hadley Centre Global Environmental Model version 2 - HadGEM2-277 ES) (Collins et al., 2011). Within the Coupled Model Intercomparison Project (Van Vuuren et 278 al., 2011; Nilawar and Waikar, 2019), the IPCC defines several scenarios for future climate 279 projection named representative concentration pathways (RCPs). For example, RCP 2.6 means the radiative forcing level reaches 3.1  $W/m^2$  by mid-century but returns to 2.6  $W/m^2$  by 280 281 2100, with low driving levels of greenhouse gas emissions. RCP 4.5 considers carbon 282 emissions to reach around 650 ppm, and RCP 8.5 has high greenhouse gas emissions (around 283 1370 ppm).

In this study, RCP 4.5 and RCP 8.5 were used for the periods of 2011–2040, 2041–
2070 and 2071–2099. Currently GCMs data have excellent results on medium and large

basins, however they may contain systematic error so cannot be downscaled and used as
model inputs without bias correction (Tapiador et al. 2020). In our work, a linear scaling
approach is applied to improve the GCMs rainfall data.

289 The ETA-CPTEC/HadCM3 data showed bias for the rainfall and air temperature 290 variables, which are generally underestimated when compared with the observed values. 291 Corrections for systematic errors (bias correction) in the ETA-CPTEC/HadCM3 modeled 292 future precipitation were made using cumulative distribution functions (CDFs) for both 293 variables, as proposed by Bárdossy and Pegram (2011), and used by Berg et al. (2012) and 294 Ribeiro Neto et al. (2014). In this study, we used observed data from 1961 to 1990. The mean 295 bias was inserted into the model data after calculating the bias for each month of the 296 climatological year.

297

**298 3.** Results

#### **299 3.1 Sensitivity of SWAT parameters**

300 The first step in the model calibration and validation process is the determination of the most 301 sensitive parameters in the modeling. In this study, 19 parameters that influence the 302 streamflow were identified and a sensitivity analysis of all SWAT model parameters was 303 obtained after 500 iterations using the SWAT-CUP calibration procedure. Ten of these were 304 identified as the most sensitive, and were used for calibration across the 1995–2003 period. 305 Table 1 shows the description of these, including, initial value, minimum, maximum and 306 calibrated value ranges of the hydrologic modeling for the Tapacurá River basin. The rank 307 order parameter sensitivity was defined based on the results presented in Figure 5. During the 308 validation process, the adjusted parameter values in the calibration phase were inserted in 309 SWAT, and new simulations were achieved with these calibrated parameters.

	able 1. Beleeted puld	inclus and the set	isitivity	/ analysis c	of the Sw	A I model	
Parameter	Description	Process	Initial	Adju	stment var	iation	Adjustmen
	~		value	Method**	Mınımun	n Maxımum	value***
Sol_AWC (mm/mm)	Soil available water capacity (mm H <sub>2</sub> O/mm soil)	Soils (.sol)	V*	%	±2	<mark>25%</mark>	<del>+11,13%</del>
Sol_Z (mm)	Depth from soil surface to bottom of layer (mm)	Soils (.sol)	V	%	<mark>±2</mark>	<mark>25%</mark>	<mark>+3,53%</mark>
Sol_K (mm/h)	Saturated hydraulic conductivity (mm/h)	Soils (.sol)	V	%	<mark>±</mark> 2	<mark>25%</mark>	<mark>-22,68%</mark>
<i>Gw_Revap</i> (dimensionless)	Groundwater "revap" coefficient (dimensionless)	Ground water (.gw)	0.02	=	0.02	0.2	0.1643
Slsubbsn (m)	Average slope length (m)	Concentration time (.hru)	V	%	±2	<mark>25%</mark>	<mark>+3,53%</mark>
Canmx (mm)	Maximum canopy storage (mm)	Evapotranspiration (.hru)	0	=	0	10	8.785
Gw_Delay (days)	Aquifer recharge time (days)	Ground water (.gw)	31	+	-30	60	42.945
Gwqmn (mm)	water in the shallow aquifer required for return flow to occur (mm)	Ground water (.gw)	0	=	0	1.000	776.5
CN2 (dimensionless)	Curve Number for normal antecedent moisture conditions (dimensionless)	Surface runoff (.mgt)	V	%	±.	1 <mark>0%</mark>	<del>-8,31%</del>
Alpha_BF	The baseflow recession constant	Ground water (.gw)	0.048	=	0	1	0.2025

315 that are spatially unvaried) is replaced by the adjustment value obtained after the calibration phase, and (c)

316 addition method (+), when the value obtained after the calibration phase is added to the initial value of the

317 parameter. \*\*\*These are the variations obtained for each parameter after the calibration phase, which are applied

318 to the initial values of each parameter.



321 Figure 5. Result of the sensitivity analysis of the SWAT model parameters for the Tapacurá River
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The *t*-stat (Figure 5) indicates the degree of sensitivity, and the higher its absolute value, the more sensitive the parameter is. The *p*-value, on the other hand, determines the significance of the sensitivity of the parameters, with values close to zero showing greater significance in the specific modeling (Abbaspour, 2012). Thus, the 10 parameters that had a *p*-value less than 0.1 were considered more sensitive.

329 From the 10 parameters considered most sensitive for the streamflow calibration (Table 1), four are related to groundwater (Gw Revap, Gw Delay, Gwqmn, Alpha BF), three are 330 331 related to the physical characteristics of the soil (Sol AWC, Sol K and Sol Z), Slsubbsn related to the time of concentration, Canmx related to the evapotranspiration process and CN2 332 related to the runoff. Sol AWC, CN2, Sol K, Sol Z and Slsubbsn parameters influence the 333 334 generation of surface runoff. Gw Revap, Gw Delay, Gwgmn and Alpha BF parameters 335 influence the baseflow, and *Canmx* influences the evapotranspiration. The parameters 336 identified in this study as the most sensitive for the streamflow calibration have also been 337 identified in previous studies in Brazilian river basins, as shown in Table 2. It is observed that the most sensitive parameters for the streamflow calibration in river basins do not vary much, 338 339 differing little from one basin to another, regardless of the studied region. It was detected in 340 this brief review of the literature, that the parameters identified as the most sensitive for the flow calibration by the SWAT model, for the Tapacurá River basin, correspond to at least five 341 342 parameters of the studies by Strauch et al. (2012) and Andrade et al. (2013), and to seven in 343 the studies by Melo Neto et al. (2014), Castro (2013) and Ferrigo (2014).

344

# Table 2. More sensitive parameters for the calibration of the SWAT model, identified in otherstudies in Brazil

Parameters	Quantity of correspondent parameters	Source	Region of Brazil
Alpha_BF , Canmx, CH_K2, CH_N2, Esco, Gw_Delay, Gw_Revap, Gwqmn, Revapmn, Sol Z, Surlag	6	Aragão <i>et al.</i> (2013)	Northeast
Surlag, Alpha_BF, Sol_K, Gwqmn, CN2, Slsubbsn, CH_K2, Rchrg_DP, Esco, Sol_AWC, Sol_Z	7	Castro (2013)	
CH_N2, CN2, Alpha_BF, Canmx, CH_K2, Epco, Esco, Gw_Delay, Gwqmn, Surlag	5	Strauch <i>et al</i> . (2012)	West
CN2, Alpha_BF, Gw_Delay, Gwqmn, Gw_Revap, Esco, Sol_AWC, Sol_K, Sol_BD, Shallst, Gwht, Deepst, Revapmn, Anion_Excl	7	Ferrigo (2014)	
CN2, Sol_K, Sol_AWC, Canmx, Surlag, Gwqmn, Gw_Revap, Gw_Delay, Alpha_BF, Esco, CH K2, CH N2	6	Fukunaga (2012)	
Esco, Alpha_BF, Epco, Sol_Z, Canmx, CH_K2, Sol_AWC, Sol_K, CN2	6	Lelis <i>et al.</i> (2012)	Southeast
CN2, Alpha_BF, Rchrg_DP, Esco, Sol_Z, Sol_AWC, Sol_K	5	Andrade <i>et al</i> . (2013)	
Alpha_BF, CN2, Gwqmn, Esco, Sol_Z, Sol_AWC, CH_N2, Blai, Canmx, Gw_Revap	7	Melo Neto <i>et</i> <i>al.</i> (2014)	
Esco, Alpha_BF, CH_K2, Canmx, Sol_Awc, Sol_K, CN2, Slope, Blai, Gwqmn	6	Bonumá <i>et</i> <i>al.</i> (2010)	
CH_K2, Slope, Esco, Alpha_BF, Sol_Z, Sol_K, Sol_AWC, Surlag, CN2, CH_N2	6	Baltokoski <i>et al.</i> (2010)	South
Esco, Alpha_BF, Sol_Z, Sol_AWC, Blai, Gwqmn, Revapmn, CH_K2, CN2, GW_Revap	6	Malutta (2012)	

347

#### 348 3.2 Set-up and validation of the SWAT model

#### 349 3.2.1 Baseline streamflow (1995-2013)

350 Table 3 shows the statistical analysis and performance of the model after the calibration and validation for observed and simulated streamflow. Based on the R<sup>2</sup> and NSE criteria of 351 Moriasi et al. (2007), the calibration of streamflow showed good performance, and 352 satisfactory performance in relation to the PBIAS. The validation, on the other hand, 353 354 surpassed the indices obtained during the calibration, showing a better adjustment of the 355 observed and simulated hydrographs, and a better representation of peak flows, base flow and median flows (Figures 6a-6b). The R<sup>2</sup> and NSE values obtained during validation can be 356 classified as very good, and the PBIAS value as good (Figures 6c-6d). These results are 357

similar to those obtained by Montenegro and Ragab (2012) and Ribeiro Neto et al. (2014),
who performed hydrological simulations with the DiCaSM and MODHAC models,
respectively, for the Tapacurá River basin.

**Table 3.** Results of hydrologic modeling using SWAT model for Tapacurá River basin

	Streamflow (m <sup>3</sup> /s)							
Statistics	Calib	ration	Validation					
	Simulated	Observed	Simulated	Observed				
Mean	1.59	1.29	2.09	2.34				
Maxima	12.29	16.34	33.93	29.17				
Minima	0.00	0.00	0.00	0.00				
Standard deviation	2.45	2.68	5.11	4.45				
$\mathbb{R}^2$	0.	72	0.	86				
NSE	0.	71	0.85 -11.94					
PBIAS	-23	3.73						



Figure 6. (a) Observed and simulated monthly streamflows for calibration period, (b)
observed and simulated scatter plot for calibration period, (c) observed and simulated monthly
streamflows for validation period, and (d) observed and simulated scatter plot for validation
period from the Tapacurá River basin.

370 Figure 7 presents the uncertainty analysis results of SUFI-2 during the calibration and371 validation periods at the Vitória de Santo Antão streamflow gauge. In this figure, the shaded

region (95% probability uncertainty plot - 95PPU) contains all uncertainties from the different 372 373 sources. The results for the streamflow gauge show that most (84%) of the observed data were 374 bracketed by the 95PPU. The results show that although some peak values were missing from 375 the 95PPU band, the SWAT model was however capable of simulating large flows and extreme events in the river basin. This shows that the SUFI-2 algorithm captured the observed 376 377 data well for the streamflow gauge but had high uncertainty for simulated peak values. In addition, the results obtained show that calibration of the SWAT model in this basin is 378 379 challenging due to the uncertainties that are driven by the streamflow process, which are not 380 totally understood.





**383** Figure 7. 95% probability uncertainty plot of observed and simulated streamflow

384

382

The results indicated that the p-factor and r-factor values during the calibration were 0.22 and 0.33, respectively. These results can be considered good in terms of the percentage of data being bracketed (p-factor), but the uncertainties are larger as expressed by the r-factor for calibration, showing higher uncertainty in discharge peaks. The parameter uncertainties were tolerable when the parameter ranges of the NS and R<sup>2</sup> reached the desired limits. When the NSE value is >0.60, the results are satisfactory, and once NSE is >0.75, the simulation
results are good (Nash & Sutcliffe, 1977). For the results during the calibration, the values of
R<sup>2</sup> and NS were 0.78 and 0.75, respectively. The results indicate that the model can be
accepted for the Tapacurá River basin.

394

### **395 3.2.2 Baseline sediment yield (1995-2013)**

The modeled mean sediment yield results were very close to the observed mean value, showing a mean difference of only -0.69 t, with R<sup>2</sup> = 0.77, NSE = 0.69 and PBIAS = 21.68 (Table 4), which can be considered as good. The results are close to those obtained by da Silva et al. (2012) and dos Santos et al. (2015), who estimated sediment yield for this basin based on the universal soil loss equation and its modified version, respectively, for the Tapacurá River basin.

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- 403

Table 4. Results of modeling sediment yield

Statistics	Simulated sediment yield (t/ha/year)	Observed sediment yield (t/ha/year)
Mean	2.49	3.18
Maxima	6.18	7.42
Minima	0.37	0.11
Standard deviation	2.29	3.03
R <sup>2</sup>	0.7	7
NSE	0.6	9
PBIAS	21.6	58

404

#### 405 3.3 Streamflow and sediment yield for Tapacurá River basin

406 After calibration and validation for the current conditions of climate and LULC, streamflow 407 and sediment yield for the Tapacurá basin were compared (Table 5). The results show that 408 sediment yield in the basin is directly related to rainfall, indicating that this region has a fast 409 flow response. The highest values occurred in 2005 and 2011. The lowest estimated sediment 410 yield occurred in 1998, which registered the lowest rainfall and corresponding flow for the 411 entire analyzed period. The results show a marked variation in the standard deviation for 412 streamflow and sediment yield data, equal to 83.25 mm and 11.48%, respectively. The 413 coefficient of variation similarly shows marked variation of above 60% during the period 414 analyzed. Figure 8a represents the spatial distribution of sediment yield in the sub-basins. The 415 results show that sediment yield was higher in the eastern sub-basins due to the predominance of areas with sugarcane cultivation in undulating terrain, and the influence of higher rainfall 416 417 (Figure 8b), resulting in higher streamflow (Figure 8c), contributing in turn, to accelerated erosion in these sub-basins. 418

- 419
- 420

Table 5. Annual averages of rainfall, streamflow and sediment yield

Year	Rainfall (mm)	Streamflow (mm)	Sediment yield (t/ha/year)
1995	817.26	74.62	7.80
1996	993.81	105.61	18.44
1997	770.63	102.90	14.21
1998	427.16	5.84	0.25
1999	582.25	29.79	4.40
2000	1396.87	237.65	30.20
2001	831.03	58.33	5.83
2002	995.98	114.96	18.46
2003	639.97	42.03	7.88
2004	886.84	131.73	23.65
2005	1159.85	239.62	35.16
2006	728.63	65.46	9.44
2007	841.53	78.10	11.42
2008	786.33	75.58	16.83
2009	878.35	100.04	25.33
2010	897.69	154.98	16.88
2011	1482.81	338.31	41.21
2012	730.46	96.45	31.67
Mean	880.41	114.00	17.73
Standard deviation	261.31	83.25	11.48
<b>Coefficient of variation (%)</b>	29.68	73.03	64.78



423 Figure 8. Maps of spatial distribution: (a) streamflow, (b) rainfall, and (c) sediment yield for Tapacurá River
424 basin.

#### **3.4 Estimating future LULC in the Tapacurá River basin by 2050**

Figures 9a and 9b show the prediction of the LULC for 2050 estimated by the MP algorithm and spatial validation of simulated LULC for Tapacurá River basin in 2015, respectively. The kappa statistics for LULC classifications in 1989, 2007 and 2015 showed good agreement between the classified map of each year and the mesh equaled 0.81, 0.79, and 0.82, respectively. The matrix of the transition probability of LULC for 2007 and 2015 is shown in Table 6. The diagonal matrix results show the percentages of persistence, while the other values correspond to the percentages of change from one LULC class to another. The water, urban area, and sugarcane classes had a probability of persistence greater than 80%. However, the highest probability of change was from the livestock to the sugarcane class.

Table 6. Matrix of the transition probability of LULC classes for 2007 and 2015 in the study

area.

2007				2015			
2007	Caatinga	Livestock	<b>Rain forest</b>	Water	Agriculture	Urban area	Sugarcane
Caatinga	0.2394	0.1682	0	0.0054	0.5075	0.0152	0.0643
Livestock	0.0356	0.2693	0	0	0.5719	0.0411	0.0821
Rain forest	0.0029	0.2356	0.6108	0.1005	0	0	0.0501
Water	0.0123	0.0066	0.0343	0.8489	0.0757	0.0173	0.0049
Agriculture	0.2479	0.1237	0.0546	0	0.5242	0	0.0496
Urban area	0	0.0018	0	0.0001	0.0051	0.9930	0
Sugarcane	0	0.1244	0.0015	0.0002	0	0	0.8739

440 The areas with the most forecast hits comprised the greater part of the central portion of 441 study area, where agriculture and sugarcane classes predominate (predicting hits). Most 442 prediction failures (misses) were found in the border of the study area. For the western region 443 of the catchment, the forecasting resulted in class changes, but these did not suggest 444 considerable changes (false alarms).

445



447 Figure 9. (a) LULC map for the Tapacurá River basin for 2050, and (b) spatial validation of448 simulated LULC in 2015.

449

450 Table 7 shows the areas for each LULC identified in the Tapacurá River basin and the 451 percentage of change in the area for the two studied scenarios for 2050. The modeling results 452 show an increase in agriculture and sugarcane classes, and a decrease in the livestock 453 (-87.01%) and rain forest (-17.27) classes. These results are corroborated by Xavier and 454 Silva (2018), who pointed out that forest cover has been reducing since the 1970s due to the 455 expansion of agriculture and sugarcane, mainly in the southwestern and eastern portion of the basin, with the latter being the most occupied by sugarcane. Table 8 presents the modeled 456 457 LULC for the study area in 2015 and confusion matrix with omission and commission errors 458 found by comparing the classification. The class that showed the largest omission error and commission were Caatinga and livestock. The values show counts of pixels that have been 459 wrongly included in a category. The agriculture and sugarcane classes showed the best results 460

- 461 when compared to the others, because they are the classes that had the greatest area of growth
- 462 and therefore the least error in the estimated areas.

# 463

464

 Table 7. Change in two LULC scenarios in the Tapacurá River basin for 2050.

	Optin	nistic	Pessir	Change	
LULC	Area (km <sup>2</sup> )	Area (%)	Area (km²)	Area (%)	(%)
Agriculture	180.89	38.47	252.19	53.66	71.30
Livestock	182.30	38.77	95.29	20.27	-87.01
Sugarcane	53.60	11.40	65.55	13.95	11.95
Rain forest	27.38	5.82	10.11	2.15	-17.27
Urban areas	10.36	2.20	18.34	3.90	7.98
Water	10.50	2.23	10.60	2.26	0.10
Caatinga	5.13	1.09	17.92	3.81	12.79
Total	470	100	470	100	_

#### 465

466

Table 8. Matrix of omission and commission errors.

LULC	Caatinga	Livestock	Sugarcan	e Rain forest	Water	Agriculture	Urban area	Omission (%)	Commission (%)
Caatinga	16861	4435	239	39	85	6040	456	40.11	21.91
Livestock	1947	78039	1251	228	235	8803	342	14.10	20.78
Sugarcane	0	726	54905	172	348	35	4	2.29	9,16
Rain forest	2	270	234	9269	246	209	0	9.39	9.83
Water	0	254	454	307	9973	124	0	10.25	10.13
Agriculture	2771	14464	3806	189	210	248983	2954	8.92	6.59
Urban area	12	322	0	0	0	2359	13975	16.16	21.18

467

## 468 **3.5** Evaluation of downscaling for future climate scenarios

469 In this section, the comparison of HadGEM2-ES model with observed rainfall data is shown.

470 Figure 10 shows the comparison between observed and downscaled average monthly rainfall

471 (RCPs 4.5 and 8.5). The average R<sup>2</sup> values was 0.93, thereby indicating better correspondence

472 between downscaled and observed data after bias correction.



475 Figure 10. Bias correction of future, baseline, and observed rainfall data for RCPs 4.5 and476 8.5.

477

### 478 **3.6** Combined impacts of climate change and LULC on streamflow and sediment yield

Runoff-erosion processes for the Tapacurá River basin were estimated using two different
land use scenarios (optimistic and pessimistic), driven by two RCPs (4.5 and 8.5). The rainfall
in all other periods was higher than the rainfall of the baseline period (1995–2012), for both
RCPs (Table 9). Streamflow based on RCP 4.5, and LULC optimistic, had an increase relative
to the base period (1995-2012) of 79, 104 and 63%, respectively in the periods 2011–2020,
2041–2070 and 2071–2099. Based on RCP 8.5, the expected streamflow exceeded that

observed in the base period by 217% in the period 2011–2040, 145% in 2041–2070 and 119%
in the three past decades (2071–2099), due to the increase in the estimated rainfall in relation
to RCP 4.5.

488 In this study, the SWAT hydrological model driven by climate simulations estimated an overall increase in the Tapacurá River flow for optimistic and pessimistic scenarios. The 489 490 results obtained showed that the average annual simulated streamflow will increase mainly between 2014–2040 in the RCP 8.5, while in the RCP 4.5 presented the lower values. 491 492 Between 2041–2070 and 2071–2099 there is less variation between RCPs and scenarios, but 493 also with an increase in values in relation to the baseline. The results of annual simulated 494 streamflow showed an increase in the optimistic scenario in the two climatic scenarios (RCP 495 4.5 and RCP 8.5). The greatest values were obtained using the RCP 4.5 scenario, whose 496 values were greater by 8.2% in 2014–2040, 12.2% in 2041–2070, and 15.0% in 2071–2099. 497 This result is clearly sensitive to the result of future climate projections. Silva et al. (2012) **498** and Santos et al. (2015) stated that the increase in river streamflow in the future depends 499 mainly on increases in precipitation and decreases in temperature and evapotranspiration in 500 this basin.

501

502 Table 9. Annual averages of rainfall, streamflow and sediment yield in different scenarios of503 climate change and LULC

	Dreasgag	Baseline*		RCP 4.5			RCP 8.5			
LULC	rrocesses	1995-2012	2014-2040	2041-2070	2071-2099	2014-2040	2041-2070	2071-2099		
	Rainfall (mm)	880.41	1194.43	1244.41	1078.88	1487.20	1222.70	1200.81		
	Streamflow (mm)	114.00	204.57	232.82	185.87	361.64	278.96	249.72		
Optimistic	Sediment yield (t/ha/year)	17.73	36.95	38.517	32.00	51.42	38.519	36.69		
	Streamflow (mm)	_	208.13	246.88	195.10	369.57	295.78	265.93		
Pessimistic	Sediment yield (t/ha/year)	_	59.13	67.67	52.55	93.65	74.02	69.22		

\*Baseline: period used for hydrologic modeling in the SWAT model.

505

506 As expected, sediment yield in the basin showed the same trend as streamflow in both507 climate change scenarios. The projected sediment yield values for RCP 4.5 exceeded those of

508 the baseline by between 80 and 117%. For RCP 8.5, the values were even higher, varying 509 between 107 to 190% for the different periods of analysis. The pessimistic LULC scenario 510 showed increases in sediment yield for all years and RCPs relative to the optimistic. These 511 results serve as an urgent call for the adoption of mitigating measures to avoid associated 512 environmental problems and improve the management of land and water resources.

The streamflow in the pessimistic scenario, for RCP 4.5 exceeds the optimistic scenario by 2% in the period 2011–2040, 6% in the period 2041–2070 and 5% in the period 2071– 2099. Sediment yield varies by 60, 76 and 64% across the periods. The streamflow results for the pessimistic scenario RCP 8.5 show a slight increase of between 2 to 6% when compared to the optimistic scenario. The sediment yield increase ranged between 60 and 76%, showing that soil erosion in the region is more sensitive to climate variability than streamflow, and that LULC changes can have serious impacts on the basin.

520 The highest values of streamflow and sediment yield in the RCP 4.5 scenarios occurred 521 in 2041–2070, while in the RCP 8.5 scenarios, the highest values occurred in the first part of 522 the century (2014–2040). This trend is related to the rainfall pattern of the RCP 4.5 and 8.5 523 scenarios, as in RCP 4.5 the rainiest period is 2041–2070, and in RCP 8.5 it is 2014–2040. 524 The results suggest that even in the near (2014–2040) to medium (2041–2070) future there 525 may be negative impacts on the dynamics of the Tapacurá River basin, as well as for the 526 RMR, including floods, silting in rivers and reservoirs, and reducing the productive capacity 527 of the soils.

Figure 11 shows the results of streamflow and sediment yield estimated for Tapacurá reservoir using future climate data. Based on SWAT model projections, the results show an increase in sediment yield for the analyzed LULC scenarios and climate change. These values suggest decision makers responsible for storage management in the Tapacurá Reservoir must implement measures for the effective management of the stored volume, as the reservoir plays 533 a significant role in the regional hydrology and for water management for the 534 Caatinga/Atlantic forest ecotone region, including amelioration of flood events in the RMR.



Figure 11. Streamflow (a) and sediment yield (b) estimated for Tapacurá reservoir.

538

537

#### 539 4. Discussion

540 This study has analyzed the impact of climate and LULC change on streamflow and sediment 541 yield in the Tapacurá River basin, using a combination of two models (climate and 542 hydrological), which allowed a more integrated assessment of water balance and sediment 543 dynamics. Previous studies have focused on different components of the Tapacurá river Basin. 544 For instance, Silva e al. (2010a) studied the spatiotemporal variability and precipitation pattern for this basin and reported a warming trend in northeastern Brazil and a decreasing 545 546 trend in rainfall in Tapacurá River basin between 1970-2000 and an increase from 2000-2010. Silva et al. (2010b) and Silva et al. (2012) analyzed vegetation cover, sediment 547 yield, soil loss, and prioritization of critical sub-catchments in the Tapacurá River basin based 548 549 on remote sensing and Geographic Information System. Silva et al. (2014) predicted soil 550 erosion and sediment yield in the Tapacurá catchment using an empirical model, whereas 551 Xavier and Silva (2018) implemented a GIS-based method for temporal dynamic modelling 552 of the land use and land cover. Montenegro and Ragab (2012) analyzed the impact of possible 553 climate and land use changes in the Brazilian semi-arid region, while Dos Santos et al. (2015)

554 investigated historic land cover and climate change effects on streamflow and sediment yield 555 for the Tapacurá River basin specifically. Although these studies have provided useful 556 contextual understanding and methodological advances, what has been lacking is an 557 integrated evaluation of the effects of LULC change on streamflow and sediment yield, within the context of alternative climate change scenarios. Furthermore, previous studies have 558 559 analyzed certain aspects of the climate and land, using different input data quality, methods 560 and assumptions, hindering the comparison of results between studies. Like Tapacurá River 561 basin is a strategic basin in the Caatinga/Atlantic forest ecotone of Northeastern Brazil, an 562 assessment of future climatic and hydrological conditions is essential for water management 563 for this basin. Several applications of LULC change and climate change models with SWAT 564 model has been used for predicting the hydrologic response (Strauch et al., 2012; Čerkasova 565 et al., 2018; Tamm et al., 2018; Silva et al., 2018; Chen et al., 2019; Bhatta et al., 2019). Using a combination of SWAT model and climate model a more realistic description of the 566 567 processes taking place in the Tapacurá River basin. The hydrological model gave some 568 answers on the relative importance of LULC versus climate change effect on streamflow. The 569 combined impact of climate variability and LULC changes on water resources under present 570 and future scenarios were evaluated with multiple projections using both RCP 4.5 and 8.5 571 scenarios. However, a limitation of this study is the use of a single hydrological model and a 572 single regional climate model. The application of multi-model techniques might improve 573 understanding of uncertainty arising from model selection. Another limitation of this study is 574 that static LULC maps were used to represent baseline and future periods. In the future, additional LULC maps must be considered to circumvent scenario-based ambiguity. 575

The results over the studied period using the projected datasets indicate that this basin clearly presents a high variability during the studied period, and further that precipitation does not show a uniform trend in past observation or in future projections, and that the pessimistic and optimistic climate scenarios also yielded higher flows than those of the baseline.
Moreover, studies using regional circulation models showed a systematic decrease of total
precipitation in northeastern Brazil, as reported by Oyama and Nobre (2004), Souza and
Oyama (2011), Feron et al. (2019), and Marengo et al. (2020), runoff (Lapola et al., 2019;
Avila-Díaz et al., 2020) and sediment yield (Rodriguez-Lloveras et al., 2016), when compared
with the present behavior of the basin over the baseline period.

**585** Additionally, as can be seen in the projected data, the bias correction is having the effect **586** of increasing winter rainfall relative to baseline for the first two periods, which is probably **587** why there are bigger increases in modelled flow and sediment for these periods, relative to the **588** final period. The results of streamflow and sediment yield are influenced by the model used, 589 and the bias correction selected in the modeling. Furthermore, this study is limited to 590 simulations on a monthly scale, due to the low performance of the model for simulations on a 591 daily scale for this basin. Poor simulations at daily scale arise due to the high climatic 592 variability, soil types and LULC in this basin, as presented in Silva et al. (2014), and Xavier 593 and Silva (2018). However, these results are in agreement with other hydrological projections 594 in the Brazilian semiarid region (Santos et al., 2016). Relative to historical conditions, 595 therefore, higher flows and sediment yields in this basin can be anticipated. These results 596 serve to alert decision makers of the value in using hydrological models such as SWAT to 597 highlight potential changes in the behavior of flows and sediment yield.

Another point to be highlighted is the uncertainties regarding the behavior of the climate in this portion of Brazil, which is marked by the low quality of the prediction of climatic data (Silva et al., 2010a), especially for the long-term due to recurrent periods of drought in the region (Silva et al., 2010b; Santos et al., 2020). As for the simulations of LULC, it should be noted that this basin has had a high rate of change in LULC in recent decades, due to the increase in monocultures such as sugar cane, and more recently with the increased livestock 604 (De Carvalho et al., 2015; Santana et al., 2019), which increase the uncertainties in the LULC 605 scenario estimates. However, there is a lack of studies on modeling the impacts of future 606 LULC and climate change on runoff and sediment yield for the Tapacurá River basin, as well 607 as for the entire portion of the coastal area of northeastern Brazil, which can be used by decision makers on availability/consumption of water for economic activities and for the 608 609 population of the basin. Future studies using other GCMs are necessary to improve the 610 simulation of precipitation in northeastern Brazil, including for example, the effect of 611 different domain sizes and grid spacing. Despite these uncertainties, the SWAT model results 612 have contributed to developing a better understanding of runoff and sediment behavior in the 613 Tapacurá River basin.

614

#### 615 5. Conclusions

616 This paper investigated the impacts of climate change on streamflow and sediment yield in 617 the Tapacurá River basin. The SUFI-2 algorithm was used for the calibration and validation 618 of the SWAT model, driven by bias corrected, downscaled climate projections under 619 projected land use change scenarios. The hydrologic modeling represented the runoff-erosion 620 processes for the Tapacurá River basin effectively, with results achieving a 'good' threshold 621 (Moriasi et al. 2007). Streamflow and sediment yield processes were more intense in sub-622 basins that have predominant coverage of sugarcane in undulating terrain.

The projected LULC changes for 2050 were mainly reductions in the livestock and rain forest classes and their replacement by agriculture and sugarcane classes. This was observed almost across the entire basin. The LULC projections based on the MP algorithm showed acceptable values, with a good kappa statistic and an AUC of 0.71, which is considered good quality for simulations. 628 Mean streamflow and particularly sediment yield of the basin are expected to rise 629 considerably under RCP 8.5, partly in response to likely increases in mean rainfall. 630 Simulations indicated highest erosion for the pessimistic scenario under RCP 8.5, followed by 631 the pessimistic scenario and RCP4.5. Lower sediment yields occurred for the optimistic and RCP 8.5, with lower still for the optimistic and RCP 4.5. However, the latter is still 632 633 considerably higher than baseline. The results show that despite the uncertainties present in 634 the simulations of climate change impacts, the basin may experience serious environmental, 635 and water availability problems linked to severe climatic conditions.

636 Based on our findings, the Tapacurá Reservoir will experience an increase in 637 streamflow for both scenarios of LULC and climate change. Although this may lead to an 638 increase in the reservoir water availability, the associated increase in sediment yield means 639 there is also a considerable increase in the risk of silting, with a consequent decrease in 640 storage capacity and reduced flood protection during extreme events. In recent decades, 641 considerable changes in LULC due to an increase of livestock and agriculture have already 642 altered the streamflow and sediment yield of the Tapacurá River basin at both seasonal and 643 long-term time scales. As the pressure for LULC changes in the Tapacurá River basin 644 continue to grow, evaluation of its impacts on the projected runoff-erosion regime of the basin 645 must be systematically integrated into decision-making for water, land and biodiversity 646 management in the basins in the Caatinga/Atlantic forest ecotone of Brazil.

647

#### 648 Acknowledgements

649 This study has been jointly supported by FACEPE and FINEP (REHIDRO 1830). The authors
650 are supported by CNPq, and ANA (National Water and Sanitation Agency) is acknowledged
651 for providing data sets. This study was also financed in part by the Brazilian Federal Agency
652 for the Support and Evaluation of Graduate Education (Coordenação de Aperfeiçoamento de

- 653 Pessoal de Nível Superior CAPES) Fund Code 001, and National Council for Scientific
- 654 and Technological Development, Brazil CNPq (Grant Nos. 304213/2017-9 and
- **655** 304540/2017-0).
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