## Mechanical characterisation of adobe samples from the state of Morelos, Mexico

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#### Abstract

Adobe properties are highly dependent on the local soil composition and can vary significantly depending on fabrication techniques, configurations and state of conservation. The effect of the 2017 Earthquakes in Mexico relaunched the discussion regarding the adequacy and safety of adobe-based constructions – a debate that involves many economic, cultural and social facets related to the vernacular expressions and ways of life, long-time sustainability and risk management. In an effort to contribute to this, the present article includes and discusses a series of experiments performed in typologically representative adobe constructions in the municipality of Tepoztlán (State of Morelos, Mexico). A campaign of ultrasonic pulse velocity tests was conducted in thirteen historical buildings with the objective of assessing the variability of the adobe material present in those buildings. Some adobe units were then collected and tested in the laboratory to assess their compressive strength and stress/strain behaviour. From these two sets of experiments, it was possible to obtain valuable insights into the mechanical properties of the adobe that constitute the characteristic housing typology in the region.

Keywords: Earthquakes, In situ testing, Safety and hazards. Clays, Compressive Strength.

#### List of notations.

- $M_w$  is the seismic intensity in EMS-98 Scale
- $v_p$  is the velocity of propagation of a longitudinal elastic p-wave
- ρ is the density of the tested material
- E<sub>D</sub> is the dynamic elastic modulus
- υ is the Poisson's ratio
- *E* is the static elastic modulus
- $\epsilon_x$  is the strain in the XX direction
- $\epsilon_y$  is the strain in the YY direction

#### 1 1. Introduction

A critical aspect of risk mitigation and management lies in assessing the ability of the existing 2 infrastructures and buildings to cope with extreme events, such as earthquakes. This awareness 3 regarding the vulnerability of existing constructions is associated with the expectancy of damages in 4 post-seismic scenarios (Gavarini, 2001). Identifying vulnerable structures at the urban scale presents 5 several challenges, including variability in structural typologies and the ongoing processes of 6 modification and changes in use that many of these buildings have undergone over time. This is 7 especially complex when dealing with historical constructions, where singularities prevent 8 generalisations even within typologically similar constructions. 9

10 In September 2017, two strong earthquakes hit Mexican territory. The first one (7th September), later known as the "Tehuantepec Earthquake", had an intensity of  $M_W=8.2$  and provoked extensive 11 damages in the southern states of the country. A second event, on 19th September (later named 12 "Puebla-Morelos Earthquake"), had an intensity of Mw=7.1 and impacted the centre and south regions 13 of Mexico. More than 23,000 buildings in the state of Morelos alone (a state with ca. 1.972 million 14 hab. located south of Mexico City) were damaged. From these, some 7,300 were reported as 15 destroyed (Archundia-Aranda, 2020). Historical constructions represented a significant proportion of 16 17 the damaged structures (Godínez-Domínguez et al., 2021) and within this group, vernacular adobe buildings were commonly perceived as particularly vulnerable. This is particularly meaningful 18 considering that adobe structures represent more than 20% of housing units in certain municipalities 19 in the State or Morelos (Sánchez Calvillo, Alonso Guzmán and López Núñez, 2021), particularly in 20 the rural areas of the state. These examples of vernacular constructions represent a material 21 response to the material availability and climatic conditions of this region (Rojas, Ferrer and S, 2009). 22

During the post-event disaster management, there was a heated debate centred on discussing the safety levels that adobe-based structures offer towards seismic actions (Chmutina, Jigyasu and Okubo, 2020). This debate often pitted the risk perception of the inhabitants, based on the empirical observations (i.e., the extensive damages on adobe structures), against the cultural loss represented by the demolition of these structures, which, according to several experts in this field, could be avoided through the implementation of well-reasoned structural repair and retrofitting interventions
 (Guerrero Baca and Soria López, 2018). According to the Historic Urban Landscape principles
 (UNESCO, 2016), the loss of these assets represents irreparable damage to the cultural heritage of
 cities.

Taking care of and preserving cultural heritage is an ongoing activity that can be incorporated into large-scale initiatives such as risk mitigation actions outlined in the Chart of the Sendai Framework for Disaster Risk Reduction 2015-2030 (United Nations, 2015). However, the practice of risk mitigation policies depends on understanding risk and, therefore, characterising vulnerabilities and the current state of cultural assets. A critical step in performing these assessments is estimating the mechanical properties of materials and structures.

This study presents an experimental program conducted on historical buildings in the 38 municipality of Tepoztlán, state of Morelos, Mexico, intending to provide insight into the mechanical 39 properties of the adobe used in this region. The first stage of this experimental work involved 40 conducting ultrasonic pulse velocity tests on the structural elements (columns and walls) of thirteen 41 historical buildings with the primary objective of understanding material variability. Adobe samples 42 were also collected and tested in the laboratory of the Institute of Engineering of the National 43 Autonomous University of Mexico, where compressive tests were conducted to observe strain/stress 44 behaviour. 45

It is worth noting that the municipality of Tepoztlán was chosen as a case study in the context of a larger campaign to assess vulnerability through a series of parametric descriptions. The municipality is home to a significant number of vernacular houses with a regular typology, creating a mixed cultural and natural environment that supports tourism and promotes the preservation of architectonic heritage (Uhnák, 2018).

#### 51 2. Materials and testing protocols

The experiments presented and discussed in this paper were conducted on buildings (or on adobe material collected from buildings) considered historical monuments by Mexican law, which stipulates that any construction built before 1900 is automatically recognised as historic. These <sup>55</sup> buildings are therefore listed in the Mexican National Catalogue of Historical Constructions (Ramírez
 <sup>56</sup> Eudave and Ferreira, 2021).

### 57 2.1 Ultrasonic pulse propagation velocity

A series of tests to determine the velocity of ultrasonic wave propagation were performed in situ, 58 59 totalling 117 successful measurements on 39 different samples (i.e., each sample was measured three times to obtain a mean value) in 13 different buildings. The possibility of performing direct and 60 indirect tests was heavily constrained by the facilities provided by the owners of the buildings. Despite 61 the ideal procedure being to test both internal and external materials (given the sensitivity of adobe 62 towards weathering), in most cases, owners only allowed the team to work from the exterior of the 63 buildings (Figure 1), mainly due to public safety concerns. The number of measurements of each type 64 is presented in Table 1. The nomenclature adopted expresses the type of measurement and the 65 number of mortar joints traversed by the elastic wave. 66



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- Figure 1. Examples of direct ultrasonic wave propagation measurements in a column (A) and a wall
- (B) and an indirect measurement in the external face of a façade wall (C)

#### Table 1. Summary of type and number of measurements.

| Туре     | Samples | Description                                                  |
|----------|---------|--------------------------------------------------------------|
| Direct 0 | 12      | Opposite sides of the wall, measuring a simple adobe unit.   |
| Direct 1 | 1       | Opposite sides of the wall passing through one mortar joints |

| Direct 2   | 2  | Opposite sides of the wall passing through two mortar joints     |  |
|------------|----|------------------------------------------------------------------|--|
| Indirect 0 | 19 | From the same side of the adobe unit                             |  |
| Indirect 1 | 3  | From the same side of the wall passing through one mortar joint. |  |
| Indirect 2 | 2  | From the same side of the wall passing through two mortar joints |  |
| Total      | 39 |                                                                  |  |

The equipment used for this purpose was a Pundit Array 250 device equipped with standard kHz transducers for testing the pulse velocity of P-waves. The pulse voltage was set for all measurements at 150 V, and the probe gain was kept at 500x. The test protocol involved cleaning the surface of the specimens with a soft brush, as well as cleaning and covering the sensors with a contacting gel to improve the propagation of the waves between the transducers to the specimens. When a measurement was successful (i.e., the ultrasonic waves were effectively transmitted), two additional measurements were taken at the same location. All non-repeatable observations were excluded.

#### 78 2.2 Mechanical characterisation of adobe units

As mentioned before, these experiments were designed to determine the compressive strength, modulus of elasticity and Poisson ratio of the building material of most vernacular constructions in the studied region. All the tests were conducted in the Structures Laboratory of the Institute of Engineering of the National Autonomous University of Mexico using a universal testing machine. The experimental program consisted of two sets of experiments:

a) Preliminary unconfined compressive strength tests on small samples (referred to as A, B, and
 C in Table 2) to determine an indicative failure strength of the material and calibrate suitable load
 cycles for the remaining samples;

b) Unconfined compressive strength tests on complete adobe blocks (referred to as I, II, III and IV in Table 2) adopting three cycles of loading and unloading below the indicative elastic limits of compressive strength. Strains in the blocks were monitored using an OptoTrack system (details can be found in Subsection 2.2.3).

91 2.2.1 Materials

It is convenient to emphasise that the obtention of materials from historical constructions is subjected to several challenges. The obtention of the materials herein presented is the result of largescale field campaigns in which the vast majority of inhabitants refused the material collection for testing purposes. The negative reaction of the buildings' users was commonly associated with public safety concerns and the feeling of not getting any benefit from donating materials for the experimental campaign. Nevertheless, a set of samples was generously donated.

The samples tested in this experimental campaign were collected in the municipality of Tepoztlán during a fieldwork action on 18<sup>th</sup> August 2022. A set of four pieces were donated by the owner of a building listed in the Mexican National Catalogue of Historical Monuments (0071) as a historical construction. According to the donator, these adobe units (Figure 2) were recovered from partially collapsed walls on their property, which was allegedly built at the end of the 19<sup>th</sup> century.



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Figure 2. Pile of blocks from which the samples for the experiments in this study were obtained. Note that these pieces were stored in outdoor conditions.

The pieces that apparated to be in the best condition were selected from those offered. The largest piece, substantially bigger than the rest, was divided into pieces (samples A, B and C) in order to perform the preliminary calibration tests. The remaining samples (I, II, III and IV) were kept in their original conditions. The description of all the samples is summarised in Table 2.

| Key | Length [m] | Thickness [m] | Height [m] | Mass [kg] | Density $\left[\frac{kg}{m^3}\right]$ |
|-----|------------|---------------|------------|-----------|---------------------------------------|
| A   | 0.14       | 0.13          | 0.11       | 2.43      | 1258.74                               |
| В   | 0.13       | 0.11          | 0.11       | 1.74      | 1262.59                               |
| С   | 0.17       | 0.11          | 0.12       | 2.51      | 1259.80                               |
| I   | 0.28       | 0.10          | 0.37       | 13.35     | 1356.43                               |
| II  | 0.18       | 0.10          | 0.27       | 5.87      | 1230.61                               |
|     | 0.18       | 0.10          | 0.27       | 6.62      | 1433.83                               |
| IV  | 0.20       | 0.10          | 0.27       | 6.60      | 1277.21                               |

110 Table 2. Summary of the samples

The value of the density of these specimens (with a mean of 1333.56 kg/m<sup>3</sup>) is lower than most 111 of the typical values found in the literature for Mexican adobe Some studies report density values in 112 the order of 1800 kg/m<sup>3</sup> (Ruiz Sibaja and Vidal Sánchez, 2015; Juarez, Caballero and Morales, 2016), 113 while some recommendations accept values of about 1600 (CEMEX, 2013) or even 1500 kg/m<sup>3</sup> 114 (Tyrakowski, 2013). The experimental campaign of Catalán Quiroz et al. (2019) reported densities of 115 ca. 1370 kg/m<sup>3</sup> which is close to the values found during this work. Given that the composition of 116 adobe is very dependent on the local soil properties and the aggregates (if any), these relatively low 117 densities may be related to internal voids, external disaggregation or a relatively high presence of 118 vegetal fibres. 119

According to residents, these adobes were originally made from a mixture of local soil, collected near the construction site, and a proportion of horse manure, which was then compressed in formworks and left to dry in the sun. The inclusion of manure in this composition is significant because it adds vegetable fibres that were supposed to enhance the stability of the pieces. Experimental evidence of the positive impact of this retrofitting has been reported in several studies, such as those of Ruiz Serrano (2019) and Jerónimo-Vargas *et al.* (2022). When inquired about the traditional dynamics for producing adobe housing, most of the inhabitants agreed on describing the adobe manufacture as a craft with a reduced number of experts that used to cover the needs of the entire
 settlement. The sizes, composition and processes are quite homogeneous given the reduced number
 of specialists and the father-to-son transmission. Even today, adobe fabrication faces the lack of local
 regulations and relies on traditional know-how.

#### 131 2.2.2 Preliminary compressive tests

48h before testing, all the adobe samples were prepared by adding a layer of gypsum to create
a more regular contact surface between the adobe units and the machine (Figure 3). This ensured
the elimination of any possible stress concentration points and ensured that the specimens were
tested for pure compressive strength.



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143 2.2.3 Unconfined compressive strength tests with strain measurement

Figure 3. Set of samples after preparation with gypsum.

The first set of tests (on specimens A, B and C) was conducted following a load-based control protocol at a constant rate of 5 kN/min until reaching visible failure. The maximum load for these specimens was 8.46, 5.90 and 11.68 kN, respectively. Based on these observations, the maximum failure stress was in the range of 0.41 to 0.67 MPa. Therefore, it was decided to adopt controlled load cycles of 2.6 kN for testing the subsequent samples before reaching failure.

The conduction of the unconfined compressive strength was aided by two OptoTrack Certus HD Position Sensor devices and infrared LED arrays to measure strain on the surface of the specimens in the two main directions on both sides of each specimen. The sensor was composed of three cameras that tracked the displacements of a set of infrared LEDs in the X, Y and Z axis with a resolution of 0.01 mm. These devices can be set to follow multiple surfaces using a common spatial coordinate reference (Figure 4).

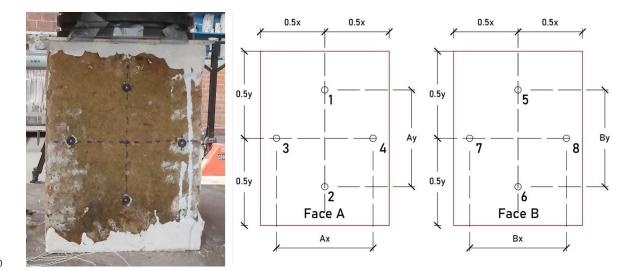


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Figure 4. View of the OptoTrack devices.

Four LEDs were installed in each face of the specimens, arranged in a cross concentric with the 152 geometrical centre of the specimen. This configuration provided two extensioneters in the XX and YY 153 axes, as shown in Figure 5. The original length of the extensometers for Specimen I was 154 approximately 200 mm, while those for Specimens II, III, and IV were 150 mm. The initial length of 155 the arrays, as measured by the cameras, is reported in Table 3. During the experiment, the use of 156 both devices allowed for real-time measurements of both faces of the specimens at a frequency of 157 0.1s. This sampling rate was selected to improve synchronisation with the outputs of the universal 158 159 testing machine.





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Figure 5. Schematisation of the infrared LEDs array.

| 162 | Table 3. Summary | of extensometers and original length according to the OptoTrack measurements. |
|-----|------------------|-------------------------------------------------------------------------------|
|     |                  |                                                                               |

| Specimen | Ax [mm] | Ay [mm] | Bx [mm] | By [mm] |
|----------|---------|---------|---------|---------|
| 1        | 200.77  | 201.67  | 204.34  | 198.62  |
| 11       | 150.18  | 146.28  | 150.43  | 148.98  |
|          | 148.81  | 144.66  | 149.40  | 145.57  |
| IV       | 145.15  | 148.56  | 148.21  | 150.62  |

These specimens were tested in two stages. An initial pre-charge of 0.1 kN was applied to ensure good contact between the machine's plates and the specimen. Three load cycles were then performed, reaching 2.6 kN (with a load-controlled rate of 5kN/min) and releasing the load until reaching 0.1 kN each time. The fourth load ramp was continued until the failure of the specimen. The failure threshold was reached when the compressive strength fell below 60%.

#### 168 **3. Results and discussion**

### 169 3.1 Ultrasonic tests

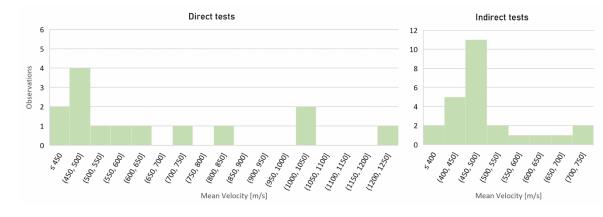
As can be seen in Table 4, the results of the ultrasonic pulse velocity tests (also reported in Appendix 1) showed a high level of variability, with velocities ranging from 393 to 1,238 m/s. The distribution of the velocities plotted in the histograms given in leads to excluding the hypothesis of normality for both direct and indirect tests. The hypothesis of a "monolithic" behaviour of adobe walls,

- in which the material used in the joints was assumed to be similar to that of the blocks, seems to be,
- therefore, not supported by the data.

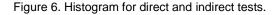
| 1 | 76 | , |
|---|----|---|
|   |    |   |

Table 4. Summary of descriptive statistics

| Туре       | Samples | Mean velocity [m/ | Mean velocity | Standard  | Coefficient of |
|------------|---------|-------------------|---------------|-----------|----------------|
|            |         | s]                | [m/s]         | deviation | Variation      |
| Direct 0   | 12      | 703.96            | 603.66        | 258.55    | 0.37           |
| Direct 1   | 1       | 493.33            | -             | -         | -              |
| Direct 2   | 2       | 437.00            | 437.00        | 10.84     | 0.02           |
| Indirect 0 | 19      | 507.01            | 480.66        | 90.60     | 0.18           |
| Indirect 1 | 3       | 516.22            | 488.00        | 88.76     | 0.17           |
| Indirect 2 | 2       | 394.50            | 394.50        | 1.65      | 0.00           |



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The first interpretation of these results suggests a high level of variability that makes it difficult to identify a typical range for analysis, particularly due to the discontinuity between the median and higher values. However, this inconclusiveness can be contextualised, and a different interpretation is possible. Three direct tests showed significantly higher velocities than the others (1,005, 1,009 and 1,238 m/s), though a visual inspection of these elements did not reveal any significant difference. Potential explanations for this difference include an atypical composition of adobe (e.g., with more rigid elements, such as stones or sand) or the presence of strengthening elements; bars, for example.

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The addition of slender canes (e.g., reed canes) was referred to by some inhabitants even if their presence was not witnessed during the field campaigns.

The three above-mentioned values, which significantly increase the samples' overall variability, 188 were all obtained from the same structure. Having this in mind and considering that 12 constructions 189 (rather than 13) still constitute a significant sample of buildings, an alternative interpretation was 190 191 performed by assuming that these values are outliners and excluding them from the analysis. The result of that approach is a considerably more uniform set of results, as can be observed in Figure 7. 192 However, the Shapiro-Wilk test for normality still showed that neither the results of direct (W (12) =193 0.8444, p=0.040) nor those of the indirect tests (and W (24) = 0.834, p = 0.001) are normality 194 distributed. 195

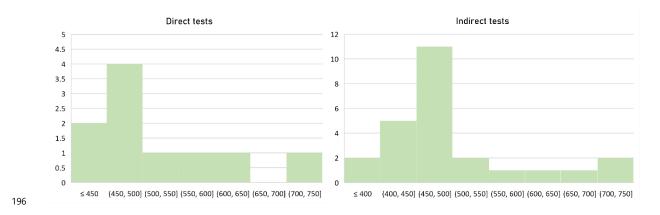




Figure 7. Frequency distribution after eliminating the three outlier results.

Specimens I, II, III and IV were subjected to the elastic wave characterisation as a prior stage before the compressive strength tests. The results of this characterisation (Table 5) together with the modulus of elasticity and Poisson ratios reported in Section 3.2 allowed to establish comparisons between these specimens and the materials tested in situ. This discussion is extensively reported in section 3.3. Nevertheless, the mean velocities obtained for samples I, II, III and IV are within the intervals observed during the on-site testing campaign.

| Specimen | Mean velocity $\mathbf{v}_{\mathbf{p}}\left[\frac{\mathbf{m}}{s}\right]$ | Density $\rho\left[\frac{kg}{m_3}\right]$ |
|----------|--------------------------------------------------------------------------|-------------------------------------------|
| I        | 688.67                                                                   | 1356.43                                   |
| II       | 701.67                                                                   | 1230.61                                   |

|      | 661.67 | 1433.83 |
|------|--------|---------|
| IV   | 680.33 | 1277.21 |
| Mean | 683.08 | 1324.52 |

Table 5.Elastic wave propagation velocities for specimens I, II, III and IV.

3.2 Compressive strength tests

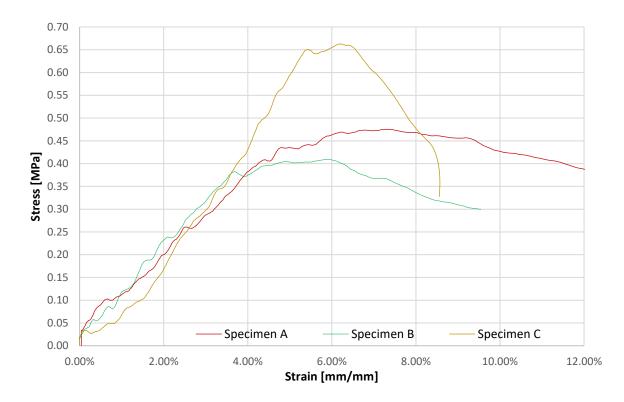
As mentioned earlier, Specimens A, B and C were tested with a single load-controlled ramp at a rate of 5 kN/min. Results are summarised in Table 6. It is convenient to recall that this experiment was aimed at establishing a reasonable interval for setting the subsequent tests and is not conclusive in representing the overall mechanical performance of the samples.

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Table 6. Results obtained for the compressive tests on specimens A, B and C.

|          | Max. compressive strength [MPa] | Strain at max. comp. strength | E [MPa] |
|----------|---------------------------------|-------------------------------|---------|
| Specimen |                                 | [mm/mm]                       |         |
| A        | 0.47                            | 0.064                         | 7.34    |
| В        | 0.42                            | 0.058                         | 7.24    |
| С        | 0.67                            | 0.063                         | 10.63   |
| Mean     | 0.52                            |                               | 8.40    |

The behaviour of all the specimens was quite similar in the elastic range, although specimen C reached a significatively higher compressive strength (Figure 8). The behaviour observed after the limit of the elastic region must not be interpreted as a plastic region of the curve but as a softeningalike phenomenon associated with the loss of material. It was observed that the failure mode was similar for all samples, with the detachment of the external layers of the specimens resulting in a sudden loss of section (see Figure 9). This phenomenon was somehow expected since the external layers of the samples are less constrained than the core.



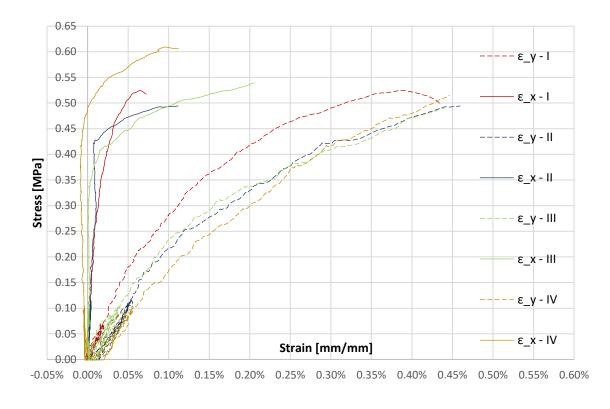
#### Figure 8. Strain/stress curves for specimens A, B and C.



Figure 9. Examples of the failure mode of samples A, B and C, with the detachment of the external 221 layers of material. 222 The experiments on specimens I, II, III and IV were conducted with the support of OptoTrack-223 based strain gauges. The evaluation of strains in the X and Y directions allowed for the estimation 224 of the Poisson's ratio for each specimen. Overall, the strain behaviour of all specimens was 225 consistent and similar, although the differences in the reached maximum stress. The 226 stress/strain curves, displayed in Figure 10, show similar progressive deformations for all 227 228 samples. The maximum stresses, strains and mean Poisson's ratio are reported in Table 7.

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Figure 10. Stress/strain curves for specimens I, II, III and IV.

Table 7. Maximum stresses and strains, density, elasticity modulus and Poisson's ratio per specimen.

| Specimen | Stress Strains |       | n X and Y directions | Density | Elasticity Modulus | Poisson's Ratio |
|----------|----------------|-------|----------------------|---------|--------------------|-----------------|
|          | [MPa]          | ε_γ   | ٤_X                  | [kg/m3] | E [MPa]            | U               |
| I        | 0.51           | 0.35% | 0.05%                | 1356.43 | 145.78             | 0.15            |
| II       | 0.43           | 0.31% | 0.01%                | 1230.61 | 135.94             | 0.03            |
| 111      | 0.33           | 0.19% | 0.00%                | 1433.83 | 173.18             | 0.01            |
| IV       | 0.48           | 0.40% | 0.00%                | 1277.21 | 119.35             | 0.00            |
| Mean     | 0.44           | 0.32% | 0.02%                | 1333.56 | 143.56             | 0.05            |

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The hysteretic curves obtained during the load/unload cycles show that, despite a certain variability in the elastic behaviour, there is a consistent elastic recovery. This can be understood as a sign of the good condition of the specimens.

3.3 Analysis and Discussion

In Cuitiño, Rotondaro and Esteves (2020), the authors assess a series of experiences worldwide 237 and report a wide variability of the compressive strength of this material, in a range of 0.3 and 2.06 238 MPa. This very significant range of value results, among other possible reasons, from the very 239 different processes and proportions used in the fabrication of adobe. Even if narrowed down to 240 Mexican references only, the wide range of values found in the literature is still significant. Sánchez, 241 Alonso and Bedolla (2021) found mean values of 0.39 MPa. Arroyo Matus, Sánchez Tizapa and 242 Catalán Quiroz (2013) report intervals from 0.59 up to 1.46 MPa from typical constructions in the 243 south and centre of Mexico. Sanchez-Calvillo et al. (2020) reported mean values of 0.52 MPa for 244 245 materials obtained from typical buildings in the state of Morelos. Contrastingly, Catalán Quiroz et al. (2019) reported mean values of 1.2 MPa for specimens produced in a laboratory. The values obtained 246 during this experimental campaign seem close to the lower boundaries of the values found in the 247 literature, close to 0.45 MPa. It is convenient to remember that the density of the materials herein 248 presented is also lower than most of the typical values found in the literature. 249

It is important to bear in mind that the materials tested in the experiments reported herein were 250 collected from seismic-damaged structures and after long-term storage (ca. five years) in uncontrolled 251 outdoor conditions. For these reasons, the mechanical performance of these samples is expected to 252 be lower than undamaged and well-preserved materials. Furthermore, it was not possible the dry the 253 specimens under controlled conditions before testing due to the unavailability of proper equipment 254 when this work was performed. Despite this limitation, and given that all the samples were collected, 255 stored and tested together, it is reasonable to assume that the conditions among the samples are still 256 comparable and equivalent. 257

Given the assumption of isotropy for this material (i.e., randomly oriented grains in which mechanical properties are not dependent on the macroscopic direction), Hooke's law is considered valid for correlating the velocity of propagation of a longitudinal elastic p-wave  $v_p$  as a function of the material density  $\rho$ , elastic dynamic modulus  $E_p$  and Poisson's ratio  $\upsilon$  (Makoond, Pelà and Molins, 2019), as expressed in Eq. 1. This permits the obtention of the elastic dynamic modulus (Eq. 2).

$$v_{p} = \left(\frac{E_{D}(1-\upsilon)}{\rho(1+\upsilon)(1-2\upsilon)}\right)^{\frac{1}{2}}$$
(1)

$$E_{\rm D} = \frac{v_{\rm p}^2 (\rho (1+\upsilon)(1-2\upsilon))}{1-\upsilon}$$
(2)

Since the ultrasonic elastic wave tests were also performed in these specimens, it was possible to obtain their dynamic modulus of elasticity and check if they were consistent with the static modulus of elasticity obtained in the laboratory tests (Table 8). Despite the relatively small number of samples, it was obtained a mean value of  $E_d \cong 4.23E$ . This proportion would be useful for contextualising boundaries for the modulus of elasticity of the materials tested in the ultrasonic wave propagation campaign.

| 269 | Table 8. Summary of the elast | c wave propagation velocity | and modulus of elasticity per spe | cimen |
|-----|-------------------------------|-----------------------------|-----------------------------------|-------|
|     |                               |                             |                                   |       |

| Specimen | Mean velocity $\mathbf{v}_p \left[\frac{\mathbf{m}}{s}\right]$ | Density $\rho\left[\frac{kg}{m3}\right]$ | E [MPa] | E <sub>D</sub> [MPa] | E <sub>D</sub> /E |
|----------|----------------------------------------------------------------|------------------------------------------|---------|----------------------|-------------------|
| I        | 688.67                                                         | 1356.43                                  | 145.78  | 607.00               | 4.16              |
| 11       | 701.67                                                         | 1230.61                                  | 135.94  | 604.68               | 4.45              |
|          | 661.67                                                         | 1433.83                                  | 173.18  | 627.64               | 3.62              |
| IV       | 680.33                                                         | 1277.21                                  | 119.35  | 591.15               | 4.95              |
| Mean     | 683.08                                                         | 1324.52                                  | 143.56  | 607.62               | 4.23              |

It is worth noting that the mean velocity  $v_p = 683.08$  m/s obtained for specimens I, II, III and IV is comparable to the mean value of  $v_p = 703.96$  m/s obtained for direct testing in the field campaign of Tepoztlán. This similarity suggests that the adobe pieces tested in the laboratory are representative of typical houses in Tepoztlán. However, this assumption is limited due to the small number of samples tested in the laboratory and the relatively low variability of velocities for each specimen.

These values obtained for the dynamic elastic modulus were compared to the ones obtained during the experimental laboratory campaign. According to the existing literature for Mexican adobe (Lacouture, L., Bernal, C., Ortiz, J.and Valencia, 2007), a Poisson ratio v = 0.3 is appropriate for this material. However, a value v = 0.05 was used to obtain the elastic dynamic modulus based on values found during the compressive tests (refer to Table 7). The values in Table 10 show a proportional

- consistency between the direct p-wave tests on specimens I, II, III and IV and the set of direct tests
- conducted in situ.

Table 9. Mean dynamic modulus of elasticity per type of test.

| Туре     |   | Mean velocity $v_p$ | Standard deviation | E <sub>D</sub> [MPa] |
|----------|---|---------------------|--------------------|----------------------|
| Direct   | 0 | 703.96              | 258.55             | 651.66               |
| Direct   | 1 | 493.33              | -                  | 320.04               |
| Direct   | 2 | 437.00              | 10.84              | 251.13               |
| Indirect | 0 | 507.01              | 90.60              | 338.04               |
| Indirect | 1 | 516.22              | 88.76              | 350.43               |
| Indirect | 2 | 394.50              | 1.65               | 204.65               |

Yet limited because of the variations found in the field campaign, the experimental results suggest a modulus of elasticity value of about 143 MPa and compressive strengths in the order of 0.45 MPa. The comparison provided in Table 10 is essential to conclude about the representativeness of the materials tested in the laboratory with respect to the typical adobe units found in the field ultrasonic testing campaign.

Table 10. Comparative between the mechanical characterisation performed through laboratory tests and a potential extrapolation based on the p-wave velocity tests in situ.

|                                                                                              | Mean velocity                                                                                                                                                                 | Coefficient of | Poisson Ratio $\boldsymbol{\upsilon}$ | E [MPa]  | E <sub>D</sub> [MPa] | E      |  |  |  |
|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|---------------------------------------|----------|----------------------|--------|--|--|--|
|                                                                                              | υ <sub>p</sub>                                                                                                                                                                | Variation      |                                       |          |                      | E      |  |  |  |
| Lab*                                                                                         | 683.08                                                                                                                                                                        | 0.025          | 0.05                                  | 143.56   | 607.62               | 4.23   |  |  |  |
| Field*                                                                                       | 703.96                                                                                                                                                                        | 0.367          | 0.05**                                | 131.74** | 557.28               | 4.23** |  |  |  |
|                                                                                              | *Lab refers to mean values experimentally obtained for specimens I, II, III and IV, while Field refers to values experimentally obtained from ultrasonic p-wave tests in situ |                |                                       |          |                      |        |  |  |  |
| ** Values obtained by extrapolating the Poisson's and $E_D/E$ ratio experimentally obtained. |                                                                                                                                                                               |                |                                       |          |                      |        |  |  |  |

It is worth noting that the properties of adobe walls as a mortar and unit composite cannot be directly associated with the mechanical properties of the adobe units. This is demonstrated by the significant differences in the p-wave transmission velocities obtained when testing single units versus measurements including mortar-unit interfaces. However, the number of measurements of this nature was limited, despite the significant number of attempts made to enlarge this dataset of measurements
(all unsuccessful, possibly due to internal discontinuities in the wall, which would be less likely in a
wall with good quality and no damages).

A further necessary remark is related to the water content of the materials during the ultrasonic 296 and laboratory test campaigns. Given some logistic limitations, it was impossible to assess the tested 297 samples' water content. Nevertheless, it is possible to assume that the water content of the samples 298 for compressive tests was similar to that of the materials tested during the field works. Furthermore, 299 the sample collection and ultrasonic tests were performed at the end of August, i.e., in the middle of 300 the rainy season for this region. A working hypothesis is that since the Puebla-Morelos earthquake 301 occurred on 19th September 2017, it is reasonable to admit that the water content of materials was 302 not drastically different. 303

#### **4.** Conclusions

A series of ultrasonic tests were performed in several historical adobe constructions in the municipality of Tepoztlán (Morelos, México). Most of the intended tests permitted retrieving replicable measurements, demonstrating thus the suitability of performing these tests in adobe units. Nevertheless, some difficulties were found while trying to measure the wave velocity of propagation in contiguous adobe pieces, indicating a potential disruption in the mortar interface. The tests conducted for characterising the propagation velocity of the mortar/unit system are, therefore, not conclusive.

On the other hand, the materials gathered in situ permitted to conduct of a series of laboratory experiments to characterise the compressive strength and the elastic behaviour of the material. Three calibration tests were initially carried out by fractioning a piece into three smaller specimens. These experiments allowed us to roughly estimate a range of between 0.40 and 0.60 MPa for ultimate strength. This value was adopted for designing the experiments for the rest of the specimens.

The four specimens (I, II, III and IV) were tested, and the stress/strain behaviour was captured using infrared LEDs and two sets of cameras. The mechanical behaviour seemed to be coherent and consistent throughout the samples, permitting us to obtain reasonably similar values for the modulus of elasticity and ultimate compressive strength. Furthermore, the availability of results for ultrasonic propagation velocity on these pieces permits the validation of the hypothesis of the representativeness of these materials in the context of those assessed during field works.

The application of three initial load cycles permitted observing a good elastic recovery of the 323 adobe pieces, indicating that the tested materials did not have damages that condition the results of 324 the ultima compressive strength tests. It was possible to obtain both static and dynamic modulus of 325 elasticity by establishing analytical calculus involving Poisson's ratio and the p-wave velocity of 326 propagation. These values have been critical for supporting indicative hypothetical values for the 327 materials found in situ, in the proximities of modulus of elasticity of 143 MPa and ultimate compressive 328 strengths of 0.45 MPa. Nevertheless, the p-wave propagation velocities obtained in situ present a 329 relatively large range of variability, which limits the extrapolations herein proposed. 330

It is impossible to determine if the mechanical properties reported in this work can be extrapolated to a normal distribution for subsequent analyses, namely related to establishing typical values within a certain confidence interval. However, the mechanical properties herein reported are consistent with some values reported in the literature.

Although the number of tests is still considered too small for drawing conclusive results, it is worth noting that the materials herein presented correspond to historical construction. The possibility of testing a more extensive set of specimens is heavily conditioned by the availability of materials and their state of conservation. Despite these experiments cannot be considered conclusive for largescale characterisation purposes, they can be complementary to future experimental campaigns in similar architectural typologies.

Future works aimed to enhance the results and observations herein presented should desirably include, for instance, humidity, water absorption and composition tests (e.g., granulometry and/or organic composition tests).

Even if the inconclusive experimental campaigns are often underestimated, the exceptionality of testing historical materials and the challenges faced while acquiring samples in certain environments are certainly reasons for considering the relevance and value of these observations.

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431 432 24.

# 433 Appendix 1

# 434 Summary of ultrasonic wave pulse propagation velocity tests.

| Data processing software | PL-Link Version  | 3.0.5.0       |  |
|--------------------------|------------------|---------------|--|
| Device data              | Name Pundit      |               |  |
|                          | Serial Number    | UP01-004-0119 |  |
|                          | Software Version | n 3.0.11      |  |
|                          | Hardware Revisi  | ion C1        |  |
| Signal (ADC Values)      | Maximum          | 32767         |  |
|                          | Minimum          | -32768        |  |
|                          | Meas. Range      | 2 V           |  |
|                          | Step Size        | ~30.52 µV     |  |
|                          | Probe gain: 500  | x             |  |
|                          | Pulse Voltage: 2 | 00V           |  |
|                          | PRF: 25 Hz       |               |  |

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| Building | Sample | Measurement | Velocity | Thickness | Type of     | Number of joints | Mean Value |
|----------|--------|-------------|----------|-----------|-------------|------------------|------------|
|          |        |             | (m/s)    | (m)       | measurement | traversed        | (m/s)      |
| 1        | 1      | а           | 699      | 0.34      | Direct      | 0                | 713.33     |
| 1        | 1      | b           | 710      |           |             |                  |            |
| 1        | 1      | с           | 731      |           |             |                  |            |
| 1        | 2      | а           | 843      | 0.34      | Direct      | 0                | 821.00     |
| 1        | 2      | b           | 810      |           |             |                  |            |
| 1        | 2      | С           | 810      |           |             |                  |            |
| 2        | 1      | а           | 418      | 0.16      | Indirect    | 0                | 463.00     |
| 2        | 1      | b           | 484      |           |             |                  |            |
| 2        | 1      | с           | 487      |           |             |                  |            |
| 2        | 2      | а           | 486      | 0.16      | Indirect    | 0                | 489.67     |
| 2        | 2      | b           | 487      |           |             |                  |            |
| 2        | 2      | с           | 496      |           |             |                  |            |
| 3        | 1      | а           | 425      | 0.25      | Indirect    | 0                | 425.67     |
| 3        | 1      | b           | 424      |           |             |                  |            |

| Building | Sample | Measurement | Velocity | Thickness | Type of     | Number of joints | Mean Value |
|----------|--------|-------------|----------|-----------|-------------|------------------|------------|
|          |        |             | (m/s)    | (m)       | measurement | traversed        | (m/s)      |
| 3        | 1      | с           | 428      |           |             |                  |            |
| 3        | 2      | а           | 487      | 0.16      | Indirect    | 0                | 517.00     |
| 3        | 2      | b           | 486      |           |             |                  |            |
| 3        | 2      | с           | 578      |           |             |                  |            |
| 4        | 1      | а           | 463      | 0.185     | Indirect    | 0                | 458.33     |
| 4        | 1      | b           | 456      |           |             |                  |            |
| 4        | 1      | С           | 456      |           |             |                  |            |
| 4        | 2      | а           | 485      | 0.16      | Indirect    | 0                | 480.67     |
| 4        | 2      | b           | 473      |           |             |                  |            |
| 4        | 2      | С           | 484      |           |             |                  |            |
| 5        | 1      | а           | 714      | 0.141     | Indirect    | 0                | 706.00     |
| 5        | 1      | b           | 701      |           |             |                  |            |
| 5        | 1      | С           | 703      |           |             |                  |            |
| 5        | 2      | а           | 709      | 0.18      | Indirect    | 0                | 705.33     |
| 5        | 2      | b           | 704      |           |             |                  |            |
| 5        | 2      | С           | 703      |           |             |                  |            |
| 6        | 1      | а           | 647      | 0.4       | Direct      | 0                | 646.67     |
| 6        | 1      | b           | 646      |           |             |                  |            |
| 6        | 1      | С           | 647      |           |             |                  |            |
| 6        | 2      | а           | 567      | 0.15      | Indirect    | 0                | 566.33     |
| 6        | 2      | b           | 566      |           |             |                  |            |
| 6        | 2      | С           | 566      |           |             |                  |            |
| 7        | 1      | а           | 1005     | 0.35      | Direct      | 0                | 1005.33    |
| 7        | 1      | b           | 1005     |           |             |                  |            |
| 7        | 1      | С           | 1006     |           |             |                  |            |
| 7        | 2      | а           | 1013     | 0.35      | Direct      | 0                | 1009.00    |
| 7        | 2      | b           | 1005     |           |             |                  |            |
| 7        | 2      | С           | 1009     |           |             |                  |            |
| 7        | 3      | а           | 1236     | 0.35      | Direct      | 0                | 1238.00    |
| 7        | 3      | b           | 1244     |           |             |                  |            |
| 7        | 3      | С           | 1234     |           |             |                  |            |
| 8        | 1      | а           | 502      | 0.225     | Direct      | 0                | 497.33     |

| Building Sample | Sample | ble Measurement | Velocity | Thickness | Type of     | Number of joints | Mean Value |
|-----------------|--------|-----------------|----------|-----------|-------------|------------------|------------|
|                 |        |                 | (m/s)    | (m)       | measurement | traversed        | (m/s)      |
| 8               | 1      | b               | 497      |           |             |                  |            |
| 8               | 1      | С               | 493      |           |             |                  |            |
| 9               | 1      | а               | 467      | 0.17      | Indirect    | 0                | 470.33     |
| 9               | 1      | b               | 467      |           |             |                  |            |
| 9               | 1      | С               | 477      |           |             |                  |            |
| 9               | 2      | а               | 443      | 0.15      | Indirect    | 0                | 443.00     |
| 9               | 2      | b               | 443      |           |             |                  |            |
| 9               | 2      | с               | 443      |           |             |                  |            |
| 9               | 3      | а               | 671      | 0.17      | Indirect    | 0                | 671.00     |
| 9               | 3      | b               | 671      |           |             |                  |            |
| 9               | 3      | с               | 671      |           |             |                  |            |
| 10              | 1      | а               | 477      | 0.17      | Direct      | 0                | 479.00     |
| 10              | 1      | b               | 481      |           |             |                  |            |
| 10              | 1      | С               | 472      |           |             |                  |            |
| 10              | 2      | а               | 563      | 0.242     | Direct      | 0                | 560.67     |
| 10              | 2      | b               | 555      |           |             |                  |            |
| 10              | 2      | С               | 564      |           |             |                  |            |
| 10              | 3      | а               | 522      | 0.242     | Direct      | 0                | 518.33     |
| 10              | 3      | b               | 519      |           |             |                  |            |
| 10              | 3      | С               | 514      |           |             |                  |            |
| 10              | 4      | а               | 504      | 0.242     | Direct      | 0                | 484.00     |
| 10              | 4      | b               | 458      |           |             |                  |            |
| 10              | 4      | С               | 490      |           |             |                  |            |
| 10              | 5      | а               | 456      | 0.2       | Indirect    | 0                | 456.00     |
| 10              | 5      | b               | 456      |           |             |                  |            |
| 10              | 5      | С               | 456      |           |             |                  |            |
| 10              | 6      | а               | 411      | 0.25      | Indirect    | 0                | 411.25     |
| 10              | 6      | b               | 410      |           |             |                  |            |
| 10              | 6      | С               | 410      |           |             |                  |            |
| 10              | 6      | d               | 414      |           |             |                  |            |
| 10              | 7      | а               | 498      | 0.13      | Direct      | 1                | 493.33     |
| 10              | 7      | b               | 491      | 1         |             |                  |            |

| Building | Sample | Measurement | Velocity | Thickness | Type of     | Number of joints | Mean Value |
|----------|--------|-------------|----------|-----------|-------------|------------------|------------|
|          |        |             | (m/s)    | (m)       | measurement | traversed        | (m/s)      |
| 10       | 7      | с           | 491      |           |             |                  |            |
| 10       | 8      | а           | 430      | 0.24      | Direct      | 2                | 429.33     |
| 10       | 8      | b           | 429      |           |             |                  |            |
| 10       | 8      | с           | 429      |           |             |                  |            |
| 10       | 9      | а           | 447      | 0.25      | Direct      | 2                | 444.67     |
| 10       | 9      | b           | 441      |           |             |                  |            |
| 10       | 9      | С           | 446      |           |             |                  |            |
| 10       | 10     | а           | 477      | 0.24      | Direct      | 0                | 477.00     |
| 10       | 10     | b           | 477      |           |             |                  |            |
| 10       | 10     | С           | 477      |           |             |                  |            |
| 11       | 1      | а           | 506      | 0.13      | Indirect    | 0                | 505.00     |
| 11       | 1      | b           | 506      |           |             |                  |            |
| 11       | 1      | С           | 503      |           |             |                  |            |
| 11       | 2      | а           | 615      | 0.14      | Indirect    | 1                | 615.67     |
| 11       | 2      | b           | 615      |           |             |                  |            |
| 11       | 2      | С           | 617      |           |             |                  |            |
| 11       | 3      | а           | 379      | 0.28      | Indirect    | 2                | 393.33     |
| 11       | 3      | b           | 400      |           |             |                  |            |
| 11       | 3      | С           | 401      |           |             |                  |            |
| 12       | 1      | а           | 461      | 0.16      | Indirect    | 0                | 460.67     |
| 12       | 1      | b           | 461      |           |             |                  |            |
| 12       | 1      | С           | 460      |           |             |                  |            |
| 12       | 2      | а           | 482      | 0.155     | Indirect    | 0                | 481.33     |
| 12       | 2      | b           | 481      |           |             |                  |            |
| 12       | 2      | С           | 481      |           |             |                  |            |
| 12       | 3      | а           | 449      | 0.15      | Indirect    | 1                | 445.00     |
| 12       | 3      | b           | 443      |           |             |                  |            |
| 12       | 3      | С           | 443      |           |             |                  |            |
| 12       | 4      | а           | 396      | 0.27      | Indirect    | 2                | 395.67     |
| 12       | 4      | b           | 395      |           |             |                  |            |
| 12       | 4      | С           | 396      |           |             |                  |            |
| 13       | 1      | а           | 494      | 0.15      | Indirect    | 0                | 494.33     |

| Building | Sample | Measurement | Velocity | Thickness | Type of     | Number of joints | Mean Value |
|----------|--------|-------------|----------|-----------|-------------|------------------|------------|
|          |        |             | (m/s)    | (m)       | measurement | traversed        | (m/s)      |
| 13       | 1      | b           | 495      |           |             |                  |            |
| 13       | 1      | С           | 494      |           |             |                  |            |
| 13       | 2      | а           | 427      | 0.2       | Indirect    | 0                | 428.33     |
| 13       | 2      | b           | 429      |           |             |                  |            |
| 13       | 2      | С           | 429      |           |             |                  |            |
| 13       | 3      | а           | 481      | 0.16      | Indirect    | 1                | 488.00     |
| 13       | 3      | b           | 491      |           |             |                  |            |
| 13       | 3      | С           | 492      |           |             |                  |            |