

5-aminosalicylic acid inhibits stem cell function in human adenoma derived cells: implications for chemoprophylaxis in colorectal tumorigenesis

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25 **Abstract**

26 Background: Most colorectal cancers (CRC) arise sporadically from precursor lesions:
27 colonic polyps. Polyp resection prevents progression to CRC. Risk of future polyps is
28 proportional to the number and size of polyps detected at screening, allowing identification of
29 high-risk individuals who may benefit from effective chemoprophylaxis. We aimed to
30 investigate the potential of 5-aminosalicylic acid (5-ASA), a medication used in the treatment
31 of ulcerative colitis, as a possible preventative agent for sporadic colorectal cancer.

32 Methods: Human colorectal adenoma (PC/AA/C1, S/AN/C1 and S/RG/C2), transformed-
33 adenoma PC/AA/C1/SB10 and carcinoma cell lines (LS174T and SW620) were treated with
34 5-ASA. The effect on growth in 2- and 3-dimensional (2D, 3D) culture, β -catenin
35 transcriptional activity and on cancer stemness properties of the cells were investigated.

36 Results: 5-ASA was shown, *in vitro*, to inhibit growth of adenoma cells and suppress β -
37 catenin transcriptional activity. Downregulation of β -catenin was found to repress expression
38 of stem cell marker LGR5 and functionally suppress stemness in human adenoma and
39 carcinoma cells using 3D models of tumorigenesis.

40 Conclusions: 5-ASA can suppress the cancer stem phenotype in adenoma-derived cells.
41 Affordable and well-tolerated, 5-ASA is an outstanding candidate as a chemoprophylactic
42 medication to reduce the risk of colorectal polyps and CRC in those at high risk.

43 Words 200 (max 200)

44

45 Key words: 5-aminosalicylic acid (5-ASA); colorectal cancer; β -catenin; LGR5

46 **Introduction**

47 Colorectal cancer (CRC) is one of the most common malignancies worldwide and, despite
48 advances in treatment, is the second-most common cause for cancer-related mortality¹. Of
49 concern, the incidence of CRC appears to be rising in adults under the age of 50^{2,3}. CRC
50 derives from pre-neoplastic precursor lesions – polyps – which can be resected before
51 malignant transformation. Accordingly, many countries have employed national screening
52 programmes which allow identification of polyps and cancers at early stages when they are
53 more amenable to curative treatment. These screening programmes also allow identification
54 of individuals at high risk of CRC: in the recent joint British Society of
55 Gastroenterology/Association of Coloproctology of Great Britain and Ireland/Public Health
56 England guidelines, individuals with high-risk findings are offered further surveillance
57 colonoscopy (high risk findings are defined by the presence of either (a) two or more polyps
58 (excluding diminutive hyperplastic rectal polyps 1 – 5mm) of which one polyp is $\geq 10\text{mm}$ or
59 (b) ≥ 5 polyps of any size)⁴. Despite this, there is currently no chemoprophylaxis that is
60 offered to reduce the risk of further polyps or CRC for these individuals.

61 Aspirin has demonstrated promise as a chemoprophylactic drug in this context; several
62 clinical trials have reported a reduction in adenoma number with regular aspirin use^{5,6}. Most
63 recently, the seAFOod (Systematic Evaluation of Aspirin and Fish Oil) polyp prevention
64 trial recruited patients with high-risk endoscopic findings from the English Bowel Cancer
65 Screening Programme and reported reduced number of polyps in the aspirin treated group
66 at follow-up colonoscopy (although the adenoma detection rate was not significantly
67 reduced)⁷. Further, clinical trials have demonstrated reduced colorectal polyp burden in
68 patients with the hereditary cancer syndrome Familial Adenomatous Polyposis and halved
69 the incidence of CRC in patients with Lynch syndrome following aspirin use⁸. This has
70 resulted in the recent recommendation by the National Institute of Clinical Excellence (NICE)
71 endorsing the prescription of prophylactic aspirin for Lynch syndrome mutation carriers⁹.
72 However, aspirin is associated with an increased risk of bleeding, exemplified by the findings

73 of two recent, large randomised controlled trials^{10,11}. The ARRIVE (Aspirin to Reduce Risk of
74 Initial Vascular Events) trial reported that 100mg aspirin daily doubled the risk of
75 gastrointestinal bleeding (hazard ratio 2.11, 95% confidence interval (CI) 1.36 – 3.28)¹⁰,
76 similar to that reported in the ASPREE trial (HR 1.87, 95% CI 1.32 – 2.66)¹¹. The ASPREE
77 (Aspirin in Reducing Events in the Elderly) trial also reported that the risk of intracranial
78 bleeding was increased by 50% in healthy adults over 70 years old (HR 1.5, 95% CI 1.11 –
79 2.02)¹¹. Consequently, aspirin may not be a suitable chemoprophylactic drug in all patients
80 and certainly the benefit and harm needs to be carefully assessed before use¹².

81 5-aminosalicylic acid (5-ASA) is a non-steroidal anti-inflammatory drug structurally similar to
82 aspirin which is commonly prescribed to induce and maintain remission in chronic idiopathic
83 inflammatory bowel disease (IBD). Conventional subclassification of inflammatory bowel
84 disease distinguishes two phenotypically categorized conditions: Ulcerative Colitis (UC) and
85 Crohn's Disease (CD). UC and CD are both associated with an increased risk of CRC: so-
86 called colitis associated cancer (CAC)^{13,14}.

87 Although a complete understanding of the anti-inflammatory mechanisms of 5-ASA is
88 lacking, existing data implies that 5-ASA has efficacy in suppressing multiple pro-
89 inflammatory pathways: 5-ASA has been demonstrated to antagonise several pro-
90 inflammatory mediators including interferon-gamma (IFN γ)¹⁵, tumour necrosis factor α
91 (TNF α)^{15,16} and nuclear factor kappa B (NF κ B)^{16,17}, which may be, at least in part, due to
92 agonism of peroxisome proliferator-activated receptor gamma (PPAR γ)¹⁸. Information from
93 epidemiology studies is limited, but early observational data indicated that 5-ASA reduced
94 the risk of CAC¹⁹ although a 2012 meta-analysis reported a protective effect in clinic-based
95 studies with no effect in population-based studies²⁰. However, the two most-recent meta-
96 analyses by Qiu *et al*¹ and Bonovas *et al*²² reported dose-dependent protective effects of
97 oral mesalazine across a range of study designs, including pooled analysed of population-
98 based studies, in ulcerative colitis. Accordingly, the European Crohn's and Colitis
99 Organisation have recommended lifelong oral 5-ASA as chemoprophylaxis against CAC²³.

100 Importantly, 5-ASA is well-tolerated, is not associated with increased risk of bleeding, and is
101 affordable for health providers. However, it remains unknown whether 5-ASA confers a
102 reduced risk of developing sporadic CRC.

103 The mechanisms underpinning the apparent antineoplastic activity of 5-ASA in CAC have
104 not been fully elucidated but existing data from models of CRC have suggested that 5-ASA
105 may suppress Wnt/ β -catenin through multiple mechanisms including those implicated in its
106 anti-inflammatory role including induction of PPAR γ ^{18,24}; suppression of the cyclo-
107 oxygenase-2 (COX-2)/prostaglandin E₂ (PGE₂) axis²⁵; post-translational modification of the
108 β -catenin phosphatase protein phosphatase 2A (PP2A)²⁶. 5-ASA may also promote
109 membranous sequestration of β -catenin through N-glycosylation of and membranous
110 translocation of E-cadherin²⁷; negative regulation of the serine/threonine protein kinase
111 PAK1²⁸; upregulation of μ -protocadherin²⁹. Importantly, mutations resulting in upregulated
112 Wnt/ β -catenin signalling are among the first observed in colorectal adenomas and have
113 been demonstrated as being sufficient for early adenoma formation³⁰. Evidence that 5-ASA
114 inhibits the β -catenin signalling in adenomas comes from immunohistochemical analysis as
115 part of the German 5-ASA Polyp Prevention Trial: Munding and colleagues reported reduced
116 expression of β -catenin in adenomas from patients taking 1g 5-ASA/day³¹. However, to date,
117 these results have not been validated either *in vitro* or *in vivo* in human adenoma, and the
118 effect of 5-ASA on adenoma growth is unknown. Further, given that Wnt/ β -catenin signalling
119 is important for the maintenance of the colonic stem compartment³², we hypothesised that
120 suppression of dysregulated Wnt/ β -catenin may suppress the stem phenotype which,
121 crucially, may prevent adenoma formation and progression in sporadic disease.

122 Whilst the effect of 5-ASA on the growth of carcinoma-derived cells *in vitro* has been
123 described³³⁻³⁸, no such data exists for cells derived from colonic adenomas. In this study we
124 aimed to establish the effect of 5-ASA on Wnt/ β -catenin and stem cell phenotype in human
125 adenoma using adenoma-derived cells in 2D and 3D models of tumorigenesis in order to

126 understand whether 5-ASA may be an effective chemoprophylactic drug for individuals at
127 high risk of sporadic CRC.

128

129 **Methods**

130 Cell lines & culture: The colorectal adenoma-derived cell lines PC/AA/C1, S/AN/C1,
131 S/RG/C2 and the transformed adenoma derived cell line PC/AA/C1/SB10 used in these
132 experiments were established in this laboratory, their derivation and characterisation have
133 been previously described³⁹⁻⁴¹. Growth medium was Dulbecco's Modified Eagle Medium
134 (DMEM) (Gibco; Thermo Fisher Scientific, MA, USA) supplemented with 20% foetal bovine
135 serum (FBS), 1µg/ml hydrocortisone sodium succinate (Sigma-Aldrich; Merck, MO, USA),
136 0.2 units/ml insulin (Sigma-Aldrich; Merck, MO, USA), 2 mM glutamine (Gibco; Thermo
137 Fisher Scientific, MA, USA), 100 units/mL penicillin and 100 µg/mL streptomycin (Gibco;
138 Thermo Fisher Scientific, MA, USA). The CRC-derived cell lines LS174T and SW620 were
139 obtained from American Type Culture Collection (ATCC; Rockville, MD, USA) were cultured
140 in DMEM supplemented with 10% FBS, 2mM glutamine, 100units/mL penicillin and 100
141 units/mL streptomycin. All cell lines were routinely assessed for microbial contamination
142 (including mycoplasma) and characterised using an inhouse panel of cellular and molecular
143 markers to check that cell lines have not been cross contaminated (every 3-6 months; data
144 not shown). Stocks were securely catalogued and stored, and passage numbers strictly
145 adhered to prevent phenotypic drift.

146 Treatments: 5-aminosalicylic acid (Sigma-Aldrich; Merck, MO, USA), was dissolved in
147 culture media, pH-balanced to 7.35 – 7.45, sterile-filtered and supplemented with HEPES
148 buffer solution 1M (Sigma-Aldrich; Merck, MO, USA)(20µL per 1mL 5-ASA solution).

149 All cell lines were seeded into 25cm² tissue culture flasks (T25; Corning, NY, USA): all
150 adenoma-derived cell lines were seeded at 2 x 10⁶ cells/flask (except PC/AA/C1, seeded at
151 4 x 10⁶ cells/flask), transformed adenoma and CRC-derived cell lines at 1 x 10⁶ cells/flask.
152 Seeding densities were calculated so that all cell lines were 70% confluent when treated with
153 5-ASA. After 72 hours the culture media was replaced by 20-40mM 5-ASA/culture media
154 solution. At 24, 48 and 72 hours after addition of 5-ASA, attached cells were trypsinised and
155 counted in triplicate for each condition.

156 Immunoblotting: Whole cell lysates were prepared *in situ*, on ice and analysed by western
157 blotting as previously described⁴² using antibodies to the following: AXIN-2 (2151, Cell
158 Signaling, MA, USA, 1:1000), β -catenin (9587, Cell Signaling, MA, USA, 1:5000), active- β -
159 catenin (05-665, Millipore, Sigma, MA, USA, 1:1000), c-MYC (SC-40, Santa Cruz
160 Biotechnology, CA, USA, 1:200), LEF-1 (2230, Cell Signaling, MA, USA, 1:1000) & LGR5
161 (Ab75850, Abcam, Cambridge, UK, 1:1000). Equal loading was confirm using β -actin
162 (A5316, Sigma-Aldrich, Merck, MO, USA. 1:1000) or α -tubulin (T9026, Sigma-Aldrich,
163 Merck, MO, USA. 1:10000).

164 TOPflash reporter assay: Cells were treated with 5-ASA 24 hours after transfection with
165 TOPflash/FOPflash and SV40-Renilla plasmids as previously described⁴³ using the Promega
166 Dual Luciferase Reporter Assay System (Promega, WI, USA) according to the
167 manufacturer's instructions. FOPflash reporter with mutated TCF consensus sites was used
168 to control for non-specific output. Luminescence was measured at 560nm using a Modulus
169 luminometer (Turner Biosciences, CA, USA).

170 RNA interference: Cells were transfected using Lipofectamine RNAiMAX (Invitrogen,
171 Thermo Fisher Scientific, MA, USA), according to the manufacturer's protocol, with small
172 interfering RNAs (siRNAs, final concentration 50 nM; Dharmacon, Horizon Discovery,
173 Cambridge, UK) targeting LEF-1, or a negative control, for which four different siRNA
174 sequences were pooled⁴⁴. Cells were incubated overnight at 37°C before medium changing.
175 Samples were prepared 72 hours after transfection.

176 Spheroid formation assay: Spheroids formed from adenoma and carcinoma-derived cells
177 were grown using an adapted protocol from the original Sato paper⁴⁵. Cells were
178 resuspended in Matrigel (Corning, NY, USA) as a single-cell suspension and seeded into 24-
179 well plates (Corning, NY, USA) as described previously⁴⁶. The Matrigel hemispheres were
180 allowed to polymerise before being submerged in advanced DMEM:F12 (Gibco, Thermo
181 Fisher Scientific, MA, USA) supplemented with 0.1% bovine serum albumin (Sigma-Aldrich,
182 Merck, MO, USA), 2mM glutamine (Gibco, Thermo Fisher Scientific, MA, USA), 10mM

183 HEPES (Sigma-Aldrich, Merck, MO, USA), 100units/mL penicillin and 100units/mL
184 streptomycin (Gibco; Thermo Fisher Scientific, MA, USA), 1% N2 (Thermo-Fisher Scientific,
185 MA, USA), 2% B27 (Thermo-Fisher Scientific, MA, USA) and 0.2% N-acetylcysteine (Sigma-
186 Aldrich, Merck, MO, USA). For spheroid culture of PC/AA/C1 adenoma-derived cells,
187 spheroid medium was further supplemented with hEGF (Peprotech, London, UK), 50ng/mL.
188 The culture media was refreshed twice weekly over the course of 21 days in culture. Wells
189 were imaged as Z-stacks using a Leica DM16000 widefield microscope and LAS-X software
190 (both Leica Microsystems, Wetzlar, Germany) on days 7, 11, 14, 18 and 21. Images
191 acquired were analysed using MATLAB R2015a software (MathWorks, MA, USA).

192 Quantitative-PCR (qPCR): Total RNA was extracted from spheroids using TRI-reagent
193 (Sigma-Aldrich, Merck, MO, USA), a RNeasy mini kit (Qiagen, Hilden, Germany) was utilised
194 according to the manufacturer's protocol with an additional on-column DNase digestion step
195 (RNase-Free DNase Set; Qiagen, Hilden, Germany). Complementary (c) DNA synthesis was
196 synthesised from 2 µg RNA, using the RNA-dependent DNA polymerase, Moloney murine
197 leukaemia virus reverse transcriptase, (Promega WI, USA). The samples were diluted to a
198 final concentration of 10 ng/µl. Following optimisation of primers and ensuring the annealing
199 temperature provided ~100% amplification efficiency per cycle (data not shown), qPCR was
200 performed, as previously described⁴⁷, using SYBR Green PCR mix (Qiagen, Hilden,
201 Germany) and the following Qiagen QuantiTect primers, LGR5 (cat. no. QT00027720) and
202 CD133 (cat. no. QT00075586), with gene expression normalised interchangeably with both
203 housekeeping genes TATA-binding protein (TBP; cat. no. QT00000721) or Hypoxanthine
204 Phosphoribosyl Transferase (HPRT; cat. no. QT00059066). Amplification data was analysed
205 using MxPro software version 4.10 (Agilent Technologies, CA, USA).

206 Statistical analysis: All statistical analysis was performed using GraphPad Prism software,
207 student edition (GraphPad Software, California, USA). P values were determined using
208 either one sample t-test or one-way ANOVA testing with Bonferroni post-test. Results are
209 expressed as mean values ± S.E.M. or ± S.D. where specified.

210 **Results**

211 **5-ASA suppresses the growth of adenoma- and CRC-derived cells *in vitro***

212 Three adenoma-derived cell lines (PC/AA/C1, S/AN/C1 and S/RG/C2) were seeded in T25
213 flasks for 72 hours before treatment with 20mM or 40mM 5-ASA (consistent with
214 concentrations used previously³³⁻³⁸) and the attached cell yield and floating cells counted
215 after 24, 48 and 72 hours (Figure 1A). This experiment was also carried out in the
216 transformed adenoma cell line PC/AA/C1/SB10 and two CRC cell lines (LS174T and
217 SW620, Figure 1A). 5-ASA inhibited the number of attached cells in all adenoma derived cell
218 lines treated with either 20mM or 40mM 5-ASA. Of interest, the adenoma-derived cell lines
219 were as sensitive to 5-ASA treatment as the tumorigenic cell lines (Figure 1A).

220 In 2 of the 3 adenoma derived cell lines, there was a significant induction of floating cells 72
221 hours after 40mM 5-ASA treatment, indicative of cell death. However, the reduction in cell
222 yield on treatment with 5-ASA could not be explained by induction of cell death alone; in
223 support of this, blocking apoptosis with the pan caspase inhibitor (Q-VD-OPh) did not rescue
224 the reduction in cell yield on 40mM 5-ASA treatment (Figure 1B). Furthermore, although 5-
225 ASA induced apoptosis in cancer derived cells LS174T, blocking it also had no effect the
226 inhibition of cell yield when treated with 40mM 5-ASA (Supplementary Figure 1A); this is
227 consistent with 5-ASA inducing growth inhibition in the cancer cells as previously reported<sup>33-
228 38</sup>. Taken together, these results show that 5-ASA causes growth inhibition in both the
229 colorectal adenoma and carcinoma derived cells.

230

231 **5-ASA downregulates Wnt/ β -catenin signalling in human adenoma and carcinoma 232 cells**

233 To measure the effect of 5-ASA on β -catenin/TCF-mediated transcription activity in
234 adenoma derived cells, we treated PC/AA/C1 (APC mutant) adenoma-derived cells with 5-
235 ASA after transfection with TOPflash and FOPflash reporter plasmids and compared it to

236 LS174T (β -catenin mutant) carcinoma-derived cells. These cell lines were chosen as
237 representative of tumours with disrupted β -catenin signalling, important for the initiation of
238 colorectal carcinogenesis. At 24 hours treatment 40mM 5-ASA TOPflash activity was
239 significantly suppressed (Figure 2A and B, results for the transformed adenoma derived cells
240 are shown in supplementary Figure 1B). A similar, but not statistically significant trend was
241 observed for cells treated with 20mM 5-ASA. Interestingly, total cellular β -catenin levels and
242 active dephosphorylated β -catenin were unchanged on western blots after 5-ASA treatment
243 in all cell lines (Figure 2C and supplementary figures 2A and C). Next, we investigated β -
244 catenin target expression after treatment with 5-ASA (Figure 2E and Supplementary Figure
245 2B and D). Accordingly, known β -catenin-regulated proteins AXIN-2, c-MYC and LEF-1 were
246 downregulated by 20mM and 40mM 5-ASA in both a dose- and time-dependent manner with
247 the most marked effects observed at the higher dose at the 72 hour time point (Figure 2E
248 and Supplementary Figure 2B and D).

249

250 **5-ASA reversibly suppresses expression of the stem-marker LGR5 in colorectal** 251 **adenoma and carcinoma cells**

252 Leucine rich G-protein coupled receptor-5 (LGR5) is an established marker of crypt-base
253 stem cells⁴⁸. LGR5 expression is frequently expressed in adenoma and tumour metastases
254 but expression in primary CRC is variable⁴⁹ (Figure 3A). Western blots show that 5-ASA
255 suppresses expression of LGR5 in 2/3 adenomas, the transformed adenoma and both CRC-
256 derived cells, over a 72 hour period (Figure 3B). Because LGR5 is a β -catenin-regulated
257 gene, we hypothesised that the effect of 5-ASA would be reversible, important for
258 maintenance of tissue homeostasis in the surrounding colonic epithelium. To determine
259 whether expression of LGR5 recovered on removal of 5-ASA, PC/AA/C1 adenoma cells
260 were treated with 5-ASA for 72 hours before washing the cells and culturing for a further 3
261 days without 5-ASA. Western blots demonstrated that re-expression of LGR5 was noted

262 within 12 hours of stopping 5-ASA treatment in PC/AA/C1 cells (Figure 3C) with expression
263 returning to baseline 48 hours after stopping treatment.

264

265 **Low-dose 5-ASA reduces the ability of PC/AA/C1 cells to form spheroids**

266 LGR5⁺ stem cells form spheroid structures when grown in extra-cellular matrix gels such as
267 Matrigel, complete with differentiated colonic cells and hierarchical organisation as seen in
268 the gastrointestinal tract *in vivo*⁴⁵. As such, the ability of cells to form spheroids from single
269 cell suspensions is considered an assay of stemness⁵⁰.

270

271 To establish whether 5-ASA could inhibit stem cell function, PC/AA/C1 cells were seeded as
272 a single cell suspension into Matrigel. As PC/AA/C1 cells were more sensitive to 5-ASA in
273 3D culture than 2D culture, they were treated with 1-5mM 5-ASA at the time of seeding.
274 5mM 5-ASA treatment was sufficient to significantly block spheroid formation as well as
275 growth of the adenoma derived cells (Figure 4); there were significantly fewer spheroids after
276 7 days in 3D culture (Figure 4A). Additionally, spheroid size analysis showed 5-ASA
277 treatment resulted in significantly smaller spheroids after 21 days in culture (Figure 4B-E).
278 Similar findings were noted for LS174T-derived CRC spheroids (Figure 4F-I). Furthermore,
279 the mRNA expression of stem cell associated proteins LGR5 and CD133 was significantly
280 decreased in the 2mM and 5mM treated PC/AA/C1 and LS174T cells (Figure 4J-K).

281

282 Importantly, when these experiments were repeated with 5-ASA removed from the culture
283 media at day 7, the growth inhibitory effect on the PC/AA/C1 spheroids was sustained for a
284 further 14 days in culture (Figure 5A-D). Similar results were obtained for LS174T CRC-
285 derived spheroids (Figure 5E-H). This finding further suggests that 5-ASA suppresses the

286 stem cell potential of the cells, as both the number and the growth of the spheroids was
287 unable to fully recover to that of the untreated spheroids once the 5-ASA is removed.

288

289 **Discussion**

290 The results presented here provide new insights into the effect of 5-ASA, an affordable and
291 well-tolerated drug, on the growth and stemness-potential of adenoma-derived cells *in vitro*.
292 Important for use in a cancer prevention setting, this is the first report to document the effect
293 of 5-ASA on adenoma-derived spheroids in 3D culture. 3D cell culture using spheroids is a
294 useful model for studying stem function; this is exemplified by elegant work from the Sato
295 group who generated a 'library' of spheroids derived from colorectal adenoma and
296 carcinomas and demonstrated that not only did niche-dependency decrease along the
297 adenoma-carcinoma sequence, but that spheroids reproduced the histopathological grade
298 and differentiation capacity of their parental tumours both *in vitro* and as xenografts⁵¹. Our
299 data demonstrated that 5-ASA consistently negatively regulated Wnt/ β -catenin activity and
300 target gene expression, directly antagonising a key signalling pathway of the colonic stem
301 compartment. Further, for the first time 5-ASA was demonstrated to negatively regulate
302 expression of the stem cell marker LGR5 (and stem associated protein CD133). In addition,
303 by blocking the formation of adenoma-derived spheroids, 5-ASA was shown to functionally
304 suppress stemness.

305

306 Targeting adenoma cells with stem-cell properties is important because colorectal
307 tumorigenesis is believed to be initiated and driven by a subpopulation of cells with
308 properties of stemness – cancer stem cells – typified by asymmetric cell division and slow
309 cell turnover making them resistant to traditional chemotherapeutics⁵². Lineage-tracing
310 experiments have demonstrated that LGR5⁺ cells act as stem cells in mouse adenoma and
311 genetic tracing of LGR5⁺ clones in tumour xenografts derived from human CRC organoids

312 have demonstrated that these cells have the ability to generate both differentiated cells and
313 self-renew⁵³. Further, LGR5⁺ cells drive adenoma growth in mouse models⁵⁴ and promote
314 adenoma cell survival in human adenoma⁴⁹. Baker and colleagues⁴⁸ demonstrated using *in-*
315 *situ* hybridization that LGR5 expression is increased in adenomas with expression
316 throughout the adenomatous gland, with a heterogenous distribution and loss of stem
317 hierarchy observed in normal mucosa. Because LGR5 is a 'Wnt amplifier'^{55,56} expansion of
318 LGR5⁺ cells may be a key step in allowing cells without permissive mutations in other pro-
319 oncogenic signalling pathways to expand, driving formation of adenomas. Thus, it is possible
320 that suppression of LGR5 may suppress the stem potential of adenoma cells and may
321 prevent adenoma formation. Importantly, suppression of β -catenin transcriptional activity and
322 spheroid formation was demonstrated at 5-ASA concentrations that are achievable with
323 available 5-ASA preparations: oral 5-ASA preparations equivalent to 2g/day achieve luminal
324 concentrations of 12 – 22.7mM⁵⁷. This corresponds with observational epidemiological data
325 suggesting that 5-ASA >1.2g/day is protective against colitis-associated cancer²¹.

326

327 How relevant 5-ASA-mediated negative regulation of LGR5 is for established CRC remains
328 less clear. Whilst LGR5 is commonly expressed/over-expressed in adenomas⁴⁸, expression
329 is frequently low/absent in colorectal cancers before re-expression in metastatic deposits⁴⁹;
330 indeed, LGR5⁺ cells appear to be important in metastatic progression⁵⁸. As summarised by
331 Morgan and colleagues⁵⁹, there is abundant contradictory data on the role of LGR5 in CRC.
332 This may be explained, at least in part, by plasticity exhibited by CSCs. Shimokawa and
333 colleagues recently demonstrated that LGR5⁺ carcinoma cells differentiated into both
334 LGR5⁺KRT20⁻ and LGR5⁻KRT20⁺ daughters, and that selective ablation of LGR5 (using a
335 CRISPR-Cas9 system) resulted initially in tumour regression followed by re-expression of
336 LGR5 and recovery of tumour growth⁵³. In this context, using 5-ASA to prevent the re-
337 expression of LGR5 may not only prevent tumour formation and potentially recurrence after
338 treatment but may also improve the efficacy of conventional therapies, improving the

339 prognosis of patients with CRC. Importantly in the 3D cultures, it was possible to
340 demonstrate that the growth inhibitory effect of 5-ASA on the PC/AA/C1 spheroids was
341 sustained for a further 14 days in culture after removal of the drug, suggesting that
342 continuous administration of 5-ASA may not be necessary for either chemoprophylaxis or
343 therapy.

344

345 5-ASA is an affordable and well-tolerated drug with decades of clinical experience in the
346 treatment of ulcerative colitis making it an outstanding candidate as a chemoprophylactic
347 agent for patients at risk of CRC. Perhaps surprisingly for a drug that has been known for
348 some time to suppress β -catenin activity in CRC cells, there have been a lack of clinical
349 trials to assess the efficacy of 5-ASA in the prevention of sporadic CRC. In targeting the
350 stemness potential of adenoma derived cells, results from this study provide new evidence
351 to support the use of 5-ASA for the prevention of colorectal carcinogenesis. Taken together
352 with evidence from other studies, including analysis of the effect of 5-ASA in patient
353 samples³¹, we believe that robust clinical trials are now required to understand whether
354 these findings translate into a reduction in adenoma burden in at-risk individuals.

355

356 **Additional information**

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359 Authors' contributions:

360 SWD, TC and ACW conceived the study and designed experiments. SWD, EMHM, and TJC
361 collected data. SWD, ACC, DNL, EJM, TJC, TC, AG and ACW analysed data. AG
362 developed key methodology. SWD drafted the original manuscript, TJC, ACC, AG and ACW

363 helped write the manuscript. All authors provided input to develop the manuscript and final
364 version.

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366 tissue was used as part of this study.

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379

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523

524

525 **Figure Legends**

526 **Figure 1: 5-aminosalicylic acid inhibits the growth of human colonic adenoma cells as**
527 **well as carcinoma cell lines in 2-dimensional culture.** (A) Graphs show attached cell
528 yield and the number of floating cells as a proportion of total cell yield of three adenoma-
529 derived cell lines (PC/AA/C1, S/AN/C1 and S/RG/C2), left, transformed adenocarcinoma
530 cells (PC/AA/C1/SB10) and two CRC-derived cell lines (LS174T & SW620), right, 24, 48 and
531 72 hours after treatment with 20mM and 40mM 5-ASA. Mean \pm S.E.M. N=3. One-way
532 ANOVA with Bonferroni post-test, * = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$. (B) Graphs
533 shows attached cell yield and percentage of floating cells of (i) PC/AA/C1 and (ii) S/RG/C2,
534 after treatment with 40mM 5-ASA and 10 μ M Q-VD-Oph or DMSO, cells were harvested and
535 counted at 72 hours. Mean \pm S.E.M. N=3. One-way ANOVA with Bonferroni post-test, ** = p
536 < 0.01 , *** = $p < 0.001$.

537 **Figure 2. 5-ASA suppresses β -catenin/TCF transcriptional activity.** (A-B) *TOPflash*
538 *reporter assay at 24 hours after 20mM and 40mM 5-ASA* (A) PC/AA/C1 adenoma and (B)
539 LS174T CRC-derived cells. Mean \pm S.E.M. N=3. * = $p < 0.05$, ** = $p < 0.01$ (C) (i) Western
540 blots of PC/AA/C1 and LS174T at 24, 48 and 72 hours after treatment with 20mM and 40mM
541 5-ASA showing expression of active dephosphorylated and total β -catenin protein, α -tubulin
542 used as the loading control. (ii) Densitometry graphs show the fold change of active
543 dephosphorylated β -catenin protein as a ratio of total β -catenin expression over the 72 hour
544 period. Expression is normalised to the respective control. Data are presented as the mean
545 \pm S.E.M. of three independent experiments. N=3. One sample t-test was used to determine
546 statistical significance. (D) Western blot of LEF-1 expression in PC/AA/C1 and LS174T cells
547 to determine the specificity of the LEF-1 antibody. The expression level of LEF-1 was
548 measured by western blotting 72 hour after transfection with a LEF-1 Smartpool siRNA or
549 negative control. The results are representative of three independent experiments. B-actin
550 used as the loading control. (E) (i) Western blot showing PC/AA/C1 and LS174T cells after
551 24, 48 and 72 hours after treatment with 20mM and 40mM 5-ASA. Wnt/ β -catenin target

552 proteins AXIN-2, c-MYC and LEF-1 are all downregulated with 5-ASA with the most marked
553 phenotype observed at 72 hours. β -actin used as the loading control. (ii) Densitometry
554 graphs show the expression change of AXIN-2, c-MYC and LEF-1 as a fold of the loading
555 control at the 72 hour timepoint. Expression is normalised to the respective control. Data
556 presented as the mean \pm S.E.M. of three independent experiments. N=3. One sample t-test
557 was used to determine statistical significance. * = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$.

558

559 **Figure 3. 5-ASA suppresses the expression of the stem marker LGR5.** (A) Endogenous
560 levels of LGR5 expression in a panel of colorectal adenoma and carcinoma derived cell
561 lines. PC/AA/C1, S/AN/C1, S/RG/C2 colorectal cells, PC/AA/C1/SB10 transformed
562 adenocarcinoma cells, HT29, HCA7, HCT116, HCT15, SW480, SW620, LOVO, LS174T
563 colorectal adenocarcinoma cells, and SW837 and SW1463 rectal adenocarcinoma cells
564 were grown to ~70% confluence before collection of total protein for western blot analysis. α -
565 tubulin used as the loading control. (B) Western blot analysis demonstrating downregulation
566 of LGR5 in three adenomas (PC/AA/C1, S/AN/C1 and S/RG/C2), left, transformed
567 adenocarcinoma cells (PC/AA/C1/SB10) and two CRC-derived cell lines (LS174T and
568 SW620), right, 24, 48 and 72 hours after treatment with 20mM and 40mM 5-ASA. β -actin
569 used as a loading control. LGR5 is multiply glycosylated⁶⁰, explaining the different banding
570 pattern seen in the different cells. (ii) Densitometry graphs show the expression change of
571 LGR5 as a fold of the loading control at the 72 hour timepoint. Expression is normalised to
572 the respective control. Data presented as the mean \pm S.E.M. of three independent
573 experiments. N=3. One sample t-test was used to determine statistical significance. * = $p <$
574 0.05 , ** = $p < 0.01$. (C) Western blots of LGR5 expression in PC/AA/C1 adenoma cells
575 demonstrating downregulation of LGR5 after commencing treatment with 20mM and 40mM
576 5-ASA, but subsequent reversal of this regulation once 5-ASA was withdrawn. β -actin used
577 as a loading control. (ii) Western blot analysis of LGR5 expression in the 12 hours after
578 stopping 5-ASA treatment. β -actin used as a loading control.

579

580 **Figure 4. 5-ASA reduces the ability of PC/AA/C1 adenoma and LS174T carcinoma cells**
581 **to form spheroids.** (A-E) *PC/AA/C1 human adenoma derived cells* (A) Mean number of
582 spheroids in each well 7 days after culture. $N=3 \pm \text{S.E.M.}$ * = $p < 0.05$. (B) Mean log spheroid
583 area over 21 days in culture. (C) Log spheroid area of spheroids at day 21, demonstrating
584 distribution of spheroid size. $N=1 \pm \text{S.D.}$ representative of $N=3$. (D) Mean log spheroid area
585 of spheroids at day 1. $N=3 \pm \text{S.E.M.}$ * = $p < 0.05$, ** = $p < 0.01$. (E) Representative images of
586 PC/AA/C1-derived spheroids at day 21. Images acquired using Leica DM16000 microscope,
587 5x lens with Leica LAS-X software. Images were processed using MatLab software. (F-I)
588 *LS174T human carcinoma derived cells* (F) Mean number of spheroids in each well 7 days
589 after culture. $N=3 \pm \text{S.E.M.}$ *** = $p < 0.001$ (G) Mean log spheroid area over 21 days in
590 culture. (H) Log spheroid area of spheroids at day 21, demonstrating distribution of spheroid
591 size. $N=1 \pm \text{S.D.}$ representative of $N=3$. (I) Mean log spheroid area of spheroids at day 21.
592 $N=3 \pm \text{S.E.M.}$ ** = $p < 0.01$, *** = $p < 0.001$. (J-K) *Quantitative PCR (QPCR) mRNA analysis*
593 *of LGR5 and CD133 gene expression* (J) PC/AA/C1- and (K) LS174T- derived spheroids
594 after 21 days of treatment with 5-ASA. All mRNA values are normalised to the housekeeping
595 genes TBP or HPRT. Data shows relative mRNA quantity of LGR5 and CD133 presented as
596 a fold change of the control, which itself was normalised to one. Data are presented as the
597 mean of three independent experiments $\pm \text{S.E.M.}$ $N=3$. One sample t-test was used to
598 determine statistical significance, * = $p < 0.05$, ** = $p < 0.01$. (dRn, baseline corrected
599 normalised fluorescence).

600

601 **Figure 5. The growth inhibitory effect of 5-ASA on PC/AA/C1 adenoma and LS174T**
602 **carcinoma derived spheroids is sustained for 14 days after treatment is stopped.** (A-D)
603 *PC/AA/C1 human adenoma derived cells* (A) Mean number of spheroids per well after 7
604 days in culture. $N=3 \pm \text{S.E.M.}$, * = $p < 0.05$. (B) Mean log spheroid area over 21 days in
605 culture. 5-ASA was withdrawn from the culture media on day 7. $N=3 \pm \text{S.E.M.}$ (C) Log

606 spheroid area after 21 days in culture, demonstrating distribution of spheroid size. $N=1 \pm$
607 S.D. (representative of $N=3$). (D) Mean log spheroid area of spheroids at day 21. $N=3 \pm$
608 S.E.M. $** = p < 0.01$ (E-H) *LS174T human carcinoma derived cells* (E) Mean number of
609 spheroids per well after 7 days in culture. $N=3 \pm$ S.E.M. (F) Mean log spheroid area over 21
610 days in culture. 5-ASA was withdrawn from the culture media on day 7. $N=3 \pm$ S.E.M. (G)
611 Log spheroid area after 21 days in culture, demonstrating distribution of spheroid size. $N=1 \pm$
612 S.D. (representative of $N=3$). (H) Mean log spheroid area of spheroids at day 21. $N=3 \pm$
613 S.E.M. $* = p < 0.05$, $** = p < 0.01$.

614

615 **Supplementary Figure 1**

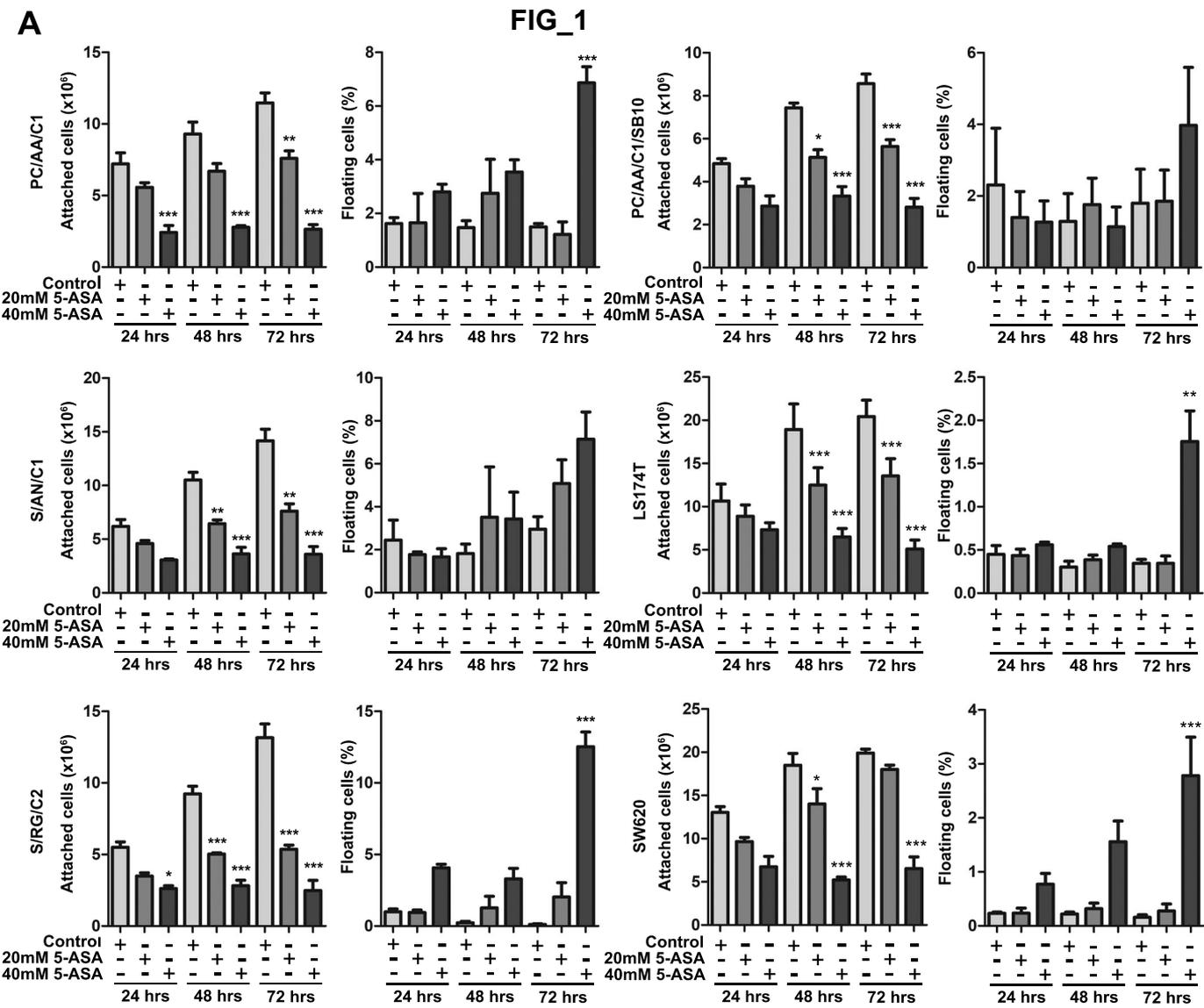
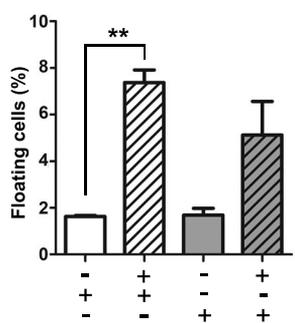
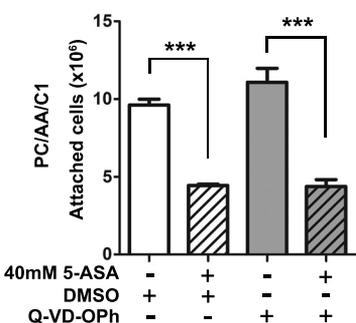
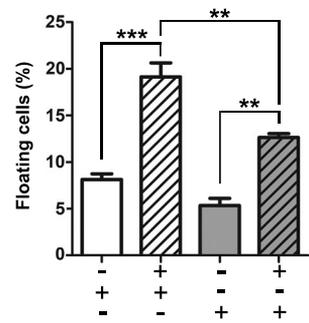
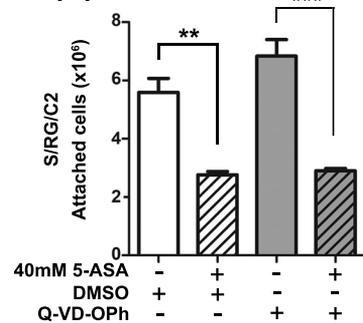
616 (A) Graphs shows attached cell yield and percentage of floating cells of LS174T, after
617 treatment with 40mM 5-ASA and 10 μ M Q-VD-Oph or DMSO, cells were harvested and
618 counted at 72 hours. Mean \pm S.E.M. $N=3$. One-way ANOVA with Bonferroni post-test, $** = p$
619 < 0.01 , $*** = p < 0.001$. (ii) Western blot of cleaved PARP and cleaved Caspase 3
620 expression in LS174T, attached and floating cells were collected and total protein was
621 extracted at 72 hour after treatment with 40mM 5-ASA and 10 μ M Q-VD-Oph or DMSO, α -
622 tubulin used as the loading control. The results are representative of three independent
623 experiments. (B) TOPflash reporter assay at 24 hours after 20mM and 40mM 5-ASA in
624 transformed adenocarcinoma PC/AA/C1/SB10. Mean \pm S.E.M. $N=3$. $* = p < 0.05$.

625 **Supplementary Figure 2**

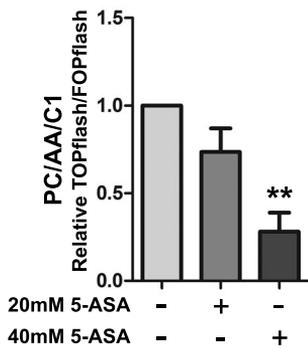
626 (A) Western blots of S/AN/C1 and S/RG/C2 at 24, 48 and 72 hours after treatment with
627 20mM and 40mM 5-ASA showing expression of active dephosphorylated and total β -catenin
628 protein, α -tubulin used as the loading control. S/RG/C2 expresses a 70kDa mutant β -catenin
629 protein, not detectable by the dephosphorylated β -catenin antibody (manuscript in
630 preparation). (ii) Densitometry graphs show the fold change of active dephosphorylated β -
631 catenin protein as a ratio of total β -catenin expression over the 72 hour period. Expression is

632 normalised to the respective control. Data are presented as the mean \pm S.E.M. of three
633 independent experiments. N=3. One sample t-test was used to determine statistical
634 significance. (B) Western blot showing S/AN/C1 and S/RG/C2 cells after 24, 48 and 72
635 hours after treatment with 20mM and 40mM 5-ASA. Wnt/ β -catenin target proteins AXIN-2, c-
636 MYC and LEF-1 are all downregulated with 5-ASA with the most marked phenotype
637 observed at 72 hours. B-actin used as the loading control. (ii) Densitometry graphs show the
638 expression change of AXIN-2, c-MYC and LEF-1 as a fold of the loading control at the 72
639 hour timepoint. Expression is normalised to the respective control. Data presented as the
640 mean \pm S.E.M. of three independent experiments. N=3. One sample t-test was used to
641 determine statistical significance. * = $p < 0.05$, ** = $p < 0.01$. (C) Western blots of
642 PC/AA/C1/SB10 and SW620 at 24, 48 and 72 hours after treatment with 20mM and 40mM
643 5-ASA showing expression of active dephosphorylated and total β -catenin protein, α -tubulin
644 used as the loading control. (ii) Densitometry graphs show the fold change of active
645 dephosphorylated β -catenin protein as a ratio of total β -catenin expression over the 72 hour
646 period. Expression is normalised to the respective control. Data are presented as the mean
647 \pm S.E.M. of three independent experiments. N=3. One sample t-test was used to determine
648 statistical significance. (D) Western blot showing PC/AA/C1/SB10 and SW620 cells after 24,
649 48 and 72 hours after treatment with 20mM and 40mM 5-ASA. Wnt/ β -catenin target proteins
650 AXIN-2, c-MYC and LEF-1 are all downregulated in PC/AA/C1/SB10 with 5-ASA with the
651 most marked phenotype observed at 72 hours. Levels of LEF-1 are undetectable by western
652 blotting in SW620 cells. B-actin used as the loading control. (ii) Densitometry graphs show
653 the expression change of AXIN-2, c-MYC and LEF-1 as a fold of the loading control at the 72
654 hour timepoint. Expression is normalised to the respective control. Data presented as the
655 mean \pm S.E.M. of three independent experiments. N=3. One sample t-test was used to
656 determine statistical significance. * = $p < 0.05$, ** = $p < 0.01$

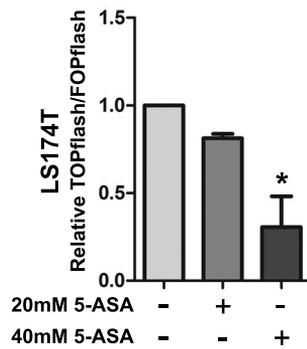
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A**B(i)****(ii)**

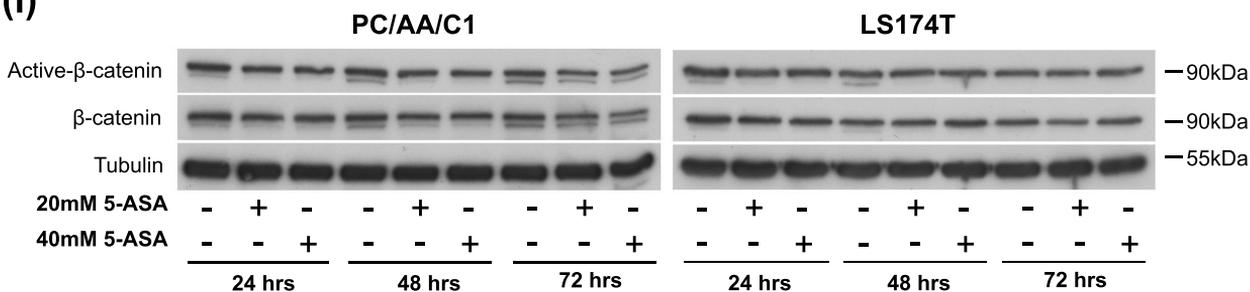
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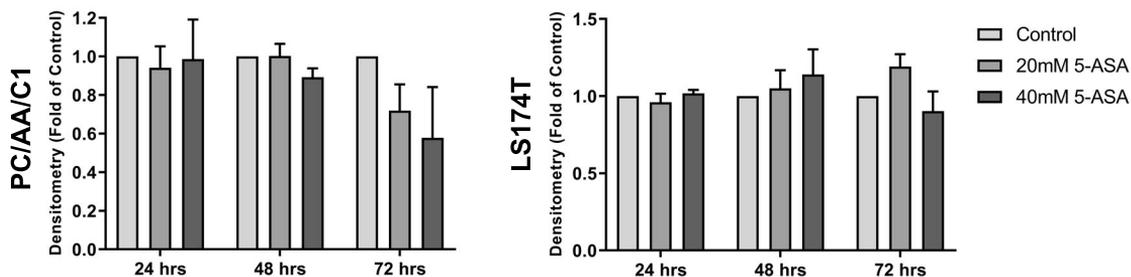
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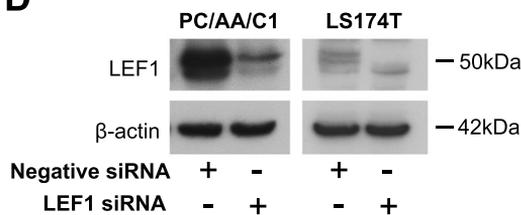
C(i)



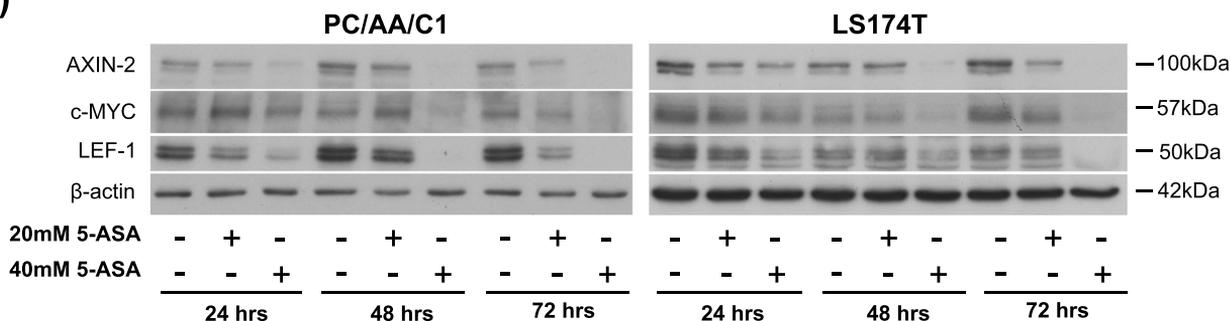
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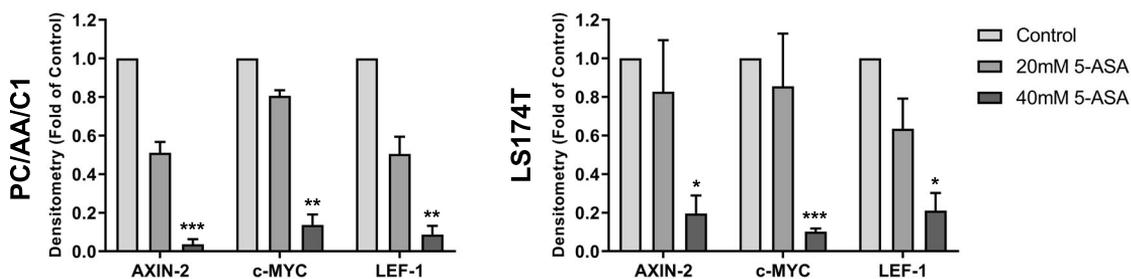
D



E(i)

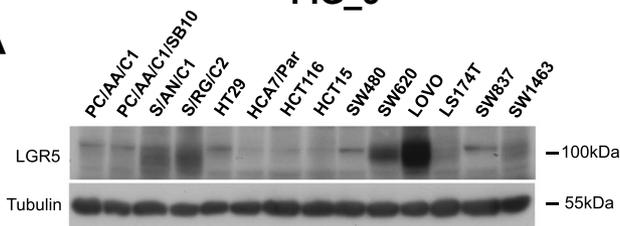


(ii)

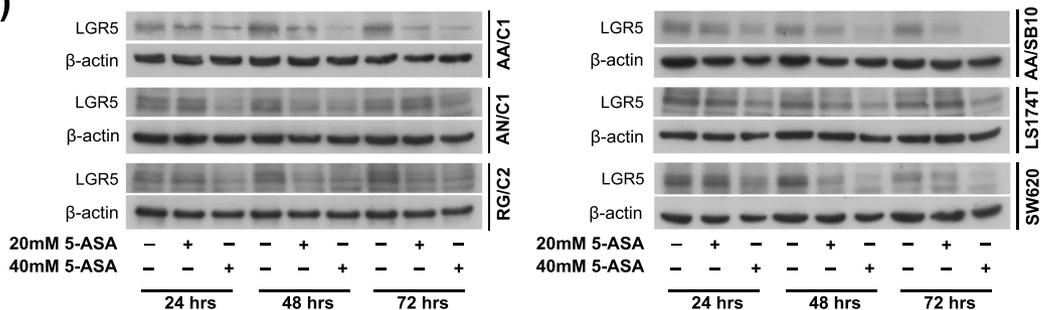


FIG_3

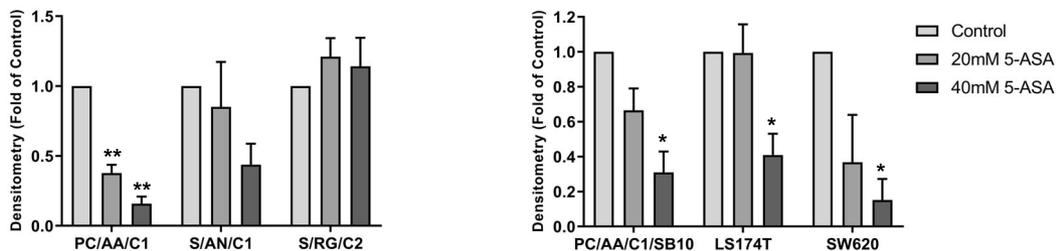
A



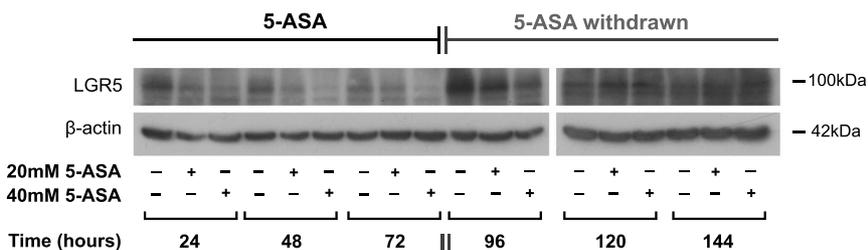
B(i)



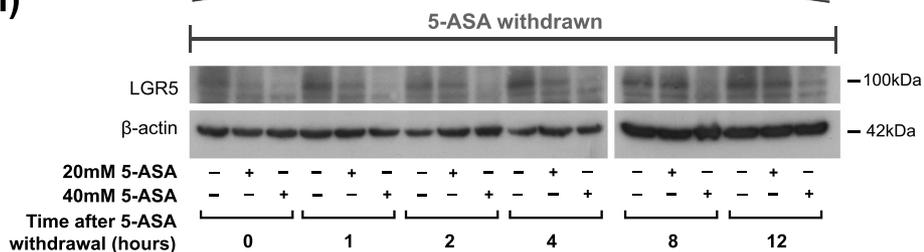
(ii)

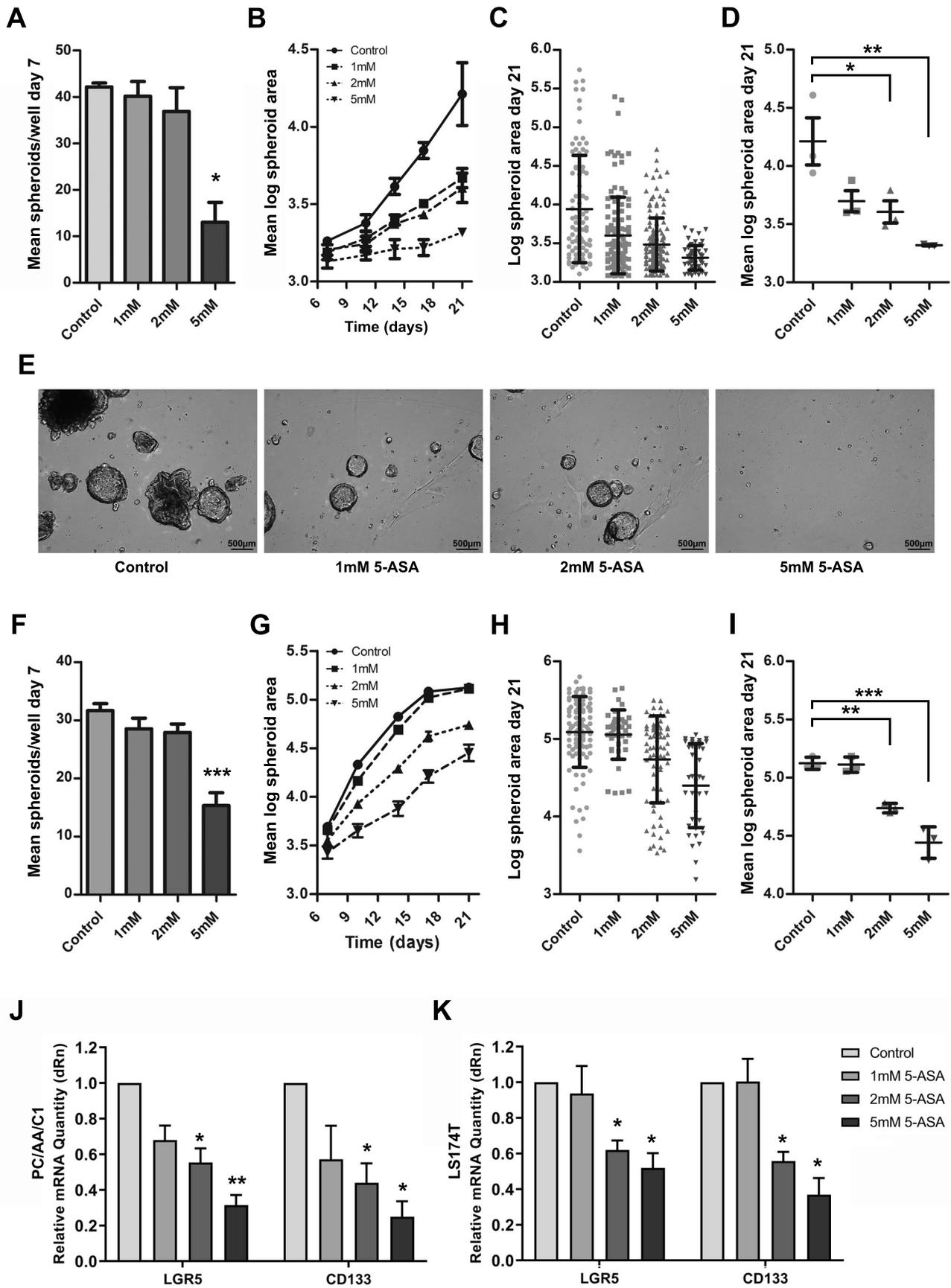


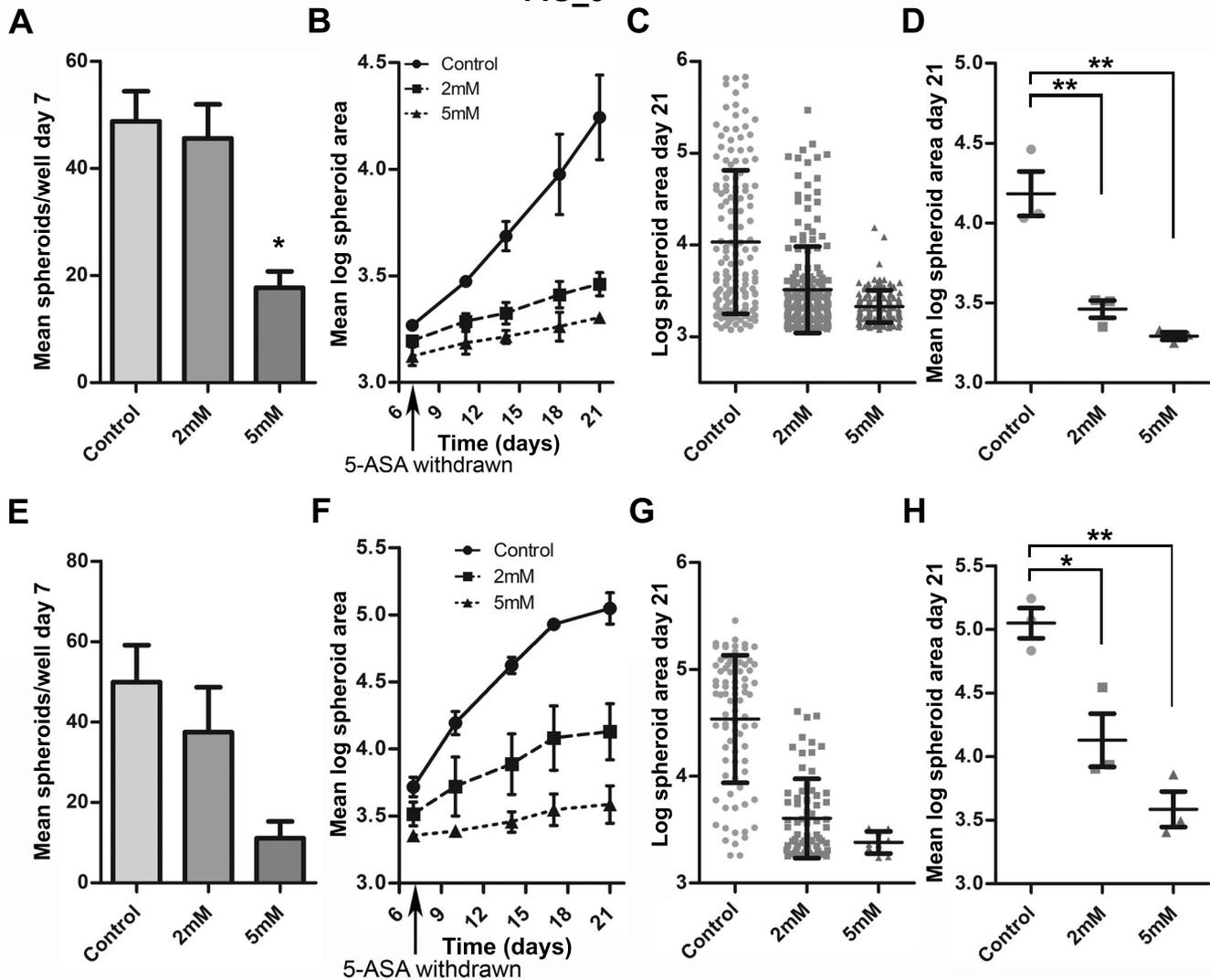
C(i)

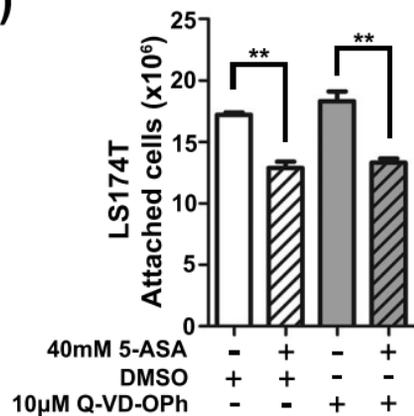
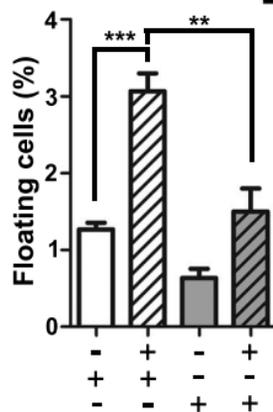
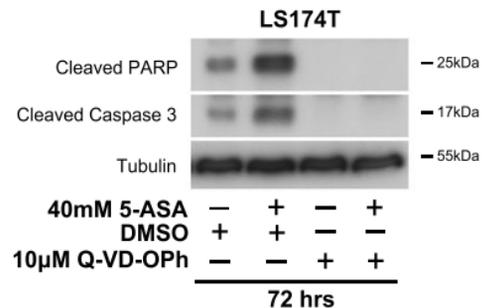
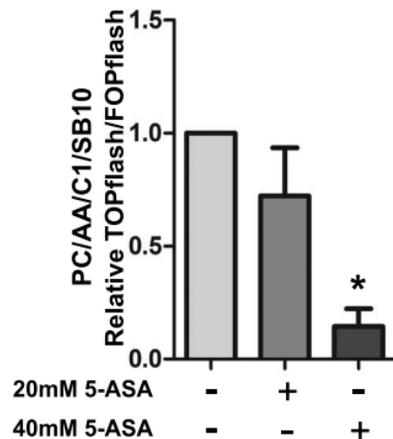


(ii)



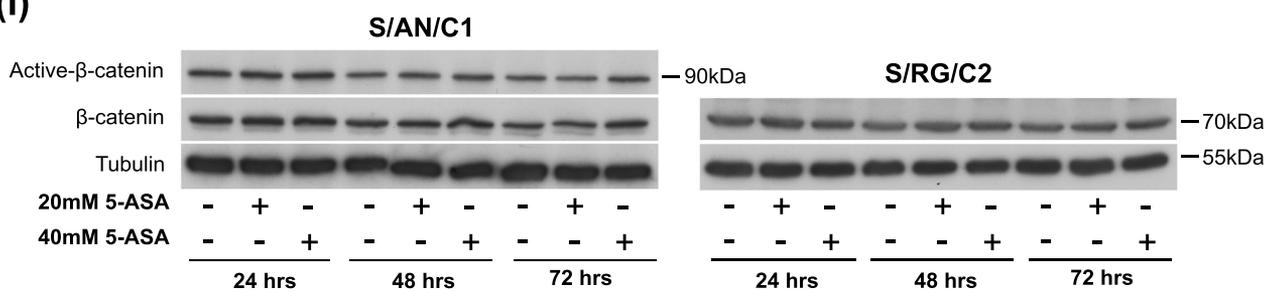




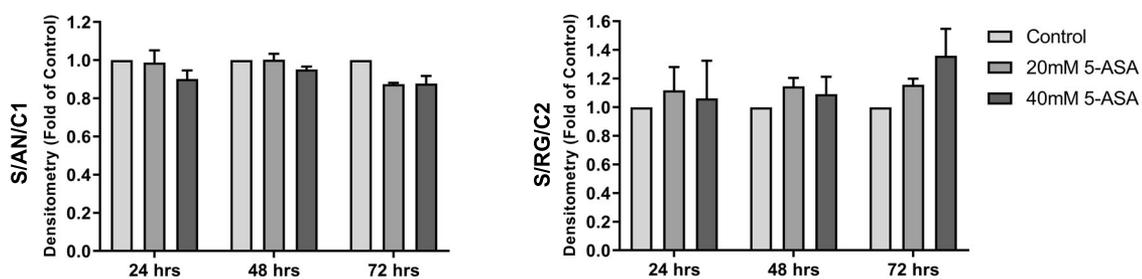
A(i)**FIG_S1****(ii)****B**

FIG_S2

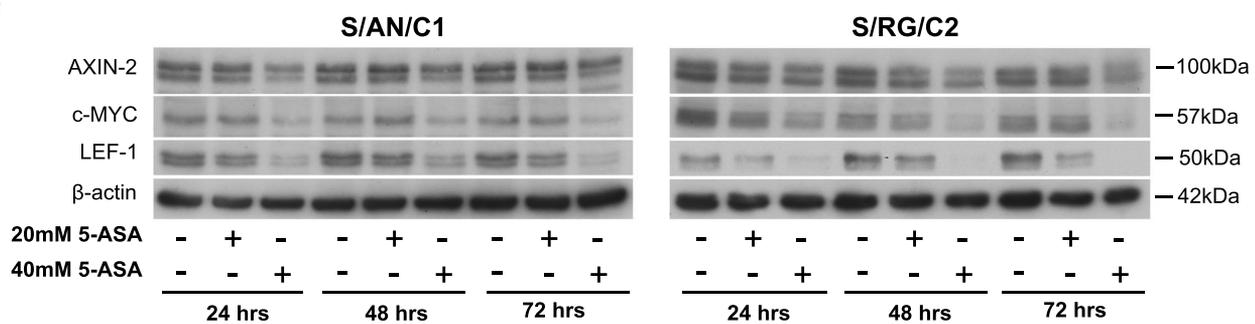
A(i)



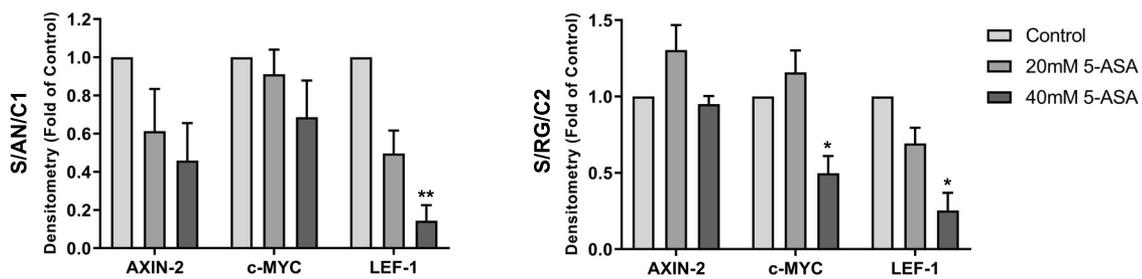
(ii)



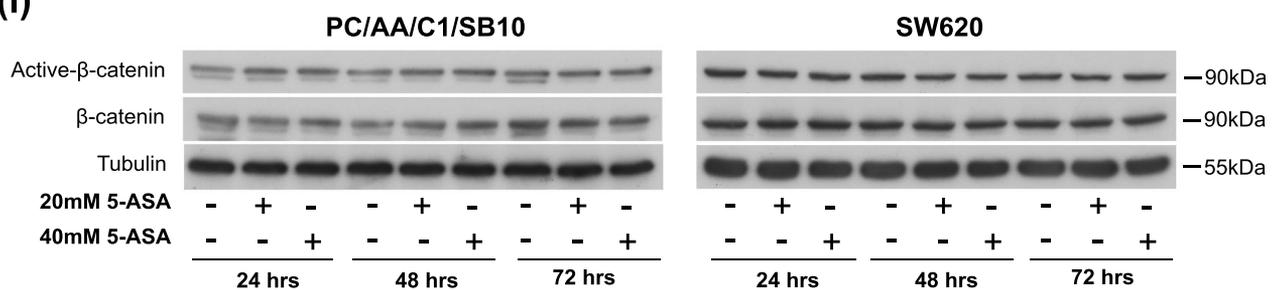
B(i)



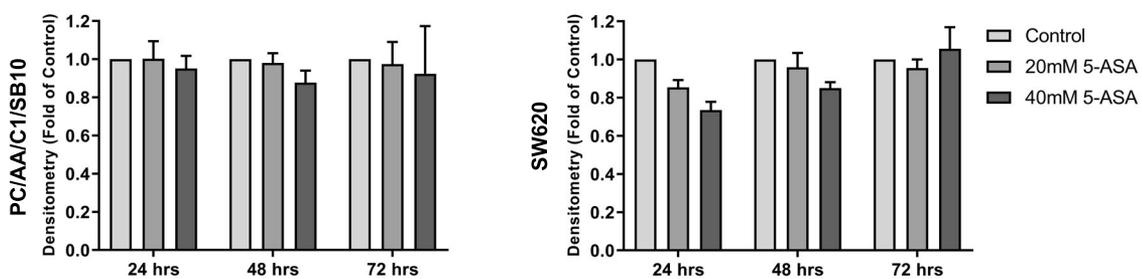
(ii)



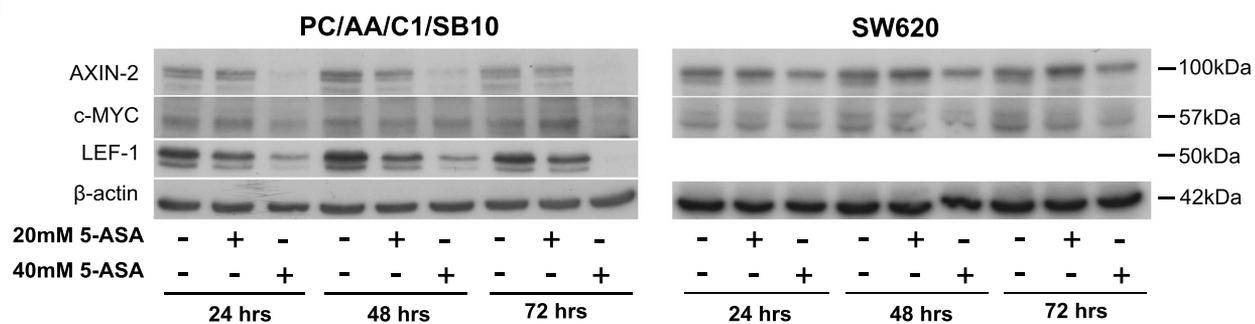
C(i)



(ii)



D(i)



(ii)

