

1 **Mechanical Insufflation-Exsufflation to promote extubation success in**
2 **critically ill adults on intensive care: Protocol for a randomised controlled**
3 **feasibility trial**

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31

32 **ABSTRACT**

33 **Background:** Extubation failure, defined as reintubation within 48 hours is
34 associated with increased intensive care unit (ICU) length of stay and higher
35 mortality risk. One cause of extubation failure is secretion retention, resulting from an
36 inability to cough effectively. Mechanical Insufflation-Exsufflation (MI-E) simulates a
37 cough aiding secretion clearance. However, MI-E is not routinely used in the ICU for
38 invasively ventilated patients. This study aims to determine feasibility and
39 acceptability of a randomised controlled trial (RCT) examining MI-E use to promote
40 extubation success in intubated, ventilated adults.

41

42 **Methods:** Single centre, feasibility RCT with semi-structured interviews, economic
43 scoping, and exploratory physiology study.

44

45 The feasibility RCT (n=50) will compare standard care to a MI-E protocol including a
46 minimum of two MI-E sessions via the endotracheal tube prior to extubation. Post-
47 extubation, MI-E will be delivered via facemask or mouthpiece up to two times/day
48 for 48 hours. MI-E settings will be individualised. All patients will receive standard
49 care (no MI-E) in relation to mechanical ventilation, weaning, rehabilitation,

50 physiotherapy techniques such as positioning, manual airway clearance techniques,
51 manual/ventilator hyperinflation, endotracheal suctioning and nebulisation. Clinical
52 data collection will occur before, on completion and 5 minutes post physiotherapy
53 sessions (intervention/control arms). Resource use will be calculated for each 24-
54 hour period. Analyses will be descriptive and address feasibility outcomes including
55 participant recruitment and attrition; proportion of MI-E treatment sessions
56 completed; dataset completeness; frequency of adverse events and acceptability.

57

58 Semi-structured online interviews informed by the Theoretical Framework of
59 Acceptability (TFA) with patients, clinicians and family members, will explore the
60 acceptability of the MI-E intervention and study processes.

61 Interview data will be analysed using reflexive thematic analysis based on TFA
62 domains through first level coding.

63

64 The embedded physiology study will use Electrical Impedance Tomography and
65 Lung Ultrasound to explore lung recruitment and de-recruitment during MI-E in a
66 subset of 5-10 patients.

67

68 **Discussion:** This study will examine feasibility and acceptability of a RCT protocol of
69 MI-E to promote extubation success. Study findings will inform design modification
70 and conduct of a future adequately powered trial. Furthermore, the study will
71 contribute and advance the understanding of MI-E use in critically ill intubated adults.

72

73 **Trial Registration:** ISRCTN 24603037; IRAS 303674.

74

75 Key words: Cough Assist; extubation failure; ventilator weaning; physiotherapy; ICU;
76 airway clearance; electrical impedance tomography.

77

78 **BACKGROUND**

79 Extubation failure is defined as reintubation within 48 hours and is associated with
80 increased intensive care unit (ICU) length of stay (LOS) (1) and higher mortality risk
81 (2). One cause of extubation failure is secretion retention, resulting from an inability
82 to cough effectively (3). Having an endotracheal tube in place impairs the ability to
83 cough due to abduction of the vocal cords and glottis. As a result, airway clearance
84 strategies are used to aid secretion clearance. Suctioning is used commonly to
85 remove secretions from the endotracheal tube, tracheostomy or upper airway. This
86 technique however has limited effectiveness in clearing secretions from the lower
87 airways and may cause airway trauma (4, 5).

88

89 Mechanical Insufflation-Exsufflation (MI-E) augments inspiratory and expiratory flow
90 to improve secretion mobilisation, through rapidly alternating positive and negative
91 pressure, approximating a normal cough (6). A previous randomised controlled trial
92 (RCT) based in Portugal, examined MI-E in 75 critically ill adults intubated for >48
93 hours (7). Using MI-E, they found reductions in re-intubation rates (48% v 17%),
94 mechanical ventilation duration (mean (SD) 17.8 (6) v 11.7 (3.5) days) and ICU LOS
95 post-extubation (9.8 (6.7) v 3.1 (2.5) days (all $p < 0.05$)). More recent trials have
96 demonstrated the superiority of MI-E compared to other airway clearance techniques
97 on physiologic outcomes including sputum weight, static lung compliance, airway
98 resistance, and work of breathing (8, 9). Recent studies regarding the safety of MI-E
99 in intubated patients indicate that adverse effects such as barotrauma, desaturation,

100 atelectasis and haemoptysis are rare and transient (10, 11). However, to date, there
101 is limited adoption of MI-E in ICU (12-14) and limited empirical evidence on its
102 effectiveness (15). MI-E may be safe and effective in intubated critically ill adults but
103 more data are required.

104

105 During invasive ventilation, positive pressures breaths are delivered followed by a
106 passive expiration. In contrast, MI-E delivers both positive (insufflation) and negative
107 (exsufflation) pressure breaths. Barotrauma and volutrauma associated with large
108 tidal volumes are well documented, with low volume lung protective ventilation now
109 standard of care, particularly for patients with acute lung injury (16). However, de-
110 recruitment of lung units due to small tidal volumes can have an equally adverse
111 impact on oxygenation and effective ventilation, attenuating lung injury (17). To date,
112 no studies have examined the extent of de-recruitment or other adverse events as a
113 result of a negative pressure exsufflation breath applied during MI-E.

114

115 We recently conducted a scoping review (18) including 28 studies to map use of MI-
116 E in invasively ventilated critically ill adults. We found MI-E was predominantly used
117 in ICU patients with prolonged weaning from mechanical ventilation and difficulty
118 with sputum clearance. Study populations did not always reflect the heterogeneous
119 nature of invasively ventilated critically ill adults, with some studies enrolling cohorts
120 limited to neuromuscular disease and spinal cord injury. We identified substantial
121 variation in MI-E device settings, timing and frequency of use across studies.

122

123 The recent scoping review (18) also identified a lack of specific qualitative data
124 pertaining to patient and clinician experience of using MI-E. Information was gained

125 through three survey studies which reported qualitative data from open-ended
126 questions around barriers to MI-E in ICU. A common barrier to MI-E use was a
127 perceived lack of skills and knowledge. There were no studies that included patients'
128 opinions or experiences of MI-E use.

129 This variation in how MI-E is used combined with uncertainty in terms of the
130 evidence of effect on patient outcomes such as promoting weaning success,
131 reducing extubation failure and safety, limits the ability to make practice
132 recommendations and warrants further investigation. Therefore, the aim of this study
133 is to determine the feasibility of a RCT of MI-E to promote extubation success for
134 intubated, mechanically ventilated critically ill adults.

135

136 Our objectives are to determine trial feasibility based on the following feasibility end
137 points;

- 138 1. ability to recruit and retain the proposed 50 participants;
- 139 2. ability to collect outcome data (including follow up data) and to examine
140 dataset completeness;
- 141 3. acceptability of the MI-E intervention from the perspectives of patients, family
142 and members of the interprofessional team including doctors, nurses and
143 physiotherapists.

144

145 **METHODS**

146 The protocol conforms to the SPIRIT (Standard Protocol Items: Recommendations
147 for Interventional Trials) guideline (19) and describes a single centre, individual
148 parallel group, randomised, feasibility RCT with semi-structured interviews,
149 economic scoping and the incorporation of an exploratory physiology study. A study

150 flow chart is illustrated in Figure 1; schedule for enrolment, intervention and follow up
151 is shown in Table 1, with associated SPIRIT checklist presented in Supplementary
152 Information 1.

153

154 Figure 1: study flow chart

155 Table 1: SPIRIT study schedule

156

157 **Feasibility RCT**

158 The study will be conducted in a 21 bed general adult ICU, within a large UK
159 National Health System (NHS) teaching hospital. The unit has approximately 1250
160 admissions annually and typically admits adults with any condition except cardiac or
161 neuro surgery.

162

163 ***Participant identification, recruitment and allocation***

164 *Eligibility*

165 A research team member will screen all ICU patients on a daily basis against the
166 study eligibility criteria. Our inclusion criteria comprise:

- 167 • Adult (≥ 16 years)
- 168 • Expected to require invasive mechanical ventilation for >48 hours
- 169 • Clinician identified pre-extubation problems with secretion management
170 defined as poor/weak cough effort and/or secretion load difficult to clear with
171 usual airway clearance management i.e. suctioning, manual techniques,
172 positioning etc (as assessed by the treating physiotherapy clinical team)

173 • Identified as 'ready to wean or weaning' by the treating clinical team and on a
174 spontaneous mode of ventilation for example Continuous Positive Airway
175 Pressure (CPAP) or Pressure Support Ventilation (PSV).

176

177 Our exclusion criteria comprise:

- 178 • Positive End Expiratory Pressure (PEEP) >10 cmH₂O;
- 179 • Fraction of inspired oxygen (FiO₂) >0.7;
- 180 • Hemodynamic/cardiovascular instability as defined as noradrenaline infusion
181 of >0.25mg/kg or arrhythmia requiring intervention;
- 182 • Recent untreated pneumothorax (current admission with no chest drain in
183 situ);
- 184 • Unable to use MI-E pre/post extubation (contraindications to facemask use
185 including facial/cranial trauma, recent facial surgery; active upper
186 gastrointestinal bleeding/uncontrolled vomiting; recent upper
187 abdominal/thoracic surgery with at risk anastomosis; acute air trapping i.e.
188 status asthmaticus);
- 189 • Pre-existing neuromuscular condition affecting respiratory muscles;
- 190 • Pre-existing use of MI-E in the community;
- 191 • Pre-existing permanent tracheostomy;
- 192 • Treatment withdrawal expected within 24 hours or not expected to survive;
- 193 • Re-admission to ICU following index admission within same hospital episode;
- 194 and
- 195 • Previous participation in the study

196

197 *Randomisation and allocation concealment*

198 Using the online randomisation system Sealed Envelope™ (that conceals
199 allocation), an ICU research team member will randomise a patient once informed
200 consent/informed advice has been obtained and demographic data collected.
201 Participants will be randomised using a 1:1 allocation to either (A)-control arm
202 (standard care) or (B)-intervention arm (MI-E plus standard care). Blinding of
203 participants, clinicians and outcome assessors will not be possible due to the nature
204 of the intervention.

205

206 **Study Arms**

207 *A. Control arm (standard care)*

208 Patients will receive standard care in relation to mechanical ventilation, ventilator
209 weaning, rehabilitation, standard physiotherapy techniques such as positioning,
210 manual techniques (percussion, expiratory vibrations, expiratory shakes),
211 manual/ventilator hyperinflation, endotracheal suctioning and nebulisation. The use
212 of MI-E will not be permitted in the standard care control arm. Respiratory
213 physiotherapy treatments will be individualised to patient need at the discretion of the
214 treating physiotherapist and not protocolised. Decisions to extubate and re-intubate
215 will be at the discretion of the attending physician with reason(s) documented.

216

217 *B. Intervention arm (MI-E plus standard care)*

218 For the intervention arm, we will use the MI-E device, Clearway 2 (Breas Medical
219 LTD, Stratford-Upon-Avon, Warwickshire, UK). This device is reusable between
220 patients with single use circuit, filter and interface (mouthpiece, facemask and
221 flexible catheter mount).

222

223 Whilst intubated, treatment will include a minimum of two MI-E sessions via the
 224 endotracheal tube (with cuff inflated) following randomisation and prior to extubation.
 225 MI-E settings (mode, pressure, timings, flow) will be individualised to each patient
 226 based on patient tolerance, chest expansion and secretion clearance (as assessed
 227 by treating physiotherapist, see supplementary file 2). There will be no
 228 minimum/maximum time between MI-E sessions. Following extubation (and up to 48
 229 hours), patients will receive MI-E delivered via facemask or mouthpiece up to 2 times
 230 each day.

231

232 **Outcomes**

233 Feasibility outcomes are listed in Table 2. Clinical endpoints will be collected to
 234 understand the feasibility of their collection informing conduct of a future adequately
 235 powered trial and not to conduct hypothesis testing related to causation. Feasibility
 236 will be assessed using pre-defined progression criteria (Table 3).

237

238 Table 2: Feasibility outcomes

Feasibility outcome	Measurement detail
Proportion of eligible patients approached, consented and randomised	Screening log and randomisation records
Proportion of MI-E treatment sessions completed	Case report form
Proportion of recruited patients with all clinical outcomes recorded	Case report form
Frequency of adverse events	Case report form

Attrition (participant withdrawal and loss to follow up)	Case report form and withdrawal records
Acceptability of intervention and trial processes to participants and clinicians	Qualitative interviews Acceptability of intervention measure (AIM)/Intervention Appropriate Measure (IAM)/Feasibility of Intervention Measure (FIM)
Acceptability of outcome measures to participants and clinicians	Qualitative interviews

239

240 Table 3: Progression criteria (based on feasibility parameters)

	Summary	Action required
Go (green)	Recruitment: >70% expected recruitment target Follow up: >75% data completeness Adherence: >75% adherence to intervention	Feasible to continue to main trial
Amend (amber)	Recruitment: 50-70% of expected recruitment target Follow up: 65-75% data completeness Adherence: 65-75% adherence to intervention	Identify remediable factors, discuss with trial management group
Stop (red)	Recruitment: <50% of expected recruitment target Follow up: <65% data completeness Adherence: <65% adherence to intervention	Do not progress to main trial, unless there is a strong case that unanticipated remediable factors have been identified

241

242 **Data collection**

243 Prior to randomisation the research team will collect baseline demographic and
244 clinical characteristic data from the electronic medical record. Data include general
245 demographics, reason for intubation, date of hospital and ICU admission, date of

246 intubation, admission Acute Physiology and Chronic Health Evaluation (APACHE II),
247 baseline ventilator settings and airway type and size (Table 1).

248

249 Clinical outcomes (Table 1) will be measured before, on completion and 5 minutes
250 after physiotherapy sessions for both study arms. We have selected exploratory
251 clinical outcomes using the core outcome measure set for critical care ventilation
252 trials (20). In addition, we will record the number and type of physiotherapy
253 treatments provided, patient pain/discomfort, cardiovascular parameters, ventilatory
254 parameters and respiratory parameters (See Table 1 for further details).

255

256 To assess the feasibility of collecting data for a cost utility analysis in a future trial we
257 will collect:

258 a) EQ-5D-5L at 6 months post ICU discharge

259 b) Resource use associated with the MI-E intervention and standard care

260 We will identify the following resource use during the index admission: MI-E device
261 associated resource use including staffing requirements (time spent delivering an MI-
262 E treatment, grade/seniority of staff administering treatment) and consumables used.
263 Patient related resource use will include endotracheal suction frequency by nursing
264 staff (over a 24-hour period), use of non-invasive ventilation (NIV), High Flow
265 Oxygen Therapy (HFOT) and tracheostomy, antibiotic use, physiotherapy on-call use
266 (planned and unplanned), ICU LOS, ICU re-admission and hospital LOS. For the
267 purposes of the feasibility trial these will be reported as frequencies and time
268 duration (hours).

269

270 **Clinician training**

271 Training for physiotherapists detailing the study protocol and how to deliver the
272 intervention will occur at the start of the study. Standardised education materials
273 developed by the research team will be distributed to all clinicians with the
274 opportunity to practice intervention set up and delivery.

275

276 **Outcome description**

277 *Re-intubation rate:* Re-intubation rate will be calculated for the 48 hours following
278 extubation. This is the planned primary outcome for the future planned trial.

279

280 *Pain scores:* We will measure pain using the 'numeric rating scale' (NRS) (21) and
281 the Critical Care Pain Observation Tool (CPOT) (22). All patients will have CPOT
282 measured. The CPOT is a valid measure to determine pain presence with four
283 domains: facial expressions, body movements, compliance with the ventilator or
284 vocalisation, and muscle tension. Each domain is scored 0-2 with a maximum score
285 of eight. A CPOT score >2 indicates pain presence. The NRS is a self-reported
286 measure where patients rate pain presence and severity on a scale from 0 (no pain)
287 to 10 (worst pain possible). During PPI work, patients highlighted the importance of
288 including a patient reported outcome. The NRS will be measured in addition to the
289 CPOT. If a patient is unable to rate pain, we will use the CPOT only. We will
290 document pain presence before and after a physiotherapy session.

291

292 *Cardiovascular, ventilator and respiratory parameters:* These measures include heart
293 rate, systolic and diastolic blood pressure, ventilator settings, airway resistance and
294 lung compliance, peripheral oxygen saturations, and respiratory rate measured pre
295 and post physiotherapy in both the intervention and control arms.

296

297 *Acceptability:* We will use three validated questionnaires to measure acceptability;
298 Acceptability of Intervention Measure (AIM); Intervention Appropriate Measure (IAM)
299 and Feasibility of Intervention Measure (FIM) (23). These will be measured
300 immediately post MI-E intervention.

301

302 ***Statistics and data analysis***

303 *Sample size calculation*

304 As this is a feasibility trial a formal sample size calculation based on statistical power
305 to detect a specified treatment effect size is not appropriate. We have selected a
306 sample size of 50 participants based on measurement of feasibility parameters with
307 adequate precision. The participating ICU admits approximately 1250 patients
308 annually with potentially four to five eligible patients each week (minimum of 200 per
309 year). We anticipate recruiting 50 over a 12-month period would be achievable, with
310 an estimated recruitment rate of 25% and a confidence interval width of 0.12.

311

312 *Statistical analysis plan*

313 The analysis and reporting of this study will be consistent with the CONSORT
314 guidelines extension to feasibility studies (24). This study is not designed or powered
315 to carry out formal hypothesis testing. Participant flow through the study will be
316 summarised and presented in a flow diagram. Descriptive statistics for patient
317 characteristics will be reported overall and by treatment group; as means or medians
318 with measures of dispersion for continuous outcomes (as appropriate given
319 distribution) and frequencies and percentages for categorical outcomes. Only
320 descriptive statistics will be used in the physiology sub-study due to the small sample

321 size proposed. Patient reported and clinical feasibility outcomes will be presented
322 and assessed for completeness of data.

323

324 **Safety reporting**

325 The attending consultant physician is responsible for assessing all adverse reactions
326 and adverse events (AEs) and categorising seriousness, expectedness, and
327 relatedness. A list of events that can be expected during this trial, or within this
328 patient population can be found below.

- 329 • Accidental extubation during the intervention
- 330 • Cardiovascular changes (including but not exclusive to hypo/hypertension,
331 brady/tachycardia, arrhythmias)
- 332 • Pneumothorax
- 333 • Sputum plugging during the intervention
- 334 • Pulmonary complications such as pneumonia
- 335 • Minor skin irritations due to Electrical Impedance Tomography electrode patch
336 application.

337 We will record occurrence of the following during a MI-E treatment and control arm
338 interventions: HR, SBP, DBP increase/decrease >20% baseline and requiring
339 intervention; arrhythmia (requiring intervention); pneumothorax; acute desaturation to
340 <85% or >10% below baseline and requiring intervention; accidental extubation; and
341 cardiopulmonary arrest.

342 It is the responsibility of the sponsor, chief investigator and delegated individuals to
343 ensure that the dignity, rights, safety and well-being of research participants are
344 given priority at all times and appropriate action is taken to ensure their safety. The

345 recording and reporting of safety events will be in accordance with Good Clinical
346 Practice (GCP) Guidelines and study sponsor's 'research safety reporting' standard
347 operating procedure.

348

349 **Semi structured qualitative interviews**

350 Interviews with healthcare professionals and patients will explore the acceptability of
351 the intervention and enrolment to the study. These interviews aim to:

352

- 353 • Explore acceptability of the intervention for clinicians, patients and
354 consultees
- 355 • Investigate potential barriers and facilitators to conducting a full trial
- 356 • Determine outcome measures for a definitive trial

357 ***Study design and recruitment***

358 Interviews with patient participants in the intervention arm and their family members
359 will take place within six weeks of discharge from ICU. We will exclude participants
360 who have no recall of their ICU stay or the MI-E intervention. Interviews will be
361 conducted by the Chief Investigator (ES).

362

363 Clinician interviews will be conducted with staff from the ICU clinical team including
364 doctors, nurses and physiotherapists who have had exposure to the MI-E
365 intervention within the preceding 4 weeks. These interviews will be completed by a
366 member of the study team (SV) to eliminate potential bias presented due to a
367 working relationship with ES. These will occur during trial recruitment and within 4-
368 weeks of exposure to a patient in the intervention arm of the trial.

369

370 We have based the interview topic guides on the Theoretical Framework of
371 Acceptability (TFA) (25). Interviews will be completed virtually via an online platform
372 (Microsoft Teams).

373

374 ***Sampling and recruitment***

375 Convenience sampling of 10-15 participants (26) will be used. Clinicians will be
376 approached based on gaining maximal variation sample regarding profession and
377 years of clinical experience. Patients and family members recruited into the study will
378 be approached for consent once the patient has been discharged from ICU.

379

380 ***Interview data collection and analysis***

381 On interview commencement, we will collect clinician demographic data (clinical
382 profession, years working in profession and on ICU, highest educational level
383 obtained); patient demographics including age, reason for ICU admission, ICU LOS,
384 or family demographics (relationship to patient) as relevant to the interview
385 participant.

386

387 Interviews will be digitally recorded and transcribed verbatim by an university-
388 approved transcription service. Transcripts will be checked for accuracy and
389 anonymised. Data will be analysed using reflexive thematic analysis (26, 27) and
390 using TFA domains through first level coding by ES. Thematically similar responses
391 will be grouped in a process of data reduction and compared across transcripts.
392 Tables will be produced to highlight key thematic content, within each TFA domain
393 with consideration of responses from both patients and clinicians, and with the aim of
394 highlighting similar and discordant themes. Domains will be identified as salient

395 based on their frequency of inclusion and potential strength of impact. NVivo
396 software will be used to support this process.

397

398 ***Embedded exploratory physiology study***

399 *Background*

400 During invasive ventilation, positive pressure breaths are delivered followed by
401 passive expiration. In contrast, MI-E delivers both positive (insufflation) and negative
402 (exsufflation) pressure breaths. Lung recruitment and de-recruitment are important
403 considerations in intubated and ventilated patients (16). Barotrauma and volutrauma
404 associated with large tidal volumes are well documented, with low volume lung
405 protective ventilation now standard of care, particularly for patients with acute lung
406 injury. De-recruitment of lung units due to small tidal volumes and loss of PEEP
407 through ventilator disconnection can have an equally adverse impact on oxygenation
408 and effective ventilation, attenuating lung injury (16). To date, no studies have
409 examined the extent of recruitment and de-recruitment as a result of positive and
410 negative pressure delivery during MI-E application.

411

412 *Sub-study aim*

413 To examine lung recruitment and de-recruitment during MI-E application.

414

415 *Sub-study design*

416 We will use Electrical Impedance Tomography (EIT) (Pulmovista 500, Draeger
417 Medical UK Ltd, Hertfordshire, UK) and Lung Ultrasound (VenueGo™,
418 GEHealthcare, London, UK) in a subset of patients in the intervention arm. We aim
419 to recruit between five and ten patients.

420

421 EIT is a non-invasive, radiation free technique used at the bedside to provide
422 pulmonary ventilation data in real-time (28). A series of 16 electrodes are placed
423 around the chest wall, through which small electrical currents are passed to measure
424 impedance, conductivity and permittivity. These measurements result in a 2D image
425 illustrating end inspiratory and end expiratory lung volumes and regional distribution
426 of ventilation. The technique is used clinically and in ICU research studies to
427 examine ventilation strategies, PEEP titration, and effects of positioning (28, 29).

428

429 *Lung Ultrasound Score (LUS):* The lung ultrasound score is a semi-quantitative
430 scoring method used to illustrate pulmonary aeration (30). We will use the previously
431 described framework for practical application of the LUS in the ICU (31). The
432 framework describes six areas of interest per lung. Each hemithorax is divided into
433 anterior, lateral, and posterior regions with each region having an upper and lower
434 position. There is one representation point per area scanned and scored between 0
435 and 3 as part of this framework. Total scores range between 0 and 36. We will
436 calculate LUS score pre and post intervention. Scans will be completed by a clinician
437 with Focused Ultrasound in Intensive Care (FUSIC) accreditation.

438

439 *Data collection and reporting*

440 We will record end inspiratory and end expiratory regional ventilation distribution via
441 EIT before, during and 5 minutes after the MI-E intervention. The Lung Ultrasound
442 Score will be calculated before and after the MI-E intervention (Table 3). Results will
443 be presented as a case series.

444

445 **Consent**

446 On initial trial enrolment, patients may lack capacity to provide informed consent. As
447 permitted in the UK, we will use a personal or nominated professional consultee. On
448 regaining capacity, the patient will be informed of trial participation and informed
449 consent will be sought.

450

451 Interview participants will be requested to provide consent at the point of recruitment.
452 Verbal informed consent will also be sought and recorded at the start of each
453 interview.

454

455 **Study withdrawal and processes**

456 Participants are free to withdraw from any element of the study at any time without
457 providing a reason. Unless specifically stated by the individual, data collected up to
458 that point will be retained for analysis.

459

460 **Data management**

461 All participants will be assigned a unique study identification number, which will be
462 used in all study-related documentation. A record of names, contact details, hospital
463 numbers and assigned trial numbers will be stored securely using a password
464 protected Research Electronic Data Capture (REDCap) database only accessible to
465 members of the research team.

466

467 Clinical study data will be inputted directly into REDCap by the treating clinician and
468 subsequently validated by a member of the research team. Study participants
469 completing an online EQ-5D-5L survey will enter data directly through an external

470 user REDCap interface. Data recorded on paper will be entered into the REDCap
471 database (by ES).

472

473 Password protected audio digital recording of interviews will be uploaded to a
474 university computer secure drive. All transcriptions will be labelled with a unique
475 study identification number, edited to ensure respondents are pseudonymised (only
476 clinician profession and banding/grading documented), and stored securely adhering
477 to University data protection policies.

478

479 Consent forms (and any other documentation) with personal identifiable data will be
480 stored in a locked filing cabinet (or locked equivalent). Participant details will be
481 anonymised in any publications that result from the trial. At the end of the study,
482 pseudonymised data will be stored in a secure research data storage repository,
483 alongside the other study data (as per sponsor policies).

484

485 **Study management**

486 A Trial Management Group (TMG) will be responsible for overseeing day to day
487 study management. The TMG will meet weekly. We formed a 12 member patient
488 advisory group (PAG) who have informed decisions related to study design and will
489 have ongoing input into study conduct, data analysis and interpretation and
490 dissemination. Two PAG members will also participate in the Trial Steering Group
491 (TSG) to ensure the patient voice is heard throughout the study. The TSG consists of
492 5 expert clinicians representing the ICU multi-professional team and has an
493 independent chair. The group meet every 3 months during study conduct.

494

495 **DISCUSSION**

496 This study will investigate the feasibility of a RCT examining the use of MI-E to
497 promote extubation success in critically ill adults receiving invasive mechanical
498 ventilation. The importance and potential usefulness of completing a feasibility trial is
499 further emphasised when considering the variability in MI-E use in intubated adults
500 and variable outcome reporting as described in our recent scoping review (18). The
501 lack of qualitative data highlighted in the scoping review will be addressed in this trial
502 through the completion of semi-structured interviews with clinicians, patients and
503 families. Additionally, the nested physiology study using EIT and LUS will provide a
504 novel insight into the physiological impact of the MI-E device on lung recruitment and
505 de-recruitment. Through the use of both quantitative and qualitative findings, we aim
506 to optimize the design of a definitive trial particularly in relation to intervention and
507 study protocol acceptability whilst also contributing and advancing the understanding
508 of MI-E use in the acutely intubated population.

509

510 **Trial status**

511 Recruitment commenced on 11th July 2022. The current protocol version (v2.0) is
512 dated 21st March 2022. Recruitment is estimated to be complete by July 2023.

513

514 **List of abbreviations**

515 AE: adverse events; AIM: acceptability of intervention measure; APACHE II: acute
516 physiology and chronic health evaluation; ASB: assisted spontaneous breathing;
517 CONSORT: consolidated standards of reporting trials; CPAP: continuous positive
518 airway pressure; CPOT: critical care pain observation tool; DBP: diastolic blood
519 pressure; EIT: electrical impedance tomography; FiO₂: fraction of inspired oxygen;

520 FIM: feasibility of intervention measure; FUSIC: focused ultrasound in intensive care;
521 GCP: good clinical practice; HR: heart rate; HFOT: high flow oxygen therapy; IAM:
522 intervention appropriate measure; ICU: intensive care unit; LOS: length of stay; LUS:
523 lung ultrasound score; MI-E: mechanical insufflation-exsufflation; NHS: National
524 Health Service; NIV: non-invasive ventilation; NRS: numeric rating scale; PAG:
525 patient advisory group; PEEP; positive end expiratory pressure; REDCap: research
526 electronic data capture; RCT: randomised controlled trial; SBP: systolic blood
527 pressure; SPIRIT: standard protocol items: recommendations for interventional trials;
528 TFA: theoretical framework of acceptability; TMG: trial management group; TSG: trial
529 steering group; UK: United Kingdom;

530

531 **DECLARATIONS**

532 **Ethics approvals and consent to participate**

533 The project has been reviewed and approved by Leeds East Research Ethics
534 Committee (IRAS 303674) and has Health Research Authority and Health Care
535 Research Wales approvals dated 11.04.2022.

536

537 **Consent for publication**

538 Not applicable.

539

540 **Availability of data and materials**

541 This data will be made available in any form to those outside the trial, to include
542 requirements of inspection purposes by the sponsor and/or other regulatory
543 authorities. Individuals interested in study materials may contact the study CI (ES).

544

545 **Competing interests**

546 This is an investigator initiated, designed and managed research study. Breas
547 Medical, UK have provided the MI-E devices to the participating ICU free of charge
548 for use in this study. Breas Medical have had no role in the study design and will
549 have no role in study conduct or interpretation of results.

550

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554

555 **Authors contributions**

556 Authors ES, FC, SV, LT, LR, JB, GN provided input to the original study concept and
557 subsequent study design. NT provided statistical expertise. ES prepared the initial
558 draft of the manuscript. All authors read, provided feedback, discussed and
559 approved the final manuscript. All authors gave approval for manuscript submission.

560

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565

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