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# Uncertainty and Disturbance Estimator-Based Control of a Flapping Wing Aerial Vehicle with Unknown Backlash-like Hysteresis

Zhao Yin, Wei He, Okyay Kaynak, Chenguang Yang, Long Cheng, Yu Wang

Abstract — Robust and accurate control of a flapping-wing aerial vehicle (FWAV) system is a challenging problem due to the existence of backlash-like hysteresis nonlinearity. This paper proposes uncertainty and disturbance estimator (UDE)-based control with output feedback for FWAV systems. The approach enables the acquisition of the approximate plant model with only a partial knowledge of system parameters. For the design of the controller, only the bandwidth information of the unknown plant model is needed. which is available through the UDE filter. The stability analysis of the closed-loop system with the UDE-based controller is presented. It is shown that the proposed control scheme can ensure the boundedness of the control signals. A number of numerical simulations are carried out to demonstrate the satisfactory trajectory tracking performance of the proposed method.

Index Terms — Uncertainty and disturbance estimator (UDE); Flapping wing aerial vehicle (FWAV); Hysteresis; Backlash.

### I. INTRODUCTION

In this wide research area, flapping-wing aerial vehicles (UAVs) have received significant attention from both engineers and researchers. In this wide research area, flapping-wing aerial vehicles (FWAVs) have received a special attention due to the features of small size and low energy consumption. [1]–[3]. There are a number of superiorities of an FWAV. For example, it can combine precise and highly maneuverable hovering with energy efficient forward flight, it can, with its high biomimetic, be used to drive away birds to avoid damage to crops, and it can be useful in military reconnaissance because of its convincing camouflage. Therefore, study of advanced FWAV technologies is very meaningful and timely [4], [5].

In this paper, we study the FWAV system with motor, which is the main drive mode. The hysteresis phenomenon

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is therefore unavoidable due to the presence of the gear sets. The design of a controller that will compensate the backlash hysteresis is therefore a necessary task to ensure the stability of the system. Backlash hysteresis is quite a common problem in many systems such as electromagnetism, mechanical actuators and gear systems [6]-[12]. It is caused by inertia, magnetism, or friction when the actuated motion direction is reversed, and often severely affects system performance in the form of inaccuracies, oscillations, and instabilities. Extensive studies can be seen in the literature that propose new models and new control approaches to compensate for backlash-like hysteresis in nonlinear systems. In [13], the authors propose adaptive neural network controllers for a 3-DOF robotic manipulator to solve the problem of backlash hysteresis with state feedback and output feedback. The authors present an adaptive output feedback controller for a class of nonlinear systems with unknown backlash-like hysteresis in [14] and verify that the control method proposed can compensate the influence of hysteresis. In [15], the Preisach model, a well-known model, is investigated to deal with problem of elastic robot joints with hysteresis and backlash. The hysteresis is considered as external factor, like a disturbance in [16], and a disturbance observer is used to estimate it, as a result of which a good compensation effect is obtained. This method is very succinct, it does not need to a reformulation of the plant model.

In most instances, some uncertain terms cannot be measured. In the FWAV system disturbance is also unknown. Therefore, there can be seen numerous control schemes and methods proposed in literature to estimate these uncertainties [17]-[26]. The use of a neural network in the controller is proposed in [27] to approximate the unknown terms, and it is demonstrated that the approach can result in a good approximation effect. An adaptive controller is designed in [28] to alleviate the problem of unknown system parameters, and a disturbance observer is used to compensate them. In an earlier work, a backstepping scheme is presented to design an effective controller for flexible joint robotic manipulators in [29]. In that paper, the authors apply an adaptive controller to compensate for system uncertainty and unknown but bounded disturbance. An adaptive fuzzy output feedback control law is designed in [30]. In [31], an uncertainty and disturbance estimator (UDE)-based robust power flow controller is developed for electrical power system to realize power delivery to the grid precisely. In [32], a strategy based on UDE is developed to solve the effect of hysteresis nonlinearity and improve the performance of the positioning control of the piezoelectric stage. The UDE-based control is a method, which

does not require the linear parameterizations and external interference information. Furthermore, the scheme has good robustness property with a straightforward structure. In recent years, more and more studies can be seen in literature that investigates the use of the UDE approach in different ways, such as in connection with a nonlinear system [33], sliding model control [34], electrical power system [31], piezoelectric stages [32], and so on [35]–[40]. Similar to these efforts, this article proposes a robust control strategy that is based on a UDE for FWAV systems to improve the performance in the presence of uncertainties and disturbance.

Regarding the UDE works, the novelty and the contribution of this paper lie in applying and validating the UDE-based control strategy to FWAV systems, which is a challenging problem. Moreover, for the first time in the literature, we have disclosed that the challenging problem of designing an output feedback controller using UDE can be converted into the design of a low-pass filter. Compared to those existing control methods, the main contributions of this paper are highlighted as follows:

- (i) Hysteresis phenomenon is considered due to the presence of the gear sets in an FWAV that is driven by a motor;
- (ii) UDE-based control law for FWAV systems with output feedback is derived to resolve the uncertain terms;
- (iii) The proposed control method results in a good and robust performance, and the desired trajectory tracking is attained. Additionally comparative arguments are provided to obtain the best control parameters.

The rest of the paper is organized as follows: Section II covers the problem formulation and the preliminaries using some necessary lemmas, properties and assumptions. The UDEbased controller design with output feedback and stability analysis are illustrated in section III. Simulation studies are presented in Section IV. Section V concludes the paper.

## II. PROBLEM FORMULATION AND PRELIMINARIES

## A. Problem Formulation

The dynamics of FWAV can be expressed as [5]:

$$\mathbf{M}(\mathbf{q})\ddot{\mathbf{q}} + \mathbf{C}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}} + \mathbf{G}(\mathbf{q}) = \mathbf{F}(\tau) \tag{1}$$

The terms of the above equation are defined as follows:

The terms of the above equation are defined as follows: 
$$\mathbf{q} = \begin{bmatrix} \mathbf{q_t} & \mathbf{q_r} \end{bmatrix}^T = \begin{bmatrix} x & y & z & \alpha & \beta & \gamma \end{bmatrix}^T, \ \mathbf{M} = \begin{bmatrix} \mathbf{M_t} & \mathbf{0}_{3\times3} & \mathbf{M_r} \\ \mathbf{0}_{3\times3} & \mathbf{M_r} \end{bmatrix}, \ \mathbf{C} = \begin{bmatrix} \mathbf{0}_{3\times3} & \mathbf{0}_{3\times3} \\ \mathbf{0}_{3\times3} & \mathbf{C_r} \end{bmatrix}, \ \mathbf{G} = \begin{bmatrix} \mathbf{G_t} \\ \mathbf{0}_{3\times1} \end{bmatrix},$$
 
$$\mathbf{F}(\tau) = \mathbf{R}(\mathbf{q})\phi(\tau) = \begin{bmatrix} \mathbf{R^{IB}}(\mathbf{q_r}) & \mathbf{0}_{3\times3} \\ \mathbf{0}_{3\times3} & \mathbf{I}_{3\times3} \end{bmatrix} \phi(\tau), \text{ where } \mathbf{q_t} = \begin{bmatrix} x & y & z \end{bmatrix}^T \text{ is the position states in the inertial frame and } \mathbf{q_r} = \begin{bmatrix} \alpha & \beta & \gamma \end{bmatrix}^T \text{ denotes the attitude angles of the body frame. } \mathbf{M_t} = m\mathbf{I_{3\times3}} \text{ is the mass matrix. } \mathbf{M_r} = \mathbf{I_pT} \text{ and } \mathbf{C_r} = \mathbf{I_pT} + \mathbf{L}, \text{ where } \mathbf{I_p} \text{ presents the moment of inertia matrix and } \mathbf{T} = \begin{bmatrix} 1 & 0 & -\sin(\beta) \\ 0 & \cos(\alpha) & \cos(\beta)\sin(\alpha) \\ 0 & -\sin(\alpha) & \cos(\beta)\cos(\alpha) \end{bmatrix}. \ L \text{ is a square matrix and defined as: } (\mathbf{TT_{Tr}}) \times \mathbf{I_p(TT_{Tr}}) = \mathbf{LT_{Tr}},$$
 
$$\mathbf{G_t} = \begin{bmatrix} 0 & 0 & -mg \end{bmatrix}^T \text{ denotes the gravity vector. } \mathbf{F}(\tau) \text{ is input function, and } \phi(\tau) \text{ repsents the backlash hysteris input nonlinearity. } \mathbf{R^{IB}}(\mathbf{q_r}) = (\mathbf{R^{BI}}(\mathbf{q_r}))^{-1}, \text{ where } \mathbf{R^{BI}} = \mathbf{R^{BI}}$$

CB2C21C1I is the rotation matrix,

$$\mathbf{C^{B2}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\alpha) & \sin(\alpha) \\ 0 & -\sin(\alpha) & \cos(\alpha) \end{bmatrix}$$

$$\mathbf{C^{21}} = \begin{bmatrix} \cos(\beta) & 0 & -\sin(\beta) \\ 0 & 1 & 0 \\ \sin(\beta) & 0 & \cos(\beta) \end{bmatrix}$$

$$\mathbf{C^{1I}} = \begin{bmatrix} \cos(\gamma) & \sin(\gamma) & 0 \\ -\sin(\gamma) & \cos(\gamma) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

The front view and side view of our FWAV model are shown in Fig. 1. The wing frame  $(X^W, Y^W, Z^W)$  is used to describe flapping, rotation and deviation angles associated with the stroke plane.  $(X^B, Y^B, Z^B)$  is the body frame.

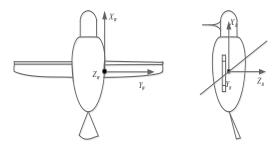


Fig. 1. Front and side view of FWAV model

The backlash hysteresis nonlinearity can be described by

$$\mu = \phi(\tau) = \begin{cases} h(\tau - B), & \dot{\tau}(t) > 0 \text{ and } \mu(t) = h(\tau(t) - B) \\ h(\tau + B), & \dot{\tau}(t) < 0 \text{ and } \mu(t) = h(\tau(t) + B) \\ \phi(t_{-}), & \text{otherwise} \end{cases}$$

where  $\phi(\tau)$  denotes the backlash operator, h is the slope of the lines, B is the backlash distance and  $\phi(t_{-})$  means no change occurs in  $\phi(\tau)$ . However, this function is discontinuous and not applicable to a controller design that requires continuous signals. A continuous function to describe the backlash hysteresis is defined as [42]:

$$\frac{du_i}{dt} = \chi_i \left| \frac{d\tau_i}{dt} \right| \left[ h_i \tau_i - u_i \right] + \kappa_i \frac{d\tau_i}{dt}, \quad i = 1, 2$$
 (3)

where  $\chi$ ,  $\kappa$  and h are constants and  $h > \kappa$ . The equation can be solved explicitly as

$$u_{i}(t) = h_{i}\tau_{i}(t) + d_{i}(\tau)$$

$$d_{i}(\tau_{i}) = [\mu_{i}(0) - h_{i}\tau_{i}(0)]e^{-\chi_{i}(\tau_{i} - \tau_{i}(0))\operatorname{sgn}(\dot{\tau}_{i})}$$

$$+e^{-\chi_{i}\tau_{i}\operatorname{sgn}(\dot{\tau}_{i})} \int_{\tau_{i}(0)}^{\tau_{i}} [\beta_{i} - h_{i}]e^{-\chi_{i}\zeta\operatorname{sgn}(\dot{\tau}_{i})} d\zeta$$
 (5)

The solution indicates that (3) can be used to model a class of backlash-like hysteresis ,where the parameters are  $\chi_i = 1$ ,  $h_i = 3.15, \ \kappa = 0.35, \ \tau(t) = x \sin(2.3t), \ x = 2.5, 3.5, 4.5.$ 

The backlash hysteresis input nonlinearity  $\phi(\tau)$ , can be written as

$$\phi(\tau) = \mathbf{H_a}\tau + \mathbf{D}(\tau) \tag{6}$$

where

$$\mathbf{H_a} = \text{diag}\{h_1, h_2, \dots, h_6\} > 0$$

and  $\mathbf{D}(\tau) = [d_1(\tau_1), d_2(\tau_2), \dots, d_6(\tau_6)]^T$  and  $|d_i(\tau_i)| \leq d_i^*$  with  $||\mathbf{D}(\tau)|| \leq D^* = \sqrt{d_1^{*2} + d_2^{*2} + \dots + d_6^{*2}}$ . The control objective is to design a stable UDE-based controller for the flapping wing robot so that it follows a desired trajectory. The following assumptions and lemma will help achieve our objective.

Assumption 1: [41] The desired trajectory is known, continuous and bounded.

Assumption 2: [43] The slopes of the backlash like hysteresis  $H_a$  is unknown, but there exists positive constants  $\bar{h}_a^*$  and  $\underline{h}_a^*$  such that  $\underline{h}_a^* \le \|\mathbf{H}_{\mathbf{a}}\| \le \bar{h}_a^*$  and  $\|\mathbf{M}\| \le m^*$ .

Lemma 1: [44] A Lyapunov Function candidate V(x) is bounded if the inital condition V(x(0)) is bounded, V(x) is positive definite and continuous and if

$$\dot{\mathbf{V}}(\mathbf{x}) \le -\rho \mathbf{V}(\mathbf{x}) + \mathbf{C} \tag{7}$$

where  $\rho > 0$  is a constant matrix, and  $\mathbf{C} > 0$  a constant vector.

## III. CONTROLLER DESIGN

A UDE-based robust controller for an FWAV system is presented in this section. The control objective is to track the desired position and angle trajectories of the FWAV in the presence of backlash hysteresis. The scheme is basically a state feedback controller with UDE-based. It is assumed the state information of q and  $\dot{q}$ , and the actual trajectories are available. The case when the velocity information is not available is also considered, naming it as the output feedback control scheme, as shown in Fig. 2.

The dynamics of the FWAV can, by the use (1) and (6), be rewritten as

$$\ddot{\mathbf{q}} = \mathbf{M}^{-1}(\mathbf{q})[\mathbf{R}(\mathbf{q})(\mathbf{H_a}\tau + \mathbf{D}(\tau)) - \mathbf{C}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}} - \mathbf{G}(\mathbf{q})](8)$$

The generalized tracking error variable  $q_e$  is defined as

$$\mathbf{q_e} = \mathbf{q} - \mathbf{q_d} \tag{9}$$

where  $\mathbf{q_d}$  is the desired trajectory. Its time derivative is defined as

$$\dot{\mathbf{q}}_{\mathbf{e}} = \dot{\mathbf{q}} - \dot{\mathbf{q}}_{\mathbf{d}} \tag{10}$$

And the filtered error is defined as

$$\mathbf{q}_{\mathbf{z}} = \dot{\mathbf{q}}_{\mathbf{e}} + \Lambda \mathbf{q}_{\mathbf{e}} \tag{11}$$

where  $\Lambda = \Lambda^T > 0$ . Then define the following stable linear reference model as

$$\ddot{\mathbf{q}}_{\mathbf{d}} = -\mathbf{A}_{\mathbf{d}}\dot{\mathbf{q}}_{\mathbf{d}} - \mathbf{B}_{\mathbf{d}}\mathbf{q}_{\mathbf{d}} - \mathbf{C}_{\mathbf{d}}\mathbf{c} \tag{12}$$

where  $\mathbf{c} = [\mathbf{c_t}, \mathbf{c_r}]^T = [c_x, c_y, c_z, c_\alpha, c_\beta, c_\gamma]^T \in \mathbb{R}^6$  is a piecewise continuous and uniformly bounded command,  $A_d \in \mathbb{R}^{6 \times 6}$ ,  $B_d \in \mathbb{R}^{6 \times 6}$  and  $C_d \in \mathbb{R}^{6 \times 6}$  are selected to satisfy the ideal specification of the closed-loop system.

In this paper, the desired error dynamics about  $q_e$  is

$$\dot{\mathbf{q}}_{\mathbf{z}} = -\mathbf{K}\mathbf{q}_{\mathbf{e}} \tag{13}$$

where  $\mathbf{K} = \mathbf{K^T} > \mathbf{0}$  denotes the error feedback gain.

According to (8), (11), (12) and (13), we can obtain that

$$\mathbf{M}^{-1}[\mathbf{R}(\mathbf{q})(\mathbf{H_a}\tau + \mathbf{D}(\tau)) - \mathbf{C}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}}$$

$$-\mathbf{G}(\mathbf{q})] + \mathbf{A_d}\dot{\mathbf{q}_d} + \mathbf{B_d}\mathbf{q_d} + \mathbf{C_d}\mathbf{c}$$

$$= -(\mathbf{K} + \mathbf{\Lambda})\dot{\mathbf{q}_e} - \mathbf{K}\mathbf{\Lambda}\mathbf{q_e}$$
(14)

Then these uncertain terms can be denoted and rewritten as a new dynamic equation

$$-(\mathbf{K} + \mathbf{\Lambda})\dot{\mathbf{q}}_{e} - \mathbf{K}\mathbf{\Lambda}\mathbf{q}_{e}$$

$$= \mathbf{A}_{d}\dot{\mathbf{q}}_{d} + \mathbf{B}_{d}\mathbf{q}_{d} + \mathbf{C}_{d}\mathbf{c} + \mathbf{u}_{d} + b\tau$$
(15)

where

$$\mathbf{u_d} = \mathbf{M}^{-1}(\mathbf{x})[\mathbf{R}(\mathbf{q})(\mathbf{H_a}\tau + \mathbf{D}(\tau))$$
$$-\mathbf{C}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}} - \mathbf{G}(\mathbf{q})] - b\tau$$
(16)

Then through the transpose, there is

$$b\tau = -(\mathbf{K} + \mathbf{\Lambda})\dot{\mathbf{q}}_{e} - \mathbf{K}\mathbf{\Lambda}\mathbf{q}_{e}$$
$$-\mathbf{A}_{d}\dot{\mathbf{q}}_{d} - \mathbf{B}_{d}\mathbf{q}_{d} - \mathbf{C}_{d}\mathbf{c} - \mathbf{u}_{d}$$
(17)

where b is an equivalent control parameter associated with the control performance, then the uncertain terms can be obtained as  $\mathbf{u_d} = \ddot{\mathbf{q}} - b\tau$ , which denotes the uncertainties can be derived from the known part of dynamics and control signal. We can know that they cannot be used to design a controller straightly. Therefore, assume that the frequency range of a signal is restricted, and the signal can be approximated by using a filter with the proper bandwidth, then the process of UDE-based control is designed in [35], which is presented to handle the problem of system uncertainty. Meanwhile, assume that  $G_f(s)$  is a proper stable filter with the gain and zero phase shift over the spectrum of  $\mathbf{u_d}$  and zero gain elsewhere. Then, the uncertain terms  $\mathbf{u_d}$  can be approximated as

$$\hat{\mathbf{u}}_{\mathbf{d}} = \mathcal{L}^{-1} \{ G_f(s) \} * \mathbf{u}_{\mathbf{d}} = \mathcal{L}^{-1} \{ G_f(s) \} * (\ddot{\mathbf{q}} - b\tau)$$
 (18)

where  $\hat{\mathbf{u}}_{\mathbf{d}}$  is an estimate term of  $\mathbf{u}_{\mathbf{d}}$ , " \*" represents the convolution operation, and  $\mathcal{L}^{-1}\{\cdot\}$  denotes the inverse Laplace transform of "  $\cdot$ ".

Remark 1: The theoretical principle of the filter selection is that, the filter  $G_f(s)$  should be designed with the unity gain and zero phase shift over the spectrum of  $u_d$  and zero gain elsewhere [35]. In [36], [45], the authors offer the detailed guideline of the filter selection. However, the parameters of filter cannot be selected too small because of the hardware limitations in practice. In addition, the sensor noise with high frequency would be caused by the filter with too wide bandwidth, and it might degrade the performance of the UDE-based controller. Thus, how to choose a proper filter depends on the specific application including the hardware capability and the practical necessity.

Replacing  $\mathbf{u_d}$  with  $\hat{\mathbf{u}_d}$  in (17) results in

$$b\tau = -(\mathbf{K} + \mathbf{\Lambda})\dot{\mathbf{q}}_{e} - \mathbf{K}\mathbf{\Lambda}\mathbf{q}_{e} - \mathbf{A}_{d}\dot{\mathbf{q}}_{d} - \mathbf{B}_{d}\mathbf{q}_{d} - \mathbf{C}_{d}\mathbf{c}$$
$$-\mathcal{L}^{-1}\left\{G_{f}(s)\right\} * (\ddot{\mathbf{q}} - b\tau)$$
(19)

Furthermore, the UDE-based controller can be obtained from

UDE compensate the backlash

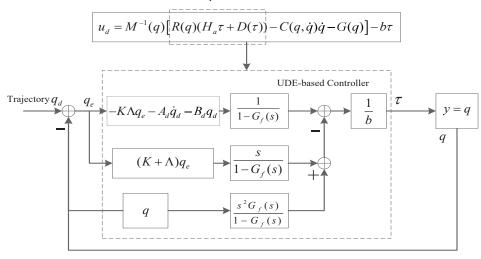


Fig. 2. The proposed UDE-based control strategy with output-feedback

(19) as

$$\tau = \frac{1}{b} \left\{ \mathcal{L}^{-1} \left\{ \frac{1}{1 - G_f(s)} \right\} * (-(\mathbf{K} + \mathbf{\Lambda}) \dot{\mathbf{q}}_e - \mathbf{K} \mathbf{\Lambda} \mathbf{q}_e - \mathbf{A}_d \dot{\mathbf{q}}_d - \mathbf{B}_d \mathbf{q}_d - \mathbf{C}_d \mathbf{c} \right\} - \mathcal{L}^{-1} \left\{ \frac{s G_f(s)}{1 - G_f(s)} \right\} * \dot{\mathbf{q}} \right\}$$
(20)

Since the above controller (20) requires full states  $\mathbf{q}$ , and  $\dot{\mathbf{q}}$  to be measured. However, some state information cannot be obtained in the absence of velocity sensors. In that situation, an output feedback control law can be derived from (20) as

$$\tau = \frac{1}{b} \left\{ \mathcal{L}^{-1} \left\{ \frac{1}{1 - G_f(s)} \right\} * \left( -\mathbf{K} \Lambda \mathbf{q_e} - \mathbf{A_d} \dot{\mathbf{q}_d} - \mathbf{B_d} \mathbf{q_d} \right. \right.$$
$$\left. - \mathbf{C_d} \mathbf{c} \right) - \mathcal{L}^{-1} \left\{ \frac{s}{1 - G_f(s)} \right\} * \left( \mathbf{K} + \Lambda \mathbf{q_e} \right)$$
$$\left. - \mathcal{L}^{-1} \left\{ \frac{s^2 G_f(s)}{1 - G_f(s)} \right\} * \mathbf{q} \right\}$$
(21)

Obviously, the degree of the filter  $G_f(s)$  in (21) should not be less than 2 to guarantee the structure of control be realized, i.e.,  $[s^2G_f(s)]/[1-G_f(s)]$  should be suitable.

Consider the following Lyapunov candidate function

$$\mathbf{V_1} = \frac{1}{2} \mathbf{q_z^T} \mathbf{q_z} \tag{22}$$

Differentiating (22) with respect to time, along with (11) and (17) yields,

$$\dot{\mathbf{V}}_{1} = \mathbf{q}_{z}^{T} \dot{\mathbf{q}}_{z} 
= \mathbf{q}_{z}^{T} (\ddot{\mathbf{q}}_{e} + \Lambda \dot{\mathbf{q}}_{e}) 
= \mathbf{q}_{z}^{T} (\mathbf{u}_{d} + \mathbf{b}\tau - \ddot{\mathbf{q}}_{d} + \Lambda \dot{\mathbf{q}}_{e})$$
(23)

with the UDE-based controller (21), and substituting (19) into (23), we can obtain

$$\dot{\mathbf{V}}_{1} = \mathbf{q}_{\mathbf{z}}^{\mathbf{T}}(-\mathbf{K}\mathbf{q}_{\mathbf{z}} + \mathbf{u}_{\mathbf{d}} - \hat{\mathbf{u}}_{\mathbf{d}})$$

$$= -\mathbf{q}_{\mathbf{z}}^{\mathbf{T}}\mathbf{K}\mathbf{q}_{\mathbf{z}} - \mathbf{q}_{\mathbf{z}}^{\mathbf{T}}\tilde{\mathbf{u}}$$
(24)

where  $\tilde{\mathbf{u}}_{\mathbf{d}} = \mathbf{u}_{\mathbf{d}} - \hat{\mathbf{u}}_{\mathbf{d}}$  is the estimated error of the uncertainty terms, in the accordance with the (18), the estimated error can

be proposed as

$$\tilde{\mathbf{u}}_{\mathbf{d}} = \mathbf{u}_{\mathbf{d}} * \mathcal{L}^{-1} \{ 1 - G_f(s) \}$$
 (25)

Since we assume that  $G_f(s)$  is a strictly suitable stable filter with proper bandwidth. Then, we can obtain

$$\dot{\mathbf{V}}_{1} \leq -\mathbf{q}_{\mathbf{z}}^{\mathbf{T}}\mathbf{K}\mathbf{q}_{\mathbf{z}} + \frac{1}{2}\mathbf{q}_{\mathbf{z}}^{\mathbf{T}}\mathbf{q}_{\mathbf{z}} + \frac{1}{2}\tilde{\mathbf{u}}^{2}$$

$$\leq -\mathbf{q}_{\mathbf{z}}^{\mathbf{T}}(\mathbf{K} - \frac{1}{2}\mathbf{I})\mathbf{q}_{\mathbf{z}} + \frac{1}{2}\tilde{\mathbf{u}}^{2}$$

$$\leq -\rho\mathbf{V}_{1} + \mathbf{C}_{1} \tag{26}$$

where  $\rho_1$  and  $C_1$  are define as

$$\rho_1 = \min\{2(\mathbf{K} - \frac{1}{2}\mathbf{I})\} \tag{27}$$

$$\mathbf{C_1} = \frac{1}{2}\tilde{\mathbf{u}}^2 \tag{28}$$

**Proof**: Multiplying (26) by  $\mathbf{q}_{\mathbf{p}}^{\rho_1}\mathbf{t}$ , we have

$$\frac{d}{dt}(\mathbf{V_1}\mathbf{e}^{\rho_1}\mathbf{t}) \le \mathbf{C_1}\mathbf{e}^{\rho_1}\mathbf{t} \tag{29}$$

By integrating the equation and according to (22), we have

$$\frac{1}{2} \|\mathbf{q_z}\|^2 \le \mathbf{V_1}(\mathbf{q}(0)) + \frac{\mathbf{C_1}}{\rho_1}$$
 (30)

It is clear that  $\|\mathbf{q_e}\|$  can be reduced by increasing the control parameter  $\mathbf{K}$  and designing the filter  $G_f(s)$  to reduce the estimation error  $\tilde{\mathbf{u}}$ . if the estimation error  $\tilde{\mathbf{u}}$  converges to zero, then the error signal  $\mathbf{q_e}$  converges to zero too. According to the claim that the filter  $G_f(s)$  is designed as a strictly proper stable filter in [35], we can obtain that  $\|\tilde{\mathbf{u}}\| \approx 0$ . Therefore, the error signal  $\mathbf{q_e}$  is uniformly bounded according to Lemma 1.

Hence, we can obtain that z converges to a compact which is semiglobal uniformly bounded. Due to  $\mathbf{q_z} = \dot{\mathbf{q_e}} + \Lambda \mathbf{q_e}$ , that is,  $\dot{\mathbf{q_e}} = -\Lambda \mathbf{q_e} + \mathbf{q_z}$ , using the same proof method we can obtain that  $\mathbf{q_e} \leq \mathbf{q_e}(\mathbf{q}(0)) + \frac{\mathbf{q_z}}{\Lambda}$ .

### IV. NUMERICAL SIMULATIONS

In this part, simulations are implemented to verify our proposed controllers. The parameters of FWMAV model are listed in Table I.

TABLE I PARAMETERS OF THE FWAV SYSTEM

Parameter	Description	Value	
m	Total mass of the model	5.60g	
w	Body width	24mm	
$l_1$	Body length	77.5mm	
$l_2$	Wing length	53mm	
$I_{xx}$	Moment of inertia	$575 \mathrm{gmm}^2$	
$I_{yy}$	Moment of inertia	$\frac{576 \text{gmm}^2}{991 \text{gmm}^2}$	
$I_{zz}$	$I_{zz}$ Moment of inertia		

Comparing the system with the plat model in (8), the reference model is chosen as

$$\ddot{q}_d = -A_d \dot{q}_d - B_d q_d - C_d c \tag{31}$$

where  $A_d = \text{diag}[200, 200, 200, 500, 500, 500],$   $B_d = \text{diag}[500, 500, 500, 800, 800, 800],$  and  $C_d = \text{diag}[100, 100, 100, 200, 200, 200].$  The desired position  $\mathbf{c_t}$  of FWAV can be chosen as

$$\begin{cases} c_x(t) = 100\sin(\pi t) \\ c_y(t) = 100\sin(\pi t) \\ c_z(t) = 100\sin(\pi t) \end{cases}$$
(32)

and the desired attitude  $c_r$  of FWAV are chosen as

$$\begin{cases}
c_{\alpha}(t) = 2\sin(2t) \\
c_{\beta}(t) = 2\sin(2t) \\
c_{\gamma}(t) = 2\sin(2t)
\end{cases}$$
(33)

The initial conditions for all states are given as  $\mathbf{q}(0) = [10, 10, 10, 0.5, 0.5, 0.5]^T$ ,  $\dot{\mathbf{q}}(0) = [0.01, 0.01, 0.01, 0.01, 0.01, 0.01]^T$ . In the next several subsections, some tables are drawn to denote the tracking performance by using different kinds errors, such as Root Mean Square Error (RMSE) and Mean Absolute Error (MAE). And the detail formula expression of these errors are as follows:

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (Y_i - Y_{id})^2}$$
 (34)

$$MAE = \frac{1}{N} \sum_{i=1}^{N} ||Y_i - Y_{id}||$$
 (35)

where  $Y_{id}$  denotes the reference value, and  $Y_i$  as the actual value.

## A. Comparison with different controllers

In this section, we compare our output feedback controller (21) with PD controller and DOB-based controller. The PD controller is designed with  $\tau_{\mathbf{pd}} = -\mathbf{K_p}\mathbf{e} - \mathbf{K_d}\dot{\mathbf{e}}$ , where  $\mathbf{K_p} = \mathrm{diag}[200, 200, 200, 500, 500, 500]$ ,  $\mathbf{K_d} =$ 

diag[500, 500, 500, 500, 500, 500]. Other simulation parameters are selected as b = 2,  $\mathbf{K} = [500, 500, 500, 800, 800, 800]$ . In this case, we choose a second-order low-pass filter  $G_f(s) =$  $1/[(Ts)^2 + \sqrt{2}Ts + 1]$ , and T is selected as a small positive value to guarantee that the bandwidth covers the frequency spectrum of  $u_d$ . Consequently we choose T=0.01. Especially, the internal-model-embeded DOB is considered for comparison, and for fair comparison, the filter is chosen the same as UDE control which is also a second-order filter. Then, the different errors which reflect the different tracking performance are shown in TABLE II. From TABLE II, we can see that there are three different controllers in total, and every controller branches off into two different errors that the first column denotes RMSE, and the second column shows MAE, respectively. From this table, we can conclude that all kinds of errors of the PD controllers is the greatest and the UDE-based controller is the smallest.

Furthermore, in order to make the data that in the table more intuitive and visible. We select two rows of data (the third row and the sixth row) to have a draw for comparison from the position and angle information in the table respectively. It can be seen from Fig. 3 and 4 that the errors converge to a small value close to zero. And we can obtain that the tracking line can track the desired trajectory indirectly. However, it is clear that the errors are smaller with the controllers proposed in this paper, compared with the PD control. In addition, we can also state from Fig. 3 and 4 that the DOB-based control performance is not good with a violent oscillation at the beginning of the tracking process. This is not easy to realise it in practice. Therefore, it can be concluded that the control approach suggested in this article is a more preferable one in tracking performance than other controllers. Furthermore, the DOB also needs a larger control effort compared to the UDE.

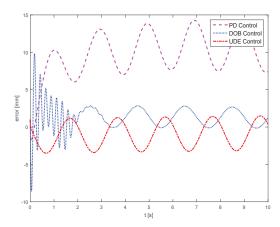


Fig. 3. Comparison of errors of position with different controllers (The data z in the third row of the TABLE II).

## B. Comparison with compensation effect

In this section, we compare our output-feedback controller (21) with the controller without backlash hysteresis compensation. The simulation parameters are selected to be the same as in the last section.

The comparison of different errors of output-feedback controllers with compensation and without compensation are

TABLE II
THE DIFFERENT ERRORS OF STATES OF THE FWAV SYSTEM WITH DIFFERENT CONTROLLERS

	PD Control		DOB Control		UDE Control	
x	3.0220	2.5383	1.7654	1.2604	1.9491	1.6408
y	5.3401	4.7160	1.6265	1.1903	1.9493	1.6408
z	10.1548	9.7324	2.1196	1.5851	1.9366	1.6337
$\alpha$	0.3905	0.3383	0.0353	0.0260	0.0220	0.0188
β	0.3378	0.2918	0.0325	0.0242	0.0189	0.0157
$\gamma$	0.4435	0.3816	0.0604	0.0464	0.0240	0.0199

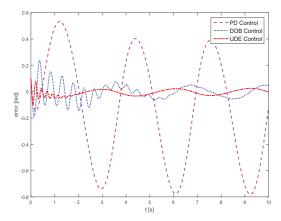


Fig. 4. Comparison of errors of attitude with different controllers (The data  $\gamma$  in the sixth row of the TABLE II).

shown in the TABLE III. It is the same as the last section, the first subcolumn denotes RMSE, and the second subcolumn shows MAE. It is obvious that all the numbers of errors of the controller without backlash hysteresis compensation are larger than the controller with compensation from these data. Moreover, in order to make the data that in the table more intuitive and visible. We select two rows of data (the first row and the fourth row) to have a draw for comparison from the position and angle information in the table respectively like IV-A. It can be seen from Fig. 5 and 6 that the errors of the controllers with compensation are less than the without one, and the error line is very unsmooth with high-frequency spikes for the controller without compensation. Therefore, we can see that the control approach proposed in this paper is more viable to solve the backlash problem, and that it is necessary to consider the compensation of backlash hysteresis.

TABLE III
THE DIFFERENT ERRORS OF STATES OF THE FWAV SYSTEM WITH
BACKLASH COMPENSATION OR NOT

	With Compensation		Without Compensation		
x	1.9491	1.6408	2.0673	1.7067	
y	1.9493	1.6408	2.0674	1.7075	
z	1.9366	1.6337	2.0496	1.6887	
$\alpha$	0.0220	0.0188	0.0591	0.0502	
β	0.0189	0.0157	0.0520	0.0462	
$\gamma$	0.0240	0.0199	0.0651	0.0573	

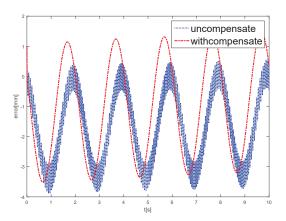


Fig. 5. Comparison of errors of position with compensation and uncompensation. (The data x in the first row of the TABLE III).

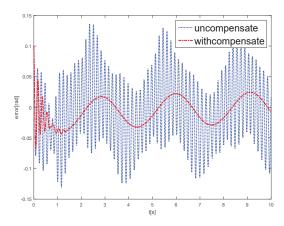


Fig. 6. Comparison of errors of attitude with compensation and uncompensation. (The data  $\alpha$  in the fourth row of the TABLE III).

## C. Comparison with different frequencies

In this section, we compare our output feedback control performance (21) with different frequencies. The simulation parameters are selected the same as section IV-A. Furthermore, the filter parameter T is adjusted as 0.01, 0.1, 1, respectively, and the corresponding cut-off frequency is 15.91Hz, 1.591Hz, 0.1591Hz.

The comparison of different errors of controller with different frequencies with output-feedback are shown in the TABLE IV. From this table, it can be observed that the all kinds errors of controller are reduced by choosing a larger bandwidth. The more distinct consequences can be seen in the Fig. 7 and 8 which is selected from TABLE IV (the first row

and the fourth row), it is obvious that the errors are smaller and smoother when a higher frequency is selected. From these two figures, another important conclusion we can derive is that when the frequency is chosen as 15.91Hz, the tracking errors are remarkably smaller than 1.591Hz and 0.1591Hz. This is a reasonable phenomenon after consulting the literature, and in practice 15.91Hz is a logical frequency for experiment.

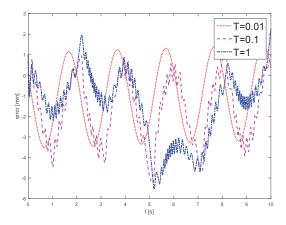


Fig. 7. Comparison of errors of position with different frequencies (The data x in the first row of the TABLE IV).

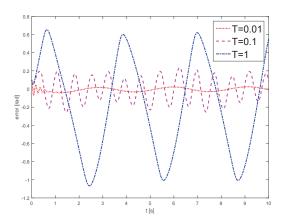


Fig. 8. Comparison of errors of attitude with different frequencies (The data  $\alpha$  in the fourth row of the TABLE IV).

## V. CONCLUSION

In this paper, we propose the UDE-based control for FWAV systems with backlash-like hysteresis. The output-feedback controller is presented in the controller design part. The UDE-based control is used to estimate the model uncertainty and to compensate the unknown hysteresis. Its main advantage is that the controller design does not require any knowledge of the uncertainty and the disturbance, except the information about their bandwidth. Extensive simulation results are presented, through which the validity of the proposed approach is verified. It is seen that the trajectory tracking performance is excellent. A number of comparative studies with different control methods are then presented, as well as with different cut-off frequencies, and whether backlash hysteresis compensation is used or not. By a thorough analysis

of the simulation results, a number of deductions are arrived at. As future work, experimental implementation of the UDEbased control method is considered, as well as the use of the approach in other fields.

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TABLE IV
THE DIFFERENT ERRORS OF STATES OF THE FWAV SYSTEM WITH DIFFERENT FREQUENCIES

	T = 0.01		T = 0.1		T = 1	
x	1.9491	1.6408	2.3179	1.8531	2.4622	1.9106
y	1.9493	1.6408	2.3572	1.9231	2.5436	2.0162
z	1.9366	1.6337	2.1316	1.7395	2.9679	1.8657
$\alpha$	0.0220	0.0188	0.1357	0.1202	0.5687	0.4848
β	0.0189	0.0157	0.1324	0.1166	0.4573	0.3928
$\gamma$	0.0240	0.0199	0.1954	0.1696	0.8047	0.6835

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