

1 **Re-establishment of fish passage for conservation of threatened migratory species** 2 **of Western Indian Himalayan river systems**

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15 16 **Abstract**

17 Reestablishment of fish passage, including facilitating overcoming barriers presented by
18 impoundments or restoration of defunct structures, is attracting interest amongst
19 scientists and policy-makers as a mechanism to enable recovery of target fish species or
20 fish communities. A diversity of multispecies fish passage designs are in place in North
21 and South America, Europe, and Australia, with varying efficacy for different species.
22 However, only a few such fish passes have been constructed in dams in the Indian
23 Himalayan region, and their efficacy is largely unproven. Major problems associated with
24 fish passage designs include uneven success across a range of species, and largely
25 untested effectiveness at the large scale of many major dams. A new approach is
26 therefore required to understand the operational drawbacks of the pass way, and to take
27 an adaptive approach to both design and operational using field data to improve fish pass
28 efficiency. These measures could contribute significantly to the conservation of
29 threatened migratory fish in the increasingly impounded rivers of the Indian Himalayan
30 region.

31 32 **Background**

33
34 Globally, artificial means promoting fish passage through man-made barriers such as
35 dams have been designed and implemented since the late 1800s. In 1911, a “Fish
36 Ladder” was constructed at Itaipana Dam on the Pardo River in Brazil, South America, to
37 promote the passage of migratory river species (Pompeu et al. 2012); and a “Vertical slot”
38 fish pass was constructed to conserve native fish populations at Queensland State in
39 Australia (Jensen 2001). Clay (1995) reported that more than 37 fish passage designs

40 had been constructed globally to promote the passage of a range of groups of fishes,
41 including Cyprinids, Ictalurids and Salmonids. Similarly in England, the most commonly
42 used fish pass design for Atlantic salmon (*Salmo salar*), sea trout (sea-run brown trout:
43 *Salmo trutta*) and other anadromous fishes such as the sea lamprey (*Petromyzon*
44 *marinus*) and shad (*Alosa* spp.) is the “Pool type” (Cardoso 2015). In Belgium, a diversity
45 of types of fish pass have been constructed along the Lixhe hydroelectric dam on the river
46 Meuse, supporting passage of the European eel (*Anguilla anguilla*), common bleak
47 (*Alburnus alburnus*), tench (*Tinca tinca*), and Wels catfish (*Silurus glanis*), with reports of
48 benefits for target fish species (Benitez 2015).

49
50 The Indian ichthyofaunal diversity comprises of over 2,500 species (Jayaram 1999),
51 including over 900 reported from freshwater ecosystems (Talwar and Jhingran 1991). The
52 Indian Himalayan region can be divided into the eastern and the western Himalayan
53 regions. The western Himalayan region covers the states of Uttarakhand, Himachal
54 Pradesh, and Jammu and Kashmir and contains over 120 fish species (Hussain 1995), a
55 subset of the approximately 218 fish species (Sehgal 1999) recorded from the entire
56 Indian Himalayan region. An estimated of 544 species of freshwater fishes occur in the
57 warm waters of the Gangetic Plain, as well as 73 cold water and 143 brackish water fishes
58 (Anon, 1992-93). Mahanta et al (2005) records 456 Plains fish species, 157 cold water
59 and 182 brackish fish species. A study conducted revealed 133 fish species in
60 Uttarakhand, 98 in Himachal Pradesh, 107 in Jammu and Kashmir (175 species
61 altogether in the Western Himalaya); and out of 346 fish species (the Himalayan region),
62 273 were reported from the North-East Himalaya. Furthermore, 193 fish species were
63 restricted only to the Himalayan region, and not found in other highland areas of
64 peninsular India (Nautiyal and Singh 2009; Nautiyal 2010).

65
66 The Himalayan fish fauna has many specialized elements, in particular its adaptations to
67 episodic torrential flows during monsoon rains. Any change in the flow (volume, current
68 velocities and local variability of both) and connectivity of rivers has the potential to
69 deprive these specialized fishes of the basic requirements necessary to maintain a
70 flourishing population, potentially threatening the long-term viability of fish populations. A
71 large number of Himalayan fish species are essentially cold water species, inhabiting
72 glacier-fed perennial rivers such as the Tons, Yamuna, Bhagirathi, Bhilangana,
73 Alaknanda, Dhaulti Ganga, Mandakini, Nandakini, Pindar, Goriganga and Sharda as well
74 as a number of perennial and seasonal tributaries. These rivers comprise a variety of
75 suitable habitats (pool, run, riffle, etc.) supporting minnows, loaches and cat fishes (Das
76 and Dijik 2013), and also serving the refuge, spawning and feeding needs of various other
77 potamodromus fishes (Dudgeon 2006).

78
79 **Impact of existing dams in the western Indian Himalayan region**

80

81 A rapid survey of rivers in the western Himalayan region revealed an abundance of fish
82 species including common snow trout species (*Schizothorax richardsonii*, *S.*
83 *plagiostomus*, *S. progastus*, *S. esocinus*, *S. niger*) as well as rapidly depleting mahseer
84 species such as the golden mahseer (*Tor putitora*) and red-finned mahseer (*Tor tor*), and
85 other less common/abundant species stone roller (*Crossocheilus latius*), sucker head
86 (*Garra gotyla*), Indian hill trout (*Barilius bendelisis*), Kalabans (*Bangana dero*),
87 *Pseudechenis sulcatus* and *Glyptothorax spp.* among others (Gupta et al. 2015; 2017).
88 However, artificial obstructions to the migratory routes of certain fish species has
89 restricted their upstream migration, preventing access to spawning and nursery grounds
90 contributing to a decline in their populations due to impaired recruitment and other
91 adverse impacts on the aquatic ecosystem (Rao 1979; Carl 2007). These factors have
92 knock-on detrimental impacts in terms of conserving genetic diversity in areas threatened
93 by reduced fecundity, as well as inhibiting the attainment of maximum growth in the
94 population (Larinier and Marmulla 2004; Dupont 2015).

95

96 Whilst dams are seen as essential for strengthening regional economies and for
97 maximizing land cover under irrigation and generation of electricity (Richer and Thomas
98 2007), their construction nevertheless presents a global conservation issue (Everard,
99 2013). One option is to pay greater regard to the sensitivity of both the design and
100 operation of proposed dams early in the planning stage and adaptively throughout
101 operational life in terms of their potential and observed impacts upon adjacent aquatic
102 and terrestrial ecosystems, seeking to mitigate potential environmental degradation.
103 There is consequently a growing demand among freshwater scientists that habitat
104 restrictions for fish imposed by dams need to be overcome, with fish passes representing
105 one potential solution to maintain longitudinal connectivity as a means to protect or assist
106 restoration of fish migration and population (Dupont 2015).

107

108 **Fish passes**

109

110 Fish passes are not a novel concept in India. Since the early 1890s, a number of such
111 passes were constructed along the Ganges River at Haridwar, Uttarakhand and over the
112 Yamuna River at Tajewala, Haryana. However, their effectiveness has generally been
113 debatable, and commonly not assessed post-construction. The Hathani Kund barrage on
114 the Yamuna, Haryana, was constructed in 1999, equipped with a Denil-type (or baffle-
115 type) fish pass and was found to be beneficial for the upstream migration of the golden
116 mahseer (Larinier and Marmulla 2004), and Endanger species on the IUCN Red List (Jha
117 and Rayamajhi, 2010). Recently, more fish passes have been constructed in the Western
118 Indian Himalayan region, including for example the Larji Hydro Electric Project on the
119 Beas River in Kullu, Himachal Pradesh. However, issues such as insufficiently

120 submerged fish ladders, presence of debris in baffles, and improper ladder steepness
121 have been described as compromising the effectiveness of the Larji Hydro Electric Project
122 fish pass (pers. comm.). The Uri hydropower project in Jammu and Kashmir also has a
123 pool-type fish pass for snow trout species, some of them variously assessed as
124 Vulnerable or Endangered on the IUCN Red List, though utilization of the fish pass is poor
125 in terms of the numbers of fish attempting to move upstream. A Denil-type fish pass was
126 constructed on the Mahanadi River, Orissa. Downstream of the Indian Himalayas, In the
127 Farakka barrage over the Ganges River in West Bengal, “fish lock” type passes were
128 constructed to address the migratory requirement of the giant river prawn
129 (*Macrobrachium rosenbergii*) and Hilsa shad (*Tenuialosa ilisha*), both assessed as Least
130 Concern on the IUCN Red List, though unfortunately technical requirements and
131 structural limitations led to unsatisfactory fish migration through the impoundment (CIFRI
132 2007). In the Indian Eastern Himalayas, the Government of Arunachal Pradesh recently
133 signed a Memorandum of Understanding (MoU) and Memorandum of Agreement (MoA)
134 with India’s Central Inland Fisheries Research Institute (CIFRI) in Kolkata for making
135 provision of passage for native fish species at upcoming power projects in the state (The
136 Economic Times, 2015).

137

138 **Way forward**

139

140 To maintain or promote the regeneration of fish populations in the Indian Himalayas,
141 foresight in planning and an adaptive approach to ensuing management are important
142 considerations before a fish pass is sanctioned and constructed on any dam construction
143 project. This d foresight needs to take full account of fish species present and likely to be
144 affected, their distribution, identification of critical phase site of life cycle, and
145 determination of the cruising burst speed of each fish species (Theophilus 2014). Fish
146 pass design has then to be tailored to the needs of multiple potentially affected species.
147 Additionally, it has been observed that alternate solutions such as Eco Hatcheries on
148 dams support only commercially important fishes like brown trout, and have little or no
149 beneficial impact on species conservation in general. Artificial stock rearing practices also
150 have limitations in terms of sustaining localised genetic variability, with difficulties
151 observed in maintaining the population of catfishes, cyprinids and loaches through such
152 practices (SANDRP 2012). Stocking of fish species in reservoirs to enhance production
153 needs to be viewed with great caution (now also accepted by senior and retired fisheries
154 scientists in the region), and such practices should not overlook the associated
155 conservation issues in the region.

156

157 It is therefore vital that Government-authorized institutes and authorities study the
158 requirement of native fish species near a dam site, and establish baseline data before
159 suggesting the optimum fish pass type, ladder length and water speed to be maintained

160 at fish ladders (SANDRP 2014). With multiple upcoming and proposed hydropower
161 projects in the Indian Western Himalayan region, such an approach could be critical,
162 supported by other relevant conservation strategies, for the protection of the threatened
163 migratory fishes here. Simply assuming that a fish pass will work in any given setting is
164 insufficient. There should be a record of the fish species that are able to negotiate such
165 passes successfully, particularly vulnerable target species (snow trout, mahseer) that
166 need to be conserved. All such structures should also ideally have installed monitoring
167 technologies to count individuals of various fish species ascending and descending
168 through them, data invaluable for improving both design and operation through an
169 adaptive rather than a 'fit and forget' approach. Record from these counters should be
170 screened by trained fishery scientists, ideally from nearby research institutions
171 (Universities/Agriculture Universities/Fishery Institutes), to evaluate the performance of
172 the fish pass, or in order to make it more transparent, with data made publicly available
173 on websites with notifying emails to concerned institutions.

174
175 There is also a need to address additional critical operational issues in the region. The
176 authors have been informed that persons in-charge of operating fish passes have been
177 approached by 'poachers' to allow them access to the fish pass ways to set up traps and
178 capture the ascending fish. Lack of knowledge about aquatic biodiversity in general, their
179 role in the ecosystem, threats to biodiversity, the concept of endemic species, specialized
180 fish species, threatened and endangered fish species, and a range of other factors have
181 led to such poaching situations in the past exploiting fish clustered as they await passage.
182 As a result, there have been cases where many brooders below the pass ways were killed
183 in large numbers, resulting in fish researchers and scientists across India suggesting that
184 fish passes should not be installed. This is an extremely worrying sign, and more
185 awareness and education drives need to be conducted among the local fishing
186 communities and hydropower people (not just barrage and dam operators). Also,
187 accountability for such activities needs to be established to avoid such activities in the
188 future. There is significant study elsewhere in the world of appropriate protection zones
189 upstream and downstream of fish passes to prevent the exploitation of vulnerable,
190 crowded fish populations waiting to ascend or descent fish passes, and this should be
191 interrogated to inform relevant local management.

192
193 At present, there are not routinely applied mechanisms to checks on water discharge
194 through fish passes, as flowing water is often viewed narrowly as a profitable commodity
195 utilized for power generation. The required ecological flow of water through fish passes,
196 bypassing hydropower plants, need to be maintained as it is extremely important for
197 passing fishes downstream. Additionally, in-depth field research is required to understand
198 the swimming dynamics and existing fish habitats in wake of the increasing anthropogenic
199 threats and changing climatic variables for most of the species in the Indian Himalayan

200 region. Further, responsibilities to manage these fish pass ways in an ecologically-friendly
201 manner warrants the fixing of responsibilities on designated and accepting
202 institutes/agencies by the Government. This will ensure that the onus lies on a particular
203 authoritative body, and targeted and accountable approaches are ensured by them.

204
205 Addition issues of fish pass and dam design and operation beyond the scope of this brief
206 review paper include the importance of siting of fish passes and adequate flow of water
207 through them to maintain an 'attraction flow' for migrating fish (Silva et al. 2018), as well
208 as closer emulation of dam releases to maintain downstream habitat and associated fish
209 and other aquatic communities (Cross et al. 2011).

210
211 Existing institutions and agencies therefore need to be sensitized regarding the benefits
212 of fish conservation, and the importance of fish migration for aquatic food webs. These
213 basic, first steps represent building blocks towards a more nuanced and prioritized
214 approach to local conservation efforts, and should be promoted vociferously by
215 authorities with mandates for promoting aquatic biodiversity protection and conservation.
216 Currently, there is a reluctance among concerned agencies as they claim to only have
217 jurisdiction over water, but not on aquatic biodiversity. Above all, there is a requirement
218 for the setting up of a monitoring and evaluation committee which can ensure that actions
219 are happening on the ground, and one which can monitor its progress and sustainability.
220 Summing up, although the conservation journey seems long, addressing the adaptive
221 design and operation of fish passes may be a significant element of a longer-term culture
222 change with respect to sustainable exploitation of India's Himalayan rivers.

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